

FISCAL
YEAR 2020-21

ANNUAL REPORT

CALIFORNIA DEPARTMENT OF
CONSUMER
AFFAIRS



GAVIN NEWSOM

Governor

LOURDES M. CASTRO RAMÍREZ

*Secretary of the Business, Consumer Services
and Housing Agency*

KIMBERLY KIRCHMEYER

Director of the Department of Consumer Affairs

ABOUT THIS BOOK

The Department of Consumer Affairs (DCA) is one of 12 entities operating under the direction of the Business, Consumer Services and Housing Agency, which is overseen by the Governor's Office.

The *Fiscal Year 2020–21 ANNUAL REPORT* was designed and edited by the Office of Publications, Design and Editing and printed on-site by DCA's Digital Print Services in compliance with California Business and Professions Code section 312.

Message from the Secretary

Thank you to the California Department of Consumer Affairs (DCA) family for their determination over this last year to keep California consumers protected. This year showcased our Agency's and our Department's resolve and resiliency to protect Californians and build back a stronger and more inclusive California for all. From the COVID-19 vaccine rollout to implementing Governor Gavin Newsom's historic California Comeback Plan, our state has fought hard for the people of California, providing relief and making major investments to address the state's most persistent challenges.

As a state focused on coming out of this pandemic stronger, we must move forward with intention and determination to learn from the past and shape a more inclusive, equitable, and fair future for all. DCA is a model of California's compassionate strength, upholding both consumer protection and licensee services.

This *Annual Report* outlines key information and statistics from DCA's outstanding year in review. Behind every single number, chart, or data point are real-life examples of how the Department touched the lives of many:

- Pharmacists were key in strengthening statewide public health by administering COVID-19 vaccines.
- Medical and behavioral health professionals implemented telehealth services on a never-before-seen scale for the individuals in their care.
- Boards and bureaus streamlined processes and procedures to provide timely licensure and enforcement services for consumers and applicants.
- Licensing entities ensured business owners had the latest updates and information they needed to operate safely.
- Californians were empowered with key consumer information through increased use of technology.

DCA touches the lives of so many individuals—3,500 employees; 3.4 million licensees; and 40 million Californians. And together we are embracing and reimagining possibilities. We are being bold in how we use our experience to forge a better, brighter future for ourselves, for our families, and for our communities.

All of this is possible thanks to the collaborative partnerships with stakeholders and everyone at DCA for their unwavering commitment to Californians. And congratulations to Director Kirchmeyer, her team, and all Department boards, bureaus, and programs for their accomplishments.

Lourdes Castro Ramírez, MA
Secretary, Business, Consumer Services and Housing Agency

Message from the Director

Welcome to the Department of Consumer Affairs *Fiscal Year 2020–21 Annual Report*.

The Department of Consumer Affairs (DCA) protects California consumers through oversight, enforcement, and licensure of professions. The Department consists of 36 boards and bureaus that protect nearly 40 million California consumers. DCA does this by regulating 3.4 million licensees in more than 250 professions and occupations, from health care professionals to architects, automotive mechanics, boxers, and veterinarians.

The information in last year's annual report reflected how DCA responded to meet the moment from the beginning to the middle of the pandemic. This year's report captures how those initial actions, and the ones that came after them, contributed and supported the state's effort to conquer—and emerge from—COVID-19.

Over the last two years, DCA staff have stayed on course with the Department's mission of consumer protection and have made a difference: When it was needed the most, DCA responded.

Supporting Licensees and Consumers

DCA provides oversight to boards and bureaus whose licensees were on the front line of the pandemic response. Waivers were key in the Department's effort to support these entities and professionals while upholding consumer protection. As of September 2021, DCA had issued nearly 200 waivers and waiver extensions, ensuring licensees could continue to practice and do business without interruption. Waivers also empowered a wide variety of these professionals to participate in vital COVID-19 vaccination efforts, expand life-saving testing into communities, and allow licensees and consumers to use telemedicine services.

Joining Together

The pandemic affected every facet of our lives, much work was needed to be done, and DCA staff rose to the occasion at every opportunity, including:

- **Task forces**—The Department's direct and vital leadership involvement includes the Testing Task Force, Vaccine Task Force, Workforce Task Force, and Enforcement Task Force.
- **Out-of-state resources**—The Cemetery and Funeral Bureau was instrumental in getting out-of-state licensees into California to augment decedent management capabilities.
- **E-outreach**—DCA and its boards and bureaus went above and beyond to take meetings and outreach efforts online, ensuring transparency and encouraging participation while maintaining pandemic best practices.
- **License fee relief**—Following passage by the Legislature and signature by Governor Gavin Newsom, DCA's Board of Barbering and Cosmetology proactively informed its individual and business licensees on the state's major two-year [fee-relief program](#) via [materials in multiple languages](#).
- **Volunteer corps**—Called upon to implement Governor Newsom's [California Health Corps](#), DCA and its boards and bureaus swiftly created new systems and processed tens of thousands of applications for professionals seeking to serve as COVID-19 health care volunteers.

Coming Back

Despite the challenges brought on by the pandemic, DCA staff adapted and persevered. And we stayed safe, teleworking and following local and state public health guidelines while working in the office or in the field. Through necessity, staff turned challenges into opportunities to create new, efficient processes. Thanks to our dedicated employees, I witnessed that amazing innovation every day of this past year.

I am proud of the work DCA does every year, but I am especially proud this year: As shown throughout this *Annual Report*, together, we reached new milestones. This Department's potential is limitless, and I am honored to be a part of it.

Kimberly Kirchmeyer, Director

MISSION

We protect California consumers by providing a safe and fair marketplace through oversight, enforcement, and licensure of professions.

VISION

Together, empowering California consumers.

VALUES

- **ACCOUNTABILITY**
- **COMMUNICATION**
- **DIVERSITY**
- **EMPLOYEES**
- **INTEGRITY**
- **LEADERSHIP**
- **SERVICE**
- **TRANSPARENCY**

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Support System

DCA's boards, bureaus, and other entities are supported by a dedicated and highly skilled staff of legal, technical, and administrative professionals. These professionals provide a wide range of support services, including human resources, information technology, investigations, communications, professional examinations, training, strategic planning, fiscal operations, and more.

Here is an overview of what the various offices and divisions of DCA's support system do for its many boards, bureaus, and other entities.

THE OFFICE OF ADMINISTRATIVE SERVICES provides business, personnel, budget, and accounting services. It consists of Business Services, Human Resources, and Fiscal Operations. Business Services ensures that DCA entities promote sound business decisions and practices in contracting and purchasing goods and services. It also manages DCA's many facilities, vehicle fleet, emergency response, and mail room and records services. Human Resources provides personnel support for DCA employees. Fiscal Operations provides budget, accounting, and central cashing services.

THE COMMUNICATIONS DIVISION consists of the Office of Public Affairs (OPA); the Office of Publications, Design and Editing (PDE); and Digital Print Services (DPS). OPA creates and executes strategic media and communication plans for DCA, provides information of interest to consumers, responds to media inquiries, and alerts media to unlicensed activity sweeps. OPA's videographers assist DCA boards and bureaus with the creation of public service announcements; training, instructional, and consumer videos; and with webcasting of public meetings. PDE designs, edits, produces, and distributes more than 300 consumer and licensee publications, reports, and signage for DCA's various entities and headquarters. PDE supports DCA's boards and bureaus by producing and publishing newsletters and other publications and supports DCA staff by producing the "Did You Know?" monthly online newsletter for employees. PDE staff also write, edit, design, and distribute DCA's quarterly consumer magazine, *Consumer Connection*. DPS provides full in-house print shop services, including black-and-white and color digital printing, copying, bindery, and other various services to all of DCA. In addition to printing materials created by PDE, DPS produces projects directly from DCA licensing entities and support system programs, including licensee notices, reports, training books, business cards, posters, postcards, and booklets.

THE CONSUMER INFORMATION CENTER (CIC) is DCA's information resource center for consumers and licensees. Through its Call Center and Correspondence Unit, CIC provides consumers, license applicants, and licensees with user-friendly information or identifies the government agency or community organization that can best address their needs. CIC phone agents answer calls from consumers, applicants, and licensees via DCA's toll-free number. Correspondence Unit staff respond to emails and letters sent to DCA and coordinate with boards, bureaus, committees, and programs on escalated complaints received from the Business, Consumer Services and Housing Agency and the Governor's Office.

THE INTERNAL AUDIT OFFICE examines and evaluates the adequacy and effectiveness of the DCA governance, risk management, and internal control processes that are in place to achieve the mission, vision, and values of the Department. This includes performing internal audits on DCA's internal operations to ensure effective and efficient operations; compliance with state and federal rules, laws, and regulations; and ensuring the reliability of DCA's financial reports. Services include acting as the liaison for all audits and reviews conducted by federal and other state agencies, coordinating all entrance and exit conferences, keeping impacted DCA management staff apprised of audit issues coordinating all DCA responses to audit findings, and tracking the status of all recommendations until the reported findings have been resolved.

THE EQUAL EMPLOYMENT OPPORTUNITY OFFICE (EEO) serves all DCA employees, applicants, licensees, and consumers by promoting civil rights laws that prohibit discrimination and require public agencies to allow people to work and advance based on merit, ability, and potential without regard to their protected characteristics. The EEO office is charged with increasing the employment of persons with disabilities at DCA, and preventing and eliminating discrimination through policy implementation, training, education, and outreach. The areas of responsibility include coordinating the DCA Bilingual Services Program, Disability Advisory Committee, Employee Mediation Program, Upward Mobility Program, and sexual harassment prevention training; collecting annual EEO policy acknowledgments, serving as the DCA Americans with Disabilities Act coordinator, investigating allegations of discrimination; facilitating sign language and real-time captioning requests, completing the annual Workforce Analysis, distributing the Whistleblower Protection Act notice, and submitting the annual response to the state auditor.

THE OFFICE OF INFORMATION SERVICES (OIS) directs and manages information technology (IT) for DCA. OIS consists of Application Services, Enterprise Technology Services, Infrastructure Services, Client IT Support Services, Enterprise Project Services, and the Office of Information Security. Application Services maintains and develops the core licensing and enforcement platforms in DCA's portfolio, including the Consumer Affairs System, the Applicant Tracking System, BreEZe, and inLumon. Enterprise Technology Services maintains and supports DCA's wide area network infrastructure, serving more than 30 offices statewide, as well as more than 50 internet and intranet sites. Infrastructure Services maintains the network, cloud, operational security, and telecommunication services. The Reports and Data Governance teams provide reporting solutions and data analytics tools. Application Services provides public data fulfillment (licensee information), production support, and acts as public customer liaison. This team includes the Family Support Unit, which maintains systems that involve processing limited license hold issues related to child support systems. Client IT Support Services provides Service Desk and Client Device Services to all programs within DCA for end-user IT engagement. Enterprise Project Services provides project management assistance, controls agency liaison services, oversees the OIS change management and release process, and is implementing IT Governance. The Office of Information Security establishes the IT security and data privacy policies, maintains the business continuity planning process, investigates IT security breaches, and acts as liaison to the California Department of Technology in matters related to the Department's IT security.

THE ORGANIZATIONAL IMPROVEMENT OFFICE (OIO) collaborates with DCA boards, bureaus, and centralized services to identify opportunities for effective change and process improvement through business analysis. Services provided include business process documentation and mapping, development of system requirements for IT projects, and providing support for special projects. The OIO was recently renamed and may be referred to as Organizational Change Management (OCM) throughout this report.

THE DIVISION OF INVESTIGATION (DOI) is the law enforcement and investigative branch of DCA. DOI staff provide objective investigations regarding allegations of misconduct by licensees of DCA entities. DOI helps to protect the health, safety, and welfare of California consumers by conducting investigations and by filing criminal actions against subject licensees or unlicensed individuals on behalf of DCA entities. DOI field investigations frequently involve allegations of the illegal use and theft of drugs, sexual misconduct, quality-of-care issues, and unlicensed activity. DOI is composed of three units. The Health Quality Investigation Unit provides investigative services to the Medical Board of California, the Physician Assistant Board, the Podiatric Medical Board of California, and the Osteopathic Medical Board of California. The Investigation and Enforcement Unit provides investigative services for all the other boards and bureaus within DCA. The Special Operations Unit (SOU) is a specialized law enforcement unit within DOI that provides investigative services, training, and program management services for DOI and DCA. SOU conducts internal affairs investigations on behalf of DCA; background investigations of board, bureau, DOI, and DCA staff; investigations pursuant to DCA's Workplace Violence Prevention Policy; and provides oversight of the Infraction Citation Authority Program and the Criminal Offender Record Information clearance system.

THE LEGAL AFFAIRS DIVISION serves as in-house counsel for the director, executive staff, boards, bureaus, and other entities within DCA. The team of attorneys provides legal analysis and opinions on laws, issues, proposed legislation, regulations, government contracts, employer-employee matters, the Open Meeting Act, the Public Records Act, and the Information Practices Act. The Division also represents DCA before the State Personnel Board and other administrative tribunals, provides small-claims advice, defends clients in depositions, and appears at hundreds of public meetings annually.

THE DIVISION OF LEGISLATIVE AFFAIRS serves as DCA's resource on legislative matters, representing DCA's positions on these matters before the Legislature. The Division monitors and analyzes legislative bills on consumer issues and provides legislative recommendations and technical assistance to DCA, the Business, Consumer Services and Housing Agency, and the Governor's Office. The Division also serves as the liaison for the Legislature in handling constituent issues affecting all DCA entities. The Division hosts legislative roundtables to provide an open forum to discuss all aspects of legislation, including fiscal, information technology, and implementation impacts among DCA's various boards and bureaus.

THE OFFICE OF PROFESSIONAL EXAMINATION SERVICES (OPES) provides psychometric consulting services for occupational licensure examination programs. OPES services include occupational analysis, examination development (item writing/review), standard setting, program evaluation, and statistical analysis of examination performance. OPES follows accepted professional guidelines and technical standards to ensure that licensing examinations are fair, valid, and legally defensible. In addition to servicing 40–50 intra-agency contracts with DCA entities, OPES also oversees the master contract for examination administration at computer-based testing centers throughout the United States.

SOLID TRAINING AND PLANNING SOLUTIONS (SOLID) supports the development of all DCA employees through the design, delivery, evaluation, and administration of training and education programs. Its mission is to develop and manage a centralized program for training, education, and human resources development that ensures a skilled workforce that can meet DCA's strategic objectives. In addition, SOLID offers strategic planning assistance, employee surveys, and process improvement workshops.

BOARD AND BUREAU RELATIONS (BBR) is the liaison between the DCA executive office and its boards and bureaus. The office provides support services for appointed board members, executive officers, and bureau chiefs by connecting programs with the appropriate DCA resources. BBR is responsible for coordinating DCA executive officer and board member onboarding, procedures, and trainings, including DCA's Board Member Orientation Training. It provides tracking and analysis of all boards' and bureaus' meeting content and acts as the rapid response team for troubleshooting urgent issues across all DCA programs. Additionally, it maintains departmental data on board and committee members' required training compliance and appointments and disseminates information related to board and committee meetings. BBR also serves as a liaison between the boards, the Governor's Office, legislative appointing authorities, and the Business, Consumer Services and Housing Agency, and collaborates with boards and bureaus on external stakeholder engagement.

DCA-wide Legislation

AB 107 (SALAS, CHAPTER 693, STATUTES OF 2021) LICENSURE: VETERANS AND MILITARY SPOUSES

This bill, after July 1, 2023, requires most boards and bureaus within DCA to issue temporary licenses to military spouses meeting specified criteria within 30 days, including passing a background check if one is required for licensure. This bill also requires DCA and boards and bureaus to post license information for military spouses on their websites and requires DCA to submit an annual report on licensure of military members, veterans, and spouses.

AB 830 (FLORA, CHAPTER 376, STATUTES OF 2021) BUSINESS: DEPARTMENT OF CONSUMER AFFAIRS: LICENSED PROFESSIONS AND VOCATIONS

This is the technical committee bill for the Assembly Business and Professions Committee. Among other things, this bill: (1) requires DCA's director to notify the Legislature within 60 days after the position of chief or executive officer of any board or bureau within DCA becomes vacant; (2) makes changes to specified definitions in the Alarm Company Act; (3) prohibits firearms instructors from self-certifying; (4) allows the Bureau of Security and Investigative Services to suspend licenses of companies not in good standing with the Secretary of State and Franchise Tax Board; and (5) establishes a reinstatement fee for suspended licenses. The bill also makes clarifying changes to the Architects Practice Act, Contractors State License Law, and the Cemetery and Funeral Act.

AB 1273 (RODRIGUEZ, CHAPTER 477, STATUTES OF 2021) INTERAGENCY ADVISORY COMMITTEE ON APPRENTICESHIP: THE DIRECTOR OF CONSUMER AFFAIRS AND THE STATE PUBLIC HEALTH OFFICER: EARN AND LEARN TRAINING

This bill, among other provisions, adds DCA and the Department of Public Health to an Interagency Advisory Committee on Apprenticeship to increase communication among California's professional licensing programs about potential "earn and learn" opportunities. This bill further prohibits DCA and its boards and bureaus from prohibiting applicants for licensure from earning credits or experience through an "earn and learn" program. This bill clarifies that it does not mandate that an accrediting entity must provide earn and learn programs. This bill makes these provisions operative on January 1, 2024.

SB 607 (MIN, CHAPTER 367, STATUTES OF 2021) BUSINESS AND PROFESSIONS

This bill is a committee bill for the Senate Business, Professions and Economic Development Committee. This is also the vehicle for one-year sunset extensions for specified boards and bureaus. Among other things, this bill: requires that boards waive the licensure application fee and the initial or original license fee for specified applicants; amends provisions related to foreign dental schools; authorizes the Dental Board of California to charge specified fees; amends the firearms permit assessment requirements; deletes the practical examination requirement for registered dental assistants in extended functions license applicants; authorizes fee increases for applicants and licensees under the Contractors State License Board; increases, beginning January 1, 2023, the amount required for a contractor's bond for licensure; and strikes the "ability to benefit" test from the Bureau for Private Postsecondary Education statutes.

Healing Arts Legislation

AB 468 (FRIEDMAN, CHAPTER 168, STATUTES OF 2021) EMOTIONAL SUPPORT ANIMALS

This bill prohibits a health care practitioner from providing documentation relating to an individual's need for an emotional support dog that is not a service dog unless the health care practitioner complies with specified requirements. This bill also requires a written notice by a seller of emotional support animals, and associated certificates or equipment, that they do not have the same rights as service dogs. Individuals who violate the provisions of this bill may be charged with a misdemeanor.

AB 1477 (CERVANTES, CHAPTER 535, STATUTES OF 2021) MATERNAL MENTAL HEALTH

This bill specifies that a licensed health care practitioner who provides interpregnancy care for a patient must ensure that the mother is offered screening for maternal mental health conditions.

SB 306 (PAN, CHAPTER 486, STATUTES OF 2021) SEXUALLY TRANSMITTED DISEASES: TESTING

This bill allows a physician, nurse practitioner, certified nurse-midwife, or physician assistant to prescribe medication, labeled "expedited partner therapy," to a patient's unnamed sexual partner or partners without examining those individuals. This bill also authorizes a pharmacist to dispense a drug without the name of an individual for whom the drug is intended if the prescription includes the words "expedited partner therapy" or the letters "EPT." Additionally, health care providers engaged in prenatal care will be required to provide syphilis screening and testing as recommended by Department of Public Health guidelines.

SB 380 (EGGMAN, CHAPTER 542, STATUTES OF 2021) END OF LIFE

This bill makes several changes to the End of Life Option Act, including extending the sunset provision date, reducing the waiting period between the two required verbal requests for patients seeking aid in dying, and eliminating the requirement that an individual who is prescribed and ingests aid-in-dying medication make a final attestation. The bill also clarifies the minimum actions that must be taken by physicians who morally object to aid in dying.

Overview of Reported Information

DCA's regulatory boards and bureaus protect the public by licensing, registering, certifying, or approving individuals or businesses in various professions. These entities also investigate complaints and discipline license holders who violate practice requirements.

In this *Annual Report*, each DCA entity notes its accomplishments and new laws or regulations extending through the 2021 calendar year. Statistical information presented in licensing and enforcement activity is data from fiscal year 2020–21. Entities vary in the data they report because they are governed by different laws, and therefore, not all categories of data apply to all entities. If a category does not apply, the table will indicate "N/A" or "0."

This is an overview of the information included.

STAFF

The number of civil service positions and the number of exempt positions approved in the state budget (including vacancies). Exempt employees are executive-level personnel (usually an executive officer or a bureau chief) appointed by the board, the DCA director, or the governor.

LICENSES

The total number of licenses, permits, certificates, and approvals. Totals for each type of license or permit can be found in the Licensing Population by Type.

BOARD MEMBERSHIP

Boards are semiautonomous entities. Members of a board are usually split between people representing the profession and people representing the public. Board members are appointed by the governor, the Senate Rules Committee, or the speaker of the Assembly. The number of board members, who they represent, and who appoints them is set in state law. Membership on a DCA board is not a salaried position. Those who serve receive a small stipend and travel expenses to attend meetings.

BOARD STAFF

Board leadership and/or management title, name, and email address.

LAWS AND REGULATIONS

The laws and regulations governing the board, bureau, program, or commission.

SUNSET REVIEW

The evaluation dates to determine the need, effectiveness, and performance of the board, bureau, program, or commission.

BUREAU ADVISORY COMMITTEE MEMBERSHIP

Bureaus, committees, programs, and commissions are under the direct control of DCA, but may have an advisory committee made up of professionals and public members.

RECIPROCITY

Some of DCA's boards and bureaus allow license reciprocity, which refers to a mutual agreement between states whereby a licensee holding a license in his or her home state can successfully apply for a license in another state without having to take that state's exam, pre-licensing course, or meet other standards or requirements.

ACCOMPLISHMENTS

A narrative provided by DCA's boards and bureaus, which highlights accomplishments during the fiscal year.

NEW LEGISLATION

Newly enacted legislation that affects the board, consumers, licensees, and/or applicants.

LICENSE REQUIREMENTS

Requirements for licensure in the state of California.

FEES

List of most associated fees.

SUMMARY OF LICENSING ACTIVITY

INITIAL LICENSES/CERTIFICATES/PERMITS

The number of initial applications, the number of licenses issued, and the number of licenses renewed.

LICENSING POPULATION BYTYPE

Total number of certificates/permits, licenses/registrations, or approvals by type.

OVERVIEW OF STATISTICAL INFORMATION (CONTINUED)

RENEWAL AND CONTINUING EDUCATION

How often a license must be renewed and how many hours of continuing education, if any, are required for renewal.

EXAMINATION RESULTS

The number of candidates who passed or failed an exam for licensure.

SUMMARY OF ENFORCEMENT ACTIVITY**CONSUMER COMPLAINTS—INTAKE**

These include complaints from the public, government, law enforcement, licensed professional groups, internal staff, others, or anonymous sources. DCA defines a complaint as, "an allegation or inquiry from any source indicating a probable violation of any law, rule, or order of any regulatory agency, including violations of the Business and Professions Code relating to businesses and professions licensed by any entity of the Department of Consumer Affairs."

CONVICTION/ARREST NOTIFICATION COMPLAINTS

Convictions, applicant-reported convictions, or subsequent arrests are listed. "Pending" includes all conviction/arrest notification complaints pending at the end of the fiscal year regardless of the date the complaint was received.

INSPECTIONS (IF APPLICABLE)

The total number of inspections conducted during the fiscal year. The total includes initial, routine, complaint-driven, and follow-up inspections, and the number of citations issued because of an inspection.

INVESTIGATIONS

An investigation is defined as the collection and verification of facts to determine jurisdiction and potential violations of law. Investigations begin as soon as they are assigned. The number reported includes desk investigations and field investigations. Some cases may have been opened in a prior year.

NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

The timeline begins from the date the complaint was received to the date the investigation was closed.

CITATIONS AND FINES

These numbers show citations issued with or without an administrative fine, and citations withdrawn or dismissed. The average refers to the average number of days from the date the complaint was received to the date a citation was issued.

TOTAL AMOUNT OF FINES

Amount assessed, reduced, and collected.

CRIMINAL/CIVIL ACTIONS

These are referrals to or filings by a district attorney or city attorney for criminal or civil action. These may include misdemeanor citations. A referral may be made both to the district attorney and the Office of the Attorney General and will be reflected in both categories.

OFFICE OF THE ATTORNEY GENERAL/DISCIPLINARY ACTIONS

Complaints and investigations in which the evidence and facts have substantiated a violation of the law are referred to the attorney general for disciplinary action. Outcomes of disciplinary action may affect the status of a professional's license.

NUMBER OF DAYS TO COMPLETE ATTORNEY GENERAL CASES

This table refers to the number of closed cases in the description above. The timeline covers from the date the complaint was received to the date the order became effective.

FORMAL ACTIONS FILED/WITHDRAWN/DISMISSED

All actions filed, withdrawn, or dismissed during the fiscal year.

ADMINISTRATIVE OUTCOMES/FINAL ORDERS

Disciplinary action taken in the cases closed by the attorney general.

PETITION FOR MODIFICATION OR TERMINATION OF PROBATION

Outcome of petitions by licensees to reduce terms and conditions of probation or to terminate probation early.

PETITION FOR REINSTATEMENT OF REVOKED LICENSE/REGISTRATION/CERTIFICATION

The outcome of petitions by individuals requesting reinstatement.

COST RECOVERY

Total dollar amount of administrative costs ordered repaid to boards and bureaus. Costs ordered may never be received.

RESTITUTION TO CONSUMERS/REFUNDS/SAVINGS (IF APPLICABLE)

The total of court-ordered restitution to consumers as a result of mediation efforts. In some instances, services were performed without charge to the consumer, a consumer's bill was reduced, or a product was returned or exchanged.



Licenses and regulates certified public accountants and public accountancy firms.

www.dca.ca.gov/cba

STAFF:

98.9 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

67,610

BOARD MEMBERSHIP:

8 public representatives
7 licensees

BOARD STAFF:

Executive Officer: Patti Bowers
patti.bowers@cba.ca.gov

Assistant Executive Officer: Deanne Pearce
deanne.pearce@cba.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 5000–5158

California Code of Regulations, Division 1,
title 16, §§ 1–99.2

SUNSET REVIEW:

Last review: 2019 Next review: 2023

Board Highlights

RECIPROCITY

Pursuant to Business and Professions Code sections 5096–5096.22, qualified out-of-state certified public accountants (CPAs) may participate in the Board’s mobility program and practice public accountancy in California without providing notice or paying a fee. All CPAs practicing in California under the mobility program are subject to the disciplinary authority of the Board and, if applicable, must self-report certain disqualifying events and cease practicing in the state until authorized by the Board.

ACCOMPLISHMENTS

Business Modernization

The Board continues to make progress on modernizing its licensing processes. In April, the Board launched its new online application for CPA licensure. CPA licensing applicants can now submit a complete application, upload, and attach supporting documents (experience forms or proof of military service), and pay the application and initial license fees online with a credit card.

To complement the online application submission process, the Board developed and launched an online dashboard for CPA licensing applicants. After receipt of a licensing application, either online or via mail, applicants can log into their dashboard to monitor the status of the application. The Board also implemented a process to allow applicants to submit their college transcripts electronically if their school provides this option.

The next phase of the business modernization efforts will focus on further automating the license renewal process and enhancing the current option for submitting an online complaint.

Pathways to Licensure

The Board enhanced information to assist military, refugee, asylee, and special immigrant visa holders. The Board has historically had information on its website to assist members of the military and their families. Over the past year, this information was updated, expanded, and reorganized. In addition, a new tab was established on the Board’s website, accessible from the home page, to provide a direct link to the new services available. The Board also designated a staff member specifically to assist and provide expedited services to military and refugee applicants.

Enforcement Program

The Enforcement Division received 2,729 complaints and closed 2,692 investigations. Of the total 2,692 investigations closed in fiscal year 2020–21, 2,076, or 77%, were closed within six months from the initial complaint investigation date. Further, 2,546 investigations, or 95%, were closed within one year.

In November 2020, an accounting firm received a stipulated settlement and disciplinary order for violations of the Accountancy Act. As part of the settlement, the Board required the firm to develop four hours of continuing education on the subject of ethics. Once approved by the Board, all of the firm’s California-licensed personnel must complete the continuing education in ethics in addition to the standard required continuing education. In addition, the new course will be made available to all California CPAs at no cost. The inclusion of this continuing education component advances the Board’s mission of consumer protection by reinforcing the importance of ethics in the accounting profession.

Outreach and Communication Activities

The Board worked with five universities to perform six virtual outreach events during 2020–21 designed to inspire and educate students on how and why they should pursue CPA licensure after graduation. These outreach events reached more than 400 students. Board President Nancy J. Corrigan, CPA, and Board staff discussed the requirements for the Uniform Examination (CPA Exam) and CPA licensure, as well as the changes to the CPA Exam coming in 2024 with CPA Evolution, an initiative led by the American Institute of CPAs and the National Association of State Boards of Accountancy to revamp the CPA licensure model in response to the profession’s rapidly changing skills and competency needs.

Board staff worked with DCA to develop the first of what will be a series of videos to assist stakeholders. The first video promotes the new online application features on the Board website. Future videos will focus on the examination and licensure process and support the Board and advisory committee recruitment efforts.

To increase transparency to applicants for the CPA Exam and CPA licensure, the Board posted weekly application processing time updates to its website and social media pages. Additional website updates included enhancing the license lookup for disciplinary matters, unlicensed activity reporting abilities, and easy email registration opportunities.

Social Media Growth

The Board’s Facebook, Twitter, and LinkedIn social media pages added nearly 1,600 followers during 2020–21, an increase of 20% over the prior year. Social media posts

in the past year have ranged from weekly updates of application processing dates and announcements of the new online application to messages coordinated with the Governor’s Office. Public messages included raising awareness of the COVID-19 vaccines and encouraging Californians to conserve energy during Flex Alerts.

NEW LEGISLATION

AB 298 (Irwin, Chapter 300, Statutes of 2021) allows applicants to take the Uniform Certified Public Accountant Exam in a specified timeline prior to completing their educational requirements and would allow broader coursework options for applicants to meet ethics study requirements, for the purposes of licensure by the Board. This bill also clarifies the process for who is the presiding officer at Board meetings in the absence of the president and vice president and clarifies privacy protections for the email addresses of applicants, licensees seeking renewal, and permit holders.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
NATIONAL ASSOCIATION OF STATE BOARDS OF ACCOUNTANCY (NASBA) UNIFORM CPA EXAMINATION (CPA EXAM) FEE	\$904.60	SET BY NASBA
CPA EXAM APPLICATION FEE	\$100	\$600
APPLICATION FEE FOR CPA LICENSURE	\$250	\$250
CPA LICENSE ISSUANCE FEE–2-YEAR	\$250	\$280
TOTAL INITIAL LICENSE FEES	\$1,504.60	N/A
REPEAT CPA EXAM FEE	\$50	\$75
CPA BIENNIAL RENEWAL FEE	\$250	\$280
CPA APPLICATION FEE–RETIRED STATUS	\$75	\$250
CPA RESTORATION FEE–RETIRED STATUS	\$50	\$1,000
APPLICATION FEE FOR ACCOUNTANCY FIRM (PARTNERSHIP OR CORPORATION)	\$150	\$250
LICENSE ISSUANCE FEE FOR ACCOUNTANCY FIRM (PARTNERSHIP OR CORPORATION)	\$250	\$280
ACCOUNTANCY FIRM: BIENNIAL RENEWAL	\$250	\$280

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
CORPORATION	209	243	1,951
FICTITIOUS NAME PERMIT	91	107	376
CERTIFIED PUBLIC ACCOUNTANT	3,577	4,046	43,945
PARTNERSHIP	52	60	579
OUT-OF-STATE FIRM REGISTRATION	84	67	133
PUBLIC ACCOUNTANT	0	0	2
TOTAL	4,013	4,523	46,986

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CORPORATION	0	4,357	0
FICTITIOUS NAME PERMIT	0	2,577	0
CERTIFIED PUBLIC ACCOUNTANT	0	58,525	0
PARTNERSHIP	0	1,357	0
OUT-OF-STATE FIRM REGISTRATION	0	790	0
PUBLIC ACCOUNTANT	0	4	0
TOTAL	0	67,610	0

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
CERTIFIED PUBLIC ACCOUNTANT	EVERY 2 YEARS	80
PUBLIC ACCOUNTANT	EVERY 2 YEARS	80
CORPORATION	EVERY 2 YEARS	0
PARTNERSHIP	EVERY 2 YEARS	0
FICTITIOUS NAME PERMIT	EVERY 5 YEARS	0
OUT-OF-STATE FIRM REGISTRATION	EVERY 2 YEARS	0

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
UNIFORM CERTIFIED PUBLIC ACCOUNTANT EXAMINATION*	2,786	0	2,786

*The Uniform CPA Examination (CPA Exam) consists of four sections—auditing and attestation, business environment and concepts, financial accounting and reporting, and regulation. A candidate must successfully complete all four sections within 18 months to pass the CPA Exam. The number provided represents the total number of candidates who successfully completed all sections and passed the CPA Exam. Because the CPA Exam consists of four sections, the number of individuals who failed the CPA Exam is not available. However, the Board can provide the number of failed sections that comprise the CPA Exam, which is 11,831.

Summary of Enforcement Activity

Consumer Complaints—Intake	
2,472	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
2,472	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
257	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
257	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
2,729	OPENED
2,692	CLOSED
779	PENDING

Number of Days to Complete Intake and Investigations	
1,497	UP TO 90 DAYS
579	91 TO 180 DAYS
470	181 DAYS TO 1 YEAR
122	1 TO 2 YEARS
19	2 TO 3 YEARS
5	OVER 3 YEARS
119	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
376	ISSUED
376	ISSUED WITH A FINE
59	WITHDRAWN
0	DISMISSED
192	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$117,800	ASSESSED
\$6,000	REDUCED
\$52,100	COLLECTED

Criminal/Civil Actions	
2	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
37	CASES OPENED/INITIATED
41	CASES CLOSED
36	CASES PENDING

Number of Days to Complete Attorney General Cases	
4	UP TO 1 YEAR
14	1 TO 2 YEARS
12	2 TO 3 YEARS
11	OVER 3 YEARS
915	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
44	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
39	REVOCAION
6	SURRENDER OF LICENSE
3	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
1	OTHER DECISIONS
50	TOTAL

Petition for Modification or Termination of Probation	
2	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
1	GRANTED
1	DENIED

Cost Recovery	
\$188,838.28	ORDERED
\$219,496.01	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$1,646,000	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$1,646,000	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
1	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
113	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
411	AVERAGE NUMBER OF DAYS



Licenses and regulates acupuncturists and acupuncture schools.

www.acupuncture.ca.gov

STAFF:

13 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

12,942

BOARD MEMBERSHIP:

4 public representatives
3 licensees

BOARD STAFF:

Executive Officer: Ben Bodea
ben.bodea@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 4925–4975

California Code of Regulations, Division 13.7,
title 16, §§ 1399.400–1399.489.2

SUNSET REVIEW:

Last review: 2018 Next review: 2023

Board Highlights

RECIPROCITY

The Board does not have reciprocity.

ACCOMPLISHMENTS

Business Modernization

On September 16, 2020, the Acupuncture Board, in collaboration with the Department of Consumer Affairs, launched the first phase of its new Business Modernization system—AcuConnect. The Board rolled out subsequent phases over the rest of the fiscal year. The new system allowed for increased functionality for consumers, applicants, and licensees, such as online applications and license renewals. As of July 2021, the AcuConnect system has processed 2,551 acupuncture license renewals and successfully implemented a new license application process, including the issuance of 1,254 address specific wall licenses. During this period the AcuConnect system collected \$1,974,628 of revenue.

Strategic Plan

The Board continues work on its 2018–2022 Strategic Plan goals. In the last year, the Board completed or made progress in two key areas. Under the authority of Governor Gavin Newsom’s Executive Order N-39-20 during the COVID-19 pandemic, the Board applied for a clinical education waiver permitting school supervisors to be present electronically rather than in person. In addition, the Board approved regulatory language for telehealth guidance beyond the COVID-19 pandemic.

Wall License

With the enactment of Assembly Bill 779 (Low, Chapter 308, Statutes of 2019), the Board implemented a new license type—the wall license—that issues a unique license number to each place of practice when registered by a licensee. This statutory authority provides additional tracking for enforcement purposes and supports the Board’s strategic plan goal to investigate the feasibility of obtaining site inspection authority.

Response to COVID-19

Under the authority of Governor Gavin Newsom’s Executive Order N-39-20 during the COVID-19 pandemic, the Board applied for a clinical education waiver permitting school supervisors to be present electronically rather than in person. In addition, the Board approved the creation of a regulatory package to provide telehealth guidance beyond the COVID-19 pandemic.

Outreach

Outreach and communication improved with the use of Listserv emails, mail, and website announcements. A separate licensee Listserv list was established during the process.

The Board, in partnership with the Office of Professional Examination Services, conducted an occupational analysis of licensees for the California Acupuncture Licensing Examination with a participation rate of 23.6% of active licensees practicing in California.

NEW LEGISLATION

There was no enacted legislation solely related to the Board in 2021.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
APPLICATION FEE	\$250	\$350
APPLICATION FEE FOR FOREIGN APPLICANTS	\$350	\$500
EXAMINATION FEE	\$800	\$800
INITIAL LICENSE FEE	\$271-\$500	\$500
BIENNIAL RENEWAL FEE	\$500	\$775

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
LICENSED ACUPUNCTURIST	296	296	5,944
WALL LICENSE	752	752	0
TOTAL	1,048	1,048	5,944

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
LICENSED ACUPUNCTURIST	N/A	12,190	N/A
WALL LICENSE	N/A	752	N/A
TOTAL	N/A	12,942	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
LICENSED ACUPUNCTURIST	EVERY 2 YEARS	50

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
CALIFORNIA ACUPUNCTURE LICENSING EXAM	304	106	410

Summary of Enforcement Activity

Consumer Complaints—Intake	
109	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
110	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
29	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
29	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
139	OPENED
174	CLOSED
204	PENDING

Number of Days to Complete Intake and Investigations	
69	UP TO 90 DAYS
13	91 TO 180 DAYS
23	181 DAYS TO 1 YEAR
41	1 TO 2 YEARS
19	2 TO 3 YEARS
9	OVER 3 YEARS
347	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
22	ISSUED
22	ISSUED WITH A FINE
0	WITHDRAWN
1	DISMISSED
541	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$33,780	ASSESSED
\$350	REDUCED
\$17,330	COLLECTED

Criminal/Civil Actions	
5	REFERRALS FOR CRIMINAL/CIVIL ACTION
1	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
13	CASES OPENED/INITIATED
14	CASES CLOSED
16	CASES PENDING

Number of Days to Complete Attorney General Cases	
1	UP TO 1 YEAR
7	1 TO 2 YEARS
1	2 TO 3 YEARS
5	OVER 3 YEARS
866	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
7	ACCUSATIONS FILED
4	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
3	REVOCAION
5	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
5	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
14	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$273,866.40	ORDERED
\$40,424.37	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$516,958.24	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$516,958.24	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
5	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
344	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
454	AVERAGE NUMBER OF DAYS



Certifies and monitors third-party arbitration programs of participating automobile manufacturers to ensure they comply with California law on new vehicle warranties and state-certified arbitration programs.

www.dca.ca.gov/acp

www.lemonlaw.ca.gov

STAFF:

8 civil servant positions
0 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

N/A

STAFF:

Chief: Christy Bell
christy.bell@dca.ca.gov

Deputy Chief: Jose Escobar
jose.escobar@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 472–472.5

Commercial Code §§ 2101–2801

Health and Safety Code §§ 43204–43205.5

Civil Code §§ 1790–1795.93

Vehicle Code §§ 11700–11909

California Code of Regulations, Division 33.1,
title 16, §§ 3396.1–3399.6

SUNSET REVIEW:

The Arbitration Certification Program is not subject to sunset review because it is neither a board nor bureau.

Program Highlights

RECIPROCITY

The Program does not have reciprocity.

ACCOMPLISHMENTS

Outreach Activity

The Program continues its public outreach by distributing two brochures—“California’s Certified Arbitration Programs” and “California’s Lemon Law Q&A”—to vehicle owners. In 2020, the Program distributed 2,317 brochures to help guide consumers through the state-certified Lemon Law arbitration process.

The Program held site visits, via teleconference, with all 20 state-certified manufacturers and each of the three arbitration programs to conduct its mandated site inspections. These inspections consist of a comprehensive review of operations to ensure they remain in substantial compliance with California statutes and regulations. In addition, they provided the Program with opportunities to review records and operations, including records of individual disputes, Program staff interviews, and current trends and issues.

Savings to Consumers

The Program facilitated the return in excess of \$14.3 million to consumers through refunds, replacement vehicles, extended service contracts, and repairs awarded by state-certified arbitration programs.

NEW LEGISLATION

There was no enacted legislation solely related to the Program in 2021.

License Requirements

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	N/A
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	N/A
EXAMINATION	N/A
CONTINUING EDUCATION/COMPETENCY	N/A
FINGERPRINT REQUIREMENT	N/A

Fees

License Type	Actual Fee	Statutory Limit
N/A	N/A	N/A

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
N/A	N/A	N/A	N/A

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
N/A	N/A	N/A	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
N/A	N/A	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
N/A	N/A	N/A	N/A

Summary of Enforcement Activity

Consumer Complaints—Intake	
132	RECEIVED
106	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
26	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
N/A	RECEIVED
N/A	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
N/A	REFERRED FOR INVESTIGATION
N/A	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
N/A	OPENED
N/A	CLOSED
N/A	PENDING

Number of Days to Complete Intake and Investigations	
N/A	UP TO 90 DAYS
N/A	91 TO 180 DAYS
N/A	181 DAYS TO 1 YEAR
N/A	1 TO 2 YEARS
N/A	2 TO 3 YEARS
N/A	OVER 3 YEARS
N/A	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
N/A	ISSUED
N/A	ISSUED WITH A FINE
N/A	WITHDRAWN
N/A	DISMISSED
N/A	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

ARBITRATION CERTIFICATION PROGRAM

Total Amount of Fines	
N/A	ASSESSED
N/A	REDUCED
N/A	COLLECTED

Criminal/Civil Actions	
N/A	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
N/A	CASES OPENED/INITIATED
N/A	CASES CLOSED
N/A	CASES PENDING

Number of Days to Complete Attorney General Cases	
N/A	UP TO 1 YEAR
N/A	1 TO 2 YEARS
N/A	2 TO 3 YEARS
N/A	OVER 3 YEARS
N/A	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
N/A	STATEMENTS OF ISSUES FILED
N/A	ACCUSATIONS FILED
N/A	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
N/A	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
N/A	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
N/A	LICENSE APPLICATIONS DENIED
N/A	REVOCAION
N/A	SURRENDER OF LICENSE
N/A	PROBATION WITH SUSPENSION
N/A	SUSPENSION ONLY
N/A	PROBATION ONLY
N/A	PUBLIC REPRIMAND
N/A	OTHER DECISIONS
N/A	TOTAL

Petition for Modification or Termination of Probation	
N/A	GRANTED
N/A	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
N/A	GRANTED
N/A	DENIED

Cost Recovery	
N/A	ORDERED
N/A	COLLECTED

Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
N/A	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
N/A	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
N/A	AVERAGE NUMBER OF DAYS



Licenses and regulates architects and protects consumers of architectural services and the people who inhabit or use the structures that architects design.

www.cab.ca.gov

STAFF:

23.8 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

22,013

BOARD MEMBERSHIP:

5 public representatives
5 licensees

BOARD STAFF:

Executive Officer: Laura Zuniga
laura.zuniga@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 5500–5610.7

California Code of Regulations, Division 2,
title 16, §§ 100–160

SUNSET REVIEW:

Last review: 2019 Next review: 2023

Board Highlights

RECIPROCITY

Pursuant to title 16, California Code of Regulations, Division 2, section 121, reciprocity applicants must hold a current and valid license in a qualifying jurisdiction, provide verification of eight years of combined education and work experience, meet the licensing requirements equivalent to those in California, and successfully complete the California Supplemental Examination.

ACCOMPLISHMENTS

Publications

In December 2020, the Office of Professional Examination Services completed the “Occupational Analysis of the Architect Profession” (OA). The OA defines practice for architects in terms of the actual tasks that newly licensed architects must be able to perform safely and competently at the time of licensure and supports the development of the California Supplemental Examination.

In February, the Board updated the “Building Official Information Guide” and distributed the guide to building departments.

In March, the Board published the “2020 Practice Brief” to provide the public with a snapshot of the Board’s yearly activities with a focus on the most common violations and architect examination results. This information, especially enforcement data—complaints, violations, and fines—provides awareness to help both consumers and architects.

Consumer Protection

In January, the Board implemented a new fingerprinting requirement for all applicants for licensure to protect consumers.

Outreach

Board staff attended several National Council of Architectural Registration Boards (NCARB) virtual outreach events with California universities to provide information on both NCARB and California-specific requirements for licensure.

Organizational Effectiveness and Customer Service

The Board reduced wait times for candidates and licensees who submit incomplete applications by contacting them directly and developing a standardized letter to assist them in completing the applications correctly.

Business Modernization

The Board collaborated with DCA's SOLID and Office of Information Services to complete the functional requirements document for the Business Modernization Plan and previewed vendor demonstrations. Efforts continue toward identifying new licensing and enforcement technology that will provide enhanced functionality.

NEW LEGISLATION

AB 1010 (Berman, Chapter 176, Statutes of 2021) requires architects to complete five hours of continuing education on zero net carbon design in order to renew their license, effective after January 1, 2023. The Board is required to adopt regulations to establish qualifications for zero net carbon design courses by July 1, 2024.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	N
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
APPLICATION FOR ELIGIBILITY EVALUATION	\$100	\$100
CALIFORNIA SUPPLEMENTAL EXAMINATION APPLICATION	\$100	\$100
INITIAL LICENSURE (PRORATED)	\$150–300	\$400
RENEWAL (BIENNIAL)	\$300	\$400
RECIPROCITY APPLICATION	\$35	\$100
DELINQUENCY FEE	\$100	\$200

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ARCHITECT	611	592	7,970*
TOTAL	611	592	7,970*

*Total updated June 2022 (online only) to correct error.

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ARCHITECT	0	22,013	0
TOTAL	0	22,013	0

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
DISABILITY ACCESS REQUIREMENTS	BIENNIAL	5

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
ARE 5.0			
CONSTRUCTION AND EVALUATION	561	439	1,000
PRACTICE MANAGEMENT	745	786	1,531
PROGRAMMING AND ANALYSIS	527	638	1,165
PROJECT DEVELOPMENT AND DOCUMENTATION	522	522	1,044
PROJECT MANAGEMENT	708	491	1,199
PROJECT PLANNING AND DESIGN	571	756	1,327
CALIFORNIA SUPPLEMENTAL EXAMINATION			
CSE	628	217	845

Summary of Enforcement Activity

Consumer Complaints—Intake	
228	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
228	REFERRED FOR INVESTIGATION
150	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
228	OPENED
211	CLOSED
150	PENDING

Number of Days to Complete Intake and Investigations	
99	UP TO 90 DAYS
31	91 TO 180 DAYS
50	181 DAYS TO 1 YEAR
28	1 TO 2 YEARS
0	2 TO 3 YEARS
3	OVER 3 YEARS
175	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
22	ISSUED
22	ISSUED WITH A FINE
0	WITHDRAWN
2	DISMISSED
328	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$64,250	ASSESSED
\$0	REDUCED
\$3,650	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
5	CASES OPENED/INITIATED
7	CASES CLOSED
6	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
1	1 TO 2 YEARS
5	2 TO 3 YEARS
1	OVER 3 YEARS
730	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
3	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
4	REVOCAION
0	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
2	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
7	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$54,374.50	ORDERED
\$1,353.13	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
1	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
156	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
328	AVERAGE NUMBER OF DAYS



Licenses and regulates boxing, kickboxing, and mixed martial arts by licensing all participants and supervising the events. Also administers the Professional Boxer’s Pension Fund.

www.dca.ca.gov/csac

STAFF:

7.5 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

1,033

COMMISSION MEMBERSHIP:

6 public representatives
1 physician representative

COMMISSION STAFF:

Executive Officer: Andy Foster
andy.foster@dca.ca.gov

Assistant Executive Officer: Sophia Cornejo
sophia.cornejo@dca.ca.gov

LAWS AND REGULATIONS:

Business and Profession Code §§18600–18887

California Code of Regulations, Division 2, title 4, §§ 201–829

SUNSET REVIEW:

Last review: 2019 Next review: 2023

Commission Highlights

RECIPROCITY

Applicants licensed in another state must meet or exceed the Commission’s licensing requirements because no national governing body exists. However, medical examinations from other states by a licensed physician may be accepted if they are completed to the Commission’s standards and requirements.

ACCOMPLISHMENTS

Response to COVID-19

During the COVID-19 pandemic, the Commission began holding officials training via live video conferencing. The Commission recognized a record-high number in attendance by officials from all over the world.

The Commission promulgated emergency regulations defining how to hold combative sporting events during a state of emergency caused by an infectious disease. The emergency regulations helped the Commission maintain essential health and safety practices for the Commission’s employees and licensees.

In November 2020, the Commission regulated the “no public audience” Mike Tyson versus Roy Jones Jr. event, which reported a record number of pay-per-view purchases for a combat sports event broadcast from California.

NEW LEGISLATION

There was no enacted legislation solely related to the Commission in 2021.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	N
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	N
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
PROMOTER		
APPLICATION FEE	\$1,000	\$1,000
RENEWAL FEE (ANNUAL)	\$1,000	\$1,000
REFEREE/JUDGE		
APPLICATION FEE	\$150	\$150
RENEWAL FEE (ANNUAL)	\$150	\$150
TIMEKEEPER		
APPLICATION FEE	\$50	\$50
RENEWAL FEE (ANNUAL)	\$50	\$50
PROFESSIONAL ATHLETE		
APPLICATION FEE	\$60	\$60
RENEWAL FEE (ANNUAL)	\$60	\$60
MATCHMAKER		
APPLICATION FEE	\$200	\$200
RENEWAL FEE (ANNUAL)	\$200	\$200
SECOND		
APPLICATION FEE	\$50	\$50
MANAGER		
APPLICATION FEE	\$150	\$150
RENEWAL FEE (ANNUAL)	\$150	\$150
PROFESSIONAL TRAINER		
APPLICATION FEE	\$200	\$200
RENEWAL FEE (ANNUAL)	\$200	\$200
FEDERAL ID CARDS		
APPLICATION FEE	\$20	\$20
RENEWAL FEE (EVERY 4 YEARS)	\$20	\$20
NATIONAL MMA ID CARDS		
APPLICATION FEE	\$20	\$20
RENEWAL FEE (EVERY 5 YEARS)	\$20	\$20
GATE FEE	5% OF GATE REVENUE	\$100,000
TELEVISION/BROADCAST FEE	5% OF TV/ BROADCAST CONTRACT	\$35,000

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
MANAGER	120	25	95
MATCHMAKER	9	2	7
PROFESSIONAL ATHLETE	295	295	N/A
PROFESSIONAL TRAINER	14	7	7
PROMOTER	23	6	17

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
REFEREE/JUDGE	75	0	75
SECOND	488	488	N/A
TIMEKEEPER	9	0	9
TOTAL	1,033	823	210

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
MANAGER	N/A	120	N/A
MATCHMAKER	N/A	9	N/A
PROFESSIONAL ATHLETE	N/A	295	N/A
PROFESSIONAL TRAINER	N/A	14	N/A
PROMOTER	N/A	23	N/A
REFEREE/JUDGE	N/A	75	N/A
SECOND	N/A	488	N/A
TIMEKEEPER	N/A	9	N/A
TOTAL	N/A	1,033	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
PROMOTER	ANNUAL	N/A
REFEREE/JUDGE	ANNUAL	N/A
TIMEKEEPER	ANNUAL	N/A
PROFESSIONAL ATHLETE	ANNUAL	N/A
MATCHMAKER	ANNUAL	N/A
SECOND	ANNUAL	N/A
MANAGER	ANNUAL	N/A
PROFESSIONAL TRAINER	ANNUAL	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
N/A			

Summary of Enforcement Activity

Consumer Complaints—Intake	
0	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

CALIFORNIA STATE ATHLETIC COMMISSION

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
0	OPENED
0	CLOSED
0	PENDING

Number of Days to Complete Intake and Investigations	
N/A	UP TO 90 DAYS
N/A	91 TO 180 DAYS
N/A	181 DAYS TO 1 YEAR
N/A	1 TO 2 YEARS
N/A	2 TO 3 YEARS
N/A	OVER 3 YEARS
N/A	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
4	ISSUED
4	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
10	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$31,200	ASSESSED
\$0	REDUCED
\$27,500	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
0	CASES CLOSED
0	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
4	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
4	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$0	ORDERED
\$0	COLLECTED

Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
N/A	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
0	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

CSAC's executive officer is authorized to temporarily suspend a license until final determination without referring the matter to the Attorney General's office.

DEPARTMENT OF CONSUMER AFFAIRS



Bureau of Automotive Repair

Licenses and regulates the business operations of automotive repair dealers, Smog Check stations and technicians, and brake and lamp stations and adjusters. Administers the Smog Check and Consumer Assistance programs to reduce air pollution produced by motor vehicles.

www.bar.ca.gov

STAFF:

608.4 civil servant positions
2 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

70,104

ADVISORY GROUP MEMBERSHIP:

14 BAR Advisory Group Members
9 Educational Advisory Group Members

BUREAU STAFF:

Chief: Patrick Dorais
patrick.dorais@dca.ca.gov

Deputy Chief: Linda Janssen
linda.janssen@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 9880–9889.68

Health and Safety Code §§ 44000–44127

California Code of Regulations, Division 33,
title 16, §§ 3300–3395.5

SUNSET REVIEW:

Last review: 2018 Next review: 2023

Bureau Highlights

RECIPROCITY

The Bureau does not have reciprocity.

ACCOMPLISHMENTS

Consumer Protection

The Bureau referred 309 cases for prosecution by the Attorney General's Office in response to licensee violations of the Automotive Repair Act and/or Smog Check Program. The Bureau also mediated over 14,000 complaints, resulting in nearly \$5 million in restitution to consumers.

Reducing Vehicle Emissions

The Bureau continued to develop innovative strategies to promote quality Smog Check services and achieve the state's clean air goals. The Bureau conducted 4,587 equipment inspections at Smog Check stations to ensure consistent and accurate emissions testing of vehicles statewide. The Bureau also collected emissions data from over 76,500 vehicles to measure Smog Check program performance. The annual Smog Check Performance Report illustrates the program's continued success in reducing vehicle emissions.

Smog Check Fraud Prevention

The Bureau rejected the issuance of 2,933 Smog Check certificates through data analysis that detects the use of an external device attempting to fraudulently certify a vehicle's compliance with emissions standards.

Website Enhancements

The Bureau launched the Auto Shop Locator, a mobile-friendly search tool that allows consumers to easily find licensed stations providing auto repair and other services in their geographic area. The locator clearly identifies licensees that are on probation or the subject of a pending disciplinary action. The Bureau also released an updated website with a new design and easy access to information on programs and topics of interest, such as maintenance tips, warranties, and safety recalls.

Consumer Financial Assistance

The Bureau retired 47,042 vehicles and provided financial assistance to repair the emissions systems of 3,567 vehicles. Emergency regulations adopted by the Bureau increased the state's contribution toward emissions repairs from a maximum of \$500 to \$1,200.

NEW LEGISLATION

AB 471 (Low, Chapter 372, Statutes of 2021) on or after July 1, 2023, authorizes the director of the Department of Consumer Affairs (DCA) to include a process for informal

BUREAU OF AUTOMOTIVE REPAIR

review and recommendation on citations issued by the Bureau. This bill authorizes DCA's director to establish, until July 1, 2026, a process for an automotive repair dealer to prevent disclosure of a citation on the internet upon successful remedial training and requires the director to establish via regulation a program to certify providers of remedial training. This bill requires the director to issue vehicle safety systems inspection licenses to stations and technicians to conduct inspections and repairs to safety systems of vehicles and adopt regulations to develop inspection criteria and standards. This bill also requires the director to adopt regulations for the vehicle safety systems inspection license. This bill requires the regulations to be adopted by January 1, 2024.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	N

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
AUTOMOTIVE REPAIR DEALER		
APPLICATION FEE	\$200	\$200
RENEWAL FEE (ANNUAL)	\$200	\$200
SMOG CHECK STATION		
APPLICATION FEE	\$100	REASONABLE COST
RENEWAL FEE (ANNUAL)	\$100	REASONABLE COST
BRAKE AND/OR LAMP STATION		
APPLICATION FEE	\$10	\$10
RENEWAL FEE (ANNUAL)	\$5	\$5
SMOG CHECK INSPECTOR AND/OR REPAIR TECHNICIAN		
APPLICATION FEE	\$20	REASONABLE COST
EXAM FEE	\$45	REASONABLE COST
TOTAL INITIAL LICENSE FEES	\$65	N/A
RENEWAL FEE (BIENNIAL)	\$20	REASONABLE COST
BRAKE AND/OR LAMP ADJUSTER		
APPLICATION FEE	\$10	\$10
RENEWAL FEE (FOUR YEARS)	\$5	\$5

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
AUTOMOTIVE REPAIR DEALER	3,233	2,729	32,077
SMOG CHECK TEST AND REPAIR STATION	351	312	4,269
SMOG CHECK TEST ONLY STATION	240	209	1,792
SMOG CHECK REPAIR ONLY STATION	5	4	37
BRAKE AND LAMP STATION	182	150	1,641
SMOG CHECK INSPECTOR	1,327	633	5,931
SMOG CHECK REPAIR TECHNICIAN	613	254	2,706
BRAKE AND LAMP ADJUSTER	1,235	289	265
STAR PROGRAM CERTIFICATION	495	250	N/A
TOTAL	7,681	4,830	48,718

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
AUTOMOTIVE REPAIR DEALER	N/A	35,468	N/A
SMOG CHECK TEST AND REPAIR STATION	N/A	4,616	N/A
SMOG CHECK TEST ONLY STATION	N/A	1,971	N/A
SMOG CHECK REPAIR ONLY STATION	N/A	41	N/A
BRAKE AND LAMP STATION	N/A	1,727	N/A
SMOG CHECK INSPECTOR	N/A	13,685	N/A
SMOG CHECK REPAIR TECHNICIAN	N/A	6,786	N/A
BRAKE AND LAMP ADJUSTER	N/A	1,978	N/A
STAR PROGRAM CERTIFICATION	3,832	N/A	N/A
TOTAL	3,832	66,272	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
AUTOMOTIVE REPAIR DEALER	ANNUAL	N/A
SMOG CHECK TEST AND REPAIR STATION	ANNUAL	N/A
SMOG CHECK TEST ONLY STATION	ANNUAL	N/A
SMOG CHECK REPAIR ONLY STATION	ANNUAL	N/A
BRAKE AND LAMP STATION	ANNUAL	N/A
SMOG CHECK INSPECTOR	EVERY 2 YEARS	4 HOURS
SMOG CHECK REPAIR TECHNICIAN	EVERY 2 YEARS	16 HOURS
BRAKE AND LAMP ADJUSTER	EVERY 4 YEARS	N/A
STAR PROGRAM CERTIFICATION	N/A	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
SMOG CHECK INSPECTOR	642	1,051	1,693
SMOG CHECK REPAIR TECHNICIAN	262	296	558
BRAKE ADJUSTER (CLASS A, B, C)	161	403	564
LAMP ADJUSTER	132	463	595

Summary of Enforcement Activity

Consumer Complaints—Intake	
14,797	RECEIVED
91	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
14,686	REFERRED FOR INVESTIGATION
94	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
9,595	CONDUCTED
781	CITATIONS ISSUED

Investigations	
14,686	OPENED
14,010	CLOSED
1,896	PENDING

Number of Days to Complete Intake and Investigations	
13,247	UP TO 90 DAYS
663	91 TO 180 DAYS
84	181 DAYS TO 1 YEAR
16	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
41	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
781	ISSUED
114	ISSUED WITH A FINE
3	WITHDRAWN
5	DISMISSED
6	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$135,000	ASSESSED
\$8,400	REDUCED
\$273,467	COLLECTED

Criminal/Civil Actions	
31	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
309	CASES OPENED/INITIATED
267	CASES CLOSED
394	CASES PENDING

BUREAU OF AUTOMOTIVE REPAIR

Number of Days to Complete Attorney General Cases	
44	UP TO 1 YEAR
152	1 TO 2 YEARS
44	2 TO 3 YEARS
27	OVER 3 YEARS
658	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
34	STATEMENTS OF ISSUES FILED
174	ACCUSATIONS FILED
3	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
11	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
10	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
15	LICENSE APPLICATIONS DENIED
542	REVOCATION
0	SURRENDER OF LICENSE
169	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
155	PROBATION ONLY
0	PUBLIC REPRIMAND
24	OTHER DECISIONS
905	TOTAL

Petition for Modification or Termination of Probation	
7	GRANTED
2	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$1,903,017.94	ORDERED
\$899,972.96	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$32,500.14	RESTITUTION ORDERED
\$2,950,512.56	AMOUNT REFUNDED
\$915,968.72	REWORK AT NO CHARGE
\$1,058,906.08	ADJUSTMENTS/RETURNS/EXCHANGES
\$4,957,887.50	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
3	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
41	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
530	AVERAGE NUMBER OF DAYS



Licenses and regulates barbers, cosmetologists, manicurists, estheticians, and electrologists, and the establishments where they work. Ensures the health and safety of California consumers by promoting ethical standards and enforcing beauty industry laws.

www.barbercosmo.ca.gov

STAFF:

82.1 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

615,304

BOARD MEMBERSHIP:

7 public representatives
6 licensees

BOARD STAFF:

Executive Officer: Kristy Underwood
kristy.underwood@dca.ca.gov

Assistant Executive Officer: Carrie Harris
carrie.harris@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 7301–7426.5

California Code of Regulations, Division 9,
title 16, §§ 900–999

SUNSET REVIEW:

Last review: 2021 Next review: 2026

Board Highlights

RECIPROCITY

Pursuant to Business and Professions Code section 7331, the Board grants a license to practice to an applicant if the applicant submits all of the following to the Board:

- A completed application form and all fees required by the Board.
- Proof of a current license issued by another state to practice that meets all of the following requirements:
 - » It is not revoked, suspended, or otherwise restricted.
 - » It is in good standing.
 - » It has been active for three of the last five years, during which time the applicant has not been subject to disciplinary action or a conviction.

ACCOMPLISHMENTS

Response to COVID-19

The COVID-19 pandemic greatly impacted the Board and the industry it regulates. The Board played a significant role in educating licensees and consumers on how to remain safe during the pandemic. The Board collaborated with various counties and agencies on education and enforcement.

The Board was able to transition to a virtual workforce as a result of the pandemic. In March 2020, all Board staff began telecommuting. The Board had to quickly re-engineer its business processes to allow for staff to telecommute while maintaining the security and integrity of its data. The Board was so successful in this transition, it was able to avoid any backlog in processing times. In addition, it has allowed the Board to institute permanent changes that will further the Board's goal to become paperless.

As part of the governor's Enforcement Task Force, the Board provided information to its licensees, conducted joint inspections with counties, and developed multilingual publications to inform licensees and consumers of valuable information, such as requirements on facial coverings, physical distancing, and disinfection practices.

The Board opened complaint cases regarding noncompliance with California Department of Public Health (CDPH) guidelines that originated at the county level and worked collaboratively with counties on inspections and investigations.

Communications and Outreach

The Board developed several publications for licensees and establishments that reinforced the importance of COVID-19 industry guidelines and staying safe during the pandemic. These publications were available in Spanish, Vietnamese, and Korean and distributed by Board inspectors, posted on the Board’s website, and shared on the Board’s social media platforms. Publications included:

- “Returning to Work Checklist.”
- “Staying Safe During the Pandemic Checklist.”
- Poster—“Please Wear A Face Mask.”
- Postcard—Face covering reminder and COVID-19 website information.
- Flyer—“3 Steps to Reopening Your Establishment.”

The Board also created a dedicated page on its website for COVID-19 information. The web page included the publications above, as well as links to the COVID-19 website, the CDPH website, California Division of Occupational Safety and Health industry guidelines, the federal Centers for Disease Control and Prevention website, and vaccine information.

The Board sent helpful safety-related COVID-19 emails to stakeholders in specific counties as well as general educational emails. The Board sent a total of over three million emails since July 2020. Board staff also directly called over 2,500 establishments to answer questions and provide information to licensees.

The Board participated in 13 Los Angeles County Department of Public Health telebriefings, two legislator town halls, one Orange County Public Health telebriefing, seven media and industry interviews, and four Board outreach events.

NEW LEGISLATION

SB 803 (Roth, Chapter 648, Statutes of 2021) extends the sunset date of the Board from January 1, 2022, to January 1, 2027. Other notable provisions include: (1) creates a new hairstyling license; (2) adds four members to the Board and specifies professions that must be represented on the Board; (3) establishes educational requirements for the specialty branches in cosmetology of skin and nail care; (4) reduces the minimum educational hours for barbering and cosmetology from 1,500 and 1,600 respectively to 1,000; (5) eliminates the practical exam; and (6) makes changes to the Board’s externship program.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENT	N

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
BARBER/COSMETOLOGIST/ELECTROLOGIST		
PRE-APPLICATION FEE	\$9	ESTABLISHED BY THE BOARD
APPLICATION AND EXAMINATION FEE	\$75	ACTUAL COST TO BOARD
INITIAL LICENSURE FEE	\$50	\$50
TOTAL INITIAL LICENSURE FEES	\$134	N/A
LICENSE RENEWAL FEE	\$50	\$50
ESTHETICIAN		
PRE-APPLICATION FEE	\$9	ESTABLISHED BY THE BOARD
APPLICATION AND EXAMINATION FEE	\$75	ACTUAL COST TO BOARD
INITIAL LICENSURE FEE	\$40	\$40
TOTAL INITIAL LICENSURE FEES	\$124	N/A
LICENSE RENEWAL FEE	\$50	\$50
MANICURIST		
PRE-APPLICATION FEE	\$9	ESTABLISHED BY THE BOARD
APPLICATION AND EXAMINATION FEE	\$75	ACTUAL COST TO BOARD
INITIAL LICENSURE FEE	\$35	\$35
TOTAL INITIAL LICENSURE FEES	\$119	N/A
LICENSE RENEWAL FEE	\$50	\$50

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
BARBER	3,887	1,086	15,930
BARBER APPRENTICE	1,053	885	0
COSMETOLOGIST	9,794	3,160	153,140
COSMETOLOGY APPRENTICE	656	594	0
ELECTROLOGIST	65	26	744
ELECTROLOGY APPRENTICE	0	0	0
ESTABLISHMENT	6,948	6,366	24,806
ESTHETICIAN	8,186	2,892	44,895
MANICURIST	6,210	2,075	58,949
MOBILE UNIT	22	8	16
SCHOOLS	6	5	0
SPONSOR	1	1	0
TOTAL	36,828	17,098	298,480

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
BARBER	0	32,890	0
BARBER APPRENTICE	0	1,736	0
COSMETOLOGIST	0	304,259	0
COSMETOLOGY APPRENTICE	0	1,329	0
ELECTROLOGIST	0	1,580	0
ELECTROLOGY APPRENTICE	0	0	0
ESTABLISHMENT	0	55,059	0
ESTHETICIAN	0	91,478	0
MANICURIST	0	126,576	0
MOBILE UNIT	0	54	0
SCHOOLS	0	294	0
SPONSOR	0	49	0
TOTAL	0	615,304	0

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
BARBER	EVERY 2 YEARS	0
BARBER APPRENTICE	NO RENEWAL	0
COSMETOLOGIST	EVERY 2 YEARS	0
COSMETOLOGIST APPRENTICE	NO RENEWAL	0
ELECTROLOGIST	EVERY 2 YEARS	0
ELECTROLOGIST APPRENTICE	NO RENEWAL	0
ESTABLISHMENT	EVERY 2 YEARS	0
ESTHETICIAN	EVERY 2 YEARS	0
MANICURIST	EVERY 2 YEARS	0
MOBILE UNIT	EVERY 2 YEARS	0

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
BARBER PRACTICAL	1,115	454	1,569
BARBER WRITTEN EXAM	1,126	881	2,007
COSMETOLOGIST PRACTICAL	2,861	1,413	4,274
COSMETOLOGIST WRITTEN EXAM	2,852	1,890	4,742
ELECTROLOGIST PRACTICAL	29	1	30
ELECTROLOGIST WRITTEN EXAM	26	12	38
ESTHETICIAN PRACTICAL	3,003	759	3,762
ESTHETICIAN WRITTEN EXAM	3,003	951	3,954
MANICURING PRACTICAL	1,945	1,244	3,189
MANICURING WRITTEN EXAM	2,261	682	2,943
TOTAL	18,221	8,287	26,508

Summary of Enforcement Activity

Consumer Complaints—Intake	
6,756	RECEIVED
33	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
6,797	REFERRED FOR INVESTIGATION
75	PENDING

Conviction/Arrest Notification Complaints	
7	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
8	REFERRED FOR INVESTIGATION
0	PENDING

BOARD OF BARBERING AND COSMETOLOGY

Inspections	
1,827	CONDUCTED
1,118	CITATIONS ISSUED

Investigations	
6,805	OPENED
7,240	CLOSED
1,338	PENDING

Number of Days to Complete Intake and Investigations	
5,073	UP TO 90 DAYS
1,083	91 TO 180 DAYS
695	181 DAYS TO 1 YEAR
331	1 TO 2 YEARS
42	2 TO 3 YEARS
16	OVER 3 YEARS
93	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
1,104	ISSUED
704	ISSUED WITH A FINE
2	WITHDRAWN
7	DISMISSED
26	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$506,825	ASSESSED
\$80,250	REDUCED
\$1,264,924	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
19	CASES OPENED/INITIATED
48	CASES CLOSED
22	CASES PENDING

Number of Days to Complete Attorney General Cases	
7	UP TO 1 YEAR
29	1 TO 2 YEARS
12	2 TO 3 YEARS
0	OVER 3 YEARS
681	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
3	STATEMENTS OF ISSUES FILED
22	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
4	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
16	REVOCAION
7	SURRENDER OF LICENSE
23	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
3	PROBATION ONLY
0	PUBLIC REPRIMAND
1	OTHER DECISIONS
51	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
1	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
5	GRANTED
5	DENIED

Cost Recovery	
\$122,155.31	ORDERED
\$97,490.88	COLLECTED

Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
4	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
90	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
320	AVERAGE NUMBER OF DAYS



Board of Behavioral Sciences

Licenses and regulates marriage and family therapists and associates, clinical social workers and associates, educational psychologists, and professional clinical counselors and associates.

www.bbs.ca.gov

STAFF:

61.7 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

125,928

BOARD MEMBERSHIP:

7 public representatives
6 licensees

BOARD STAFF:

Executive Officer: Steve Sodergren
steve.sodergren@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 4980–4999.129

California Code of Regulations, Division, title 16, 18, §§ 1800–1889.3

SUNSET REVIEW:

Last review: 2020 Next review: 2025

Board Highlights

RECIPROCITY

While the Board does not have true reciprocity, the Board does allow for license portability through a new licensure by credential pathway established by SB 679 (Bates, Chapter 380, 2019). This innovative approach provides a streamlined application process for out-of-state licensed marriage and family therapists, licensed clinical social workers, and licensed professional clinical counselors to become licensed in California. To apply for a license under this pathway the following requirements must be met:

- The applicant must hold a license in another U.S. jurisdiction for at least two years.
- The license must be current, as well as active and unrestricted for at least two years immediately before the date an application is submitted to the Board.
- The license must be the highest level for independent clinical practice in that jurisdiction.
- The degree that qualified the applicant for the license is a master's or doctoral degree obtained from a school holding a regional or national institutional accreditation recognized by the U.S. Department of Education, or a school approved by the California Bureau for Private Postsecondary Education.

Additionally, the applicant must take and pass the California Board of Behavioral Sciences' Law and Ethics Examination and complete additional continuing education in specific coursework.

ACCOMPLISHMENTS

Telehealth Committee

The Board established a Telehealth Committee to determine if any of the Board's statutes and regulations related to the practice of telehealth by its licensees, registrants, and trainees need to be updated or clarified. Topics of discussion will include the use of telehealth and remote supervision, consumer protection and outreach, online therapy platforms, and interstate compacts.

Licensing Committee

The Board established a Licensing Committee to conduct in-depth discussions about several topics related to the licensing process. Discussions will include the exam process for registrants, the six-year limitation for working in private practice, and gaining supervised hours.

New and Updated Publications

The Board released new handbooks designed to assist applicants for licensed marriage and family therapist, licensed clinical social worker, and licensed professional clinical counselor (three separate handbooks). Each contains an overview of the licensure process and information to help applicants avoid common pitfalls when applying. These handbooks are available on the Board’s website.

The Board updated its “Self-Empowerment: How to Choose a Mental Health Professional” booklet. This booklet contains information about how to choose a therapist, what to expect from a therapist, and a client’s rights. This publication was translated into 11 languages and is also available on the Board’s website.

Outreach

Since January 2020, the Board has increased its use of social media to boost outreach. This has been done with more frequent posts and the introduction of live Facebook events called “Facebook Fridays.” Facebook Fridays provide notifications of the Board’s operations and allow registrants and licensees to ask questions and receive immediate answers. The Board has received positive feedback and has more than doubled its following since this effort began.

Initial License Online Applications

In 2020, the Board implemented online applications for the Initial License and Upgrade License Status. These applications allow registrants who have completed all licensure requirements to request their initial license through the BreEze system. This greatly decreases the processing times and allows an applicant to be issued a license within 24 hours, in most cases.

NEW LEGISLATION

AB 462 (Carrillo, Chapter 440, Statutes of 2021) removes existing requirements for licensed professional clinical counselors (LPCCs) to gain at least 150 hours of clinical experience in a hospital or community mental health setting. This bill also removes the existing requirement for LPCCs to complete specified additional education, supervised experience, and continuing education related to marriage and family therapy in order to treat couples or families.

AB 690 (Arambula, Chapter 747, Statutes of 2021), among other things, reclassifies all psychotherapy settings as either exempt or non-exempt from licensure and registration requirements, as defined. This bill also increases the maximum number of persons a supervising psychotherapist licensed under the Board may supervise from three persons to six persons.

SB 801 (Archuleta, Chapter 647, Statutes of 2021) is the sunset vehicle for the Board. It makes several changes to improve the Board’s licensing and administrative functions, including, among other things: extending the operations of the Board to January 1, 2026; making structural changes to conform the Board’s denial of licensure authority with AB 2138 (Chiu, Chapter 995, Statutes of 2018); expanding the scope of telehealth providers; clarifying the scope of practice for Licensed Marriage and Family Therapists; updating the Board’s patient notice requirements; adding “prognosis” as an acceptable term to the Board’s practice act; making minor conforming alterations to the Board’s statutory fee cap for Licensed Clinical Social Workers; and requiring Board applicants, registrants, and licensees to provide their e-mail to the Board so the Board can use e-mail as its primary means of communication.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
ASSOCIATE MARRIAGE AND FAMILY THERAPIST		
APPLICATION FEE	\$150	\$300
RENEWAL FEE	\$150	\$300
CA LAW AND ETHICS EXAM	\$150	\$300
ASSOCIATE SOCIAL WORKER		
APPLICATION FEE	\$150	\$300
RENEWAL FEE	\$150	\$300
CA LAW AND ETHICS EXAM	\$150	\$300
ASSOCIATE PROFESSIONAL CLINICAL COUNSELOR		
APPLICATION FEE	\$150	\$300
RENEWAL FEE	\$150	\$300
CA LAW AND ETHICS EXAM	\$150	\$300
LICENSED MARRIAGE AND FAMILY THERAPIST		
APPLICATION FEE	\$250	\$500
CLINICAL EXAM FEE	\$250	\$500
INITIAL LICENSE FEE	\$250	\$400
RENEWAL FEE (BI-ANNUAL)	\$200	\$400
LICENSED CLINICAL SOCIAL WORKER		
APPLICATION FEE	\$250	\$500
CLINICAL EXAM FEE (NATIONAL EXAM)	N/A	N/A

License Type	Actual Fee	Statutory Limit
INITIAL LICENSE FEE	\$250	\$400
RENEWAL FEE (BI-ANNUAL)	\$200	\$400
LICENSED PROFESSIONAL CLINICAL COUNSELOR		
APPLICATION FEE	\$250	\$500
CLINICAL EXAM FEE (NATIONAL EXAM)	N/A	N/A
INITIAL LICENSE FEE	\$250	\$400
RENEWAL FEE (BI-ANNUAL)	\$200	\$400
LICENSED EDUCATIONAL PSYCHOLOGIST		
APPLICATION FEE	\$250	\$500
CLINICAL EXAM FEE (NATIONAL EXAM)	\$250	\$500
INITIAL LICENSE FEE	\$250	\$400
RENEWAL FEE (BI-ANNUAL)	\$200	\$400

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ASSOCIATE CLINICAL SOCIAL WORKER	4,344	4,165	10,920
ASSOCIATE MARRIAGE AND FAMILY THERAPIST	4,053	3,679	10,360
ASSOCIATE PROFESSIONAL CLINICAL COUNSELOR	1,568	1,364	2,803
LICENSED CLINICAL SOCIAL WORKER	3,449	1,831	14,123
LICENSED EDUCATIONAL PSYCHOLOGIST	218	119	839
LICENSED MARRIAGE AND FAMILY THERAPIST	3,701	3,475	21,459
LICENSED PROFESSIONAL CLINICAL COUNSELOR	573	444	876
TOTAL	17,906	15,077	61,380

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ASSOCIATE CLINICAL SOCIAL WORKER	N/A	16,612	N/A
ASSOCIATE MARRIAGE AND FAMILY THERAPIST	N/A	14,848	N/A
ASSOCIATE PROFESSIONAL CLINICAL COUNSELOR	N/A	5,624	N/A
LICENSED CLINICAL SOCIAL WORKER	N/A	33,544	N/A
LICENSED EDUCATIONAL PSYCHOLOGIST	N/A	2,134	N/A
LICENSED MARRIAGE AND FAMILY THERAPIST	N/A	50,408	N/A
LICENSED PROFESSIONAL CLINICAL COUNSELOR	N/A	2,758	N/A
TOTAL	N/A	125,928	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
ASSOCIATE MARRIAGE AND FAMILY THERAPISTS	EVERY YEAR	N/A
ASSOCIATE CLINICAL SOCIAL WORKER	EVERY YEAR	N/A
ASSOCIATE PROFESSIONAL CLINICAL COUNSELOR	EVERY YEAR	N/A
LICENSED MARRIAGE AND FAMILY THERAPIST	BIANNUAL	36 HOURS
LICENSED CLINICAL SOCIAL WORKER	BIANNUAL	36 HOURS
LICENSED PROFESSIONAL CLINICAL COUNSELOR	BIANNUAL	36 HOURS
LICENSED EDUCATIONAL PSYCHOLOGIST	BIANNUAL	36 HOURS

Exams Results*			
EXAM TITLE	PASS	FAIL	TOTAL
LMFT CALIFORNIA LAW AND ETHICS EXAMINATION	2,973	736	3,709
LCSW CALIFORNIA LAW AND ETHICS EXAMINATION	3,116	769	3,885
LPCC CALIFORNIA LAW AND ETHICS EXAMINATION	1,045	316	1,361
LMFT CLINICAL EXAMINATION	3,189	1,201	4,390
LCSW ASWB CLINICAL EXAMINATION	2,746	1,018	3,764
LPCC NCMHCE EXAMINATION	304	68	372
LEP STANDARD WRITTEN EXAMINATION	114	64	178

*Data includes first time exam attempts and retake exam attempts.

Summary of Enforcement Activity

Consumer Complaints—Intake	
1,793	RECEIVED
839	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
985	REFERRED FOR INVESTIGATION
30	PENDING

Conviction/Arrest Notification Complaints	
1,223	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,231	REFERRED FOR INVESTIGATION
3	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
2,216	OPENED
2,274	CLOSED
180	PENDING

Number of Days to Complete Intake and Investigations	
2,042	UP TO 90 DAYS
170	91 TO 180 DAYS
42	181 DAYS TO 1 YEAR
19	1 TO 2 YEARS
1	2 TO 3 YEARS
0	OVER 3 YEARS
42	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
33	ISSUED
32	ISSUED WITH A FINE
4	WITHDRAWN
0	DISMISSED
198	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$67,200	ASSESSED
\$16,600	REDUCED
\$13,750	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
105	CASES OPENED/INITIATED
119	CASES CLOSED
63	CASES PENDING

Number of Days to Complete Attorney General Cases	
57	UP TO 1 YEAR
53	1 TO 2 YEARS
7	2 TO 3 YEARS
2	OVER 3 YEARS
429	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
20	STATEMENTS OF ISSUES FILED
64	ACCUSATIONS FILED
1	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
2	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
5	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
29	LICENSE APPLICATIONS DENIED
24	REVOCAION
23	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
60	PROBATION ONLY
3	PUBLIC REPRIMAND
3	OTHER DECISIONS
142	TOTAL

Petition for Modification or Termination of Probation	
29	GRANTED
2	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
2	DENIED

Cost Recovery	
\$56,713	ORDERED
\$14,873	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
8	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
38	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
339	AVERAGE NUMBER OF DAYS



CEMETERY & FUNERAL BUREAU

Licenses and regulates funeral establishments and funeral directors; embalmers and apprentices; cemetery brokers, salespersons, and managers; cremated remains disposers, crematories, hydrolysis facilities, and crematory managers; and privately owned cemeteries in California.

www.cfb.ca.gov

STAFF:

25 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

13,079

ADVISORY COMMITTEE MEMBERSHIP:

3 public representatives
4 licensees

BUREAU STAFF:

Bureau Chief: Gina Sanchez
gina.sanchez@dca.ca.gov

Deputy Bureau Chief: Sandra Patterson
sandra.patterson@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 7600–7746

California Code of Regulations, Division 12,
title 16, §§ 1200–1291

California Code of Regulations, Division 23,
title 16, §§ 2300–2390

Health and Safety Code §§ 7000–9677,
§§ 102100–103800

Government Code §§ 27460–27530

Welfare and Institutions Code §§ 11150–11160,
§§ 12150–12156, §§ 17400–17410

SUNSET REVIEW:

Last review: 2019 Next review: 2023

Bureau Highlights

RECIPROCITY

The Bureau does not have reciprocity.

ACCOMPLISHMENTS

Oversight of Consumer Funds

As part of its fiduciary responsibilities, the Bureau Audit Unit performs audits and reviews of cemetery and funeral establishment trusts funded with consumer money. For cemeteries, these trusts provide for the ongoing care and maintenance of the property. For funeral establishments, these trusts are used to fulfill the final wishes of consumers who paid in advance.

Bureau audit staff perform compliance checks and identify any mismanagement or misappropriation of consumer funds. The Bureau conducted 228 reviews, remediating over \$2.9 million in mismanaged or untrusted funds.

Business Modernization

The Bureau released a fully online application for the cemetery salesperson license. This is the largest applicant population within the Bureau, and the platform allows for faster processing times and electronic payments.

Additionally, in collaboration with the Department of Consumer Affairs (DCA), the Bureau continues the process of identifying a new information technology platform that fits the needs of the Bureau and better serves its stakeholders.

Outreach

The Bureau appointed new advisory committee members and held two virtual advisory committee meetings, offering transparency and public comment on Bureau activities. The Bureau also held a virtual stakeholder workshop to expose and discuss draft regulatory language to implement the unitrust distribution method for cemetery endowment care trust funds. Using a virtual platform for public meetings has expanded statewide participation, including public comment, in a safe, convenient, and cost-free forum for consumers.

Occupational Analysis

With the assistance of DCA's Office of Professional Examination Services and subject matter experts within the industry, the Bureau conducted an occupational analysis for embalmers. The results provided a comprehensive description of current practice in California, which ensures the embalmer examination will continue to accurately reflect current practice.

Consumer Protection

To assist in keeping consumers and the industry safe during the COVID-19 pandemic, Bureau field representatives participated on a task force to implement safety inspection

CEMETERY AND FUNERAL BUREAU

protocols for its licensed cemeteries and funeral establishments. These proactive safety protocols helped to limit the spread of COVID-19 and deter noncompliance. Bureau staff inspected and either verified compliance or provided education on COVID-19 safety protocols to over 840 licensees in fiscal year 2020–21.

NEW LEGISLATION

AB 293 (Kalra, Chapter 514, Statutes of 2021) requires, beginning January 1, 2023, funeral establishments to make reasonable efforts to contact the beneficiary or trustor of unclaimed preneed funeral trust accounts so that these monies can be returned to the consumer. This bill further requires funeral establishments to report to the Office of the State Controller if the beneficiary or trustor cannot be found. The bill requires a funeral establishment to report and pay or deliver to the controller all abandoned preneed trust accounts, including the corpus of the trust, together with any income accrued in the trust at the time of payment or delivery, less a revocation fee, as specified. Beginning January 1, 2023, this bill allows funeral establishments to transfer preneed funeral agreements—pursuant to specific consumer protection conditions—to another funeral establishment in the event the funeral establishment holding the initial agreement ceases operations.

AB 496 (Chen, Chapter 118, Statutes of 2021) authorizes a crematory to cremate, along with the human remains of a person who was a member of the U.S. military, a single American flag. Additionally, the bill exempts American flags that are cremated along with the human remains of a veteran from record-keeping requirements.

AB 651 (Gipson, Chapter 442, Statutes of 2021), among other things, increases the minimum dollar amounts that must be deposited in cemetery endowment care trust funds over a three-year period. This bill also requires the Bureau to conduct a study and obtain information to determine if cemeteries’ endowment care fund levels are sufficient to cover the cost of future maintenance, and to report its findings and recommendations to the Legislature by January 1, 2029. Lastly, this bill authorizes a county to assume responsibility for the maintenance and control of a cemetery in cases where the cemetery manager of a private cemetery has had their license suspended, revoked, or has surrendered their license and a court has not yet appointed a temporary manager, or when the court-appointed temporary manager’s service has expired.

AB 830 (Flora, Chapter 376, Statutes of 2021) corrects a drafting error from prior legislation and removes from statute the reference to cemetery authorities having sufficient knowledge and expertise in investing and managing under the unitrust distribution method, allowing knowledge and expertise in investing and managing an endowment care fund to qualify.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
FUNERAL DIRECTOR		
LICENSE FEE	\$200	\$200
EXAMINATION FEE	\$100	\$100
TOTAL INITIAL LICENSE FEE	\$300	\$300
ANNUAL RENEWAL FEE	\$200	\$200
EMBALMER		
APPLICATION/EXAMINATION/LICENSE FEE	\$150	\$150
ANNUAL RENEWAL FEE	\$100	\$100
CEMETERY SALESPERSON		
LICENSE FEE	\$30	\$30
ANNUAL RENEWAL FEE	\$25	\$25

*The program licenses additional categories that can be found in title 16, Division 12, California Code of Regulations section 1257, title 16, Division 23, California Code of Regulations sections 2310-2324; Business and Professions Code sections 7639.04, 7651, 7653, 7672.1, 7712.2, 7721.9, 7729, 7729.2–7729.8, and 7729.10–7731.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
APPRENTICE EMBALMER	221	90	0
CEMETERY BRANCH BROKER	15	15	63
CEMETERY BROKER/ADDITIONAL BROKER	26	21	188
CEMETERY MANAGER	46	12	292
CEMETERY SALESPERSON	774	483	3,625
CERTIFICATE OF AUTHORITY (CEMETERY)	7	11	192
CREMATED REMAINS DISPOSER	20	20	132
CREMATORY	11	16	217
CREMATORY MANAGER	94	31	475
EMBALMER	46	44	1,464
FUNERAL DIRECTOR	235	106	2,117
FUNERAL ESTABLISHMENT	43	31	1,060
HYDROLYSIS FACILITY	1	0	0
TOTAL	1,539	880	9,825

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
APPRENTICE EMBALMER	N/A	310	N/A
CEMETERY BRANCH BROKER	N/A	75	N/A
CEMETERY BROKER/ ADDITIONAL BROKER	N/A	226	N/A
CEMETERY MANAGER	N/A	364	N/A
CEMETERY SALESPERSON	N/A	5,105	N/A
CERTIFICATE OF AUTHORITY (CEMETERY)	N/A	194	N/A
CREMATED REMAINS DISPOSER	N/A	196	N/A
CREMATORY	N/A	230	N/A
CREMATORY MANAGER	N/A	610	N/A
EMBALMER	N/A	1,849	N/A
FUNERAL DIRECTOR	N/A	2,816	N/A
FUNERAL ESTABLISHMENT	N/A	1,104	N/A
HYDROLYSIS FACILITY	N/A	0	N/A
TOTAL	N/A	13,079	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
APPRENTICE EMBALMER	ANNUAL	N/A
CEMETERY BROKER	ANNUAL	N/A
CEMETERY BROKER ADDITIONAL	ANNUAL	N/A
CEMETERY BROKER BRANCH	ANNUAL	N/A
CEMETERY MANAGER	ANNUAL	N/A
CEMETERY SALESPERSON	ANNUAL	N/A
CERTIFICATE OF AUTHORITY	ANNUAL	N/A
CREMATED REMAINS DISPOSER	ANNUAL	N/A
CREMATORY	ANNUAL	N/A
CREMATORY MANAGER	ANNUAL	N/A
EMBALMER	ANNUAL	N/A
FUNERAL DIRECTOR	ANNUAL	N/A
FUNERAL ESTABLISHMENT	ANNUAL	N/A
HYDROLYSIS FACILITY	ANNUAL	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
CEMETERY BROKER	9	8	17
CEMETERY MANAGER	12	9	21
CREMATORY MANAGER	35	4	39
EMBALMER	39	4	43
FUNERAL DIRECTOR	102	117	219

Summary of Enforcement Activity

Consumer Complaints—Intake	
863	RECEIVED
2	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
861	REFERRED FOR INVESTIGATION
3	PENDING

Conviction/Arrest Notification Complaints	
2	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
2	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
1,261	CONDUCTED
25	CITATIONS ISSUED

Investigations	
863	OPENED
732	CLOSED
275	PENDING

Number of Days to Complete Intake and Investigations	
369	UP TO 90 DAYS
267	91 TO 180 DAYS
92	181 DAYS TO 1 YEAR
4	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
95	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

CEMETERY AND FUNERAL BUREAU

Citations and Fines	
118	ISSUED
97	ISSUED WITH A FINE
8	WITHDRAWN
1	DISMISSED
91	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$74,003	ASSESSED
\$500	REDUCED
\$56,201	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
11	CASES OPENED/INITIATED
13	CASES CLOSED
7	CASES PENDING

Number of Days to Complete Attorney General Cases	
5	UP TO 1 YEAR
6	1 TO 2 YEARS
2	2 TO 3 YEARS
0	OVER 3 YEARS
499	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
10	ACCUSATIONS FILED
1	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
11	REVOCATION
2	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
6	PROBATION ONLY
1	PUBLIC REPRIMAND
0	OTHER DECISIONS
20	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
1	GRANTED
3	DENIED

Cost Recovery	
\$22,352.25	ORDERED
\$11,922	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$65,862.90	AMOUNT REFUNDED
\$12,790	REWORK AT NO CHARGE
\$4,663.16	ADJUSTMENTS/RETURNS/EXCHANGES
\$83,316.06	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
4	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
92	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
387	AVERAGE NUMBER OF DAYS



Licenses and regulates chiropractors. Registers and certifies chiropractic corporations, referral services, and satellite offices.

www.chiro.ca.gov

STAFF:

178 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

18,183

BOARD MEMBERSHIP:

2 public representative
5 licensees

BOARD STAFF:

Executive Officer: Robert Puleo
robert.puleo@dca.ca.gov

Assistant Executive Officer: Kristin Walker
kristin.walker@dca.ca.gov

LAWS AND REGULATIONS:

Chiropractic Initiative Act of California, Business and Professions Code sections 1000–1058

California Code of Regulations, Division 4, title 16, sections 301–390.6

SUNSET REVIEW:

Last review: 2017 Next review: 2022

Board Highlights

RECIPROCITY

The Board will issue a license to any person licensed to practice chiropractic under the laws of another state, provided that the state in which the applicant is licensed has the same general requirements as requested by California at the time of licensure, and that state will issue a license to practitioners from California.

ACCOMPLISHMENTS

Business Modernization

The Board collaborated with three other programs and the Department of Consumer Affairs Office of Information Services (OIS) on the development and implementation of a new application, licensing, and enforcement system known as Connect. Through three phased software releases from September 2020 through June 2021, the Board implemented these licensing functions:

- Initial license applications (doctor of chiropractic and satellite certificates).
- License renewals (doctor of chiropractic and satellite certificates).
- Address changes and cancellation of satellite certificates.
- Online payment for all other paper applications.

In addition, the Board developed a system-integrated online complaint form for consumers and transitioned all new complaints and investigations to the Connect system to streamline the complaint intake and investigation process.

The Board continues to work directly with OIS and the vendor to implement additional enforcement functionality during the final project phase that is planned to be released in spring 2022.

Consumer Protection

The Board has continued to work on updates to the annual continuing education (CE) requirements for chiropractors. The Board's goal is to protect patients by expanding the background check for CE providers and by aligning the mandatory course categories with the core competencies necessary for a chiropractor to safely practice in California.

Important Meetings

In response to the social unrest around the country following the death of George Floyd, the Board invited Dr. Micheala Edwards, president of the American Black Chiropractors Association, and Dr. William Foshee, chair of the American Chiropractic Association’s Diversity Committee, to speak at its July 16, 2020 Board meeting. Dr. Edwards emphasized the importance of diversity and inclusion in the chiropractic profession, the importance of diversity training for chiropractors and instructors, and the need for additional research and data collection to better serve all patient populations and inform curriculum. Dr. Foshee explained how inequity in culture leads to inequity in health care and emphasized the need for chiropractors to develop cultural agility through an enhanced understanding of the needs of the diverse communities they serve, thereby elevating the health and wellness of communities. He also emphasized the need for curriculum changes to address the needs of all patient populations.

NEW LEGISLATION

There was no enacted legislation solely related to the Board in 2021.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	N
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
BIENNIAL CONTINUING EDUCATION PROVIDER RENEWAL	\$56	N/A
CONTINUING EDUCATION PROVIDER APPLICATION	\$84	N/A
CONTINUING EDUCATION COURSE APPLICATION	\$56	N/A
CORPORATION REGISTRATION APPLICATION	\$186	N/A
CORPORATION SPECIAL REPORT FILING	\$31	N/A
CORPORATION RENEWAL FILING	\$31	N/A
CORPORATION DUPLICATE CERTIFICATE	\$50	N/A
INITIAL LICENSE	\$186	N/A
LICENSE APPLICATION FEE	\$371	N/A
LICENSE CERTIFICATION/OUT-OF-STATE LICENSE VERIFICATION	\$124	N/A
LICENSE RENEWAL	\$313	N/A
PETITION FOR EARLY TERMINATION OF PROBATION OR REDUCTION OF PENALTY	\$371	N/A
PETITION FOR REINSTATEMENT OF REVOKED LICENSE	\$371	N/A
PRECEPTOR	\$31	N/A
RECIPROCAL LICENSE APPLICATION	\$371	N/A
REFERRAL SERVICES APPLICATION	\$557	N/A
RESTORATION OF LICENSE	\$626	N/A
SATELLITE CERTIFICATE APPLICATION	\$62	N/A
SATELLITE CERTIFICATE RENEWAL	\$31	N/A
SATELLITE CERTIFICATE REPLACEMENT	\$50	N/A

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
CHIROPRACTIC CORPORATIONS	101	73	1,477
DOCTOR OF CHIROPRACTIC	221	269	10,092
REFERRAL SERVICES	0	N/A	N/A
SATELLITE OFFICES (BCE)	1192	1136	2,539
TOTAL	1,514	1,478	14,108

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CHIROPRACTIC CORPORATIONS	1,379	N/A	N/A
DOCTOR OF CHIROPRACTIC	N/A	12,579	N/A
REFERRAL SERVICES	31	N/A	N/A
SATELLITE OFFICES (BCE)	4,194	N/A	N/A
TOTAL	5,604	12,579	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
DOCTOR OF CHIROPRACTIC	ANNUAL	24
SATELLITE OFFICES	ANNUAL	0
CHIROPRACTIC CORPORATION	ANNUAL	0

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
CALIFORNIA CHIROPRACTIC LAW EXAMINATION	332	48	380

Summary of Enforcement Activity

Consumer Complaints—Intake	
415	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
417	REFERRED FOR INVESTIGATION
2	PENDING

Conviction/Arrest Notification Complaints	
59	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
59	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
476	OPENED
558	CLOSED
510	PENDING

Number of Days to Complete Intake and Investigations	
149	UP TO 90 DAYS
49	91 TO 180 DAYS
118	181 DAYS TO 1 YEAR
152	1 TO 2 YEARS
70	2 TO 3 YEARS
20	OVER 3 YEARS
373	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
34	ISSUED
34	ISSUED WITH A FINE
3	WITHDRAWN
0	DISMISSED
545	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$48,250	ASSESSED
\$4,250	REDUCED
\$35,635	COLLECTED

Criminal/Civil Actions	
N/A	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
61	CASES OPENED/INITIATED
20	CASES CLOSED
97	CASES PENDING

Number of Days to Complete Attorney General Cases	
1	UP TO 1 YEAR
4	1 TO 2 YEARS
8	2 TO 3 YEARS
7	OVER 3 YEARS
899	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
28	ACCUSATIONS FILED
1	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
4	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
4	REVOCATION
5	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
8	PROBATION ONLY
0	PUBLIC REPRIMAND
1	OTHER DECISIONS
20	TOTAL

Petition for Modification or Termination of Probation	
1	GRANTED
1	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
1	DENIED

Cost Recovery	
\$163,563.75	ORDERED
\$65,322.75	COLLECTED

Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
9	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
364	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
601	AVERAGE NUMBER OF DAYS



Licenses and regulates contractors in 44 classifications.

www.cslb.ca.gov

STAFF:

429 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

308,858

BOARD MEMBERSHIP:

7 licensees
1 local building official
1 member of a labor organization representing the building trades
8 public members

BOARD STAFF:

Registrar: David Fogt
david.fogt@cslb.ca.gov

Chief Deputy Registrar: Tonya D. Corcoran
tonyad.corcoran@cslb.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 7000-7199.7

California Code of Regulations, Division 8, title 16, §§ 810-890

SUNSET REVIEW:

Last review: 2019 Next review: 2023

Board Highlights

RECIPROCITY

Pursuant to Business and Profession Code section 7065.4, the Board has reciprocity agreements in place with Arizona, Louisiana, and Nevada. The following reciprocity requirements are the same for these states:

- The contractor must be applying for a license in a classification that appears on that state's Reciprocal Classifications List.
- The contractor must have held an active license in good standing in one of the reciprocal states for the previous five years.
- The contractor must submit to the Board the Request for Verification of License form that is completed by the licensing entity under which he or she is already licensed.
- The contractor must complete the Application for Original Contractor's License.
- The Certification of Work Experience form 13A-11 must be used to report and confirm the journey-level work experience for the previous five years.

If the Board grants reciprocity to a contractor, it can waive the trade portion of the examination (the contractor still must take the business law exam section); however, the Board retains the right to require the exam.

ACCOMPLISHMENTS

COVID-19 Related Improvements

In response to the pandemic, the Board created an online, fillable complaint form to allow quicker response to complaints about unlicensed or improper construction-related activity. The Board also increased online renewals to 25 percent of the 125,671 licenses renewed last year and increased communication about the Board services and safety requirements through electronic industry bulletins and social media. Board staff transitioned meetings from in-person to online and conducted 11 virtual licensing workshops and seven virtual board meetings.

Pathways to Licensure

The Board created a new B-2 residential remodeling license for handyman candidates in response to increased consumer demand for qualified remodelers. The Board assisted military veterans seeking licensure by referring them to specially trained staff to review transferable military training and experience, and expedited processing for 977 applications. The Board renewed the highest number of licenses in more than a decade, with an 8% increase over two years ago. The Board also distributed nearly \$100,000 in Construction Management Education grants to construction management programs at four California universities.

Disaster Response

The Board joined other state and local agencies at 24 local assistance centers throughout California to offer recovery assistance and information to property owners affected by wildfires. The Board also addressed unlicensed activity in wildfire zones by conducting sweeps and undercover sting operations to enforce license requirements and discourage unlicensed activity. To help those recovering from wildfires, the Board created a video offering consumers information on rebuilding after a disaster.

Public Information and Outreach

The Board's Public Affairs Office produced or coproduced 19 webcasts and produced 18 consumer and licensee educational videos, which received 59,000 views on YouTube.

The Board received more than 332,000 views on posts on Facebook, Twitter, Instagram, YouTube, Periscope, LinkedIn, and Flickr. Staff also conducted 18 virtual consumer and Senior Scam Stopper presentations for over 1,000 people in legislative districts throughout California. The videos were then uploaded to the legislators' websites for further viewing. These seminars were conducted with other state agencies, local law enforcement, and community-based organizations.

The Board assigned a special investigator to conduct outreach in disadvantaged communities and communities of color to encourage qualified persons to become licensed contractors. The Board also held a three-part seminar to promote and encourage women and those in disadvantaged communities to become licensed contractors.

Enforcement

Consumer protection is the mission of the Board. Through its enforcement program, the Board helped consumers recover over \$50 million in ordered restitution in fiscal year 2020–21. In addition, the Board collaborated with

the Joint Agency Solar Consumer Protection Task Force comprised of the Board, the California Public Utilities Commission, and the Department of Financial Protection and Innovation (DFPI) to implement consumer outreach and protection strategies. The Board also enforced the requirement that solar contractors provide consumers a solar disclosure document pursuant to AB 1070 (Gonzalez Fletcher, Chapter 662, Statutes of 2017). As a result, 38 consumer complaints were referred to DFPI for investigation into alleged predatory PACE loans.

The Board also conducted 1,412 solar investigations, resulting in 197 legal actions and \$2.5 million in restitution. Staff completed 16,851 investigations, settled 2,626 cases, issued 319 Letters of Admonishment, and took 2,243 legal actions. The Statewide Investigative Fraud Team (SWIFT) responded to 666 leads. SWIFT conducted 12 sting operations and 34 sweep days, which led to the issuance of 47 Notices to Appear in criminal court. SWIFT also issued 78 stop orders to uninsured employers. In total, 408 cases resulted in administrative action, 103 cases were referred to district attorney offices for criminal prosecution, and 675 advisory notices were issued for illegal advertising and technical violations. The Board conducted 177 Informal Citation Conferences to try to reach mutual agreements between respondents while avoiding the time, inconvenience, and expense of a formal administrative hearing.

NEW LEGISLATION

AB 246 (Quirk, Chapter 46, Statutes of 2021) adds illegal dumping to the list of violations that will constitute cause for disciplinary action against a contractor by the Board. This bill also reorganizes provisions of existing law from a paragraph to an enumerated form to provide clarity and improve readability.

AB 569 (Grayson, Chapter 94, Statutes of 2021) raises the cap on most civil penalty fees that can be assessed against licensed contractors or applicants for violations of the Contractors State License Law from \$5,000 to \$8,000, and fees for specific violations from \$15,000 to \$30,000. This bill also permits the Board to issue an "admonishment" letter for more than one violation.

SB 297 (Durazo, Chapter 726, Statutes of 2021) enacts the Wade Kilpatrick Gas Safety and Workforce Adequacy Act of 2021. This bill increases civil penalties for someone who knowingly and willfully damages a gas or hazardous liquid pipeline subsurface installation.

SB 484 (Archuleta, Chapter 545, Statutes of 2021) exempts a licensed plumbing contractor, after meeting specified conditions, from provisions relating to a home inspection that prohibits repairs to a structure on which

the inspector or the inspector's company has prepared a home inspection. This bill applies to sewer lateral pipes connecting a residence or business to a sewer system.

SB 607 (Min, Chapter 367, Statutes of 2021) will, among other provisions, increase the statutory minimum and maximum license, registration and other miscellaneous fees on contractors. It will also increase the contractor's bond amount from \$15,000 to \$25,000. A licensed contractor must maintain, and have on file, a contractor's bond in order to be licensed by the Board. This section will go into effect on January 1, 2023. This bond requirement in the amount of \$25,000 will be a condition for the issuance, reissuance, renewal or restoration of a license to an applicant, or for the approval of an application for a change of officers for a corporation, limited liability company or a removal of a suspension, or the continued use of a valid license that has been stayed or revoked.

SB 757 (Limón, Chapter 249, Statutes of 2021) includes "solar energy system" in the definition of "home improvement." This bill makes it a misdemeanor for a home improvement salesperson to assist, recommend, select, or guide an owner or tenant in the selection of a contractor for home improvement goods or services if notification of employment by the home improvement contractor has not been received by the Board.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	N
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
APPLICATION FEE (INCLUDES EXAM FEE)	\$330	\$375
INITIAL LICENSURE FEE	\$200	\$225
TOTAL INITIAL LICENSE FEE	\$530	\$600
ADDITIONAL CLASSIFICATION FEE (ORIGINAL LICENSE)	\$75	\$85
ADDITIONAL CLASSIFICATION FEE (EXISTING LICENSE)	\$150	\$175
BIENNIAL RENEWAL FEE	\$450	\$450

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
HOME IMPROVEMENT SALESPERSON REGISTRATION	11,653	6,545	6,673
ORIGINAL CONTRACTORS LICENSE	22,190	13,082	125,671
TOTAL	33,843	19,627	132,344

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
HOME IMPROVEMENT SALESPERSON REGISTRATION	N/A	22,814	N/A
ORIGINAL CONTRACTORS LICENSE	3,603	282,441	N/A
TOTAL	3,603	305,255	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
ORIGINAL CONTRACTORS LICENSE	EVERY 2 YEARS	N/A
HOME IMPROVEMENT SALESPERSON REGISTRATION	EVERY 2 YEARS	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
LAW AND BUSINESS	9,779	6,945	16,724
GENERAL ENGINEERING (A)	505	265	770
GENERAL CONTRACTOR (B)	3,755	3,354	7,109
ASBESTOS CERTIFICATION	13	15	28
HAZARDOUS CERTIFICATION	53	41	94
INSULATION AND ACOUSTICAL (C-2)	32	91	123
BOILER, HOT-WATER HEATING AND STEAM FITTING (C-4)	15	18	33
FRAMING AND ROUGH CARPENTRY (C-5)	58	78	136
CABINET, MILLWORK AND FINISH CARPENTRY (C-6)	166	193	359
LOW VOLTAGE SYSTEMS (C-7)	219	185	404
CONCRETE (C-8)	292	327	619
DRYWALL (C-9)	139	321	460
ELECTRICAL (C-10)	1,026	819	1,845
ELEVATORS (C-11)	6	15	21
EARTHWORK AND PAVING (C-12)	92	84	176
FENCING (C-13)	77	69	146
FLOORING (C-15)	272	284	556

CONTRACTORS STATE LICENSE BOARD

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
FIRE PROTECTION (C-16)	74	135	209
GLAZING (C-17)	132	153	285
WARM-AIR HEATING, VENTILATING AND AIR CONDITIONING (C-20)	588	593	1,181
BUILDING MOVING/DEMOLITION (C-21)	63	89	152
ASBESTOS ABATEMENT (C-22)	15	6	21
ORNAMENTAL METAL (C-23)	39	49	88
LANDSCAPING (C-27)	462	843	1,305
LOCK AND SECURITY EQUIPMENT (C-28)	14	19	33
MASONRY (C-29)	49	81	130
CONSTRUCTION ZONE TRAFFIC CONTROL (C-31)	32	18	50
PARKING AND HIGHWAY IMPROVEMENT (C-32)	13	17	30
PAINTING AND DECORATING (C-33)	617	917	1,534
PIPELINE (C-34)	18	29	47
LATHING AND PLASTERING (C-35)	65	93	158
PLUMBING (C-36)	678	536	1,214
REFRIGERATION (C-38)	48	40	88
ROOFING (C-39)	310	407	717
SANITATION SYSTEM (C-42)	33	41	74
SHEETING METAL (C-43)	51	21	72
SIGN (C-45)	35	20	55
SOLAR (C-46)	74	90	164
MANUFACTURED HOUSING (C-47)	33	20	53
REINFORCING STEEL (C-50)	17	16	33
STRUCTURAL STEEL (C-51)	75	53	128
SWIMMING POOL (C-53)	117	124	241
TILE (C-54)	250	272	522
WATER CONDITIONING (C-55)	9	12	21
WELL DRILLING (C-57)	17	15	32
WELDING (C-60)	52	34	86
TOTAL	20,479	17,847	38,326

Summary of Enforcement Activity

Consumer Complaints—Intake	
15,098	RECEIVED
314	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
14,784	REFERRED FOR INVESTIGATION
1,561	PENDING

Conviction/Arrest Notification Complaints	
718	RECEIVED
N/A	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
718	REFERRED FOR INVESTIGATION
155	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
15,502	OPENED
16,851	CLOSED
3,000	PENDING

Number of Days to Complete Intake and Investigations	
11,998	UP TO 90 DAYS
1,823	91 TO 180 DAYS
2,890	181 DAYS TO 1 YEAR
137	1 TO 2 YEARS
1	2 TO 3 YEARS
2	OVER 3 YEARS
97	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
1,231	ISSUED
1,231	ISSUED WITH A FINE
55	WITHDRAWN
4	DISMISSED
222	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$3,392,200	ASSESSED
\$594,000	REDUCED
\$1,672,657	COLLECTED

Criminal/Civil Actions	
683	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
202	CASES OPENED/INITIATED
321	CASES CLOSED
331	CASES PENDING

Number of Days to Complete Attorney General Cases	
170	UP TO 1 YEAR
118	1 TO 2 YEARS
25	2 TO 3 YEARS
8	OVER 3 YEARS
831	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
13	STATEMENTS OF ISSUES FILED
152	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
7	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
7	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
8	LICENSE APPLICATIONS DENIED
252	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
1	SUSPENSION ONLY
115	PROBATION ONLY
4	PUBLIC REPRIMAND
51	OTHER DECISIONS
431	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
NO DATA AVAILABLE	GRANTED
NO DATA AVAILABLE	DENIED

Cost Recovery	
\$2,853,603	ORDERED
\$566,905	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$4,190,182	RESTITUTION ORDERED
\$43,692,450	AMOUNT REFUNDED
\$1,693,704	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
\$49,576,336	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
3	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
97	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
606	AVERAGE NUMBER OF DAYS



COURT REPORTERS BOARD OF CALIFORNIA

Licenses and regulates certified shorthand reporters, also known as court reporters, and oversees California’s schools of court reporting. Also manages the Transcript Reimbursement Fund, which reimburses costs of transcripts for low-income litigants.

www.courtreportersboard.ca.gov

STAFF:

3.5 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

5,854

BOARD MEMBERSHIP:

3 public representatives
2 licensees

BOARD STAFF:

Executive Officer: Yvonne K. Fenner
yvonne.fenner@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 8000–8047

California Code of Regulations, Division 24,
title 16, §§ 2400–2481

SUNSET REVIEW:

Last review: 2019 Next review: 2023

Board Highlights

RECIPROCITY

The Board does not have reciprocity.

ACCOMPLISHMENTS

Outreach

The Board, with the assistance of the Department of Consumer Affairs (DCA) Communications Division, offered a new e-publication titled “5 Reasons Why You Should Choose a Licensed Court Reporter.” The Board shared this publication with bar associations and posted it on the Board’s website as a reminder to consumers on how best to protect themselves when hiring a court reporter.

The Board approved the publication “Best Practices for Remote Reporting” to assist licensees in making the transition to reporting via remote reporting platforms.

Enhancements

In August 2020, the Board began accepting online payments for court reporter license renewals, providing cost savings and convenience for court reporters who prefer online payment. The feature is becoming more widely used, resulting in less staff time to process paper renewals.

On November 2, 2020, the Transcript Reimbursement Fund (TRF), which helps qualified indigent litigants pay for transcripts of civil proceedings, reopened after a two-year closure due to lack of funding. The Board took restorative measures to increase its revenue and decrease expenditures, resulting in a budget reserve healthy enough to transfer funds to the TRF. Prior to reopening the fund, staff worked with the DCA Office of Public Affairs to revise applications and guidelines to assist applicants in understanding the process. The Board also updated its website with a dedicated tab for the TRF, streamlining the process for applicants.

Regulations

The Office of Administrative Law approved the Board’s Assembly Bill 2138 (Chiu, Chapter 995, Statutes of 2018) implementation regulations package, which requires boards to amend their existing regulations governing substantially related crimes or acts as well as rehabilitation criteria. The regulations package, published February 21, 2020, went into effect May 12, 2021. The regulations make it clear to the public and licensees what criteria are used in determining whether a conviction is substantially related to the practice of court reporting.

Exam Updates

Due to the COVID-19 pandemic, the Board moved the skills portion of the license exam to an online platform, which has saved travel costs for staff and candidates. Board staff worked with the DCA Office of Public Affairs to record and produce the four voice video examinations and warm-up materials for each exam.

NEW LEGISLATION

There was no enacted legislation solely related to the Board in 2021.

License Requirements

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENT	Y

Fees*

License Type	Actual Fee	Statutory Limit
COURT REPORTER/RENEWAL FEE	\$225	\$250
COURT REPORTER/LATE RENEWAL FEE	\$112.50	\$125
COURT REPORTER/LICENSE ISSUANCE	\$225	\$250
COURT REPORTER/APPLICATION FEE	\$40	\$40
COURT REPORTER/EXAM FEE	\$25	\$75
COURT REPORTER/DUPLICATE WALL CERTIFICATE	\$5	\$10

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
CERTIFIED SHORTHAND REPORTER	39	39	5,815
TOTAL	39	39	5,815

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CERTIFIED SHORTHAND REPORTER	N/A	5,854	N/A
TOTAL	N/A	5,854	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
CERTIFIED SHORTHAND REPORTER	YEARLY	NONE

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
DICTIONATION	46	168	214
ENGLISH	68	39	107
PROFESSIONAL PRACTICE	63	35	98

Summary of Enforcement Activity

Consumer Complaints—Intake	
105	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
105	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
1	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
105	OPENED
184	CLOSED
8	PENDING

COURT REPORTERS BOARD OF CALIFORNIA

Number of Days to Complete Intake and Investigations	
88	UP TO 90 DAYS
20	91 TO 180 DAYS
21	181 DAYS TO 1 YEAR
20	1 TO 2 YEARS
12	2 TO 3 YEARS
23	OVER 3 YEARS
348*	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

*Total updated June 2022 (online only) to correct error.

Citations and Fines	
11	ISSUED
11	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
130	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$14,000	ASSESSED
\$1,950	REDUCED
\$6,800	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
6	CASES OPENED/INITIATED
7	CASES CLOSED
1	CASES PENDING

Number of Days to Complete Attorney General Cases	
5	UP TO 1 YEAR
2	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
328	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
4	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
3	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
3	REVOCAION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
2	PROBATION ONLY
0	PUBLIC REPRIMAND
1	OTHER DECISIONS
7	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
1	GRANTED
0	DENIED

Cost Recovery	
\$6,522.50	ORDERED
\$6,639.50	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$4,052.06	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$4,052.06	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
1	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
347	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
237	AVERAGE NUMBER OF DAYS



Licenses and regulates dentists, registered dental assistants, registered dental assistants in extended functions, and holders of orthodontic assistant and dental sedation assistant permits.

www.dbc.ca.gov

STAFF:

83 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

203,575

BOARD MEMBERSHIP:

5 public representatives
10 licensees

BOARD STAFF:

Executive Officer: Karen Fischer
karen.fischer@dca.ca.gov

Assistant Executive Officer: Sarah Wallace
sarah.wallace@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 1600–1808,
§§ 1970–1976

California Code of Regulations, Division 10,
title 16, §§ 1000–1087

SUNSET REVIEW:

Last review: 2019 Next review: 2023

Board Highlights

RECIPROCITY

The Dental Board of California accepts out-of-state dental licenses in good standing. The requirements to obtain a dental license by credential include, but are not limited to:

Dentists

- A completed application and payment of all fees.
- A current license issued by another state to practice dentistry that is not revoked, suspended, or otherwise restricted.
- Proof that the applicant has either been in active clinical practice or has been a full-time faculty member in an accredited dental education program and in active clinical practice for a total of at least 5,000 hours in five of the seven consecutive years immediately preceding the date of his or her application.
- Residency—Maximum of two years of clinical practice credit allowed for a residency training program accredited by the American Dental Association Commission on Dental Accreditation. With two years of clinical practice, or a completed residency, the remainder of the five-year requirement may be fulfilled with a contract to teach or to practice in settings specified in Business and Professions Code section 1635.5(a)(3)(B) and section 1635.5(a)(3)(C).
- The applicant may not have failed the California licensure exam or the Western Regional Examining Board (WREB) clinical exam within the last five years. A letter from WREB stating that the applicant has not failed the WREB exam must be submitted as proof.
- Fifty units of continuing education in the last two years, including current mandatory courses.

Registered Dental Assistants

- Reciprocity is not offered to practice as a registered dental assistant (RDA) in California.

ACCOMPLISHMENTS

Appointments and Board Leadership

Governor Gavin Newsom appointed three new members and reappointed six members to the Board, and the speaker of the Assembly appointed one new public member. Additionally, the Board appointed four new members to its Dental Assisting Council to consider all matters relating to dental assisting in California.

For the first time in its history, the Board elected a registered dental hygienist as president.

Examinations and Licensing

As a result of the COVID-19 pandemic, the regional examinations for dental licensure were no longer able to administer live patient-based licensure examinations. Working with the Department of Consumer Affairs (DCA) Office of Professional Examination Services (OPES), the Board determined it could accept manikin-based examinations for dentist licensure in California. Acceptance of manikin-based examinations permitted the Board to continue licensing competent dentists in California during the COVID-19 pandemic.

Additionally, the Board continued to work with OPES on the review of its written examinations and completed an occupational analysis of the orthodontic assistant scope of practice.

At the end of fiscal year 2020–21, the Board moved to paperless (online) renewals. As a result, the Board is reducing its carbon footprint by conserving natural resources, reducing mailing costs, and making the best use of licensee and registrant renewal fees. Most importantly, moving to online renewals significantly reduced renewal processing times, as completed licensee and permit renewals can be processed instantly.

Consumer Protection

The Board partnered with other agencies to conduct operations to strengthen consumer protection.

In February 2021, the Board partnered with the U.S. Drug Enforcement Administration, Bureau of Medi-Cal Fraud and Elder Abuse, Health and Human Services, and local jurisdictions on a criminal search warrant that led to the arrest of a licensee for the alleged distribution of drugs and narcotics. The licensee surrendered his dental license to the Board.

In June 2021, the Board conducted a joint investigation with the Los Angeles Police Department and the Department of Justice involving allegations of a Board licensee committing sexual battery and Medi-Cal fraud. This joint investigation led to the arrest of the licensee and the suspension of his license.

NEW LEGISLATION

AB 526 (Wood, Chapter 653, Statutes of 2021)

authorizes a licensed dentist to independently prescribe and administer COVID-19 and influenza (flu) vaccines that are approved by the U.S. Food and Drug Administration for persons three years of age or older. This bill also adds dentists to the list of professions allowed to serve as “laboratory directors,” and allows them to perform certain low risk tests, such as those for COVID-19. Additionally, vaccine training provided through the California Pharmacists Association or the federal Centers for Disease Control and Prevention will now count toward the fulfillment of dentists’ continuing education requirements.

License Requirements

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y*
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Does not apply for registered dental assistant.

Fees*

License Type	Actual Fee	Statutory Limit
DENTIST LICENSURE BY WREB¹ EXAMINATION		
APPLICATION FEE	\$400	\$1,000
INITIAL LICENSURE FEE ²	\$650	\$800
DENTAL LAW AND ETHICS APPLICATION	\$125	\$250
DENTAL LAW AND ETHICS EXAM FEE	\$24.75	ACTUAL COST OF EXAM SET BY PSI ⁴
WREB EXAMINATION	\$2,185–\$2,420	SET BY WREB
TOTAL INITIAL LICENSE FEE	\$3,384.75–\$3,619.75	N/A
BIENNIAL RENEWAL FEE	\$650	\$800
DENTIST LICENSURE BY ADEX³ EXAMINATION		
APPLICATION FEE	\$400	\$1,000
INITIAL LICENSURE FEE	\$650	\$800
DENTAL LAW AND ETHICS APPLICATION	\$125	\$250
DENTAL LAW AND ETHICS EXAM FEE	\$24.75	ACTUAL COST OF EXAM SET BY PSI
ADEX EXAMINATION	\$2,295	SET BY ADEX
TOTAL INITIAL LICENSE FEE	\$3,494.75	N/A
BIENNIAL RENEWAL FEE	\$650	\$800

Summary of Licensing Activity

License Type	Actual Fee	Statutory Limit
DENTIST LICENSURE BY PORTFOLIO		
APPLICATION FEE	\$400	\$1,500
INITIAL LICENSURE FEE	\$650	\$800
DENTAL LAW AND ETHICS APPLICATION	\$125	\$250
DENTAL LAW AND ETHICS EXAM FEE	\$24.75	ACTUAL COST OF EXAM SET BY PSI
TOTAL INITIAL LICENSE FEE	\$1,199.75	N/A
BIENNIAL RENEWAL FEE	\$650	\$800
DENTIST LICENSURE BY RESIDENCY		
APPLICATION FEE	\$800	\$1,000
INITIAL LICENSURE FEE	\$650	\$800
DENTAL LAW AND ETHICS APPLICATION	\$125	\$250
DENTAL LAW AND ETHICS EXAM FEE	\$24.75	ACTUAL COST OF EXAM SET BY PSI
TOTAL INITIAL LICENSE FEE	\$1,599.75	N/A
BIENNIAL RENEWAL FEE	\$650	\$800
DENTIST LICENSURE BY CREDENTIAL		
APPLICATION FEE	\$525	\$1,000
INITIAL LICENSURE FEE	\$650	\$800
TOTAL INITIAL LICENSE FEE	\$1,175	N/A
BIENNIAL RENEWAL FEE	\$650	\$800
REGISTERED DENTAL ASSISTANT		
APPLICATION FEE	\$120	\$200
GENERAL AND LAW AND ETHICS EXAM	\$42.35	ACTUAL COST OF EXAM SET BY PSI
TOTAL INITIAL LICENSE FEE	\$158.50	N/A
BIENNIAL RENEWAL FEE	\$100	\$200
REGISTERED DENTAL ASSISTANT (RDAEF)⁵		
APPLICATION FEE	\$120	\$200
PRACTICAL EXAMINATION FEE	\$500	ACTUAL COST OF EXAM
WRITTEN EXAMINATION FEE	\$24.75	ACTUAL COST OF EXAM SET BY PSI
TOTAL INITIAL LICENSE FEE	\$644.75	N/A
BIENNIAL RENEWAL FEE	\$100	\$200

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ADDITIONAL OFFICE PERMIT (AO)	581	373	1,339
BOARD APPROVED FOREIGN DENTAL (DDS) SCHOOLS	0	N/A	N/A
CODA APPROVED CALIFORNIA DENTAL (DDS) SCHOOLS	0	N/A	N/A
CONSCIOUS SEDATION PERMIT (CS)	67	46	264
DENTAL SEDATION ASSISTANT (DSA)	8	3	16
DENTAL SEDATION ASSISTANT (DSA) COURSES	9	2	0
DENTIST LICENSE (DDS)	2,014	1,430	17,926
ELECTIVE FACIAL COSMETIC SURGERY PERMIT (EFCS)	2	2	13
FICTITIOUS NAME PERMIT (FNP)	1,061	763	3,405
GENERAL ANESTHESIA (GA) PERMIT	67	62	432
MEDICAL GENERAL ANESTHESIA PERMIT (MGA)	37	36	61
MOBILE DENTAL CLINIC PERMIT	27	22	23
ORAL CONSCIOUS SEDATION CERTIFICATE (OCS)	131	136	1,143
ORAL AND MAXILLOFACIAL SURGERY PERMIT (OMS)	3	4	41
ORTHODONTIC ASSISTANT (OA)	243	129	609
ORTHODONTIC ASSISTANT (OA) COURSES	9	7	0
REGISTERED DENTAL ASSISTANT (RDA)	2,489	1,732	16,675
REGISTERED DENTAL ASSISTANT (RDA) PROGRAMS	0	0	0
REGISTERED DENTAL ASSISTANT IN EXTENDED FUNCTIONS (RDAEF)	135	5	760
REGISTERED DENTAL ASSISTANT IN EXTENDED FUNCTIONS (RDAEF) PROGRAMS	0	0	0
REGISTERED PROVIDER PERMIT (RP)	73	45	348
SPECIAL PERMIT (SP)	5	4	33
TOTAL	6,961	4,801	43,088

*Additional fees may be required. Refer to the laws and regulations for details.

¹WREB is the Western Regional Examining Board and sets its exam fee based on testing location costs.

²Initial license fee prorated. AB 179 (Bonilla, Chapter 510, Statutes of 2015) authorizes the Board to raise specified fees.

³ADEX is the American Board of Dental Examiners and sets its own exam fees.

⁴PSI (Psychological Services Inc.) is a testing vendor that offers computer-based tests for DDS and RDA applicants. PSI has their own testing fees that do not include Board fees.

⁵Registered dental assistant in extended functions.

DENTAL BOARD OF CALIFORNIA

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ADDITIONAL OFFICE PERMIT (AO)	10,922	N/A	N/A
BOARD APPROVED FOREIGN DENTAL (DDS) SCHOOLS	0	N/A	2
CODA APPROVED CALIFORNIA DENTAL SCHOOLS (DDS)	0	N/A	6
CONSCIOUS SEDATION PERMIT (CS)	1,204	N/A	N/A
DENTAL SEDATION ASSISTANT (DSA)	58	N/A	N/A
DENTAL SEDATION ASSISTANT (DSA) COURSES	N/A	N/A	43
DENTIST LICENSE (DDS)	N/A	62,964	N/A
ELECTIVE FACIAL COSMETIC SURGERY PERMIT (EFCS)	37	N/A	N/A
FICTITIOUS NAME PERMIT (FNP)	16,389	N/A	N/A
GENERAL ANESTHESIA (GA) PERMIT	2,034	N/A	N/A
MEDICAL GENERAL ANESTHESIA PERMIT (MGA)	381	N/A	N/A
MOBILE DENTAL CLINIC PERMIT	162	N/A	N/A
ORAL CONSCIOUS SEDATION CERTIFICATE (OCS)	4,148	N/A	N/A
ORAL AND MAXILLOFACIAL SURGERY PERMIT (OMS)	128	N/A	N/A
ORTHODONTIC ASSISTANT (OA)	1,600	N/A	N/A
ORTHODONTIC ASSISTANT (OA) COURSES	N/A	N/A	165
REGISTERED DENTAL ASSISTANT (RDA)	N/A	96,768	N/A
REGISTERED DENTAL ASSISTANT (RDA) PROGRAMS	N/A	N/A	134

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
REGISTERED DENTAL ASSISTANT IN EXTENDED FUNCTIONS (RDAEF)	N/A	2,241	N/A
REGISTERED DENTAL ASSISTANT IN EXTENDED FUNCTIONS (RDAEF) PROGRAMS	N/A	N/A	12
REGISTERED PROVIDER PERMIT (RP)	3,942	N/A	N/A
SPECIAL PERMIT (SP)	235	N/A	N/A
TOTAL	41,240	161,973	362

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
DENTIST (DDS) LICENSE	EVERY 2 YEARS	50
ELECTIVE FACIAL COSMETIC SURGERY PERMIT	EVERY 2 YEARS	0
GENERAL ANESTHESIA (GA) PERMIT	EVERY 2 YEARS	24
MEDICAL GENERAL ANESTHESIA PERMIT	EVERY 2 YEARS	24
CONSCIOUS SEDATION PERMIT	EVERY 2 YEARS	15
ORAL CONSCIOUS SEDATION CERTIFICATE	EVERY 2 YEARS	7
SPECIAL PERMIT	EVERY YEAR	25
ORAL MAXILLOFACIAL SURGERY PERMIT	EVERY 2 YEARS	50
ADDITIONAL OFFICE PERMIT	EVERY 2 YEARS	0
MOBILE DENTAL CLINIC PERMIT	EVERY 2 YEARS	0
REGISTERED PROVIDER PERMIT	EVERY 2 YEARS	0
FICTITIOUS NAME PERMIT	EVERY 2 YEARS	0
REGISTERED DENTAL ASSISTANT (RDA)	EVERY 2 YEARS	25
REGISTERED DENTAL ASSISTANT IN EXTENDED FUNCTIONS (RDAEF)	EVERY 2 YEARS	25
ORTHODONTIC ASSISTANT (OA)	EVERY 2 YEARS	25
DENTAL SEDATION ASSISTANT (DSA)	EVERY 2 YEARS	25

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
RDA COMBINED	1,686	880	2,566
RDAEF LAW AND ETHICS	157	31	188
RDAEF CLINICAL	0	0	0
RDAEF PRACTICAL	0	0	0
DDS LAW AND ETHICS	992	160	1,152
DSA WRITTEN	3	2	5
OA WRITTEN	135	215	350
DDS LAW AND ETHICS FOR SP	4	0	4

Summary of Enforcement Activity

Consumer Complaints—Intake

3,718	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
3,778	REFERRED FOR INVESTIGATION
28	PENDING

Conviction/Arrest Notification Complaints

586	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
576	REFERRED FOR INVESTIGATION
35	PENDING

Inspections

52	CONDUCTED
0	CITATIONS ISSUED

Investigations

4,354	OPENED
3,977	CLOSED
2,677	PENDING

Number of Days to Complete Intake and Investigations

2,603	UP TO 90 DAYS
601	91 TO 180 DAYS
190	181 DAYS TO 1 YEAR
364	1 TO 2 YEARS
190	2 TO 3 YEARS
29	OVER 3 YEARS
154	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines

72	ISSUED
63	ISSUED WITH A FINE
6	WITHDRAWN
1	DISMISSED
301	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines

\$42,450	ASSESSED
\$0	REDUCED
\$21,650	COLLECTED

Criminal/Civil Actions

6	REFERRALS FOR CRIMINAL/CIVIL ACTION
6	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions

209	CASES OPENED/INITIATED
92	CASES CLOSED
226	CASES PENDING

DENTAL BOARD OF CALIFORNIA

Number of Days to Complete Attorney General Cases	
42	UP TO 1 YEAR
33	1 TO 2 YEARS
11	2 TO 3 YEARS
6	OVER 3 YEARS
1,121	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
6	STATEMENTS OF ISSUES FILED
96	ACCUSATIONS FILED
6	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
3	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
6	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
21	REVOCAION
19	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
3	SUSPENSION ONLY
38	PROBATION ONLY
7	PUBLIC REPRIMAND
16	OTHER DECISIONS
105	TOTAL

Petition for Modification or Termination of Probation	
4	GRANTED
1	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
1	GRANTED
0	DENIED

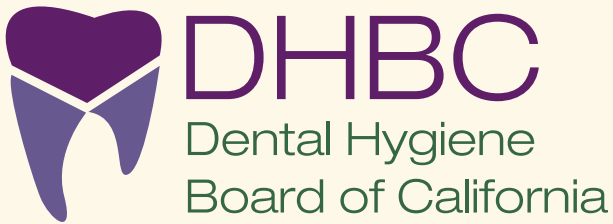
Cost Recovery	
\$274,282.04	ORDERED
\$181,549.94	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
9	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
146	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
477	AVERAGE NUMBER OF DAYS



Licenses and regulates registered dental hygienists, registered dental hygienists in extended functions, and registered dental hygienists in alternative practice. Also oversees and approves all California dental hygiene educational programs.

www.dhbc.ca.gov

STAFF:

12 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

18,859

BOARD MEMBERSHIP:

4 public representatives
5 licensees

BOARD STAFF:

Executive Officer: Anthony Lum
anthony.lum@dca.ca.gov

Assistant Executive Officer: Elizabeth Elias
elizabeth.elias@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 1900–1967.4

California Code of Regulations, Division 10,
title 16, §§ 1000–1023.8, §§ 1067–1090.1

California Code of Regulations, Division 11,
title 16, §§ 1100–1153

SUNSET REVIEW:

Last review: 2018 Next review: 2023

Board Highlights

RECIPROCITY

Pursuant to Business and Professions Code section 1917.1, registered dental hygienists who are licensed in good standing in a state other than California for five years or more may achieve “licensure by credential” rather than through examination.

ACCOMPLISHMENTS

Licensing Improvements

The Board implemented a new continuing education (CE) audit program and hired staff to conduct CE audits of its licensees for license renewal compliance on an ongoing basis.

The Board implemented an Alternative Pathway to Licensure Taskforce to research, review, and recommend alternative pathways available to obtain a California dental hygiene license that is different from the current examination process.

The Board requested the Department of Consumer Affairs (DCA) Office of Professional Examination Services to conduct an analysis of both the live, patient-based clinical examinations and the alternative, manikin-based clinical examinations administered by the Western Regional Examining Board, the Central Regional Dental Testing Services (CRDTS), and the Commission on Dental Competency Assessments/American Board of Dental Examiners (CDCA/ABDE) in a concerted effort to determine whether clinical examinations for dental hygiene students were needed in the future to obtain the license.

The Board also revised and updated its statutes book and posted it on the website to reflect the changes implemented on January 1, 2021.

COVID-19 Response

During the COVID-19 pandemic, the Board implemented several provisions to allow students and applicants to proceed in their pursuit of licensure without interruption. The first provision was to obtain an approved waiver from DCA specific to the wet laboratory education requirement. This allows potential dental hygiene students to complete their biomedical prerequisite coursework online instead of in person at the campus wet laboratory. The second provision was to temporarily accept a manikin-based clinical examination administered by Central Regional Dental Testing Services, the Western Regional Examining Board, and CDCA/ABDE in lieu of the live, patient-based clinical examinations, as many administrations of the live exam were cancelled during the pandemic.

Also, during the peak of the pandemic, licensed dental hygienists were approved to administer COVID-19 vaccines under certain conditions and after the completion of specific vaccine administration training to assist in its distribution. DCA also temporarily waived CE requirements for licensees who had a CE deficiency at the time of their license expiration from March 31, 2020, through October 31, 2021.

The Board conducted its board meetings online through Webex during the pandemic and found that this format increased participation on average by 200%.

NEW LEGISLATION

AB 526 (Wood, Chapter 653, Statutes of 2021) vaccine training provided through the California Pharmacists Association or the U.S. Centers for Disease Control and Prevention will now count toward the fulfillment of dental hygienist’s continuing education requirements.

SB 534 (Jones, Chapter 491, Statutes of 2021) makes a series of consumer protection and technical changes to the laws governing Board licensees including: (1) creating special teaching Board permits issued by the Board subject to expiration after four years and requires those permit-holders and applicants for licensure by reciprocity to prove they have completed specific education; (2) imposes consumer safety requirements on mobile dental hygiene clinics; (3) includes providing a false statement in the statutory definition of unprofessional conduct. This bill also makes minor, non-substantive changes to the Dental Hygienist’s Practice Act.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
REGISTERED DENTAL HYGIENIST		
APPLICATION FEE (WREB, CRDTS, OR LICENSURE BY CREDENTIAL)	\$100	\$250
LICENSE ISSUANCE FEE	\$100	\$250
BIENNIAL LICENSE RENEWAL FEE	\$160	\$500
LICENSE RENEWAL DELINQUENCY FEE	\$80	1/2 LICENSE RENEWAL FEE
REGISTERED DENTAL HYGIENIST IN ALTERNATIVE PRACTICE		
APPLICATION FEE	\$100	\$250
LICENSE ISSUANCE FEE	\$250	\$250
BIENNIAL LICENSE RENEWAL FEE	\$160	\$500
LICENSE RENEWAL DELINQUENCY FEE	\$80	1/2 LICENSE RENEWAL FEE
REGISTERED DENTAL HYGIENIST IN EXTENDED FUNCTIONS		
BIENNIAL LICENSE RENEWAL FEE	\$160	\$500
LICENSE RENEWAL DELINQUENCY FEE	\$80	1/2 LICENSE RENEWAL FEE
FICTICIOUS NAME PERMIT		
APPLICATION FEE—PERMIT ISSUED MORE THAN 1 YEAR	\$160	\$500
APPLICATION FEE—PERMIT ISSUED LESS THAN 1 YEAR	\$80	\$500
BIENNIAL PERMIT RENEWAL FEE	\$160	\$500
PERMIT RENEWAL DELINQUENCY FEE	\$80	1/2 LICENSE RENEWAL FEE
MISCELLANEOUS FEES		
DUPLICATE LICENSE FEE	\$25	1/2 LICENSE RENEWAL FEE
CERTIFICATION OF LICENSURE FEE	\$25	1/2 LICENSE RENEWAL FEE

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
FICTITIOUS NAME PERMITS	18	14	36
REGISTERED DENTAL HYGIENIST	960	802	9,590
REGISTERED DENTAL HYGIENIST IN ALTERNATIVE PRACTICE	90	71	296
REGISTERED DENTAL HYGIENIST IN EXTENDED FUNCTIONS	0	0	6
TOTAL	1,068	887	9,928

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
FICTITIOUS NAME PERMITS	116	N/A	N/A
REGISTERED DENTAL HYGIENIST	N/A	18,067	N/A
REGISTERED DENTAL HYGIENIST IN ALTERNATIVE PRACTICE	N/A	654	N/A
REGISTERED DENTAL HYGIENIST IN EXTENDED FUNCTIONS	N/A	22	N/A
TOTAL	116	18,743	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
REGISTERED DENTAL HYGIENIST	EVERY 2 YEARS	25
REGISTERED DENTAL HYGIENIST IN ALTERNATIVE PRACTICE	EVERY 2 YEARS	35
REGISTERED DENTAL HYGIENIST IN EXTENDED FUNCTIONS	EVERY 2 YEARS	25

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
REGISTERED DENTAL HYGIENIST LAW AND ETHICS EXAMINATION	815	102	917
REGISTERED DENTAL HYGIENIST IN ALTERNATIVE PRACTICE LAW AND ETHICS EXAMINATION	79	18	97

The DHBC no longer administers a clinical examination and relies on regional examination results for licensure (WREB and CRDTS).

Summary of Enforcement Activity

Consumer Complaints—Intake	
338	RECEIVED
3	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
409	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
91	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
91	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
500	OPENED
447	CLOSED
118	PENDING

Number of Days to Complete Intake and Investigations	
223	UP TO 90 DAYS
35	91 TO 180 DAYS
176	181 DAYS TO 1 YEAR
8	1 TO 2 YEARS
3	2 TO 3 YEARS
2	OVER 3 YEARS
149	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
75	ISSUED
75	ISSUED WITH A FINE
2	WITHDRAWN
2	DISMISSED
246	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

DENTAL HYGIENE BOARD OF CALIFORNIA

Total Amount of Fines	
\$10,000	ASSESSED
\$500	REDUCED
\$8,638	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
3	CASES OPENED/INITIATED
15	CASES CLOSED
8	CASES PENDING

Number of Days to Complete Attorney General Cases	
3	UP TO 1 YEAR
6	1 TO 2 YEARS
3	2 TO 3 YEARS
3	OVER 3 YEARS
676	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
6	REVOCATION
3	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
5	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
14	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED


Cost Recovery	
\$31,425.25	ORDERED
\$11,658.37	COLLECTED

Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
3	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
146	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
400	AVERAGE NUMBER OF DAYS



**BUREAU OF
HOUSEHOLD GOODS
AND SERVICES**

Registers and regulates electronic and appliance repair businesses and has jurisdiction over the sale and administration of service contracts on various consumer products. Licenses and regulates the manufacture and sale (retail, wholesale, and import) of upholstered furniture and bedding, supply dealers, custom upholsterers, bedding sanitizers, and the manufacture of thermal insulation products, and tests for flammability and sanitization. Permits and regulates the transport of household goods and personal effects by household movers and brokers.

www.bhgs.dca.ca.gov

STAFF:

62.9 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

41,797

ADVISORY COUNCIL MEMBERSHIP:

3 public representatives
7 industry members

BUREAU STAFF:

Chief: Justin Paddock
justin.paddock@dca.ca.gov

Deputy Chief: Vacant

LAWS AND REGULATIONS:

Business and Professions Code §§ 9800–9874;
§§ 19000–19221; §§ 19225–19294

California Code of Regulations, Division 27,
title 16, §§ 2700–2775

California Code of Regulations, Division 3,
title 4, §§ 1101–1383.6

SUNSET REVIEW:

Last review: 2018 Next review: 2023

Bureau Highlights

RECIPROCITY

The Bureau does not have reciprocity.

ACCOMPLISHMENTS

State Flammability Standard for Upholstered Furniture Now the National Standard

The Bureau developed and implemented the performance standard for upholstered furniture, Technical Bulletin (TB) 117-2013, in 2015. The intent of the standard is to slow the propagation of upholstered furniture fires and reduce the probability of death or injury by providing an opportunity for escape.

California was the only state with a mandatory flammability standard for upholstered furniture until December 2020 when Congress signed H.R. 133, “COVID-19 Regulatory Relief and Work from Home Safety Act.” The Act adopted the Bureau’s flammability standard as the national standard and requires the U.S. Consumer Product Safety Commission to promulgate regulations for the implementation and enforcement of TB 117-2013. This has resulted in a collaborative effort to gain insight into the Bureau’s experience with testing efforts and guidelines.

Outreach

The Bureau participated in two national conferences to provide background and expertise on California’s flammability and label requirements related to TB 117-2013. With the anticipated adoption of TB 117-2013 as the federal standard, the conferences allowed Bureau representatives to educate stakeholders about TB 117-2013 and the Bureau’s testing protocols.

The Bureau presented in the American Home Furnishings Alliance Webinar Series—“Unwrapping the Federal Flammability Bill”—in February 2021. In addition, the Bureau participated in the International Association of Bedding and Furniture Labeling Officials’ 86th National Conference in April 2021. During the conferences, Bureau representatives clarified to stakeholders H.R. 133 does not preempt existing upholstered furniture-related mandates in California such as labeling requirements under Senate Bill 1019 (Leno, Chapter 862, Statutes of 2014) and TB 117-2013.

BUREAU OF HOUSEHOLD GOODS AND SERVICES

Bureau representatives met with the California Moving and Storage Association (CMSA) to present at the 103rd Annual CMSA Convention. Bureau representatives provided updates about developments within the Bureau's Enforcement, Licensing, and Policy units, as well as future goals for continued implementation of the Household Movers Act.

The Bureau served as a member of the COVID-19 Task Force to provide health and safety guidance and education to California businesses. The Bureau contacted approximately 20 businesses each week to help ensure compliance with public health orders to protect California consumers, employees, and business owners.

Strengthened Enforcement

The Bureau has taken several actions to strengthen enforcement resources and enhance its ability to reduce fraud and consumer harm. In October 2020, the Bureau executed a Memorandum of Agreement (MOA) with the Federal Motor Carrier Safety Administration (FMCSA) to complement the Bureau's enforcement program for household movers. Through this agreement, the Bureau obtained access to FMCSA national licensing, enforcement, and complaint databases to help identify owners, partners, locations, and histories of illegal behavior of household movers subject to a Bureau investigation.

The MOA authorizes the Bureau to enforce federal law pertaining to household movers performing interstate moves and allows the Bureau to retain any fines collected resulting from Bureau enforcement. FMCSA worked with the Bureau to train employees and managers on the federal laws the Bureau will be enforcing. In addition, FMCSA trained personnel on how to use databases to research and report findings of Bureau investigations.

Collaboration With Department of Real Estate

The Bureau collaborated with the Department of Real Estate (DRE) to encourage its 450,000 licensees to refer their clients only to permitted household movers. Unpermitted household movers are a primary source of consumer complaints received by the Bureau due to their unethical practices. Through this joint effort, the DRE published an article in its "Summer 2021 Real Estate Bulletin." This article was developed to help real estate brokers prevent their clients from suffering substantial financial harm as a result of contracting with unpermitted household movers.

Laboratory Accreditation

The Bureau awarded a contract to the International Accreditation Service (IAS) in 2020 to obtain an independent evaluation of the Bureau's compliance with the International Organization for Standardization/

International Electrotechnical Commission 17025:2017, General Requirements for the Competence of Testing and Calibration Laboratories, in relation to testing of upholstered furniture and bedding products. Accreditation involves review of the laboratory's quality management system, an on-site examination of sample handling and testing processes, review of laboratory working areas and equipment, and auditor interviews with staff.

The Bureau was proud to receive a Certificate of Accreditation from IAS in May 2021. This certification is demonstrative of the Bureau's technical competence and ability to produce accurate and valid test results. In addition, accreditation and ongoing evaluation will ensure standards are met on a consistent basis.

Examination Process Improvements

The Bureau continued to streamline and improve the examination process for household mover permit applicants. The Bureau formed a working group to revise the household movers permit examination, making it more applicable to real-world practices. Updating the examination ensures household movers have the appropriate level of knowledge and experience required to conduct business as a permit holder.

Additionally, the Bureau now provides a computer-based examination, replacing outdated paper testing. Applicants may also register online and schedule their examination. Previously, the examination was only given at the Bureau's Sacramento location, making the examination process inconvenient and burdensome for some applicants. Applicants now have access to approximately 40 testing sites located within and outside California. Test results are provided instantly, compared to an up to two-week wait for results with the paper method. Computer-based testing also frees Bureau resources, shortens the permit issuing timeline, and reduces barriers to becoming permitted.

NEW LEGISLATION

AB 1221 (Flora, Chapter 452, Statutes of 2021)

specifies that a service contract may cover a class of products and allows service contracts to be offered on a month-by-month basis or as continuous until canceled by the consumer or service contractor. This bill requires a service contract that continues until canceled to disclose to the buyer, in a clear and conspicuous manner, that the service contract will continue until canceled. This bill also requires the disclosure to include a toll-free number, email address, postal address and, if one exists, a website where the buyer can cancel the service contract. This bill's provisions will be applicable to contracts entered into on or after January 1, 2022.

License Requirements*

License Requirements	Y/N
ELECTRONIC AND APPLIANCE REPAIR (EAR) REGISTRATIONS/HOME FURNISHINGS AND THERMAL INSULATION (HFTI) LICENSES	
DEGREE/PROFESSIONAL SCHOOLING	N
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	N
EXAMINATION	N
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENT	N
HOUSEHOLD MOVERS PERMITS	
DEGREE/PROFESSIONAL SCHOOLING	N
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	N
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type/Fee Type	Actual Fee	Statutory Limit
APPLIANCE SERVICE DEALER		
INITIAL REGISTRATION FEE	\$190	\$205
RENEWAL FEE (ANNUAL)	\$190	\$205
COMBINATION SERVICE DEALER		
INITIAL REGISTRATION FEE	\$375	\$405
RENEWAL FEE (ANNUAL)	\$375	\$400
ELECTRONIC SERVICE DEALER		
INITIAL REGISTRATION FEE	\$190	\$205
RENEWAL FEE (ANNUAL)	\$190	\$205
SERVICE CONTRACT ADMINISTRATOR		
INITIAL REGISTRATION FEE	\$95	\$95
RENEWAL FEE (ANNUAL)	\$95	\$95
SERVICE CONTRACT SELLER		
INITIAL REGISTRATION FEE	\$95	\$95
RENEWAL FEE (ANNUAL)	\$95	\$95
BEDDING RETAILER		
INITIAL LICENSE FEE	\$140	\$150
RENEWAL FEE (BIENNIAL)	\$140	\$150
CUSTOM UPHOLSTERER		
INITIAL LICENSE FEE	\$420	\$450
RENEWAL FEE (BIENNIAL)	\$420	\$450
FURNITURE AND BEDDING MANUFACTURER		
INITIAL LICENSE FEE	\$750	\$940
RENEWAL FEE (BIENNIAL)	\$750	\$940

License Type/Fee Type	Actual Fee	Statutory Limit
FURNITURE AND BEDDING RETAILER		
INITIAL LICENSE FEE	\$280	\$300
RENEWAL FEE (BIENNIAL)	\$280	\$300
FURNITURE AND BEDDING WHOLESALER		
INITIAL LICENSE FEE	\$625	\$675
RENEWAL FEE (BIENNIAL)	\$625	\$675
FURNITURE RETAILER		
INITIAL LICENSE FEE	\$140	\$150
RENEWAL FEE (BIENNIAL)	\$140	\$150
IMPORTER (INCLUDES OVERSEAS MANUFACTURERS)		
INITIAL LICENSE FEE	\$750	\$940
RENEWAL FEE (BIENNIAL)	\$750	\$940
SANITIZER		
INITIAL LICENSE FEE	\$420	\$450
RENEWAL FEE (BIENNIAL)	\$420	\$450
SUPPLY DEALER		
INITIAL LICENSE FEE	\$625	\$675
RENEWAL FEE (BIENNIAL)	\$625	\$675
THERMAL INSULATION MANUFACTURER		
INITIAL LICENSE FEE	\$2,000	\$8,000
RENEWAL FEE (ANNUAL)	\$2,000	\$2,500
HOUSEHOLD MOVER		
INITIAL APPLICATION FEE	\$500	\$500
QUARTERLY FEE	\$15 AND 1/10TH OF 1% OF REVENUE	\$15 AND 1/10TH OF 1% OF REVENUE

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
APPLIANCE SERVICE DEALER	392	371	1,861
BEDDING RETAILER	2	93	951
COMBINATION SERVICE DEALER	16	15	100
CUSTOM UPHOLSTERER	49	42	196
ELECTRONIC SERVICE DEALER	196	191	2,818
FURNITURE AND BEDDING MANUFACTURER	137	82	587
FURNITURE AND BEDDING RETAILER	260	394	3,605
FURNITURE AND BEDDING WHOLESALER	19	17	80
FURNITURE RETAILER	182	131	745
IMPORTER	823	907	2,076
SANITIZER	0	0	7

BUREAU OF HOUSEHOLD GOODS AND SERVICES

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
SERVICE CONTRACT ADMINISTRATOR	0	9	47
SERVICE CONTRACT SELLER	272	1,386	7,070
SUPPLY DEALER	3	0	40
THERMAL INSULATION MANUFACTURER	5	1	87
HOUSEHOLD MOVERS PERMIT	209	165	N/A
TOTAL	2,565	3,804	20,270

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
APPLIANCE SERVICE DEALER	N/A	2,530	N/A
BEDDING RETAILER	N/A	2,171	N/A
COMBINATION SERVICE DEALER	N/A	413	N/A
CUSTOM UPHOLSTERER	N/A	446	N/A
ELECTRONIC SERVICE DEALER	N/A	3,767	N/A
FURNITURE AND BEDDING MANUFACTURER	N/A	1,342	N/A
FURNITURE AND BEDDING RETAILER	N/A	10,907	N/A
FURNITURE AND BEDDING WHOLESALER	N/A	166	N/A
FURNITURE RETAILER	N/A	2,039	N/A
IMPORTER	N/A	5,670	N/A
SANITIZER	N/A	25	N/A
SERVICE CONTRACT ADMINISTRATOR	N/A	61	N/A
SERVICE CONTRACT SELLER	N/A	11,000	N/A
SUPPLY DEALER	N/A	86	N/A
THERMAL INSULATION MANUFACTURER	N/A	98	N/A
HOUSEHOLD MOVERS PERMIT	1,076	N/A	N/A
TOTAL	1,076	40,721	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
APPLIANCE SERVICE DEALER	EVERY YEAR	0
COMBINATION SERVICE DEALER	EVERY YEAR	0
ELECTRONIC SERVICE DEALER	EVERY YEAR	0

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
SERVICE CONTRACT ADMINISTRATOR	EVERY YEAR	0
SERVICE CONTRACT SELLER	EVERY YEAR	0
BEDDING RETAILER	EVERY 2 YEARS	0
CUSTOM UPHOLSTERER	EVERY 2 YEARS	0
FURNITURE AND BEDDING MANUFACTURER	EVERY 2 YEARS	0
FURNITURE AND BEDDING RETAILER	EVERY 2 YEARS	0
FURNITURE AND BEDDING WHOLESALER	EVERY 2 YEARS	0
FURNITURE RETAILER	EVERY 2 YEARS	0
IMPORTER (INCLUDES OVERSEAS MANUFACTURERS)	EVERY 2 YEARS	0
SANITIZER	EVERY 2 YEARS	0
SUPPLY DEALER	EVERY 2 YEARS	0
THERMAL INSULATION MANUFACTURER	EVERY YEAR	0
HOUSEHOLD MOVER*	N/A	0

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
HOUSEHOLD MOVERS EXAM	185	20	205

*Household mover permit holders are not subject to renewal. In lieu of renewal, permit holders file quarterly reports with fees based on their revenue.

Summary of Enforcement Activity

Consumer Complaints—Intake	
2,573	RECEIVED
1,111	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,508	REFERRED FOR INVESTIGATION
11	PENDING

Conviction/Arrest Notification Complaints	
3	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
4	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
874	CONDUCTED
438	CITATIONS ISSUED

BUREAU OF HOUSEHOLD GOODS AND SERVICES

Investigations	
1,512	OPENED
1,153	CLOSED
677	PENDING

Number of Days to Complete Intake and Investigations	
624	UP TO 90 DAYS
194	91 TO 180 DAYS
207	181 DAYS TO 1 YEAR
103	1 TO 2 YEARS
17	2 TO 3 YEARS
8	OVER 3 YEARS
141	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
438	ISSUED
74	ISSUED WITH A FINE
1	WITHDRAWN
0	DISMISSED
169	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$219,951	ASSESSED
\$420	REDUCED
\$11,150	COLLECTED

Criminal/Civil Actions	
21	REFERRALS FOR CRIMINAL/CIVIL ACTION
7	CRIMINAL ACTIONS FILED
6	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
0	CASES CLOSED
0	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
0	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$0	ORDERED
\$0	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$13,000	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$13,000	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
7	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
134	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS



The Landscape Architects Technical Committee's purpose is to act in an advisory capacity to the Board on examination and other matters pertaining to the regulation of the practice of landscape architecture in California.

www.latc.ca.gov

STAFF:

5 civil servant positions
0 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

3,711

COMMITTEE MEMBERSHIP:

5 licensees

COMMITTEE STAFF:

Executive Officer: Laura Zuniga
laura.zuniga@dca.ca.gov

Program Manager: Trish Rodriguez
trish.rodriquez@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 5615–5683

California Code of Regulations, Division 26,
title 16, §§ 2602–2680

SUNSET REVIEW:

Last review: 2019 Next review: 2023

Committee Highlights

RECIPROCITY

Pursuant to California Code of Regulations, title 16, Division 26, section 2615, in order to be eligible for reciprocal licensure in California, a candidate must be licensed as a landscape architect in another U.S. jurisdiction, Canadian province, or Puerto Rico by having passed a written examination substantially equivalent in scope and subject matter to the Landscape Architect Registration Examination (LARE), meet the education and training requirements for first-time exam candidates, and successfully complete the California Supplemental Examination (CSE). For purposes of reciprocity, the LATC recognizes two national examinations, which are the: 1) LARE; and 2) Uniform National Examination for Landscape Architects.

ACCOMPLISHMENTS

Business Modernization

The Committee partnered with DCA's Office of Information Services and completed two stages of the California Department of Technology's Project Approval Lifecycle. Efforts continue toward identifying a new licensing and enforcement technology platform.

The Committee completed the August 2020 occupational analysis report to ensure that candidates are tested on current and relevant California-specific issues.

Outreach

The Committee published an updated *2021 Landscape Architects Practice Act* booklet to provide the public and licensees with current rules and regulations and launched a set of online video tutorials to clarify the licensure process for candidates.

Regulatory Change Proposals

The Committee prepared, amended, and adopted various regulatory packages during fiscal year 2020–21 that improve licensing processes for applicants, improve Committee procedures, address licensees' needs during emergencies, and increase transparency to consumers regarding licensed landscape architects.

Website Enhancements

As enacted, Assembly Bill 434 (Baker, Chapter 780, Statutes of 2017) requires websites of state agencies be in compliance with specified accessibility standards to ensure compatibility with assistive technologies (screen reading software, refreshable Braille displays, screen magnifiers). Committee staff attended document remediation training offered by the California Department of Technology and worked together to identify and remediate all inaccessible documents on the Committee's website. As of June 28, 2021, the website is in full compliance with AB 434.

NEW LEGISLATION

There was no enacted legislation solely related to the Committee in 2021.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENT	N

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
LANDSCAPE ARCHITECTS: APPLICATION FEE (LARE ELIGIBILITY)	\$35	\$100
LANDSCAPE ARCHITECTS: APPLICATION FEE (CSE)	\$35	\$100
LANDSCAPE ARCHITECTS: EXAMINATION FEE	\$275	N/A
LANDSCAPE ARCHITECTS: LICENSURE FEE	\$400	\$400
LANDSCAPE ARCHITECTS: BIENNIAL RENEWAL FEE	\$400	\$400

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
LANDSCAPE ARCHITECT	85	86	1,804
TOTAL	85	86	1,804

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
LANDSCAPE ARCHITECT	N/A	3,711	N/A
TOTAL	N/A	3,711	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
LANDSCAPE ARCHITECT LICENSE RENEWAL	EVERY 2 YEARS	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
LANDSCAPE ARCHITECT REGISTRATION EXAMINATION (LARE)			
SECTION 1: PROJECT AND CONSTRUCTION MANAGEMENT	133	74	207
SECTION 2: INVENTORY AND ANALYSIS	110	80	190
SECTION 3: DESIGN	86	61	147
SECTION 4: GRADING, DRAINAGE, AND CONSTRUCTION DOCUMENTATION	90	64	154
CSE			
CALIFORNIA SUPPLEMENTAL EXAMINATION	95	45	140

Summary of Enforcement Activity

Consumer Complaints—Intake	
21	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
21	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
8	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
8	REFERRED FOR INVESTIGATION
0	PENDING

LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
29	OPENED
31	CLOSED
8	PENDING

Number of Days to Complete Intake and Investigations	
20	UP TO 90 DAYS
5	91 TO 180 DAYS
6	181 DAYS TO 1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
86	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
0	ISSUED
0	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
0	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$0	ASSESSED
\$0	REDUCED
\$1,000	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
0	CASES CLOSED
0	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
0	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$0	ORDERED
\$2,070.40	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
1	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
86	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS



Licenses and regulates physicians and surgeons; licensed midwives, polysomnographic trainees, technicians, and technologists; research psychoanalysts; issues special faculty permits; and approves outpatient surgery setting accreditation agencies.

www.mbc.ca.gov

STAFF:

177.2 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

172,895

BOARD MEMBERSHIP:

7 public representatives
8 licensees

BOARD STAFF:

Executive Director: William Prasifka
william.prasifka@mbc.ca.gov

Deputy Director: Reji Varghese
reji.varghese@mbc.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 2000–2448,
§§ 2500–2529.6, §§ 3575–3579

California Code of Regulations, Division 13,
title 16, §§ 1300–1379.78

SUNSET REVIEW:

Last review: 2021 Next review: 2023

Board Highlights

RECIPROCITY

The California Medical Practice Act does not allow for license reciprocity between states. Out-of-state applicants who are licensed in another state must apply and meet California licensing requirements before a license can be issued. Business and Professions Code sections 2135, 2135.5, and 2135.7 provide some exceptions when minimum requirements are deficient.

ACCOMPLISHMENTS

Sunset Review

Fiscal year 2020–21 marked the initial stages of the Board’s sunset review. A sunset review takes place every four years and is an opportunity for the Board to review the work it has accomplished on behalf of California consumers with state legislators and various stakeholders. Stakeholders also provided comments on the Board’s operations and recommended statutory changes to the Legislature.

During the current review, the Board participated in two legislative sunset hearings. The first hearing was held March 19, 2021 and focused on the Board’s Enforcement Program. The second hearing was held May 5, 2021 and reviewed the Board’s licensing processes. Prior to the hearings, the Board submitted its sunset report to the Legislature and included multiple requests for statutory changes the Board believes would strengthen consumer protection in California. The Board’s sunset extension, as well as other law changes approved by the Legislature, are included within Senate Bill 806 (Roth, Chapter 649, Statutes of 2021).

Consumer Protection

In collaboration with the California Department of Public Health (CDPH), the Board’s Prescription Review Program, formerly known as the Death Certificate Project, continued its work identifying physicians who may be inappropriately prescribing opioids to patients.

The Board made improvements to the program after receiving feedback from stakeholders, including a change to the project name that better reflects its connection to the Board’s consumer protection mission. In addition, the Board decided to focus on the most recently available prescribing data, which would limit reviews of prescriptions to those issued after the Board published its controlled substances prescribing guidelines.

The methodology in reviewing the data received from CDPH was also updated to conduct a review of overall prescribing patterns of all physicians who treated a deceased person before initiating a full investigation of a certain physician.

Outreach and Communication

During 2020–21, the Board launched an outreach campaign for Assembly Bill 149 (Cooper, Chapter 4, Statutes of 2019), which requires prescribers to use prescription forms containing a unique serialized number and other security features when prescribing controlled substances.

The Board’s campaign consisted of a podcast, newsletter articles, website content, social media messaging, and email messaging. The campaign was amplified by the Department of Consumer Affairs (through a news release) and the California State Board of Pharmacy to reach other prescribers impacted by the law but not regulated by the Board.

Expansion of Public Stakeholders Meetings

Previously held annually, the Board increased the frequency of its Public Stakeholder meetings, strengthening the Board’s commitment to bringing public stakeholders together to engage in a dialogue with the Board.

The goal of Public Stakeholder meetings is to expand communication with public stakeholders, respond to their questions, comments and suggestions, and discuss ways for the Board to improve its processes in pursuit of its consumer protection mission.

The first meeting took place April 21, 2021, and featured a presentation from Bridget Fogarty Gramme of the Center for Public Interest Law. Gramme’s presentation—“Amplifying Public Voices: Transforming Public Comment into Meaningful Change”—provided several tools consumers can use to communicate with the Board at various public meetings and spoke of the Board’s jurisdiction and legislative authority.

NEW LEGISLATION

AB 356 (Chen, Chapter 459, Statutes of 2021)

authorizes the Department of Public Health to issue a nonrenewable, temporary 12-month fluoroscopy permit to a licensed physician and surgeon who has submitted an application for a fluoroscopy certificate, has at least 40 hours of fluoroscopy experience while not subject to the Radiologic Technology Act, and pays a fee.

AB 359 (Cooper, Chapter 612, Statutes of 2021)

clarifies existing law regarding the options available to an out-of-state physician who required more than four attempts to obtain a passing score on Step 3 of the United States Medical Licensing Examination, seeking licensure in California. This bill also allows physicians to apply certain courses relating to practice management, as specified, toward their continuing medical education requirements.

SB 806 (Roth, Chapter 649, Statutes of 2021)

is the sunset bill for the Board that extends its operations until January 1, 2024. Among other things, this bill raises fees, allows for cost recovery, makes changes to the postgraduate training license, and requires the appointment of an enforcement monitor for the Board by DCA.

License Requirements*

License Requirements	Y/N
PHYSICIAN AND SURGEON	
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENTS	Y
LICENSED MIDWIFE	
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENTS	Y
RESEARCH PSYCHOANALYST	
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	N
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENTS	Y
FICTITIOUS NAME PERMIT	
DEGREE/PROFESSIONAL SCHOOLING	N
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	N
EXAMINATION	N
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENTS	N

License Requirements	Y/N
SPECIAL PROGRAMS (INDIVIDUAL)	
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	N
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENTS	Y
SPECIAL FACULTY PERMIT	
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENTS	Y
POLYSOMNOGRAPHIC TRAINEE	
DEGREE/PROFESSIONAL SCHOOLING	N
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	N
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENTS	Y
POLYSOMNOGRAPHIC TECHNICIAN	
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	N
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENTS	Y
POLYSOMNOGRAPHIC TECHNOLOGIST	
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENTS	Y
POSTGRADUATE TRAINING LICENSE	
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENTS	Y
OUTPATIENT SURGERY SETTING ACCREDITATION AGENCIES	
DEGREE/PROFESSIONAL SCHOOLING	N
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	N
EXAMINATION	N
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENTS	N

Fees*

License Type	Actual Fee	Statutory Limit
PHYSICIAN AND SURGEON		
APPLICATION FEE	\$442**	\$442
INITIAL LICENSE FEE	\$783	\$790
BIENNIAL RENEWAL FEE	\$783	\$790
POSTGRADUATE TRAINING LICENSE		
APPLICATION FEE	\$442**	\$442
SPECIAL FACULTY PERMIT		
SPECIAL FACULTY PERMIT APPLICATION FEE	\$442**	\$442
SPECIAL FACULTY PERMIT INITIAL LICENSE FEE	\$783	\$790
SPECIAL FACULTY PERMIT BIENNIAL RENEWAL FEE	\$783	\$790
SPECIAL PROGRAMS APPLICATION FEE	\$86	\$86
SPECIAL PROGRAMS ANNUAL RENEWAL FEE	\$43	\$43
FICTITIOUS NAME PERMIT FEE	\$50	\$50
FICTITIOUS NAME PERMIT BIENNIAL RENEWAL FEE	\$40	\$40
LICENSED MIDWIFE		
INITIAL FEE	\$300**	\$300
BIENNIAL RENEWAL FEE	\$200	\$200
POLYSOMNOGRAPHIC		
TRAINEE APPLICATION FEE	\$100**	\$100
TRAINEE REGISTRATION FEE	\$100	\$100
TECHNICIAN APPLICATION FEE	\$100**	\$100
TECHNICIAN REGISTRATION FEE	\$100	\$100
TECHNOLOGIST APPLICATION FEE	\$100**	\$100
TECHNOLOGIST REGISTRATION FEE	\$100	\$100
BIENNIAL RENEWAL FEE: TRAINEE, TECHNICIAN, AND TECHNOLOGIST	\$150	\$150
RESEARCH PSYCHOANALYST		
INITIAL FEE	\$100**	\$100
BIENNIAL RENEWAL FEE	\$50	\$50
OUTPATIENT SURGERY SETTING ACCREDITATION AGENCIES		
INITIAL FEE	\$5,000**	\$5,000
RENEWAL FEE	100***	\$100

*Additional fees may be required. Refer to the laws and regulations for details.

**Additional \$49 Department of Justice/FBI fingerprint fee required.

***\$100 per setting every three years.

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
FICTITIOUS NAME PERMIT (MBC)	1,583	1,418	5,415
LICENSED MIDWIFE	44	36	225
PHYSICIAN AND SURGEON (MBC)	4,699	4,341	70,802
POLYSOMNOGRAPHIC TECHNICIAN	16	15	62
POLYSOMNOGRAPHIC TECHNOLOGIST	20	20	417
POLYSOMNOGRAPHIC TRAINEE	9	5	21
RESEARCH PSYCHOANALYST	9	4	15
SPECIAL FACULTY PERMIT	1	4	13
SPECIAL PROGRAMS (INDIVIDUAL)	32	30	105
POSTGRADUATE TRAINING LICENSE	3,099	3,865	N/A
OUTPATIENT SURGERY SETTING ACCREDITATION AGENCIES	0	0	0
TOTAL	9,512	9,738	77,075

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
FICTITIOUS NAME PERMIT (MBC)	13,082	0	0
LICENSED MIDWIFE	0	484	0
PHYSICIAN AND SURGEON (MBC)	0	152,568	0
POLYSOMNOGRAPHIC TECHNICIAN	0	137	0
POLYSOMNOGRAPHIC TECHNOLOGIST	0	626	0
POLYSOMNOGRAPHIC TRAINEE	0	48	0
RESEARCH PSYCHOANALYST	0	88	0
SPECIAL FACULTY PERMIT	27		0
SPECIAL PROGRAMS (INDIVIDUAL)	0	176	0
POSTGRADUATE TRAINING LICENSE	0	5,655	0
OUTPATIENT SURGERY SETTING ACCREDITATION AGENCIES	0	0	4
TOTAL	13,109	159,782	4

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
PHYSICIAN AND SURGEON	EVERY 2 YEARS	50
SPECIAL FACULTY PERMIT	EVERY 2 YEARS	50
SPECIAL PROGRAMS (INDIVIDUAL)	EVERY 2 YEARS	N/A
FICTITIOUS NAME PERMIT	EVERY 2 YEARS	N/A
LICENSED MIDWIFE	EVERY 2 YEARS	36
POLYSOMNOGRAPHIC TRAINEE	EVERY 2 YEARS	N/A
POLYSOMNOGRAPHIC TECHNICIAN	EVERY 2 YEARS	N/A
POLYSOMNOGRAPHIC TECHNOLOGIST	EVERY 2 YEARS	N/A
RESEARCH PSYCHOANALYST	EVERY 2 YEARS	N/A
POSTGRADUATE TRAINING LICENSE	N/A	N/A
OUTPATIENT SURGERY SETTING ACCREDITATION AGENCY	EVERY 3 YEARS	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
N/A	N/A	N/A	N/A

Summary of Enforcement Activity PHYSICIAN AND SURGEON (8002, 8009, 8011)

Consumer Complaints—Intake	
9,892	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
10,059	REFERRED FOR INVESTIGATION
48	PENDING

Conviction/Arrest Notification Complaints	
211	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
213	REFERRED FOR INVESTIGATION
3	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
10,272	OPENED
12,716	CLOSED
3,946	PENDING

Number of Days to Complete Intake and Investigations	
6,722	UP TO 90 DAYS
1,459	91 TO 180 DAYS
2,805	181 DAYS TO 1 YEAR
1,414	1 TO 2 YEARS
308	2 TO 3 YEARS
8	OVER 3 YEARS
169	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
51	ISSUED
48	ISSUED WITH A FINE
8	WITHDRAWN
0	DISMISSED
480	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$31,900	ASSESSED
\$0	REDUCED
\$27,450	COLLECTED

Criminal/Civil Actions	
32	REFERRALS FOR CRIMINAL/CIVIL ACTION
1	CRIMINAL ACTIONS FILED
3	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
689	CASES OPENED/INITIATED
660	CASES CLOSED
567	CASES PENDING

Number of Days to Complete Attorney General Cases	
67	UP TO 1 YEAR
136	1 TO 2 YEARS
199	2 TO 3 YEARS
258	OVER 3 YEARS
1,043	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
3	STATEMENTS OF ISSUES FILED
383	ACCUSATIONS FILED
36	PETITIONS TO REVOKE PROBATION/ACCUSATIONS AND PETITION TO REVOKE PROBATION FILED
67	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
2	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
29	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
36	REVOCAION
118	SURRENDER OF LICENSE
4	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
141	PROBATION ONLY
152	PUBLIC REPRIMAND
2	OTHER DECISIONS
453	TOTAL

Subsequent Disciplinary Administrative Outcomes/Final	
13	REVOCAION
7	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
10	PROBATION ONLY
2	PUBLIC REPRIMAND
0	OTHER DECISIONS
32	TOTAL

Petition for Modification or Termination of Probation	
33	GRANTED
14	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
5	GRANTED
9	DENIED

Cost Recovery	
\$7,425	ORDERED
\$8,615	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$1,650	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$1,650	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
6	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
163	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
411	AVERAGE NUMBER OF DAYS

Summary of Enforcement Activity LICENSED MIDWIFE (8001)

Consumer Complaints—Intake	
29	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
30	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
30	OPENED
43	CLOSED
2	PENDING

Number of Days to Complete Intake and Investigations	
28	UP TO 90 DAYS
1	91 TO 180 DAYS
8	181 DAYS TO 1 YEAR
6	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
137	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
2	ISSUED
1	ISSUED WITH A FINE
1	WITHDRAWN
0	DISMISSED
518	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$350	ASSESSED
\$0	REDUCED
\$0	COLLECTED

Criminal/Civil Actions	
1	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
4	CASES OPENED/INITIATED
5	CASES CLOSED
4	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
0	1 TO 2 YEARS
1	2 TO 3 YEARS
4	OVER 3 YEARS
1,572	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
3	ACCUSATIONS FILED
0	PETITIONS TO REVOKE PROBATION/ACCUSATIONS AND PETITION TO REVOKE PROBATION FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
3	PUBLIC REPRIMAND
0	OTHER DECISIONS
3	TOTAL

Subsequent Disciplinary Administrative Outcomes/Final	
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
0	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$8,000	ORDERED
\$0	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
6	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
131	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
664	AVERAGE NUMBER OF DAYS

Summary of Enforcement Activity RESEARCH PSYCHOANALYST (8003)

Consumer Complaints—Intake	
0	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
0	OPENED
1	CLOSED
0	PENDING

Number of Days to Complete Intake and Investigations	
0	UP TO 90 DAYS
0	91 TO 180 DAYS
1	181 DAYS TO 1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
363	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
0	ISSUED
0	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$0	ASSESSED
\$0	REDUCED
\$0	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
0	CASES CLOSED
0	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	PETITIONS TO REVOKE PROBATION/ACCUSATIONS AND PETITION TO REVOKE PROBATION FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
0	TOTAL

Subsequent Disciplinary Administrative Outcomes/Final	
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
0	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$0	ORDERED
\$0	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
0	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
351	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

Summary of Enforcement Activity POLYSOMNOGRAPHY (8012)

Consumer Complaints—Intake	
1	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
2	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
2	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
3	OPENED
18	CLOSED
0	PENDING

Number of Days to Complete Intake and Investigations	
2	UP TO 90 DAYS
1	91 TO 180 DAYS
6	181 DAYS TO 1 YEAR
9	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
299	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
0	ISSUED
0	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
0	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$0	ASSESSED
\$0	REDUCED
\$0	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
6	CASES OPENED/INITIATED
2	CASES CLOSED
6	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
1	1 TO 2 YEARS
1	2 TO 3 YEARS
0	OVER 3 YEARS
799	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
3	ACCUSATIONS FILED
0	PETITIONS TO REVOKE PROBATION/ACCUSATIONS AND PETITION TO REVOKE PROBATION FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
1	REVOCATION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
3	TOTAL

Subsequent Disciplinary Administrative Outcomes/Final	
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
0	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$0	ORDERED
\$0	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
3	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
296	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
325	AVERAGE NUMBER OF DAYS



NATUROPATHIC MEDICINE COMMITTEE

Licenses and regulates naturopathic doctors.
www.naturopathic.ca.gov

STAFF:

1 civil servant position
 1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

1,268

COMMITTEE MEMBERSHIP:

2 public representatives
 5 licensees
 2 physicians: 1 doctor of allopathic medicine (M.D.)
 and 1 doctor of osteopathic medicine (D.O.)

COMMITTEE STAFF:

Executive Officer: Rebecca Mitchell
rebecca.mitchell@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code, Division 2,
 Chapter 8.2 §§ 3610–3686

California Code of Regulations, Division 40,
 title 16, §§ 4200–4268

SUNSET REVIEW:

Last review: 2017 Next review: 2022

Committee Highlights

RECIPROCITY

The Committee does not have reciprocity.

ACCOMPLISHMENTS

Addressing COVID-19 Impacts

With the COVID-19 state of emergency in California, Governor Gavin Newsom called on doctors and allied health care providers—both in California and across the nation—to assist the state during the pandemic. Executive Order N-39-20 empowered the director of the Department of Consumer Affairs (DCA) to waive specified licensing requirements for naturopathic doctors seeking license reactivation from an inactive or canceled license renewal status during the state of emergency. The waivers allow naturopathic doctors with an inactive or canceled license to reactivate their license without paying fees or completing continuing education (CE) requirements. Similarly, the Committee delayed CE requirements for licensed naturopathic doctors with a license expiration date within a specified time frame. In all, the Committee issued renewals to more than 50 licensees under these waivers.

The COVID-19 pandemic made a significant and direct impact on the Committee's everyday operations. In response to the pandemic, the Committee moved its quarterly meeting from an in-person format to an online format through the Webex platform. The Committee plans to hold future meetings via Webex for as long as authorized to allow the Committee to continue its operations during the pandemic.

Website Enhancements

The Committee collaborated with DCA's Office of Publications, Design and Editing to update its website. Launched in July 2021, the new, robust website is user-friendly and includes increased functionality as well as more resources for consumers, licensees, and naturopathic students. The Committee expects the updated website to increase consumer satisfaction and decrease telephone inquiries. The updated website will reduce barriers for licensure by providing valuable resources for its applicants.

Strategic Plan

In January 2020, the Committee adopted its 2020–2024 Strategic Plan with goals and objectives to be accomplished by 2024. The Strategic Plan incorporated stakeholders’ input to formulate the goals that assist the Committee in effectively carrying out its mission: protecting California health care consumers and expanding access to safe and effective primary care by licensing and regulating naturopathic doctors through vigorous enforcement of the Naturopathic Doctors Act. As of June 2021, the Committee has been able to complete 54.2% of its delineated goals. The Committee continues its dedicated efforts to meet and exceed the goals outlined in its current Strategic Plan.

NEW LEGISLATION

There was no enacted legislation solely related to the Committee in 2021.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
NATUROPATHIC DOCTORS APPLICATION (1020)	\$400	\$500
NATUROPATHIC DOCTORS INITIAL LICENSE FEE (1021)	\$1,000	\$1,200
NATUROPATHIC DOCTORS LICENSE RENEWAL FEE	\$1,000	\$1,200
NATUROPATHIC DOCTORS LATE RENEWAL FEE	\$225	\$225
NATUROPATHIC DOCTORS DUPLICATE/REPLACEMENT LICENSE FEE	\$38	\$38
NATUROPATHIC DOCTORS CERTIFIED LICENSE VERIFICATION FEE	\$30	\$30

*Additional fees may be required. Refer to BPC sections 3680–3681 and California Code of Regulations section 4240 for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
NATUROPATHIC DOCTOR	117	96	396
TOTAL	117	96	396

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
NATUROPATHIC DOCTOR	N/A	1,268	N/A
TOTAL	N/A	1,268	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
GENERAL CE	BIENNIAL	40
PHARMACOTHERAPEUTICS	BIENNIAL	20

Exams Results*			
EXAM TITLE	PASS	FAIL	TOTAL
NATUROPATHIC PHYSICIANS LICENSING EXAMINATION (NPLEX)	51 (74%)	18 (26%)	69

*The Naturopathic Physicians Licensing Examination (NPLEX) is administered by North American Board of Naturopathic Examiners (NABNE). Pass/fail scores are based on both first time and retest takers.

Summary of Enforcement Activity

Consumer Complaints—Intake	
49	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
46	REFERRED FOR INVESTIGATION
4	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
46	OPENED
22	CLOSED
77	PENDING

NATUROPATHIC MEDICINE COMMITTEE

Number of Days to Complete Intake and Investigations	
22	UP TO 90 DAYS
0	91 TO 180 DAYS
0	181 DAYS TO 1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
7	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
0	ISSUED
0	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
0	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$0	ASSESSED
\$0	REDUCED
\$0	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
0	CASES CLOSED
0	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
0	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$0	ORDERED
\$0	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
23	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
4	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS



Licenses and regulates occupational therapists and occupational therapy assistants.

www.bot.ca.gov

STAFF:

16.7 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

18,854

BOARD MEMBERSHIP:

3 public representatives
4 licensees

BOARD STAFF:

Executive Officer: Heather Martin
heather.martin@dca.ca.gov

Assistant Executive Officer: Jeff Hanson
jeff.hanson@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Codes §§ 2570–2571

California Code of Regulations, Division 39,
title 16, §§ 4100–4187

SUNSET REVIEW:

Last review: 2017 Next review: 2022

Board Highlights

RECIPROCITY

The Board does not have reciprocity.

ACCOMPLISHMENTS

Consumer Protection and Operational Efficiency

Despite the COVID-19 pandemic and the ensuing operational challenges, the Board increased performance metrics in several licensing and enforcement areas. In fiscal year 2020–21, the Board's licensing unit received more applications (6% increase) and issued more licenses (2% increase) compared to the previous fiscal year. Application approval letters for complete applications or deficiency letters for incomplete applications were mailed to all applicants within 30 days of submission of their application.

The Board's enforcement unit opened 42% more investigations and closed 45% more investigations compared to the previous fiscal year. The number of pending investigations as of June 30, 2021, was reduced by 8% from June 30, 2020. While reducing the number of pending complaints at year-end, the Board also reduced the average number of days to complete the intake and investigation of complaints from 158 days in 2019–20 to 112 days in 2020–21.

COVID-19 Waivers

Based on staff and stakeholder input, the Board requested and was granted a waiver to extend the expiration date of limited permits. This action was necessary as occupational therapy graduates did not have access to or were delayed in taking the national examination required for licensure. The waiver allowed students to practice under the supervision of a licensed occupational therapist for up to six months while waiting to take the examination. This action afforded consumers increased access to occupational therapy services during the COVID-19 pandemic. Other issued waivers extended the time for licensees to complete the continuing education required for renewal of their license and extended the length of time for applicants approved for licensure to submit their initial licensing fees.

Formation of Ad-Hoc Committees

The Board established two ad-hoc committees and completed the appointments process. The Fieldwork Communications Workgroup Committee will be identifying issues, problems, and factors relating to the lack of fieldwork settings for students to complete their clinical experience (aka fieldwork) required for graduation. The Occupational Therapy Doctorate Committee will address issues relating to the doctoral programs as they relate to licensure, noting the differences between entry-level and post-professional

programs. The committee will also determine whether amendments to existing laws and regulations or additional statutory language is needed.

Outreach

The Board’s president and executive officer provided an online presentation to attendees at the annual Occupational Therapy Association of California conference in October 2020. The presentation included pertinent information about the Board and its purpose, updates to licensing laws and regulations, and how the Board protects consumers.

The Board’s executive officer and a Board member continued their work with a national group of regulators, attorneys, and educators tasked with developing an Occupational Therapy Licensure Compact to facilitate the interstate practice of occupational therapy. As this compact is of national interest, this is a recurring Board agenda item in order to discuss requirements and monitor its progress.

NEW LEGISLATION

There was no enacted legislation solely related to the Board in 2021.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
OT/OTA APPLICATION FEE	\$50	\$50
OT/OTA FINGERPRINT PROCESSING FEE	\$49	N/A
OT/OTA LIMITED PERMIT FEE	\$100	N/A
OT INITIAL LICENSE FEE (PRORATED)	\$270	N/A
OTA INITIAL LICENSE FEE (PRORATED)	\$210	N/A
OT BIENNIAL RENEWAL FEE	\$270	\$150/YEAR
OTA BIENNIAL RENEWAL FEE	\$210	\$150/YEAR
OT DELINQUENT RENEWAL FEE	\$135	50% RENEWAL FEE
OTA DELINQUENT RENEWAL FEE	\$105	50% RENEWAL FEE
OT/OTA RETIRE LICENSE FEE	\$25	\$25

*Additional fees may be required. Refer to the laws and regulations for details.

Note: As of January 1, 2021, the OT biennial renewal fee increased from \$220 to \$270 and the OTA biennial renewal fee increased from \$180 to \$210.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
OCCUPATIONAL THERAPIST (OT)	1,550	1,203	7,034
OCCUPATIONAL THERAPIST LIMITED PERMIT (OTLP)	130	61	N/A
OCCUPATIONAL THERAPY ASSISTANT (OTA)	427	366	1,711
OCCUPATIONAL THERAPY ASSISTANT LIMITED PERMIT (OTALP)	18	8	N/A
TOTAL	2,125	1,638	8,745

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
OCCUPATIONAL THERAPIST (OT)	N/A	15,119	N/A
OCCUPATIONAL THERAPIST LIMITED PERMIT (OTLP)	20	N/A	N/A
OCCUPATIONAL THERAPY ASSISTANT (OTA)	N/A	3,711	N/A
OCCUPATIONAL THERAPY ASSISTANT LIMITED PERMIT (OTALP)	4	N/A	N/A
TOTAL	24	18,830	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
OCCUPATIONAL THERAPIST	EVERY 2 YEARS	24
OCCUPATIONAL THERAPY ASSISTANT	EVERY 2 YEARS	24

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
NBCOT OTR*	957	160	1,117
NBCOT COTA**	293	112	405

*National Board For Certification In Occupational Therapy Occupational Therapist Registered.

**National Board For Certification In Occupational Therapy Certified Occupational Therapy Assistant.

Summary of Enforcement Activity

Consumer Complaints—Intake	
895	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
894	REFERRED FOR INVESTIGATION
1	PENDING

Conviction/Arrest Notification Complaints	
114	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
114	REFERRED FOR INVESTIGATION
0	PENDING

CALIFORNIA BOARD OF OCCUPATIONAL THERAPY

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
1,008	OPENED
1,009	CLOSED
319	PENDING

Number of Days to Complete Intake and Investigations	
773	UP TO 90 DAYS
68	91 TO 180 DAYS
54	181 DAYS TO 1 YEAR
100	1 TO 2 YEARS
12	2 TO 3 YEARS
2	OVER 3 YEARS
112	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
226	ISSUED
226	ISSUED WITH A FINE
4	WITHDRAWN
18	DISMISSED
167	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$43,406	ASSESSED
\$2,315	REDUCED
\$19,346	COLLECTED

Criminal/Civil Actions	
1	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
17	CASES OPENED/INITIATED
15	CASES CLOSED
18	CASES PENDING

Number of Days to Complete Attorney General Cases	
5	UP TO 1 YEAR
3	1 TO 2 YEARS
4	2 TO 3 YEARS
3	OVER 3 YEARS
724	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
17	ACCUSATIONS FILED
1	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
3	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
5	REVOCAION
6	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
5	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
16	TOTAL

Petition for Modification or Termination of Probation	
1	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$26,253.75	ORDERED
\$11,252	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
1	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
112	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
322	AVERAGE NUMBER OF DAYS



Licenses, registers, and regulates optometrists, registered dispensing opticians, contact lens dispensers, spectacle lens dispensers, and nonresident contact lens dispensers.

www.optometry.ca.gov

STAFF:

14.4 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

41,931

BOARD MEMBERSHIP:

5 public representatives
6 licensees

BOARD STAFF:

Executive Officer: Shara Murphy
shara.murphy@dca.ca.gov

Assistant Executive Officer: Randy Love
randy.love@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 2540–2545;
§§ 2546–2546.10; §§ 2550–2569; §§ 3000–3167

California Code of Regulations, Division 15,
title 16, §§ 1500–1582

California Code of Regulations, Division 13.5,
title 16, §§ 1399.200–1399.285

SUNSET REVIEW:

Last review: 2021 Next review: 2025

Board Highlights

RECIPROCITY

The Board does not have reciprocity.

ACCOMPLISHMENTS

Strategic Plan

With extensive input from stakeholders, the Board adopted a 2021–2025 Strategic Plan at the November 20, 2020 public meeting. Implementation of the plan will include better consumer protection through continuing education; high-level risk management to prevent barriers to licensure; and thorough evaluation of diversity, equity, and inclusion in optometry patient care.

Licensing

Working with the Department of Consumer Affairs Office of Information Services to improve BreEZe functionality, Board staff employed automated responses to applicants and simplified language that previously delayed processing and required staff intervention. Additionally, Board staff strengthened internal procedures and tracking databases to respond to applications in a timelier fashion. The Board's website now includes extensive frequently asked questions for optometry and opticianry applicants.

Legislation and Regulations

Led by the optician professionals of the statutorily-mandated Dispensing Optician Committee, the Board completed a comprehensive review and revision of the Optician Program Statutes (Division 2, Chapters 5.4, 5.45, 5.5) and approved proposed text on May 21, 2021, which was submitted to the Legislature and was included in Assembly Bill (AB) 1534 (Committee on Business and Professions, Chapter 630, Statutes of 2021). Substantial public and stakeholder comments were received and considered as part of the review. These changes will improve public protection, clarify and enhance registrant reporting requirements, update definitions and terminology, and reorganize the statutes.

On February 25, 2021, the Office of Administrative Law (OAL) approved the Board's regulatory rulemaking package implementing AB 2138 (Chiu, Chapter 995, Statutes of 2018). This major regulatory package regarding applicants with prior criminal convictions required significant coordination with the Department of Consumer Affairs since 2019.

NEW LEGISLATION

AB 407 (Salas, Chapter 652, Statutes of 2021) expands the scope of practice for optometrists and optometric or ophthalmic assistants (assistants). It allows optometrists to perform more services for their patients, including the use of all topical and oral pharmaceutical agents, which are not controlled substances, and the use of noninvasive devices. It also allows assistants, under direct supervision of a licensed optometrist or ophthalmologist, to perform more services for patients if the assistant has at least 45 hours of training in the procedures that is acceptable to the licensed optometrist or ophthalmologist.

AB 691 (Chau, Chapter 654, Statutes of 2021) allows optometrists certified to use therapeutic pharmaceutical agents with additional immunization training to administer immunizations for COVID-19. This bill also specifies the application form to be used by the California State Board of Optometry for those seeking to be certified to administer vaccinations and sets the application fee at \$50. The bill had an urgency clause so it took effect immediately upon signature by the governor.

AB 1534 (Committee on Business and Professions, Chapter 630, Statutes of 2021) extends the sunset date of the Board from January 1, 2022 to January 1, 2026. Other notable provisions include: (1) revises and recasts the Nonresident Contact Lens Seller Registration Act; (2) creates new requirements for mobile optometric clinics, and (3) allows the Board to charge a fee for license endorsement.

SB 509 (Wilk, Chapter 219, Statutes of 2021) requires the Board to issue temporary optometrist licenses to applicants who have completed their educational programs but are unable to immediately take the licensing exam due to the COVID-19 pandemic. Applicants for temporary licensure must meet additional requirements and may work only under the direct supervision of a fully licensed optometrist or licensed physician practicing ophthalmology.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
OPTOMETRY LICENSE		
OPTOMETRIST LICENSE APPLICATION FEE	\$275	\$275
OPTOMETRIST BIENNIAL RENEWAL FEE	\$425	\$500
OPTOMETRIST DELINQUENT RENEWAL FEE	\$50	\$50
OPTOMETRIST DUPLICATE WALL CERTIFICATE FEE	\$25	\$25
FICTITIOUS NAME PERMIT (FNP)		
FNP APPLICATION FEE	\$50	\$50
FNP RENEWAL FEE	\$50	\$50
FNP DELINQUENT RENEWAL	\$25	\$25
STATEMENT OF LICENSURE (SOL)		
SOL APPLICATION FEE	\$40	\$40
SOL RENEWAL	\$40	\$40
SOL DELINQUENT RENEWAL	\$20	\$20
OTHER FEES		
THERAPEUTIC PHARMACEUTICAL AGENTS (TPA) CERTIFICATION	\$25	\$25
GLAUCOMA CERTIFICATION	\$35	\$50
LACRIMAL IRRIGATION AND DILATION CERTIFICATION	\$25	\$50
CONTINUING EDUCATION COURSE APPROVAL FEE	\$50	\$100
RETIRED LICENSE APPLICATION FEE	\$25	\$25
RETIRED/VOLUNTEER APPLICATION FEE	\$50	\$50
HOME RESIDENCE PERMIT APPLICATION FEE	\$50	\$100
HOME RESIDENCE PERMIT RENEWAL FEE	\$50	\$100
HOME RESIDENCE PERMIT DELINQUENT FEE	\$25	\$100
REGISTERED DISPENSING OPTICIAN (RDO) REGISTRATION		
RDO APPLICATION FEE	\$150	\$200
RDO INITIAL REGISTRATION FEE	\$200	\$300
RDO BIENNIAL RENEWAL	\$200	\$300
RDO DELINQUENT RENEWAL	\$50	\$75
RDO DUPLICATE REPLACEMENT CERTIFICATION	\$25	\$25
SPECTACLE LENS DISPENSER (SLD) REGISTRATION		
SLD APPLICATION FEE	\$150	\$200
SLD INITIAL REGISTRATION FEE	\$200	\$300
SLD BIENNIAL RENEWAL	\$200	\$300
SLD DELINQUENT RENEWAL	\$50	\$75
SLD DUPLICATE REPLACEMENT CERTIFICATION	\$25	\$25
CONTACT LENS DISPENSER (CLD) REGISTRATION		
CLD APPLICATION FEE	\$150	\$200
CLD INITIAL REGISTRATION FEE	\$200	\$300

License Type	Actual Fee	Statutory Limit
CLD BIENNIAL RENEWAL	\$200	\$300
CLD DELINQUENT RENEWAL	\$50	\$75
CLD DUPLICATE REPLACEMENT CERTIFICATION	\$25	\$25
NON-RESIDENT CONTACT LENS SELLER (NCLS) REGISTRATION		
NCLS APPLICATION FEE	\$150	\$200
NCLS INITIAL REGISTRATION FEE	\$150	\$200
NCLS BIENNIAL RENEWAL	\$200	\$300
NCLS DELINQUENT RENEWAL	\$50	\$75

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
FICTITIOUS NAME PERMIT (CBO)	125	89	1,427
NONRESIDENT CONTACT LENS SELLER	1	0	7
OPTOMETRIST	358	256	3,839
REGISTERED CONTACT LENS DISPENSER	66	63	556
REGISTERED DISPENSING OPTICIAN	65	10	547
REGISTERED SPECTACLE LENS DISPENSER	342	234	1,190
STATEMENT OF LICENSURE	375	338	509
TOTAL	1,332	990	8,075

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
FICTITIOUS NAME PERMIT (CBO)	1,463	N/A	N/A
NONRESIDENT CONTACT LENS SELLER	N/A	15	N/A
OPTOMETRIST	N/A	7,536	N/A
REGISTERED CONTACT LENS DISPENSER	N/A	1,142	N/A
REGISTERED DISPENSING OPTICIAN	N/A	1,103	N/A
REGISTERED SPECTACLE LENS DISPENSER	N/A	2,870	N/A
STATEMENT OF LICENSURE	1,399	N/A	N/A
TOTAL	2,862	12,666	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
OPTOMETRIST	EVERY 2 YEARS	40–50
STATEMENT OF LICENSURE	EVERY 2 YEARS	N/A
FICTITIOUS NAME PERMIT	EVERY YEAR	N/A
REGISTERED DISPENSING OPTICIAN	EVERY 2 YEARS	N/A
REGISTERED CONTACT LENS DISPENSER	EVERY 2 YEARS	N/A
REGISTERED SPECTACLE LENS DISPENSER	EVERY 2 YEARS	N/A
NONRESIDENT CONTACT LENS SELLER	EVERY 2 YEARS	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
*CLRE–JULY 2020–JUNE 2021	90%	10%	393
**NBEO–OCTOBER 2019–SEPTEMBER 2020	91%	9%	236
***ABO–JULY 2020–JUNE 2021	50%	50%	489
****NACLE–JULY 2020–JUNE 2021	44%	56%	173

*California Laws and Regulations Exam

**National Board of Examiners in Optometry

*** American Board of Opticianry

**** National Contact Lens Examiners

Summary of Enforcement Activity

Consumer Complaints—Intake	
244	RECEIVED
4	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
239	REFERRED FOR INVESTIGATION
1	PENDING

Conviction/Arrest Notification Complaints	
51	RECEIVED
1	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
51	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
290	OPENED
239	CLOSED
298	PENDING

Number of Days to Complete Intake and Investigations	
142	UP TO 90 DAYS
14	91 TO 180 DAYS
28	181 DAYS TO 1 YEAR
30	1 TO 2 YEARS
14	2 TO 3 YEARS
11	OVER 3 YEARS
172	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
1	ISSUED
1	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
1,078	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$1,000	ASSESSED
\$0	REDUCED
\$0	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
9	CASES OPENED/INITIATED
8	CASES CLOSED
30	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
3	1 TO 2 YEARS
2	2 TO 3 YEARS
3	OVER 3 YEARS
1,049	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
1	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
2	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
3	REVOCAION
2	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
8	TOTAL

Petition for Modification or Termination of Probation	
4	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$4,481.25	ORDERED
\$20,488.47	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
3	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
169	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
667	AVERAGE NUMBER OF DAYS



Licenses and regulates osteopathic physicians and surgeons.

www.ombc.ca.gov

STAFF:

12.5 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

14,314

BOARD MEMBERSHIP:

4 public representatives
5 licensees

BOARD STAFF:

Executive Director: Mark Ito
mark.ito@dca.ca.gov

Assistant Executive Director: Terri Thorfinnson
terri.thorfinnson@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code § 3600, Osteopathic Act; Business and Professions Code §§ 2400–2459.7

California Code of Regulations, Division 16, title 16, §§ 1600–1697

SUNSET REVIEW:

Last review: 2021 Next review: 2025

Board Highlights

RECIPROCITY

Per Business and Professions Code section 2153.5, the Board accepts licensees from any state that requires passage of the Comprehensive Osteopathic Medical Licensing Examination (COMLEX) levels 1, 2, and 3 as its written examination for licensure. Any other state written examination may be considered for approval and acceptance by the Board on a case-by-case basis.

ACCOMPLISHMENTS

Website Update

The Board collaborated with the Department of Consumer Affairs Office of Publications, Design and Editing to update its website. The new website will include increased functionality and be more user-friendly. The Board's vision is for the updated website to increase consumer satisfaction and decrease phone inquiries. In addition, the updated website will reduce barriers for licensure by providing valuable resources for its applicants.

Sunset Review

The Board submitted its *Sunset Review Report* to the Assembly Committee on Business and Professions, and the Senate Committee on Business, Professions and Economic Development (Joint Committee) in January 2021. On April 9, 2021, the Joint Committee convened a sunset review hearing for the Board. The Board was represented by President Cyrus Buhari, D.O., and Executive Director Mark Ito. The Board appreciates the opportunity to work with the Legislature to review the Board's activities to ensure the Board meets its mandate of protecting the public.

Enhancements

The Board approved Guidelines for the Recommendation of Cannabis for Medical Purposes at the May 13, 2021 Board meeting. The purpose of the guidelines is to ensure that physicians who are licensed by the Board and who choose to recommend cannabis for medical purposes to their patients will not be subject to investigation or disciplinary action if they arrive at the decision to make this recommendation in accordance with accepted standards of medical responsibility.

The Board focused efforts to update the BreEZe system to facilitate the daily operations in a telework environment. To accomplish this, the Board worked collaboratively with DCA to increase the functionality of BreEZe so staff have access to their workload whether working remotely or in the office.

The Board approved regulatory language to implement a post-renewal audit for continuing medical education. This will streamline the renewal process by creating workload efficiencies that will potentially create budget savings. The Board is promulgating regulations and anticipates implementing the post-renewal audit in early 2022.

COVID-19 Response

The COVID-19 pandemic created an unprecedented situation for the Board. Board management set up a telework schedule for staff ensuring operational needs are met. DCA's director issued several waivers to ensure operational needs were met and protection of the public was paramount.

NEW LEGISLATION

AB 356 (Chen, Chapter 459, Statutes of 2021)

authorizes the Department of Public Health to issue a nonrenewable, temporary 12-month fluoroscopy permit to a licensed physician and surgeon who has submitted an application for a fluoroscopy certificate, has at least 40 hours of fluoroscopy experience while not subject to the Radiologic Technology Act, and pays a fee.

AB 359 (Cooper, Chapter 612, Statutes of 2021)

broadens the scope of continuing education courses to allow for more topics relating to practice management.

SB 806 (Roth, Chapter 649, Statutes of 2021) is the sunset bill for the Board that extends its operations until January 1, 2026. This bill reduces the required amount of continuing medical education for osteopathic doctors to 50 hours every two years. It also makes changes to the postgraduate training license.

License Requirements

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

Fees*

License Type	Actual Fee	Statutory Limit
PHYSICIAN AND SURGEON APPLICATION FEE	\$200	\$400
PHYSICIAN AND SURGEON INITIAL LICENSING FEE*	\$400	\$400
PHYSICIAN AND SURGEON BIENNIAL ACTIVE LICENSE RENEWAL	\$400	\$400
PHYSICIAN AND SURGEON BIENNIAL INACTIVE LICENSE RENEWAL	\$300	\$300
PHYSICIAN AND SURGEON BIENNIAL ACTIVE DELINQUENT FEE	\$100	\$100
PHYSICIAN AND SURGEON BIENNIAL INACTIVE DELINQUENT FEE	\$75	\$75
POSTGRADUATE TRAINING LICENSE	\$491	N/A
FICTITIOUS NAME PERMIT APP FEE	\$100	\$100
FICTITIOUS NAME PERMIT RENEWAL FEE	\$50	\$50
ENDORSEMENT	\$25	\$25
DUPLICATE CERTIFICATE	\$25	\$25
CURES FEE**	(DUE TO OTHER FUND) \$22	\$22
STEPHEN THOMPSON PHYSICIANS CORP LOAN REPAYMENT PROGRAM ***	(DUE TO OTHER FUND) \$25	\$25

* Initial fee is prorated.

** \$11/year collected with biennial renewal, Business and Professions Code section 208(a).

*** Collected at renewal per Business and Professions Code section 2436.5 and initial licensure per Business and Professions Code section 2455.1.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
FICTITIOUS NAME PERMIT (OMBC)	140	97	700
OSTEOPATHIC PHYSICIAN AND SURGEON (OMBC)	696	575	5,683
POSTGRADUATE TRAINING LICENSE (OMBC)	582	624	0
TOTAL	1,418	1,296	6,383

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
FICTITIOUS NAME PERMIT (OMBC)	1,102	N/A	N/A
OSTEOPATHIC PHYSICIAN AND SURGEON (OMBC)	N/A	12,356	N/A
POSTGRADUATE TRAINING LICENSE (OMBC)	N/A	856	N/A
TOTAL	1,102	13,212	N/A

Renewal and Continuing Education (CE)*		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
OSTEOPATHIC PHYSICIAN AND SURGEON	EVERY 2 YEARS	100 HOURS

*40 hours must be American Osteopathic Association category 1A or 1B.

Exams Results*			
EXAM TITLE	PASS	FAIL	TOTAL
COMLEX LEVELS 1, 2, AND 3	N/A	N/A	N/A

*OMBC does not track number of passes or failures.

Summary of Enforcement Activity

Consumer Complaints—Intake	
562	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
636	REFERRED FOR INVESTIGATION
88	PENDING

Conviction/Arrest Notification Complaints	
34	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
34	REFERRED FOR INVESTIGATION
1	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
670	OPENED
563	CLOSED
292	PENDING

Number of Days to Complete Intake and Investigations	
255	UP TO 90 DAYS
122	91 TO 180 DAYS
121	181 DAYS TO 1 YEAR
43	1 TO 2 YEARS
20	2 TO 3 YEARS
2	OVER 3 YEARS
216*	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

*Total updated June 2022 (online only) to correct error.

Citations and Fines	
3	ISSUED
2	ISSUED WITH A FINE
0	WITHDRAWN
1	DISMISSED
203	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA

Total Amount of Fines	
\$2,000	ASSESSED
\$0	REDUCED
\$1,000	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
19	CASES OPENED/INITIATED
13	CASES CLOSED
26	CASES PENDING

Number of Days to Complete Attorney General Cases	
1	UP TO 1 YEAR
2	1 TO 2 YEARS
1	2 TO 3 YEARS
9	OVER 3 YEARS
1,092	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
9	ACCUSATIONS FILED
3	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
4	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
1	REVOCAION
1	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
9	PROBATION ONLY
1	PUBLIC REPRIMAND
0	OTHER DECISIONS
13	TOTAL

Petition for Modification or Termination of Probation	
1	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$122,812	ORDERED
\$120,645.85	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
46	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
170	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
390	AVERAGE NUMBER OF DAYS



CALIFORNIA STATE BOARD OF PHARMACY

Licenses and regulates pharmacies, pharmacists, pharmacist interns, pharmacy technicians, and drug wholesalers.

www.pharmacy.ca.gov

STAFF:

134.3 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

140,424

BOARD MEMBERSHIP:

6 public representatives
7 licensees

BOARD STAFF:

Executive Officer: Anne Sodergren
anne.sodergren@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code 4000 et seq.

California Code of Regulations, title 16,
§ 1700 et seq.

SUNSET REVIEW:

Last review: 2020 Next review: 2025

Board Highlights

RECIPROCITY

The Board does not have reciprocity.

ACCOMPLISHMENTS

COVID-19 Response

The Board performed a critical role in meeting the health care needs of Californians during the COVID-19 pandemic. The Board approved emergency waivers to pharmacy law to help pharmacists and pharmacies function effectively to protect public health or provide patient care.

In addition, the Board collaborated with the Department of Consumer Affairs (DCA) on a waiver allowing pharmacists and pharmacy technicians to collect specimens plus administer and interpret results for authorized COVID-19 tests. This waiver expanded the availability of COVID-19 testing to help ensure timely and accurate reporting of test results. The Board also collaborated with DCA on a waiver allowing pharmacists to independently initiate and administer COVID-19 vaccinations authorized by the U.S. Food and Drug Administration (FDA). This waiver expanded the role of pharmacists on the front lines of the pandemic and greatly expanded public access to COVID-19 vaccinations. Another collaboration with DCA resulted in separate waivers allowing pharmacy technicians and intern pharmacists to administer COVID-19 vaccinations under the supervision of a pharmacist. In addition, the Board approved the use of mobile pharmacies to facilitate vaccine administration and COVID-19 testing.

Outreach

The Board issued 196 email alerts regarding drug recalls, withdrawals, and other warnings from drug manufacturers, licensed sterile compounding pharmacies, and the FDA to pharmacies and consumers. These emails protect public health by instantly notifying licensees and consumers with important information about prescription medications and products.

The Board responded to emergencies declared by Governor Gavin Newsom related to wildfires and winter storms throughout California by reaching out to help pharmacists continue providing care in impacted communities. The Board emailed alerts advising pharmacists of California laws related to furnishing prescription drugs during an emergency, providing emergency refills without prescriber authorization, and operating a mobile pharmacy in a declared emergency area. This information enabled pharmacists to furnish essential prescription medications to unexpectedly displaced patients.

The Board created and posted an online consumer tip sheet on how to prepare for an emergency evacuation.

The information includes how to prepare a “patient care kit” with essential prescription medications before an evacuation and links to resources for finding an open pharmacy during an evacuation. The tip sheet provides a checklist to help consumers prepare in advance of a natural disaster or other emergency that could force them out of their homes for an extended period.

The Board developed a sample collaborative practice agreement for pharmacists to provide medication-assisted treatment (MAT) to patients with opioid use disorder in collaboration with a medical care provider. The sample agreement followed a policy statement adopted by the Board in 2019 to encourage greater access to MAT by supporting pharmacists providing direct care and assisting medical providers in caring for patients with opioid addiction.

Collaboration on Controlled Substance Education

The Board collaborated with DCA and the Medical Board of California to educate licensees about two laws effective January 1, 2021 affecting pharmacists and other health care professionals who prescribe or furnish controlled substances. The Board published extensive guidance and FAQ regarding Assembly Bill (AB) 149 (Cooper, Chapter 4, Statutes of 2019), which established requirements for new security forms for controlled substance prescriptions. The Board also published FAQs on AB 528 (Low, Chapter 677, Statutes of 2019), which requires dispensing of controlled substances to be reported to the state’s prescription drug monitoring database (known as CURES) within one working day after the medication is released to the patient and also requires the dispensing of Schedule V controlled substances to be reported.

Website Updates

The Board created an online registry for consumers to search local pharmacies offering eight specific health services pharmacists are authorized by California law to provide without a prescription: self-administered hormonal contraception, emergency contraception, vaccinations, travel medications, nicotine replacement therapy, naloxone, HIV (human immunodeficiency virus) preexposure prophylaxis, and HIV postexposure prophylaxis. Pharmacies and pharmacists may choose to be listed in the registry. The program increases public access to these important health care services.

The Board implemented an online process for renewing pharmacy licenses. The new process eases the application and payment process by enabling licensees to renew these licenses electronically by credit card in addition to renewing by mail.

Sunset Review Hearing

The Board’s president and executive officer testified during a sunset review hearing before a joint legislative oversight committee on November 18, 2020. In addition, the Board submitted a Supplemental Sunset Review Report following a

delay of the review hearing due to the COVID-19 public health emergency. The two-volume supplemental report highlighted the Board’s activities and achievements during the additional time frame as well as the Board’s significant efforts to assist in California’s response to the COVID-19 pandemic.

Important Meetings

The Board’s Enforcement and Compounding Committee convened an informational meeting for stakeholders on the practice of “white bagging,” e.g., the distribution of patient-specific medication from a pharmacy, typically a specialty pharmacy, to the physician’s office, hospital, or clinic for administration. The forum drew presentations and comments from a variety of health plan representatives, health system representatives, prescribers, and pharmacists.

Enhancements

The Board conducted an online survey of the pharmacy workforce to help determine if working conditions in California community pharmacies may be a contributing factor in medication errors. The survey will assist the Board in evaluating possible actions to help reduce medication errors in pharmacies and increase public health and safety.

The Board launched efforts to educate pharmacists regarding Business and Professions Code section 688, a comprehensive law regarding electronic data transmission prescriptions.

The Board utilized Webex during the COVID-19 pandemic to provide full-day training events for California pharmacists on preventing prescription drug abuse and drug diversion.

NEW LEGISLATION

AB 1064 (Fong, Chapter 655, Statutes of 2021) allows pharmacists to independently initiate and administer any vaccine approved by the FDA, recommended by the federal Advisory Committee on Immunization Practices (ACIP) and published by the federal Centers for Disease Control and Prevention for persons 3 years of age or older.

AB 1533 (Assembly Committee on Business and Professions, Chapter 629, Statutes of 2021), among other things, extends the operations of the Board until January 1, 2026, and grants the Board the authority to continue to oversee and regulate the pharmacy industry within California. This bill expands existing conditions for an advanced practice pharmacist to initiate, adjust or discontinue drug therapy, and amends pharmacists’ scope of practice to include initiating, adjusting, or discontinuing drug therapy under a collaborative practice agreement as well as authority to provide nonopioid medication-assisted treatment pursuant to a state protocol. This bill also grants the Board the authority to deny an application for licensure if the conviction or other underlying conduct would be grounds for denial of a federal registration to distribute controlled substances, and requires a continuing education course to be completed on the risks of addiction

associated with the use of Schedule II drugs for pharmacists who provide such substances. This bill further requires one professional member of the Board to be a representative of a compounding pharmacy specializing in human drug preparations. Additionally, this bill expressly authorizes the Board to meet via teleconference.

SB 310 (Rubio, Chapter 541, Statutes of 2021) establishes, until January 1, 2027, the Cancer Medication Recycling Act and requires a surplus medication collection and distribution intermediary to establish and administer a program allowing cancer patients to donate certain unused cancer medications to patients in need. The intermediary will be required to create a registry of up to 50 qualified physicians who may participate in the program, each of whom will be allowed to accept certain unopened cancer medications from patients and redistribute the medication to other patients.

SB 362 (Newman, Chapter 334, Statutes of 2021) prohibits a chain community pharmacy from establishing a quota to measure or evaluate the performance of a pharmacist's or pharmacy technician's duties. This bill also prohibits a chain community pharmacy from communicating the existence of quotas to employees or to those it contracts with and authorizes the Board to take enforcement action against a chain community pharmacy that establishes a quota.

SB 409 (Caballero, Chapter 604, Statutes of 2021) expands the types of clinical laboratory tests that a licensed pharmacist may perform to include clinical laboratory tests that are classified as waived under Clinical Laboratory Improvement Amendments (CLIA) and that are either approved by the Board in conjunction with the Medical Board of California, or used to detect or screen for specified illnesses, conditions, or diseases. Additionally, this bill includes a pharmacist-in-charge in the definition of "laboratory director," which will permit pharmacies to operate as clinical laboratories for the limited purposes of performing CLIA-waived tests specified in the bill, and requires the pharmacists performing these tests to meet specified training requirements.

License Requirements

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING*	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)**	Y
EXAMINATION*	Y
CONTINUING EDUCATION/COMPETENCY***	Y
FINGERPRINT REQUIREMENTS**	Y

*Required for RPH.

**Required for RPH, APH, TCH, EXC, EXV, DRL, DRR, DPM.

***Required for RPH and APH license types.

Fees*

License Type	Actual Fee	Statutory Limit
DESIGNATED REPRESENTATIVES (EXC) APPLICATION FEE	\$210	\$210
DESIGNATED REPRESENTATIVES (EXC) LICENSE RENEWAL FEE	\$300	\$300
DESIGNATED REPRESENTATIVES VET (EXV) APPLICATION FEE	\$210	\$210
DESIGNATED REPRESENTATIVES VET (EXV) LICENSE RENEWAL FEE	\$300	\$300
DESIGNATED REPRESENTATIVES-3PL (DRL) APPLICATION FEE	\$210	\$210
DESIGNATED REPRESENTATIVES-3PL (DRL) LICENSE RENEWAL FEE	\$300	\$300
DESIGNATED REPRESENTATIVES-REVERSE DISTRIBUTOR (DRR) APPLICATION FEE	\$210	\$210
DESIGNATED REPRESENTATIVES-REVERSE DISTRIBUTOR (DRR) LICENSE RENEWAL FEE	\$300	\$300
DESIGNATED PARAMEDIC (DPM) APPLICATION FEE	\$140	\$140
DESIGNATED PARAMEDIC (DPM) LICENSE RENEWAL FEE	\$140	\$140
PHARMACIST INTERN (INT) APPLICATION FEE	\$230	\$230
PHARMACIST EXAM APPLICATION FEE	\$285	\$285
PHARMACIST (RPH) LICENSE FEE	\$215	\$215
PHARMACIST (RPH) LICENSE RENEWAL FEE	\$505	\$505
ADVANCED PRACTICE PHARMACIST (APH) APPLICATION FEE	\$300	\$300
ADVANCED PRACTICE PHARMACIST (APH) LICENSE RENEWAL FEE	\$300	\$300
PHARMACY TECHNICIAN (TCH) APPLICATION FEE	\$195	\$195
PHARMACY TECHNICIAN (TCH) LICENSE RENEWAL FEE	\$195	\$195
AUTOMATED DRUG DELIVERY SYSTEM (ADD) APPLICATION FEE	\$200	\$250
AUTOMATED DRUG DELIVERY SYSTEM (ADD) LICENSE RENEWAL FEE	\$200	\$250
AUTOMATED DRUG DISPENSING SYSTEM 340B CLINIC (ADC) APPLICATION FEE	\$300	\$500
AUTOMATED DRUG DISPENSING SYSTEM 340B CLINIC (ADC) LICENSE RENEWAL FEE	\$300	\$500
AUTOMATED DRUG DELIVERY SYSTEM EMS (ADE) APPLICATION FEE	\$100	\$100
AUTOMATED DRUG DELIVERY SYSTEM EMS (ADE) LICENSE RENEWAL FEE	\$100	\$100
CENTRALIZED HOSPITAL PACKAGING (CHP/CHE) APPLICATION FEE	\$1,150	\$1,150

License Type	Actual Fee	Statutory Limit
CENTRALIZED HOSPITAL PACKAGING (CHP/CHE) LICENSE RENEWAL FEE	\$1,125	\$1,125
CLINIC (CLN) APPLICATION FEE	\$570	\$570
CLINIC (CLN) LICENSE RENEWAL FEE	\$360	\$360
DRUG ROOM (DRM) APPLICATION FEE	\$570	\$570
DRUG ROOM (DRM) LICENSE RENEWAL FEE	\$930	\$930
HOSPITAL (HSP) APPLICATION FEE	\$570	\$570
HOSPITAL (HSP) LICENSE RENEWAL FEE	\$930	\$930
HOSPITAL SATELLITE STERILE COMPOUNDING (SCP) APPLICATION FEE	\$2,305	\$2,305
HOSPITAL SATELLITE STERILE COMPOUNDING (SCP) LICENSE RENEWAL FEE	\$1,855	\$1,855
HYPODERMIC NEEDLE AND SYRINGES (HYP) APPLICATION FEE	\$240	\$240
HYPODERMIC NEEDLE AND SYRINGES (HYP) LICENSE RENEWAL FEE	\$280	\$280
OUTSOURCING FACILITIES (OSF) APPLICATION FEE	\$3,180	\$3,180
OUTSOURCING FACILITIES (OSF) LICENSE RENEWAL FEE	\$1,855	\$1,855
OUTSOURCING FACILITIES NONRESIDENT (NSF) APPLICATION FEE	\$3,335	\$3,335
OUTSOURCING FACILITIES NONRESIDENT (NSF) LICENSE RENEWAL FEE	\$3,180	\$3,180
PHARMACY (PHY) APPLICATION FEE	\$570	\$570
PHARMACY (PHY) LICENSE RENEWAL FEE	\$930	\$930
PHARMACY NONRESIDENT (NRP) APPLICATION FEE	\$570	\$570
PHARMACY NONRESIDENT (NRP) LICENSE RENEWAL FEE	\$930	\$930
REMOTE DISPENSING PHARMACY (PHR) APPLICATION FEE	\$570	\$570
REMOTE DISPENSING PHARMACY (PHR) LICENSE RENEWAL FEE	\$930	\$930
STERILE COMPOUNDING LICENSE (LSC) APPLICATION FEE	\$2,305	\$2,305
STERILE COMPOUNDING LICENSE (LSC) LICENSE RENEWAL FEE	\$1,855	\$1,855
STERILE COMPOUNDING LICENSE NONRESIDENT (NSC) APPLICATION FEE	\$3,335	\$3,335
STERILE COMPOUNDING LICENSE NONRESIDENT (NSC) LICENSE RENEWAL FEE	\$3,180	\$3,180
THIRD-PARTY LOGISTICS PROVIDER (TPL) APPLICATION FEE	\$820	\$820
THIRD-PARTY LOGISTICS PROVIDER (TPL) LICENSE RENEWAL FEE	\$820	\$820
THIRD-PARTY LOGISTICS PROVIDER NONRESIDENT (NPL) APPLICATION FEE	\$820	\$820

License Type	Actual Fee	Statutory Limit
THIRD-PARTY LOGISTICS PROVIDER NONRESIDENT (NPL) LICENSE RENEWAL FEE	\$820	\$820
VETERINARY FOOD-ANIMAL RETAILER (VET) APPLICATION FEE	\$610	\$610
VETERINARY FOOD-ANIMAL RETAILER (VET) LICENSE RENEWAL FEE	\$460	\$460
WHOLESALER (WLS) APPLICATION FEE	\$820	\$820
WHOLESALER (WLS) LICENSE RENEWAL FEE	\$820	\$820
WHOLESALER NONRESIDENT (OSD) APPLICATION FEE	\$820	\$820
WHOLESALER NONRESIDENT (OSD) LICENSE RENEWAL FEE	\$820	\$820

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ADVANCED PRACTICE PHARMACIST (APH)	167	87	410
CENTRALIZED HOSPITAL PACKAGING (CHP)/GOVERNMENT OWNED (CHE)	1	1	10
CLINIC (CLN)/GOVERNMENT OWNED (CLE)	157	115	2,069
DESIGNATED REPRESENTATIVES (EXC)	436	312	2,363
DESIGNATED REPRESENTATIVES VET (EXV)	5	2	51
DESIGNATED REPRESENTATIVES-3PL (DRL)	108	91	277
DESIGNATED REPRESENTATIVES-PARAMEDIC (DPM)	0	0	1
DESIGNATED REPRESENTATIVES-REVERSE DISTRIBUTOR (DRR)	3	3	1
EMERGENCY MEDICAL SERVICES AUTOMATED DRUG DELIVERY SYSTEM (ADE)	0	0	1
HOSPITAL SATELLITE STERILE COMPOUNDING (SCP)/GOVERNMENT OWNED (SCE)	2	1	5
HYPODERMIC NEEDLE AND SYRINGES (HYP)	13	3	221
INTERN PHARMACIST (INT)	1,652	1,611	N/A
LICENSED CORRECTIONAL FACILITIES (LCF)	0	0	61
OUTSOURCING FACILITIES (OSF)	0	1	3
OUTSOURCING FACILITIES NONRESIDENT (NSF)	7	4	19
PHARMACIST (EXAM)	3,993	N/A	N/A
PHARMACIST (RPH)	1,954	1,964	20,413
PHARMACY (PHY)/GOVERNMENT OWNED (PHE)	388	281	6,197

CALIFORNIA STATE BOARD OF PHARMACY

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PHARMACY NONRESIDENT (NRP)	137	87	491
PHARMACY TECHNICIAN (TCH)	4,796	4,004	29,073
STERILE COMPOUNDING (LSC)/ GOVERNMENT OWNED (LSE)	87	83	797
STERILE COMPOUNDING NONRESIDENT (NSC)	15	5	55
SURPLUS MEDICATION COLLECTION DISTRIBUTION INTERMEDIARY (SME)	0	0	1
THIRD-PARTY LOGISTICS PROVIDER (TPL)	11	6	23
THIRD-PARTY LOGISTICS PROVIDER NONRESIDENT (NPL)	36	21	76
VETERINARY FOOD-ANIMAL RETAILER (VET)	0	0	16
WHOLESALE (WLS)/ GOVERNMENT OWNED (WLE)	65	47	428
WHOLESALE NONRESIDENT (OSD)	109	70	673
REMOTE DISPENSING PHARMACY (PHR)	3	2	1
AUTOMATED DRUG DELIVERY SYSTEM (ADD)*	233	150	790
AUTOMATED DRUG DISPENSING SYSTEM 340B CLINIC (ADC)*	0	0	0
HOSPITAL (HSP)/GOVERNMENT OWNED (HPE)	24	29	433
DRUG ROOM (DRM)/ GOVERNMENT OWNED (DRE)	4	3	27
TOTAL	14,406	8,983	64,986

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ADVANCED PRACTICE PHARMACIST (APH)	N/A	890	N/A
CENTRALIZED HOSPITAL PACKAGING (CHP)/GOVERNMENT OWNED (CHE)	N/A	10	N/A
CLINIC (CLN)/GOVERNMENT OWNED (CLE)	N/A	2,236	N/A
DESIGNATED REPRESENTATIVES (EXC)	N/A	2,844	N/A
DESIGNATED REPRESENTATIVES VET (EXV)	N/A	59	N/A
DESIGNATED REPRESENTATIVES-3PL (DRL)	N/A	392	N/A
DESIGNATED REPRESENTATIVES- PARAMEDIC (DPM)	N/A	3	N/A
DESIGNATED REPRESENTATIVES-REVERSE DISTRIBUTOR (DRR)	N/A	7	N/A

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
EMERGENCY MEDICAL SERVICES AUTOMATED DRUG DELIVERY SYSTEM (ADE)	N/A	1	N/A
HOSPITAL SATELLITE STERILE COMPOUNDING (SCP)/GOVERNMENT OWNED (SCE)	N/A	6	N/A
HYPODERMIC NEEDLE AND SYRINGES (HYP)	N/A	302	N/A
INTERN PHARMACIST (INT)	N/A	5,999	N/A
LICENSED CORRECTIONAL FACILITIES (LCF)	N/A	61	N/A
OUTSOURCING FACILITIES (OSF)	N/A	4	N/A
OUTSOURCING FACILITIES NONRESIDENT (NSF)	N/A	25	N/A
PHARMACIST (EXAM)	N/A	N/A	N/A
PHARMACIST (RPH)	N/A	48,568	N/A
PHARMACY (PHY)/ GOVERNMENT OWNED (PHE)	N/A	6,513	N/A
PHARMACY NONRESIDENT (NRP)	N/A	605	N/A
PHARMACY TECHNICIAN (TCH)	N/A	67,986	N/A
STERILE COMPOUNDING (LSC)/GOVERNMENT OWNED (LSE)	N/A	851	N/A
STERILE COMPOUNDING NONRESIDENT (NSC)	N/A	63	N/A
SURPLUS MEDICATION COLLECTION DISTRIBUTION INTERMEDIARY (SME)	N/A	1	N/A
THIRD-PARTY LOGISTICS PROVIDER (TPL)	N/A	35	N/A
THIRD-PARTY LOGISTICS PROVIDER NONRESIDENT (NPL)	N/A	101	N/A
VETERINARY FOOD- ANIMAL RETAILER (VET)	N/A	20	N/A
WHOLESALE (WLS)/ GOVERNMENT OWNED (WLE)	N/A	560	N/A
WHOLESALE NONRESIDENT (OSD)	N/A	830	N/A
REMOTE DISPENSING PHARMACY (PHR)	N/A	2	N/A
AUTOMATED DRUG DELIVERY SYSTEM (ADD)*	N/A	946	N/A

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
AUTOMATED DRUG DISPENSING SYSTEM 340B CLINIC (ADC)*	N/A	0	N/A
HOSPITAL (HSP)/ GOVERNMENT OWNED (HPE)	N/A	472	N/A
DRUG ROOM (DRM)/ GOVERNMENT OWNED (DRE)	N/A	32	N/A
TOTAL	N/A	140,424	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
DESIGNATED REPRESENTATIVES (EXC)	EVERY YEAR	0
DESIGNATED REPRESENTATIVES VET (EXV)	EVERY YEAR	0
DESIGNATED REPRESENTATIVES-3PL (DRL)	EVERY YEAR	0
DESIGNATED REPRESENTATIVES-PARAMEDIC (DPM)	EVERY 2 YEARS	0
DESIGNATED REPRESENTATIVES-REVERSE DISTRIBUTOR (DRR)	EVERY YEAR	0
INTERN PHARMACIST (INT)	N/A	N/A
PHARMACIST (RPH)	EVERY 2 YEARS	30
ADVANCED PRACTICE PHARMACIST (APH)	EVERY 2 YEARS	10
PHARMACY TECHNICIAN (TCH)	EVERY 2 YEARS	0
CENTRALIZED HOSPITAL PACKAGING (CHP)/ GOVERNMENT OWNED(CHE)	EVERY YEAR	0
CLINIC (CLN)/GOVERNMENT OWNED (CLE)	EVERY YEAR	0
HOSPITAL (HSP)/GOVERNMENT OWNED (HPE) AND DRUG ROOM (DRM)/EXEMPT (DRE)	EVERY YEAR	0
EMERGENCY MEDICAL SERVICES AUTOMATED DRUG DELIVERY SYSTEM	EVERY YEAR	0
HOSPITAL SATELLITE STERILE COMPOUNDING (SCP)/ GOVERNMENT OWNED (SCE)	EVERY YEAR	0
HYPODERMIC NEEDLE AND SYRINGES (HYP)/ GOVERNMENT OWNED (HYE)	EVERY YEAR	0
LICENSED CORRECTIONAL FACILITIES (LCF)	EVERY YEAR	0
OUTSOURCING FACILITIES (OSF)	EVERY YEAR	0

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
OUTSOURCING FACILITIES NONRESIDENT (NSF)	EVERY YEAR	0
PHARMACY (PHY)/ GOVERNMENT OWNED (PHE)	EVERY YEAR	0
PHARMACY NONRESIDENT (NRP)	EVERY YEAR	0
STERILE COMPOUNDING (LSC)/GOVERNMENT OWNED (LSE)	EVERY YEAR	0
STERILE COMPOUNDING NONRESIDENT (NSC)	EVERY YEAR	0
SURPLUS MEDICATION COLLECTION DISTRIBUTION INTERMEDIARY (SME)	EVERY YEAR	0
THIRD-PARTY LOGISTICS PROVIDER (TPL)	EVERY YEAR	0
THIRD-PARTY LOGISTICS PROVIDER NONRESIDENT (NPL)	EVERY YEAR	0
VETERINARY FOOD-ANIMAL RETAILER (VET)	EVERY YEAR	0
WHOLESALE (WLS)/ GOVERNMENT OWNED (WLE)	EVERY YEAR	0
WHOLESALE NONRESIDENT (OSD)	EVERY YEAR	0

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
NAPLEX	1,357	349	1,706
CPJE	1,835	1,458	3,293

Summary of Enforcement Activity

Consumer Complaints—Intake	
2,034	RECEIVED
593	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,457	REFERRED FOR INVESTIGATION
41	PENDING

Conviction/Arrest Notification Complaints	
499	RECEIVED
17	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
497	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
2,963	CONDUCTED
N/A	CITATIONS ISSUED

CALIFORNIA STATE BOARD OF PHARMACY

Investigations	
1,954	OPENED
1,952	CLOSED
1,619	PENDING

Number of Days to Complete Intake and Investigations	
383	UP TO 90 DAYS
427	91 TO 180 DAYS
604	181 DAYS TO 1 YEAR
459	1 TO 2 YEARS
74	2 TO 3 YEARS
5	OVER 3 YEARS
272	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
931	ISSUED
532	ISSUED WITH A FINE
4	WITHDRAWN
3	DISMISSED
428	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$786,100	ASSESSED
\$222,050	REDUCED
\$706,730	COLLECTED

Criminal/Civil Actions	
N/A	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
174	CASES OPENED/INITIATED
223	CASES CLOSED
244	CASES PENDING

Number of Days to Complete Attorney General Cases	
24	UP TO 1 YEAR
83	1 TO 2 YEARS
68	2 TO 3 YEARS
48	OVER 3 YEARS
875	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
13	STATEMENTS OF ISSUES FILED
169	ACCUSATIONS FILED
14	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
12	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
11	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
4	LICENSE APPLICATIONS DENIED
84	REVOCAION
82	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
92	PROBATION ONLY
80	PUBLIC REPRIMAND
1	OTHER DECISIONS
344	TOTAL

Petition for Modification or Termination of Probation	
10	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
2	GRANTED
1	DENIED

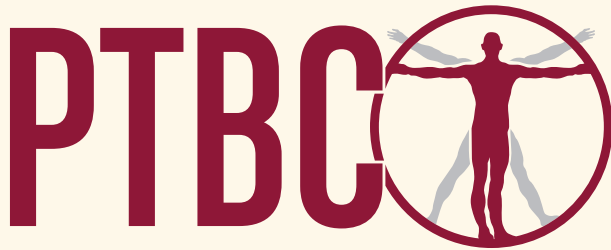
Cost Recovery	
\$2,475,038	ORDERED
\$1,578,428	COLLECTED

Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
16	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
245	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
584	AVERAGE NUMBER OF DAYS



Licenses and regulates physical therapists, physical therapist assistants, and the practice of electroneuromyography and kinesiological electromyography performed by physical therapists.

www.ptbc.ca.gov

STAFF:

274 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

43,649

BOARD MEMBERSHIP:

3 public representatives
4 licensees

BOARD STAFF:

Executive Officer: Jason Kaiser
jason.kaiser@dca.ca.gov

Assistant Executive Officer: Elsa Ybarra
elsa.ybarra@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 2600–2696

California Code of Regulations, Division 13.2,
title 16, §§ 1398–1399.99.4

SUNSET REVIEW:

Last review: 2017 Next review: 2022

Board Highlights

RECIPROCITY

Pursuant to Business and Professions Code (BPC) section 2636.5, applicants for licensure as a physical therapist or physical therapist assistant who are licensed as such in another state, district, or territory of the United States, approved by the Board, may become licensed without a physical therapy or physical therapist assistant written examination if they meet all the requirements, including those licensing requirements prescribed by the Board. However, these individuals must successfully pass the California Law Examination prior to becoming licensed. Pursuant to BPC section 2639, applicants who are graduates of an approved education program or substantiated as a graduate of an approved education program and who have filed a complete application for licensure with the Board may be awarded “license applicant” status by the Board. A physical therapist or physical therapist assistant applicant shall practice under the direct supervision of a licensed physical therapist as a “license applicant.”

ACCOMPLISHMENTS

Military Applicant Enhancements

In 2019, as part of an effort to increase awareness of Department of Consumer Affairs (DCA) benefits, improve assistance, and establish an ongoing process to maintain consistency across all DCA boards, DCA’s Military Licensure Committee set forth best practice recommendations for boards to assist military applicants, licensees, and their families. The Board has implemented all such recommendations for the benefit of military personnel. A staff person with military-related experience has been designated the single point of contact for all military-related application and license maintenance matters. In addition, the Board updated its website to include a page specific to military-related application and license maintenance information and included an email address for military applicants, licensees, and their families to contact the Board directly and receive an immediate response from the designated point of contact. With the help of DCA, the Board implemented a mechanism to easily identify military applications for those applying for initial licensure and license holders to ensure they are prioritized. Finally, the Board participates in the DCA Military Licensure Committee meetings and activities to proactively identify areas of need and how to meet those needs, whether it be enhancing existing services or establishing new ones.

Outreach

The Board increased its social media presence and reached a broader section of the public. This presence included over 513 Instagram followers, 3,654 Facebook followers, 275 LinkedIn followers, and 733 Twitter followers. Overall, the Board’s social media followers have increased by 14%.

The Board continues to provide outreach through its newsletter publication “Progress Notes,” which covers important information and updates for the public, stakeholders, applicants, and licensees. It is available on the Board website and by hard copy.

The Board held 25 virtual outreach events, resulting in a 35% increase in outreach presentations over last fiscal year. These presentations utilized Webex, Zoom, Microsoft Teams, and other electronic means to communicate with stakeholders and applicants. Outreach events consisted of sharing information on BreZE, the new DCA search function, laws and regulations, continuing education requirements, and the application process. The Board has presented to 30 of the 35 physical therapy (P.T.) and physical therapy assistant (PTA) programs. The Board now visits many of these programs on an annual basis to provide outreach and training to their graduating classes. The Board participated in the California Physical Therapy Association’s Student Conclave virtually. The Board also began meeting with up-and-coming P.T. and PTA programs working on their accreditation from the Commission on Accreditation in Physical Therapy Education.

Board Meetings

Three Board meetings are mandated each year. Typically, the Board conducts four meetings a year in Northern and Southern California. This year, all meetings were conducted virtually via Webex. In comparison to in-person meetings, virtual meetings increased attendance by 21%. Stakeholders have better access to Board meetings with the convenience of participating from anywhere, and travel and administrative costs have decreased by 98%.

NEW LEGISLATION

There was no enacted legislation solely related to the Board in 2021.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y-PTA ONLY
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
PHYSICAL THERAPIST		
APPLICATION PROCESSING FEE	\$300	\$300
INITIAL LICENSING FEE	\$150	\$150
FINGERPRINTING FEE	\$49	N/A
NATIONAL EXAMINATION FEE	\$485***	**
CALIFORNIA LAW EXAMINATION FEE	\$65***	**
TOTAL INITIAL LICENSE FEES	\$1,049	N/A
BIENNIAL RENEWAL FEE	\$300	\$300
PHYSICAL THERAPIST ASSISTANT		
APPLICATION PROCESSING FEE	\$300	\$300
FINGERPRINTING FEE	\$49	N/A
NATIONAL EXAMINATION FEE	\$485***	**
CALIFORNIA LAW EXAMINATION FEE	\$65***	**
TOTAL INITIAL LICENSE FEES	\$899	N/A
BIENNIAL RENEWAL FEE	\$300	\$300

*Additional fees may be required. Refer to the laws and regulations for details.

** Fees set by the Federation of State Boards of Physical Therapy.

***Additional testing center fees: P.T. \$100.30/PTA \$82.60/CLE \$29.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ELECTRONEUROMYOGRAPHER-CERTIFICATION	0	0	5
KINESIOLOGICAL ELECTROMYOGRAPHER-CERTIFICATION	0	0	12
PHYSICAL THERAPIST-LICENSE	1,968	1,885	13,487
PHYSICAL THERAPIST ASSISTANT-LICENSE	725	611	3,694
TOTAL	2,693	2,496	17,198

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ELECTRONEUROMYOGRAPHER-CERTIFICATION	24	N/A	N/A
KINESIOLOGICAL ELECTROMYOGRAPHER-CERTIFICATION	29	N/A	N/A
PHYSICAL THERAPIST-LICENSE	N/A	33,988	N/A
PHYSICAL THERAPIST ASSISTANT-LICENSE	N/A	9,608	N/A
TOTAL	53	43,596	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
PHYSICAL THERAPIST	EVERY 2 YEARS	30
PHYSICAL THERAPIST ASSISTANT	EVERY 2 YEARS	30

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
NATIONAL PHYSICAL THERAPIST EXAMINATION	1,115	248	1,284
NATIONAL PHYSICAL THERAPIST ASSISTANT EXAMINATION	536	239	775
CALIFORNIA LAW EXAMINATION	2,150	647	2,797
ELECTRONEUROMYOGRAPHY EXAMINATION	0	0	0
KINESIOLOGICAL ELECTROMYOGRAPHY EXAMINATION	0	0	0

Summary of Enforcement Activity

Consumer Complaints—Intake	
247	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
247	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
162	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
161	REFERRED FOR INVESTIGATION
1	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
408	OPENED
394	CLOSED
301	PENDING

Number of Days to Complete Intake and Investigations	
201	UP TO 90 DAYS
57	91 TO 180 DAYS
58	181 DAYS TO 1 YEAR
47	1 TO 2 YEARS
25	2 TO 3 YEARS
6	OVER 3 YEARS
206	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
27	ISSUED
27	ISSUED WITH A FINE
3	WITHDRAWN
0	DISMISSED
419	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

PHYSICAL THERAPY BOARD OF CALIFORNIA

Total Amount of Fines	
\$15,550	ASSESSED
\$0	REDUCED
\$14,250	COLLECTED

Criminal/Civil Actions	
1	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
51	CASES OPENED/INITIATED
31	CASES CLOSED
63	CASES PENDING

Number of Days to Complete Attorney General Cases	
6	UP TO 1 YEAR
11	1 TO 2 YEARS
4	2 TO 3 YEARS
10	OVER 3 YEARS
879	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
31	ACCUSATIONS FILED
1	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
3	LICENSE APPLICATIONS DENIED
5	REVOCATION
3	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
10	PROBATION ONLY
0	PUBLIC REPRIMAND
3	OTHER DECISIONS
24	TOTAL

Petition for Modification or Termination of Probation	
1	GRANTED
1	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$158,562.44	ORDERED
\$26,588.75	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
4	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
206	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
463	AVERAGE NUMBER OF DAYS



Licenses and regulates physician assistants.

www.pab.ca.gov

STAFF:

10 civil servant positions

1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

14,922

BOARD MEMBERSHIP:

4 public representatives

5 licensees

1 ex officio physician

BOARD STAFF:

Executive Officer: Rozana Khan

rozana.khan@dca.ca.gov

Assistant Executive Officer: Kristy Voong

kristy.voong@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code sections 3500-3546

California Code of Regulations, Division 13.8,
title 16, sections 1399.500-1399.623

SUNSET REVIEW:

Last review: 2020 Next review: 2025

Board Highlights

RECIPROCITY

The Board does not have reciprocity.

ACCOMPLISHMENTS

New Executive Management Team

The Board appointed new Executive Officer Rozana Khan on December 1, 2020. She had served as the Board's interim executive officer since September 2020. Additionally, the Board hired Assistant Executive Officer Kristy Voong on June 16, 2021, to oversee the licensing and enforcement programs and provide general management-level support to all Board activities.

Sunset Review

The Board underwent its sunset review hearings in late 2020. The joint hearing before the Assembly Business and Professions Committee and Senate Business, Professions and Economic Development Committee was held on November 19, 2020, where the Board, represented by past President Jed Grant and Executive Officer Rozana Khan, answered questions as well as provided written responses to issues raised during the sunset review oversight process. As a result, the Board's operational authority was extended to January 1, 2026, in Senate Bill (SB) 806 (Roth, Chapter 649, Statutes of 2021); and various changes were made to laws governing the operation of the Board to clarify that it is an independent board and not a committee within the Medical Board of California (MBC).

Enforcement Program

In September 2020, to achieve its 2019–2023 Strategic Plan goal of becoming completely independent of MBC, the Board assumed all of its enforcement functions—complaint and discipline processing. These functions were previously handled by MBC through a shared services agreement. The Board now maintains the total span of control and accountability over all of its enforcement processes except those delegated to the Attorney General's Office and the Office of Administrative Hearings.

Technological Advancements for License Renewal

In October 2020, the Board implemented its license renewal postcard notification to replace the six-page renewal application coupon mailed to licensees. The postcard directs licensees to renew online via the BreZE system, which immediately updates their license status. This effort not only reduces the Board’s carbon footprint but also significantly improves its renewal processing times and reduces costs associated in paper, printing, and postage.

Communications and Outreach

In September 2020, the Board launched its Facebook and Twitter accounts. Similar to its website and Listserv, the Board is utilizing these social media platforms to disseminate all Board-related information, including upcoming Board meeting reminders, information about the physician assistant profession, COVID-19-related updates and reminders, information regarding waivers issued by the Department of Consumer Affairs, alerts of disciplinary action taken against licensees, proposed regulatory updates, and job announcements.

Regulatory Update

On January 29, 2021, to implement the provisions of Assembly Bill 2138 (Chiu, Chapter 995, Statutes of 2018), the Office of Administrative Law approved the Board’s rulemaking file that amends sections 1399.525, 1399.526, and 1399.527 of title 16 of the California Code of Regulations—Substantial Relationship Criteria and Rehabilitation Criteria for Denials, Reinstatements, Suspensions, and Revocations. Additionally, the Board revised its initial application form and licensing processes consistent with the statutory changes.

NEW LEGISLATION

SB 806 (Roth, Chapter 649, Statutes of 2021) is the sunset bill for the Board that extends its operations until January 1, 2026. It also makes various technical changes requested by the Board, including deleting outdated requirements related to examinations and removing references to the Board being under the jurisdiction of the Medical Board of California.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	N
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
APPLICATION PROCESSING FEE	\$25	\$25
INITIAL LICENSE FEE	\$200	\$250
BIENNIAL RENEWAL FEE	\$300	\$300
FINGERPRINT CARD PROCESSING FEE	\$49	N/A

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PHYSICIAN ASSISTANT	1,630	1,428	6,544
TOTAL	1,630	1,428	6,544

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PHYSICIAN ASSISTANT	N/A	14,922	N/A
TOTAL	N/A	14,922	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
PHYSICIAN ASSISTANT	EVERY 2 YEARS	50 CATEGORY 1 OR NATIONAL CERTIFICATION

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
PHYSICIAN ASSISTANT NATIONAL CERTIFYING EXAMINATION (PANCE)— ADMINISTERED BY THE NATIONAL COMMISSION ON CERTIFICATION OF PHYSICIAN ASSISTANTS	N/A	N/A	N/A

Summary of Enforcement Activity

Consumer Complaints—Intake	
388	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
396	REFERRED FOR INVESTIGATION
6	PENDING

Conviction/Arrest Notification Complaints	
6	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
6	REFERRED FOR INVESTIGATION
1	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
402	OPENED
355	CLOSED
239	PENDING

Number of Days to Complete Intake and Investigations	
210	UP TO 90 DAYS
30	91 TO 180 DAYS
38	181 DAYS TO 1 YEAR
47	1 TO 2 YEARS
24	2 TO 3 YEARS
6	OVER 3 YEARS
201	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
5	ISSUED
1	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
788	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$1,000	ASSESSED
\$0	REDUCED
\$1,000	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
38	CASES OPENED/INITIATED
19	CASES CLOSED
39	CASES PENDING

PHYSICIAN ASSISTANT BOARD

Number of Days to Complete Attorney General Cases	
9	UP TO 1 YEAR
3	1 TO 2 YEARS
3	2 TO 3 YEARS
4	OVER 3 YEARS
638	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
22	ACCUSATIONS FILED
4	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
2	LICENSE APPLICATIONS DENIED
1	REVOCAION
9	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
4	SUSPENSION ONLY
8	PROBATION ONLY
1	PUBLIC REPRIMAND
0	OTHER DECISIONS
26	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$241,978.79	ORDERED
\$22,514.01	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
13	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
177	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
244	AVERAGE NUMBER OF DAYS



Licenses and regulates doctors of podiatric medicine.

www.pmbc.ca.gov

STAFF:

4 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

2,373

BOARD MEMBERSHIP:

3 public representatives
4 licensees

BOARD STAFF:

Executive Officer: Brian Naslund
brian.naslund@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 2460–2499.8

California Code of Regulations, Division 13.9,
title 16, §§ 1399.650–1399.732

SUNSET REVIEW:

Last review: 2020 Next review: 2025

Board Highlights

RECIPROCITY

Per Business and Professions Code section 2488, an applicant may be eligible for licensure in California if they are licensed as a doctor of podiatric medicine in any other state and meet the following requirements:

- Pass Part III of the national exam within the last 10 years.
- Satisfactorily complete at least one year of postgraduate training.
- Submit fingerprints and obtain criminal record clearance from the state department of justice and the FBI (applicants in proximity to California may opt to obtain clearances utilizing Live Scan).
- Provide verification of a license in good standing from all states or counties in which a medical license has been held.
- Request a disciplinary databank report from the Federation of Podiatric Medicine be sent directly to the Board.

ACCOMPLISHMENTS

Sunset Review

The Board successfully completed the sunset review process, working closely with staff and members of the Senate and Assembly business and professions committees, Department of Consumer Affairs (DCA), California Podiatric Medical Association, and other boards and related entities. The Board's sunset hearing occurred on November 18, 2020. The Board will be reviewed again in 2025.

Strategic Plan

The Board is currently working toward the goals in its *Strategic Plan 2019–2022*. Board members and staff are achieving the following goals: the recruitment of doctors of podiatric medicine experts and consultants; collaboration with other healing arts boards and associations; participation in the legislative process as it impacts the Board and its licensees; management of its budgetary revenue and expenditures to ensure sustainability; and improvement of communications with licensees, stakeholders, and the public through more frequent updates to its website and social media communications.

COVID-19 Response

The Board seamlessly responded to the COVID-19 pandemic challenges without delays or interruptions. Formal telework agreements were in place, and efficiencies and office workflow remained uninterrupted. Additionally, no significant costs to the Board occurred related to the pandemic.

Throughout the pandemic, Board meetings continued as scheduled, in compliance with procedural and legal requirements, remained well attended and productive, and were continuously open to the public for participation. This was achieved through the support of DCA's SOLID and the Office of Information Services.

NEW LEGISLATION

AB 356 (Chen, Chapter 459, Statutes of 2021)

authorizes the Department of Public Health to issue a nonrenewable, temporary 12-month fluoroscopy permit to a licensed doctor of podiatric medicine who has submitted an application for a fluoroscopy certificate, has at least 40 hours of fluoroscopy experience while not subject to the Radiologic Technology Act, and pays a fee.

SB 806 (Roth, Chapter 649, Statutes of 2021) is the sunset bill for the Board that extends its operations until January 1, 2026. It also makes various technical changes requested by the Board, including aligning disclosure requirements relating to probation with physicians and surgeons.

License Requirements

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

Fees*

License Type	Actual Fee	Statutory Limit
RESIDENT AND PERMANENT LICENSE/APPLICATION	\$100	\$100
RESIDENT LICENSE	\$100	\$100
PERMANENT LICENSE/INITIAL LICENSE	\$800	\$800
PERMANENT LICENSE/INITIAL CERTIFICATION	\$100	\$100
PERMANENT LICENSE/LICENSE RENEWAL	\$1,318	\$1,318
PERMANENT LICENSE/CURES FEE	\$22	\$22
RESIDENT AND PERMANENT LICENSE/DUPLICATE LICENSE	\$100	\$100
RESIDENT AND PERMANENT LICENSE/LETTER OF GOOD STANDING	\$100	\$100
PERMANENT LICENSE/CME COURSE APPROVAL	\$250	\$250
PERMANENT LICENSE/DELINQUENT AFTER 30 DAYS	\$150	\$150
PERMANENT LICENSE/DELINQUENT AFTER 90 DAYS* STARTING 1/1/2021	\$659	50% OF RENEWAL FEE

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PERMANENT DOCTOR OF PODIATRIC MEDICINE	108	93	1,050
RESIDENT STATUS LICENSE	48	47	87
TOTAL	156	140	1,137

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PERMANENT DOCTOR OF PODIATRIC MEDICINE	N/A	2,246	N/A
RESIDENT STATUS LICENSE	N/A	127	N/A
TOTAL	N/A	2,373	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
PERMANENT DOCTOR OF PODIATRIC MEDICINE	EVERY 2 YEARS	50
RESIDENT STATUS LICENSE	N/A*	N/A

*Yearly extension based on resident program approval

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
NBPME/APMLE PART III	52	0	52

Summary of Enforcement Activity

Consumer Complaints—Intake	
108	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
111	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
3	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
4	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
115	OPENED
148	CLOSED
56	PENDING

Number of Days to Complete Intake and Investigations	
82	UP TO 90 DAYS
18	91 TO 180 DAYS
25	181 DAYS TO 1 YEAR
15	1 TO 2 YEARS
8	2 TO 3 YEARS
0	OVER 3 YEARS
177*	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

*Total updated June 2022 (online only) to correct error.

Citations and Fines	
4	ISSUED
4	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
552	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

PODIATRIC MEDICAL BOARD OF CALIFORNIA

Total Amount of Fines	
\$7,626	ASSESSED
\$1,500	REDUCED
\$4,000	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
16	CASES OPENED/INITIATED
9	CASES CLOSED
19	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
1	1 TO 2 YEARS
4	2 TO 3 YEARS
4	OVER 3 YEARS
1,223	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
10	ACCUSATIONS FILED
1	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
3	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
1	REVOCAION
3	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
2	PROBATION ONLY
2	PUBLIC REPRIMAND
1	OTHER DECISIONS
9	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

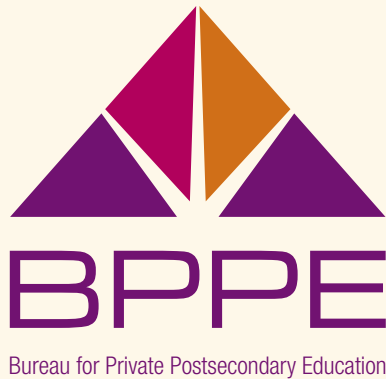
Cost Recovery	
\$58,966.17	ORDERED
\$67,421	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
7	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
170	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
540	AVERAGE NUMBER OF DAYS



Oversees and regulates private postsecondary educational institutions located in California.

www.bppe.ca.gov

STAFF:

110 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

999

ADVISORY COMMITTEE MEMBERSHIP:

2 public representatives
3 institutional representatives
3 consumer advocates
2 current or past students
2 nonvoting, ex officio members

BUREAU STAFF:

Chief: Deborah Cochrane
deborah.cochrane@dca.ca.gov

Deputy Chief: Leeza Rifredi
leeza.rifredi@dca.ca.gov

OSAR Chief: Scott Valverde
scott.valverde@dca.ca.gov

LAWS AND REGULATIONS:

California Private Postsecondary Act of 2009,
California Education Code §§ 94800–94950

California Code of Regulations, Division 7.5,
title 5, §§ 70000–76240

SUNSET REVIEW:

Last review: 2021 Next review: 2022

Bureau Highlights

RECIPROCITY

The Bureau does not have reciprocity.

ACCOMPLISHMENTS

New Bureau Chief

Chief Deborah Cochrane was appointed by Governor Gavin Newsom on March 15, 2021. With nearly two decades of expertise in federal and state higher education policy and research, she brings a wide range of skills, knowledge, and relationships that will be invaluable to the Bureau.

Sunset Review

The Bureau's sunset review hearing, postponed from 2020, was held March 15, 2021. The hearing, including Senate and Assembly Business and Professions Committees as well as Education Committees, covered many topics and Bureau recommendations outlined in its *Sunset Review Report* released in December 2019.

Business Modernization

The Bureau's Business Modernization Plan and new Connect system is scheduled for completion in spring 2022. Once fully implemented, Connect will streamline internal operations, simplify institutional compliance, and facilitate timely and complete reporting of Bureau activities and outcomes. Currently, applicants can submit an application to operate a non-accredited institution through the online portal. Connect also allows complaints to be submitted online and processed electronically. Future Connect features will include the ability to invoice and collect annual fees and Student Tuition Recovery Fund assessments and payments electronically.

Change in Higher Education Delivery

Due to the COVID-19 pandemic, the Licensing and Quality of Education units experienced a major increase in the number of higher education institutions applying for approval to offer education programs through distance education.

Enforcement

Successful collaboration with the Complaint and Investigations Unit's Special Investigations Team and other agencies led to criminal charges being filed against multiple school owners for fraud related to insurance vouchers.

BUREAU FOR PRIVATE POSTSECONDARY EDUCATION

After nearly a year in which in-person compliance inspections were not feasible due to the pandemic, Bureau staff began inspecting schools again during the fiscal year, using enhanced procedures to ensure staff safety.

By redirecting compliance resources towards discipline during the most heightened periods of the pandemic, the Discipline Unit was able to significantly reduce its citation backlog.

NEW LEGISLATION

SB 802 (Roth, Chapter 552, Statutes of 2021) extends the Bureau one year, from January 1, 2022, to January 1, 2023. This bill improves the Bureau’s enforcement capabilities by authorizing proactive action against institutions that commit acts that may result in harm to students. The bill also makes some, but not all, of the changes recommended by the Bureau in its *2019 Sunset Report*, including changes to accreditation timelines and definitions.

License Requirements

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	N
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	N
EXAMINATION	N
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENT	N

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
APPROVAL TO OPERATE AN INSTITUTION NON-ACCREDITED	\$5,000	\$5,000
APPROVAL TO OPERATE AN ACCREDITED INSTITUTION	\$750	\$750
ADDITION OF A SEPARATE BRANCH	\$3,000	\$3,000
CHANGE OF BUSINESS ORGANIZATION/CONTROL/ OWNERSHIP	\$500	\$500
CHANGE OF EDUCATIONAL OBJECTIVES	\$500	\$500
CHANGE OF LOCATION	\$500	\$500
CHANGE OF METHOD OF DELIVERY	\$500	\$500
CHANGE OF NAME	\$500	\$500
REGISTRATION OF OUT-OF-STATE INSTITUTION	\$1,500	\$1,500
RENEWAL FOR APPROVAL TO OPERATE AN INSTITUTION NON-ACCREDITED	\$3,500	\$3,500
RENEWAL FOR APPROVAL TO OPERATE AN INSTITUTION NON-ACCREDITED BRANCH	\$3,000	\$3,000
RENEWAL FOR APPROVAL TO OPERATE AN ACCREDITED INSTITUTION	\$500	\$500
SUBSTANTIVE CHANGE TO ACCREDITED INSTITUTION	\$250	\$250
VERIFICATION OF EXEMPTION	\$250	\$250

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
INITIAL INSTITUTIONAL APPROVAL BY MEANS OF ACCREDITATION	47	38	52
INITIAL INSTITUTIONAL APPROVAL NON-ACCREDITED	45	30	20
OUT-OF-STATE REGISTERED	31	23	N/A
VERIFICATION OF EXEMPTION	258	207	N/A
TOTAL	381	298	72

Summary of Enforcement Activity

Consumer Complaints—Intake	
828	RECEIVED
232	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
596	REFERRED FOR INVESTIGATION
4	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
104	CONDUCTED
83	CITATIONS ISSUED

Investigations	
596	OPENED
589	CLOSED
223	PENDING

Number of Days to Complete Intake and Investigations	
314	UP TO 90 DAYS
140	91 TO 180 DAYS
89	181 DAYS TO 1 YEAR
37	1 TO 2 YEARS
5	2 TO 3 YEARS
4	OVER 3 YEARS
138	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
379	ISSUED
326	ISSUED WITH A FINE
18	WITHDRAWN
4	DISMISSED
22	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$6,691,418.99	ASSESSED
\$620,328	REDUCED
\$372,640	COLLECTED

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
INITIAL INSTITUTIONAL APPROVAL BY MEANS OF ACCREDITATION	N/A	411	N/A
INITIAL INSTITUTIONAL APPROVAL NON-ACCREDITED	N/A	519	N/A
OUT-OF-STATE REGISTERED	N/A	69	N/A
TOTAL	N/A	999	N/A
VERIFICATION OF EXEMPTION*	N/A	207	N/A

*Verification of exemptions are not a license, registration, permit, or certificate. They are a verification of an institution's exempt status.

Licensing Population by Location Type	
TYPE	APPROVALS
MAIN	963
BRANCH	359
SATELLITE	513
TOTAL	1,835

Substantial Change Applications by Type		
APPLICATION	RECEIVED	APPROVED
CHANGE OF NAME	33	24
CHANGE OF LOCATION	43	35
CHANGE OF OWNERSHIP	42	34
CHANGE OF EDUCATIONAL OBJECTIVES	161	132
CHANGE OF METHOD	218	278

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
N/A	N/A	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
N/A	N/A	N/A	N/A

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
12	CASES OPENED/INITIATED
30	CASES CLOSED
10	CASES PENDING

Number of Days to Complete Attorney General Cases	
8	UP TO 1 YEAR
15	1 TO 2 YEARS
3	2 TO 3 YEARS
4	OVER 3 YEARS
575	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
6	STATEMENTS OF ISSUES FILED
10	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
12	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
6	LICENSE APPLICATIONS DENIED
8	REVOCAION
5	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
1	PUBLIC REPRIMAND
2	OTHER DECISIONS
23	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$87,182.69	ORDERED
\$34,915.52	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$77,805.30	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$77,805.30	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
5	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
136	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
339	AVERAGE NUMBER OF DAYS



OFFICE OF STUDENT ASSISTANCE AND RELIEF

(An office within the Bureau for Private Postsecondary Education)

Chief: Scott Valverde
 scott.valverde@dca.ca.gov
www.osar.bppe.ca.gov

Provides students with information on their rights when attending a private college, filing a school complaint, resources available including potential reimbursement from the Student Tuition Recovery Fund (STRF), and how to access state and federal relief programs.

ACCOMPLISHMENTS

In 2020–21, the Office of Student Assistance and Relief (OSAR) served over 110,000 students and prospective students throughout the state. During the COVID-19 pandemic, OSAR delivered much of its closed school outreach content through direct email campaigns, one-on-one virtual meetings with students, direct email and phone contacts, and by sharing an asynchronous video presentation of a closed school workshop that was launched in 2020–21. Due to various COVID-19 impacts, many schools approved by the Bureau closed temporarily or transitioned to an online mode of instructional delivery temporarily or permanently. OSAR worked closely with the Bureau's Licensing Unit to reach out to students impacted by these drastic changes to increase awareness of their rights and of OSAR's free services.

OSAR enhanced its website and internet presence in 2020–21 by adding a list of frequently asked questions for former Corinthian Colleges students, maintaining an upcoming events calendar, adding a link to the newly launched closed school workshop video, and increasing its social media presence. OSAR initiated 107 posts and received 17,173 engagements across three social media platforms: Instagram, Facebook, and Twitter.

At the end of the year, OSAR and Bureau leadership worked together to expand and strengthen relationships and coordination with key stakeholders and partners.

NUMBER OF STUDENTS SERVED

Federal Loan Forgiveness Claims	Total
Number of Students Assisted	110,498
Pending Claims	
Pending Claims (Qty.)	77
Pending Claims (Dollar Amount)	\$2,158,197.73
Approved Claims	
Approved Claims (Qty.)	10
Approved Claims (Dollar Amount)	\$205,897.48
Denied Claims	
Denied Claims (Qty.)	1
Denied Claims (Dollar Amount)	\$12,609.65
Appealed Claims	
Appealed Claims (Qty.)	0
Appealed Claims (Dollar Amount)	\$0

Students Served By OSAR	Total
Corinthian Students	108,601
Other Eligible Students	4,924
Total Number of Students	113,525

SUMMARY OF STUDENT SERVICES

STRF Claims Received by the Bureau for Private Postsecondary Education (OSAR) State Fiscal Year 2020–21	Total
Number of Students Assisted	113,160
Number of Claims Received	1,337
Pending Claims	
Pending Claims (Qty.)	1,356
Pending Claims (Total Dollar Amount)	\$24,804,081.36
Approved Claims	
Claims Approved (Qty.)	457
Claims Approved (Total Dollar Amount)	\$6,492,131.21
Student Loans Canceled (Dollar Amount)	\$205,897.48
Student Loans Paid Off (Dollar Amount)	\$0
Cash Reimbursements to Students (Dollar Amount)	\$6,471,457.21
Denied Claims	
Claims Denied (Qty.)	250
Claims Denied (Total Dollar Amount)	\$4,434,928.88
Appealed Claims	
Claims on Appeal (Qty.)	8
Claims on Appeal (Total Dollar Amount)	\$103,696

Private Loan Relief (Non-STRF)	Total
Number of Students Assisted	110,490
- Referred Students to the Consumer Financial Protection Bureau	
- Referred Students to the California Department of Business Oversight	

Federal Loan Income-Dependent Repayment Plans	Total
Number of Students Assisted	0
Students Helped Out of Default on Federal Loan Through Consolidation or Rehabilitation	0



Licenses and regulates engineers, land surveyors, geologists, and geophysicists.

www.bpelsg.ca.gov

STAFF:

42.7 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

180,530

BOARD MEMBERSHIP:

8 public representatives
7 licensees

BOARD STAFF:

Executive Officer: Richard B. Moore
ric.moore@dca.ca.gov

Assistant Executive Officer: Nancy A. Eissler
nancy.eissler@dca.ca.gov

LAWS AND REGULATIONS:

Professional Engineers Act (Business and Professions Code § 6700, et seq.)

Geologist and Geophysicist Act (Business and Professions Code § 7800, et seq.)

Professional Land Surveyors' Act (Business and Professions Code § 8700, et seq.)

California Code of Regulations, Divisions 5 and 29, title 16 (§§ 400, et seq., and 3000, et seq., respectively)

SUNSET REVIEW:

Last review: 2019 Next review: 2023

Board Highlights

RECIPROCITY

Pursuant to Business and Professions Code sections 6759 and 8748, an engineer or land surveyor licensed in another state or country may apply for licensure in California by comity. Comity applicants must submit a complete application including work descriptions, references that can verify the work experience, college transcripts (optional), and verification of successful examination in another state.

California accepts results of the National Council of Examiners for Engineering and Surveying (NCEES) exams for the practice act branches of civil, electrical, and mechanical engineering, the title act engineering branches, except for traffic, for which there is no national NCEES examination, and the NCEES Principles of Surveying examination for land surveying. Civil engineering applicants must also pass the California Seismic Principles and Engineering Surveying exams, which are both mandated by statute. Land surveying applicants must pass the California Professional Land Surveying examination, as required by statute. In addition, all comity applicants must take and pass the California Laws and Board Rules examination. If the home state has waived the Fundamentals of Engineering or Fundamentals of Surveying examination, the application is evaluated to see if the home state's waiver matches California's waiver requirements; if not, the applicant must pass the respective fundamentals examination or have 14–17 years of experience in addition to a degree in engineering or surveying, respectively.

Pursuant to Business and Professions Code section 7847, the Board may accept out-of-state or foreign registration as qualification to license an applicant as a geologist or geophysicist so long as the applicant's qualifications meet the requirements to become a professional geologist or geophysicist in California, including meeting the qualifying requirements for education, experience, and examinations.

ACCOMPLISHMENTS

Business Modernization

In September 2020, the Board—together with three other Boards and the Department of Consumer Affairs Office of Information Services—successfully transitioned the engineer-in-training (EIT) and land surveyor-in-training (LSIT) application process to an online method and launched a new online complaint submittal process. The implementation of online applications reduced average processing times from 26 days to nine days. The Board also saw a 23% increase in EIT and LSIT application volume for the period September 17, 2020, through June 30, 2021, compared to the same time period the year prior.

As of January 2021, all current license holders can renew their licenses through an online portal. As a result, online participation for renewals in fiscal year 2020–21 increased to over 53% compared to 46% in 2019–20.

As of June 2021, applicants can submit online applications for professional engineer licenses that do not require a state exam component. The Board received 59 new initial professional engineer applications through June 30, 2021.

Enforcement

In 2010, DCA implemented the Consumer Protection Enforcement Initiative (CPEI), which established goals to improve the processing of enforcement cases by its boards and bureaus. Several goals were identified to reduce time frames to complete various stages of the complaint investigation process.

One of the phases of the complaint investigation process presenting a challenge for many years was the formal disciplinary phase. The goal to complete the investigation from the opening of the complaint to final decision was set at 540 days.

At the beginning of the implementation of the goal, the Board's average time to complete an investigation from opening an investigation to a final decision was 1,795 days (5.5 years). The time frame stayed consistently high for several years, regularly exceeding three years.

Concerted efforts by the Board, the Office of the Attorney General, and the Office of Administrative Hearings to evaluate performance measures and improve processes contributed to a drastic reduction in the processing of disciplinary cases over the last few years. At the end of 2020–21, the Board essentially reached this goal by reducing the time frame to 541 days.

Simplified Fee Structure

Effective January 1, 2021, the Board implemented a standardized and simplified fee structure to foster an affordable path to licensure, align fees with the cost of operational services, and set fees to facilitate the effective administration of the Board while meeting the needs of the public, applicants, and licensees.

NEW LEGISLATION

SB 414 (Jones, Chapter 106, Statutes of 2021) makes various technical changes to the Professional Land Surveyors' Act including: (1) expanding the deadline for local agencies to hear appeals on tentative maps from 30 to 45 days; (2) defining "cadastral surveying"; and (3) clarifying the criteria for the Board to suspend or revoke a license.

License Requirements

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	ENGINEERS/LAND SURVEYORS: NO; GEOLOGISTS/ GEOPHYSICIST: YES
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	N
FINGERPRINT REQUIREMENT	Y

Fees*

License Type	Actual Fee	Statutory Limit
PROFESSIONAL ENGINEER (ALL DISCIPLINES) APPLICATION FEE	\$175	\$400
PROFESSIONAL LAND SURVEYOR APPLICATION FEE	\$175	\$400
ENGINEER-IN-TRAINING (EIT) APPLICATION FEE	\$75	\$100
LAND SURVEYOR-IN-TRAINING (LSIT) APPLICATION FEE	\$75	\$100
GEOLOGIST-IN-TRAINING (GIT) APPLICATION FEE	\$75	\$100
PROFESSIONAL GEOLOGIST APPLICATION FEE	\$175	\$250
PROFESSIONAL GEOPHYSICIST APPLICATION FEE	\$175	\$250
CERTIFIED ENGINEERING GEOLOGIST APPLICATION FEE	\$175	\$250
CERTIFIED HYDROGEOLOGIST APPLICATION FEE	\$175	\$250
SEISMIC PRINCIPLES EXAMINATION FEE	\$175	**
ENGINEERING SURVEYING EXAMINATION FEE	\$175	**

License Type	Actual Fee	Statutory Limit
PROFESSIONAL LAND SURVEYOR EXAMINATION FEE	\$175	**
TRAFFIC EXAMINATION FEE	\$175	**
GEOTECHNICAL EXAMINATION FEE	\$175	**
ASBOG FUNDAMENTALS OF GEOLOGY	\$175	**
ASBOG PRACTICE OF GEOLOGY EXAMINATION FEE	\$175	**
GEOLOGY CALIFORNIA SPECIFIC EXAMINATION FEE	\$175	**
PROFESSIONAL GEOPHYSICIST EXAMINATION FEE	\$175	**
CERTIFIED ENGINEERING GEOLOGIST EXAMINATION FEE	\$175	**
CERTIFIED HYDROGEOLOGIST EXAMINATION FEE	\$175	**
PROFESSIONAL ENGINEER (ALL DISCIPLINES) RENEWAL FEES	\$180	\$400
PROFESSIONAL LAND SURVEYOR RENEWAL FEE	\$180	\$400
PROFESSIONAL GEOLOGIST RENEWAL FEE	\$180	\$400
PROFESSIONAL GEOPHYSICIST RENEWAL FEE	\$180	\$400
CERTIFIED ENGINEERING GEOLOGIST RENEWAL FEE	\$180	\$400
CERTIFIED HYDROGEOLOGIST RENEWAL FEE	\$180	\$400
RETIRED LICENSE--ALL LICENSES	\$75	***

*Additional fees may be required. Refer to the laws and regulations for details. Applicants are required to pay additional fees directly to Prometric and/or NCEES for engineering and land surveying exams.

** No greater than actual cost of development and administration or cost to administer exam.

*** Not more than 50% of application fee in effect at time of license retirement.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
AGRICULTURAL ENGINEER	4	4	57
CERTIFIED ENGINEERING GEOLOGIST	29	11	704
CERTIFIED HYDROGEOLOGIST	12	9	479
CHEMICAL ENGINEER	45	39	942
CIVIL ENGINEER	1,143	1,088	27,655
CONSULTING ENGINEER	N/A	N/A	1
CONTROL SYSTEMS ENGINEER	28	29	437
CORROSION ENGINEER	N/A	N/A	56
ELECTRICAL ENGINEER	407	298	5,704
ENGINEER-IN-TRAINING	3,173	3,113	N/A
FIRE PROTECTION ENGINEER	55	57	470
GEOLOGIST-IN-TRAINING	180	159	N/A
GEOTECHNICAL ENGINEER	32	20	622
INDUSTRIAL ENGINEER	6	3	132
LAND SURVEYOR	77	59	1,976
LAND SURVEYOR-IN-TRAINING	122	118	N/A
MANUFACTURING ENGINEER	N/A	N/A	130
MECHANICAL ENGINEER	466	407	7,992
METALLURGICAL ENGINEER	10	7	89
NUCLEAR ENGINEER	1	1	79
PETROLEUM ENGINEER	11	11	153
PHOTOGRAMMETRIC SURVEYOR	N/A	N/A	N/A
GEOLOGIST	151	108	2,540
GEOPHYSICIST	9	2	81
QUALITY ENGINEER	N/A	N/A	134
SAFETY ENGINEER	N/A	N/A	105
STRUCTURAL ENGINEER	178	69	2,047
TRAFFIC ENGINEER	32	30	821
TOTAL	6,171	5,642	53,406

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
AGRICULTURAL ENGINEER	N/A	107	N/A
CERTIFIED ENGINEERING GEOLOGIST	N/A	1,432	N/A
CERTIFIED HYDROGEOLOGIST	N/A	922	N/A
CHEMICAL ENGINEER	N/A	1,880	N/A
CIVIL ENGINEER	N/A	57,806	N/A
CONSULTING ENGINEER	N/A	1	N/A
CONTROL SYSTEMS ENGINEER	N/A	792	N/A
CORROSION ENGINEER	N/A	135	N/A
ELECTRICAL ENGINEER	N/A	10,593	N/A
ENGINEER-IN-TRAINING	68,617	N/A	N/A
FIRE PROTECTION ENGINEER	N/A	888	N/A
GEOLOGIST-IN-TRAINING	517	N/A	N/A
GEOTECHNICAL ENGINEER	N/A	1,364	N/A
INDUSTRIAL ENGINEER	N/A	241	N/A
LAND SURVEYOR	N/A	4,013	N/A
LAND SURVEYOR-IN-TRAINING	3,210	N/A	N/A
MANUFACTURING ENGINEER	N/A	204	N/A
MECHANICAL ENGINEER	N/A	15,548	N/A
METALLURGICAL ENGINEER	N/A	192	N/A
NUCLEAR ENGINEER	N/A	258	N/A
PETROLEUM ENGINEER	N/A	310	N/A
PHOTOGRAMMETRIC SURVEYOR	N/A	0	N/A
GEOLOGIST	N/A	5,032	N/A
GEOPHYSICIST	N/A	147	N/A
QUALITY ENGINEER	N/A	204	N/A
SAFETY ENGINEER	N/A	233	N/A
STRUCTURAL ENGINEER	N/A	4,375	N/A
TRAFFIC ENGINEER	N/A	1,509	N/A
TOTAL	72,344	108,186	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
AGRICULTURAL ENGINEER	EVERY 2 YEARS	N/A
CERTIFIED ENGINEERING GEOLOGIST	EVERY 2 YEARS	N/A
CERTIFIED HYDROGEOLOGIST	EVERY 2 YEARS	N/A
CHEMICAL ENGINEER	EVERY 2 YEARS	N/A
CIVIL ENGINEER	EVERY 2 YEARS	N/A
CONSULTING ENGINEER	EVERY 2 YEARS	N/A
CORROSION ENGINEER	EVERY 2 YEARS	N/A
CONTROL SYSTEMS ENGINEER	EVERY 2 YEARS	N/A
ELECTRICAL ENGINEER	EVERY 2 YEARS	N/A
FIRE PROTECTION ENGINEER	EVERY 2 YEARS	N/A
GEOLOGIST	EVERY 2 YEARS	N/A
GEOPHYSICIST	EVERY 2 YEARS	N/A
GEOTECHNICAL ENGINEER	EVERY 2 YEARS	N/A
INDUSTRIAL ENGINEER	EVERY 2 YEARS	N/A
LAND SURVEYOR	EVERY 2 YEARS	N/A
MANUFACTURING ENGINEER	EVERY 2 YEARS	N/A
MECHANICAL ENGINEER	EVERY 2 YEARS	N/A
METALLURGICAL ENGINEER	EVERY 2 YEARS	N/A
NUCLEAR ENGINEER	EVERY 2 YEARS	N/A
PETROLEUM ENGINEER	EVERY 2 YEARS	N/A
PHOTOGRAMMATIC SURVEYOR	EVERY 2 YEARS	N/A
QUALITY ENGINEER	EVERY 2 YEARS	N/A
SAFETY ENGINEER	EVERY 2 YEARS	N/A
STRUCTURAL ENGINEER	EVERY 2 YEARS	N/A
TRAFFIC ENGINEER	EVERY 2 YEARS	N/A
ENGINEER-IN-TRAINING	VALID UNTIL ENGINEER LICENSE ISSUED	N/A
GEOLOGIST-IN-TRAINING	VALID UNTIL GEOLOGIST LICENSE ISSUED	N/A
LAND SURVEYOR-IN-TRAINING	VALID UNTIL LAND SURVEYOR LICENSE ISSUED	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
AGRICULTURAL ENGINEERING	2	0	2
CERTIFIED ENGINEERING GEOLOGIST	11	6	17
CERTIFIED HYDROGEOLOGIST	9	5	14
CHEMICAL ENGINEERING	44	41	85
CIVIL ENGINEERING—PRINCIPLES AND PRACTICE	1,414	1,549	2,963
CIVIL ENGINEERING—ENGINEERING SURVEYING	1,445	1,332	2,777
CIVIL ENGINEERING—SEISMIC PRINCIPLES	1,493	1,113	2,606

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
CONTROL SYSTEMS ENGINEERING	14	16	30
ELECTRICAL ENGINEERING	250	201	451
FIRE PROTECTION ENGINEERING	52	2	54
FUNDAMENTALS OF ENGINEERING	3,200	2,677	5,877
FUNDAMENTALS OF GEOLOGY	217	89	306
FUNDAMENTALS OF SURVEYING	126	154	280
GEOLOGIST—CALIFORNIA STATE SPECIFIC EXAM	87	98	185
GEOLOGIST—PRINCIPLES AND PRACTICE	130	40	170
GEOPHYSICIST	2	3	5
GEOTECHNICAL ENGINEERING	21	39	60
INDUSTRIAL AND SYSTEMS ENGINEERING	8	6	14
LAND SURVEYING—PRINCIPLES AND PRACTICE	67	71	138
LAND SURVEYING—CALIFORNIA STATE SPECIFIC EXAM	68	133	201
MECHANICAL ENGINEERING	370	214	584
METALLURGICAL AND MATERIALS ENGINEERING	7	5	12
NUCLEAR ENGINEERING	0	1	1
PETROLEUM ENGINEERING	6	12	18
STRUCTURAL ENGINEERING—LATERAL FORCES	72	118	190
STRUCTURAL ENGINEERING—VERTICAL FORCES	77	86	163
TRAFFIC ENGINEERING	31	18	49

Summary of Enforcement Activity

Consumer Complaints—Intake	
405	RECEIVED
50	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
352	REFERRED FOR INVESTIGATION
3	PENDING

Conviction/Arrest Notification Complaints	
1	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1	REFERRED FOR INVESTIGATION
0	PENDING

BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
353	OPENED
315	CLOSED
285	PENDING

Number of Days to Complete Intake and Investigations	
54	UP TO 90 DAYS
61	91 TO 180 DAYS
107	181 DAYS TO 1 YEAR
88	1 TO 2 YEARS
5	2 TO 3 YEARS
0	OVER 3 YEARS
279	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
95	ISSUED
90	ISSUED WITH A FINE
0	WITHDRAWN
5	DISMISSED
533	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$143,500	ASSESSED
\$0	REDUCED
\$108,625	COLLECTED

Criminal/Civil Actions	
2	REFERRALS FOR CRIMINAL/CIVIL ACTION
2	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
30	CASES OPENED/INITIATED
38	CASES CLOSED
22	CASES PENDING

Number of Days to Complete Attorney General Cases	
14	UP TO 1 YEAR
15	1 TO 2 YEARS
8	2 TO 3 YEARS
1	OVER 3 YEARS
541	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
38	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
2	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
2	LICENSE APPLICATIONS DENIED
10	REVOCAION
6	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
14	PROBATION ONLY
6	PUBLIC REPRIMAND
2	OTHER DECISIONS
40	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
1	GRANTED
1	DENIED

Cost Recovery	
\$106,534	ORDERED
\$133,271	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$6,900	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
\$6,900	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
5	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
274	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
358	AVERAGE NUMBER OF DAYS

DEPARTMENT OF CONSUMER AFFAIRS



PROFESSIONAL FIDUCIARIES BUREAU

Licenses and regulates professional fiduciaries.

www.fiduciary.ca.gov

STAFF:

2 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

813

ADVISORY COMMITTEE:

4 public representatives
3 licensees

BUREAU STAFF:

Chief: Rebecca May
rebecca.may@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 6500–6592

California Code of Regulations, Division 41,
title 16 §§ 4400–4622

SUNSET REVIEW:

Last review: 2018 Next review: 2023

Bureau Highlights

RECIPROCITY

The Bureau does not have reciprocity.

ACCOMPLISHMENTS

Licensing Improvements

This year, the Bureau continued to partner with the Department of Consumer Affairs on its Business Modernization Project, focusing on enhancing its online presence for licensees. As a result of these efforts, the Bureau anticipates being able to accept online renewal payments in late 2021 or early 2022.

The Bureau improved its application processing efficiency by notifying candidates by email instead of via the U.S. Postal Service of approval to sit for the licensing examination. This process modification allows applicants to register for the examination or satisfy application deficiencies sooner, shortening the overall application processing timeline and saving postage costs.

Communications and Outreach

In response to consumer feedback received during advisory committee meetings, the Bureau made several updates to its website focused on improving its ease of use and providing helpful new content for consumers. Updates included adding information on how to file a complaint and how to request information under the Public Records Act and providing a direct link to the Office of Administrative Hearings website to keep consumers apprised of upcoming administrative hearings for licensees. The Bureau also published two newsletters informing licensees and the public on the Bureau's activities, important legislative and regulatory updates, and other pertinent information.

Regulatory Implementation

The Office of Administrative Law approved several Bureau regulation packages to implement recently enacted legislation related to expediting application processing for refugees, asylees, and special immigrant visa holders.

NEW LEGISLATION

AB 465 (Nazarian, Chapter 167, Statutes of 2021)

requires professional fiduciary licensees, beginning January 1, 2023, as part of their renewal or restoration of a license, to complete at least two hours of instruction in ethics, cultural competency, or both, on an annual basis. In addition, beginning January 1, 2023, new licensees must complete at least one hour of instruction in cultural competency as part of their initial 30 hours of education required for licensure.

AB 1194 (Low, Chapter 417, Statutes of 2021) requires: (1) a licensed professional fiduciary with an internet website to post a schedule of fees on their website, or to provide a fee schedule before contracting for their services or upon request; (2) a licensed professional fiduciary to be liable for a civil penalty up to \$10,000 if the court finds the professional fiduciary abused a conservatee; (3) the Judicial Council, by January 1, 2024, to report to the Legislature its findings measuring court effectiveness in conservatorship cases; and (4) the Bureau to investigate specified allegations and impose sanctions or revoke an individual’s license, as appropriate. This bill also prohibits a guardian or trustee or an employee of the guardian or conservator to hire or refer business to an entity where they have a financial interest.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees

License Type	Actual Fee	Statutory Limit
APPLICATION	\$400	N/A
INITIAL LICENSE	\$600 + PRORATION	N/A
RENEWAL	\$700	N/A
DELINQUENT RENEWAL	\$150	N/A
DUPLICATE LICENSE	\$25	N/A

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PROFESSIONAL FIDUCIARY	94	89	730
TOTAL	94	89	730

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PROFESSIONAL FIDUCIARY	0	813	0
TOTAL	0	813	0

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
PROFESSIONAL FIDUCIARY	ANNUAL	15 (INCLUDING 2 HOURS OF ETHICS)

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
PROFESSIONAL FIDUCIARY EXAMINATION (STATE)	89	90	179
PROFESSIONAL FIDUCIARY EXAMINATION (NATIONAL)	111	59	170

Summary of Enforcement Activity

Consumer Complaints—Intake	
114	RECEIVED
21	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
95	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
95	OPENED
65	CLOSED
119	PENDING

Number of Days to Complete Intake and Investigations	
19	UP TO 90 DAYS
12	91 TO 180 DAYS
6	181 DAYS TO 1 YEAR
24	1 TO 2 YEARS
4	2 TO 3 YEARS
0	OVER 3 YEARS
330	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

PROFESSIONAL FIDUCIARIES BUREAU

Citations and Fines	
7	ISSUED
7	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
92	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$35,000	ASSESSED
\$19,500	REDUCED
\$10,500	COLLECTED

Criminal/Civil Actions	
N/A	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
1	CASES OPENED/INITIATED
2	CASES CLOSED
6	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
0	1 TO 2 YEARS
2	2 TO 3 YEARS
0	OVER 3 YEARS
1,047	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
1	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCATION
2	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
2	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

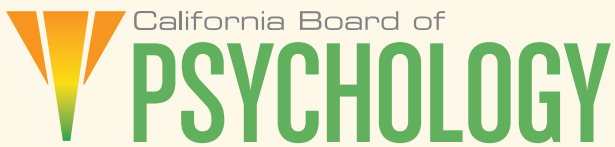
Cost Recovery	
\$24,250.01	ORDERED
\$4,800	COLLECTED

Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
2	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
328	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
368	AVERAGE NUMBER OF DAYS



Licenses and regulates psychologists, registered psychologists, and psychological assistants.

www.psychology.ca.gov

STAFF:

27.3 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

23,661

BOARD MEMBERSHIP:

4 public representatives
5 licensees

BOARD STAFF:

Executive Officer: Antonette Sorrick
antonette.sorrick@dca.ca.gov

Assistant Executive Officer: Jonathan Burke
jonathan.burke@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 2900–2999

California Code of Regulations, Division 13.6,
title 16, §§ 1380–1397.71

SUNSET REVIEW:

Last review: 2021 Next review: 2025

Board Highlights

RECIPROCITY

Pursuant to Business and Professions Code section 2946, a psychologist certified or licensed in another state or province who has applied to the Board for a license in California may perform activities and services of a psychological nature without a valid license for a period not to exceed 180 calendar days from the time of submitting his or her application or from the commencement of residency in California, whichever occurs first. Business and Professions Code section 2912 states that nothing in this chapter shall be construed to restrict or prevent a person who is licensed as a psychologist at the doctoral level in another state or territory of the United States or in Canada from offering psychological services in this state for a period not to exceed 30 days in a calendar year.

ACCOMPLISHMENTS

Operational Efficiencies

In fiscal year 2020–21, the Board conducted five Board meetings and five committee meetings. Due to the COVID-19 pandemic, the Board continued to operate its meetings online.

Beginning in 2019–20, the Board began actively reducing its discretionary spending to address a structural deficit. Actions the Board took to address its discretionary spending include, but are not limited to: holding Board and committee meetings remotely, reducing travel and per diem costs; utilizing the bureau model of considering petitions for license reinstatement and penalty reduction in closed session without the costs of a hearing (which include services of a deputy attorney general, administrative law judge, and court reporter); and greatly reducing administrative costs including trainings, office supplies, and employee overtime.

Outreach

Due to the virtual nature of its meetings and the reduced cost of attendance, all Board members and executive staff were able to attend the Association of State and Provincial Psychology Board’s Annual Meeting in October 2020 and its Midyear Meeting in April 2021. Some topics at the meetings included: PSYPACT—interjurisdictional practice of telehealth; Examination for Professional Practice in Psychology Part 2 Skills—the evolution of the national licensure exam; and COVID-19 regulatory and practice considerations.

Despite the challenges created by the COVID-19 pandemic, the Board continued to communicate to its stakeholders about waivers and policies that were impacted. Additionally, the Board conducted its sunset hearing on March 3, 2021 and worked with legislative staff to introduce legislation to reduce barriers and consolidate pathways to licensure.

The Board continues to work with the Legislature to modernize its disciplinary statutes related to a licensee’s inappropriate behavior with a client.

NEW LEGISLATION

SB 801 (Archuleta, Chapter 647, Statutes of 2021) is the sunset vehicle for the Board. It makes several changes to improve the Board’s licensing and administrative functions, including, among other things: extending the operations of the Board to January 1, 2026; expanding the scope of telehealth providers; renaming the position of “psychological assistant” to “registered psychological associate;” and revising education, training, and supervision requirements for Board applicants.

License Requirements

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y*
EXAMINATION	Y**
CONTINUING EDUCATION/COMPETENCY	Y**
FINGERPRINT REQUIREMENT	Y

Business and Professions Code sections 2909, 2913, and 2914; California Code of Regulations sections 1387 and 1387.4.

*No minimum experience requirement for psychological assistant.

**Examinations and continuing education are only required for psychologists.

Fees*

License Type	Actual Fee	Statutory Limit
PSYCHOLOGIST/APPLICATION FEE	\$40	\$50
PSYCHOLOGIST/INITIAL LICENSE FEE	\$400	EQUAL TO RENEWAL FEE
PSYCHOLOGIST (ACTIVE)/BIENNIAL RENEWAL FEE	\$400	\$500
PSYCHOLOGIST (ACTIVE)/ADDITIONAL BIENNIAL FEES PAID AT RENEWAL **	\$30	\$30
PSYCHOLOGIST (INACTIVE)/BIENNIAL RENEWAL FEE	\$40	\$40
PSYCHOLOGIST (INACTIVE)/ADDITIONAL BIENNIAL FEES PAID AT RENEWAL ***	\$20	\$20
PSYCHOLOGIST (ACTIVE)/DELINQUENCY FEE	\$150	50% OF RENEWAL NOT TO EXCEED \$150
PSYCHOLOGIST (INACTIVE)/DELINQUENCY FEE	\$20	50% OF RENEWAL NOT TO EXCEED \$150
PSYCHOLOGIST/ DUPLICATE LICENSE FEE	\$5	\$5
CALIFORNIA PSYCHOLOGY LAWS AND ETHICS EXAMINATION (CPLÉE)	\$129	COST TO BOARD
PSYCHOLOGICAL ASSISTANT/APPLICATION FEE	\$40	\$75
PSYCHOLOGICAL ASSISTANT/ANNUAL RENEWAL FEE	\$40	\$75
PSYCHOLOGICAL ASSISTANT/DELINQUENCY FEE	\$20	50% OF RENEWAL NOT TO EXCEED \$150
REGISTERED PSYCHOLOGIST APPLICATION FEE	\$0	\$0
LICENSE VERIFICATION FEE	\$5	\$5

*Additional fees may be required. Refer to the Board laws and regulations for details.

**Included in the biennial renewal fee for an active psychologist is an additional \$30 in fees, which includes \$20 pursuant to Business and Professions Code section 2987.2 and \$10 pursuant to California Code of Regulations title 16, Division 13.1, section 1397.69.

***Included in the biennial renewal fee for an inactive psychologist is an additional \$20 fee pursuant to Business and Professions Code section 2987.2.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PSYCHOLOGICAL ASSISTANT	832	617	943
PSYCHOLOGIST	2,061	822	10,355
REGISTERED PSYCHOLOGIST	77	45	N/A
TOTAL	2,970	1,484	11,298

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PSYCHOLOGICAL ASSISTANT	N/A	1,348	N/A
PSYCHOLOGIST	N/A	22,218	N/A
REGISTERED PSYCHOLOGIST	N/A	95	N/A
TOTAL	N/A	23,661	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
PSYCHOLOGIST	EVERY 2 YEARS	36
PSYCHOLOGICAL ASSISTANT	EVERY YEAR	N/A
REGISTERED PSYCHOLOGIST	NON-RENEWABLE	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
EXAMINATION FOR PROFESSIONAL PRACTICE IN PSYCHOLOGY (EPPP)	710	827	1537
CALIFORNIA PSYCHOLOGY LAWS AND ETHICS EXAMINATION (CPLEE)	802	310	1112

Summary of Enforcement Activity

Consumer Complaints—Intake	
1,055	RECEIVED
238	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
814	REFERRED FOR INVESTIGATION
147	PENDING

Conviction/Arrest Notification Complaints	
32	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
32	REFERRED FOR INVESTIGATION
3	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
846	OPENED
686	CLOSED
811	PENDING

Number of Days to Complete Intake and Investigations	
372	UP TO 90 DAYS
101	91 TO 180 DAYS
108	181 DAYS TO 1 YEAR
61	1 TO 2 YEARS
41	2 TO 3 YEARS
3	OVER 3 YEARS
114	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
82	ISSUED
81	ISSUED WITH A FINE
12	WITHDRAWN
0	DISMISSED
223	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$77,500	ASSESSED
\$6,000	REDUCED
\$61,500	COLLECTED

Criminal/Civil Actions	
1	REFERRALS FOR CRIMINAL/CIVIL ACTION
1	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
63	CASES OPENED/INITIATED
46	CASES CLOSED
111	CASES PENDING

Number of Days to Complete Attorney General Cases	
11	UP TO 1 YEAR
13	1 TO 2 YEARS
8	2 TO 3 YEARS
14	OVER 3 YEARS
645	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
38	ACCUSATIONS FILED
2	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
2	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
3	REVOCATION
15	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
13	PROBATION ONLY
8	PUBLIC REPRIMAND
0	OTHER DECISIONS
39	TOTAL

Petition for Modification or Termination of Probation	
2	GRANTED
2	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
2	DENIED

Cost Recovery	
\$425,345.77	ORDERED
\$55,732.95	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
4	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
114	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
158	AVERAGE NUMBER OF DAYS



BUREAU OF REAL ESTATE APPRAISERS

Licenses and regulates real estate appraisers and registers appraisal management companies.

www.brea.ca.gov

STAFF:

28 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

10,034

BUREAU STAFF:

Chief: Angela Jemmott
angela.jemmott@brea.ca.gov

Deputy Chief: Loretta Dillon
loretta.dillon@brea.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 11300-11423

California Code of Regulations, title 10,
Chapter 6.5, §§ 3500-3761

United States Code, title 12, §§ 3331-3356

SUNSET REVIEW:

Last review: 2020 Next review: 2025

Bureau Highlights

RECIPROCITY

Pursuant to California Code of Regulations, Chapter 10, section 3569, the Bureau offers reciprocity when an appraiser has a valid license from a compliant state whose own requirements meet or exceed those of California at the time of application. Licenses are issued without additional examination, but the licensing fee is still required.

ACCOMPLISHMENTS

Sunset Review

The Bureau completed the sunset hearing, appearing before the Assembly Business and Professions Committee and Senate Business, Professions, and Economic Development Committee on November 19, 2020. The Bureau completed the sunset responses to issues raised and will have its next sunset hearing in 2025.

Information Technology Advancements

The Bureau developed a new component in its database for registered appraisal management companies (AMCs) to be placed on the AMC National Registry. The component includes a repository for the information submitted on the form and the interface between the database and the Appraisal Subcommittee's AMC National Registry. The Bureau's database and interface were ready to administer the new AMC National Registry by the June 1, 2021 deadline.

The Bureau was mandated to start registering AMCs on the AMC National Registry with the Appraisal Subcommittee. The Bureau developed the process to accommodate on-time registration as well as early registration. The AMC National Registry provides the public information on AMC's registration status and discipline to ensure public safety and transparency.

The Bureau upgraded its programming, database, and database web services applications. These upgrades allow the applications to better follow the software development life cycle process and allow the Bureau to continue providing improved performance and security in the future.

Regulation Promulgation

The Bureau established the AMC National Registry regulatory structure and eliminated unnecessary licensing requirements such as categorizing all experience hours. Additionally, the Bureau reduced experience hours needed to obtain a license to align with the federal level.

NEW LEGISLATION

AB 948 (Holden, Chapter 352, Statutes of 2021) enacts the Fair Appraisal Act and requires, after July 1, 2022, every contract for the sale of real property to contain a notice stating that the buyer is entitled to an unbiased appraisal of property and advising how to file a complaint with the Bureau. This bill requires the Bureau to update its complaint form and compile demographic information tracking these complaints and report to the Legislature by July 1, 2024. Beginning January 1, 2023, this bill requires Bureau applicants to complete at least one hour of instruction in cultural competency, and continuing education for license renewals will require cultural competency and bias elimination training.

SB 800 (Archuleta, Chapter 431, Statutes of 2021) extends the sunset date of the Bureau to January 1, 2026. The bill also removes gendered terms and updates references to the Bureau.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee*	Statutory Limit
TRAINEE (AT)		
INITIAL APPLICATION FEE	\$400	N/A
INITIAL LICENSE FEE	\$450	\$450
BACKGROUND FEE	\$70	N/A
DCSS FEE	\$10	N/A
TOTAL AT INITIAL LICENSE FEE	\$930	N/A
RENEWAL APPLICATION FEE	\$400	N/A
RENEWAL LICENSE FEE	\$450	\$450
TOTAL AT RENEWAL FEE	\$850	N/A

License Type	Actual Fee*	Statutory Limit
RESIDENTIAL (AL)		
INITIAL APPLICATION FEE	\$400	N/A
INITIAL LICENSE FEE	\$450	\$450
BACKGROUND FEE	\$70	N/A
FEDERAL REGISTRATION FEE	\$80	N/A
STATE REGISTRATION FEE	\$25	N/A
DCSS FEE	\$10	N/A
TOTAL AL INITIAL LICENSE FEE	\$1,035	N/A
RENEWAL APPLICATION FEE	\$400	N/A
RENEWAL LICENSE FEE	\$450	\$450
FEDERAL REGISTRATION FEE	\$80	N/A
STATE REGISTRATION FEE	\$25	N/A
TOTAL AL RENEWAL FEE	\$955	N/A
CERTIFIED RESIDENTIAL (AR)		
INITIAL APPLICATION FEE	\$400	N/A
INITIAL LICENSE FEE	\$525	\$525
BACKGROUND FEE	\$70	N/A
FEDERAL REGISTRATION FEE	\$80	N/A
STATE REGISTRATION FEE	\$25	N/A
DCSS FEE	\$10	N/A
TOTAL AR INITIAL LICENSE FEE	\$1,110	N/A
RENEWAL APPLICATION FEE	\$400	N/A
RENEWAL LICENSE FEE	\$525	\$525
FEDERAL REGISTRATION FEE	\$80	N/A
STATE REGISTRATION FEE	\$25	N/A
TOTAL AR RENEWAL FEE	\$1,030	N/A
CERTIFIED GENERAL (AG)		
INITIAL APPLICATION FEE	\$400	N/A
INITIAL LICENSE FEE	\$525	\$525
BACKGROUND FEE	\$70	N/A
FEDERAL REGISTRATION FEE	\$80	N/A
STATE REGISTRATION FEE	\$25	N/A
DCSS FEE	\$10	N/A
TOTAL INITIAL AG LICENSE FEE	\$1,110	N/A
RENEWAL APPLICATION FEE	\$400	N/A
RENEWAL LICENSE FEE	\$525	\$525
FEDERAL REGISTRATION FEE	\$80	N/A
STATE REGISTRATION FEE	\$25	N/A
TOTAL AG RENEWAL FEE	\$1,030	N/A

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
APPRAISAL MANAGEMENT COMPANY (AMC)	25	25	73
CERTIFIED GENERAL	64	61	1,397
CERTIFIED RESIDENTIAL	53	43	2,623
RESIDENTIAL	51	30	450
TEMPORARY PRACTICE PERMITS	365	365	N/A
TRAINEE (BREA)	221	223	148
UPGRADE CERTIFIED GENERAL	26	29	N/A
UPGRADE CERTIFIED RESIDENTIAL	92	85	N/A
UPGRADE RESIDENTIAL	46	34	N/A
TOTAL	943	895	4,691

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
APPRAISAL MANAGEMENT COMPANY (AMC)	N/A	227	N/A
CERTIFIED GENERAL	N/A	2,829	N/A
CERTIFIED RESIDENTIAL	N/A	5,027	N/A
RESIDENTIAL	N/A	952	N/A
TEMPORARY PRACTICE PERMITS	365	N/A	N/A
TRAINEE (BREA)	N/A	634	N/A
UPGRADE CERTIFIED GENERAL	N/A	N/A	N/A
UPGRADE CERTIFIED RESIDENTIAL	N/A	N/A	N/A
UPGRADE RESIDENTIAL	N/A	N/A	N/A
TOTAL	365	9,669	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
LICENSEE	EVERY 2 YEARS	28
APPRAISAL MANAGEMENT COMPANY (AMC)	EVERY 2 YEARS	0
AMC CONTROLLING PERSON(S)	N/A	7
COURSE PROVIDER	EVERY 4 YEARS	0

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
RESIDENTIAL (AL) [31.5% PASSED]	57	124	181
CERTIFIED RESIDENTIAL (AR) [46.4% PASSED]	123	142	265
CERTIFIED GENERAL (AG) [49.0% PASSED]	70	73	143

Summary of Enforcement Activity

Consumer Complaints—Intake	
313	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
314	REFERRED FOR INVESTIGATION
1	PENDING

Conviction/Arrest Notification Complaints	
N/A	RECEIVED
N/A	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
N/A	REFERRED FOR INVESTIGATION
N/A	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
314	OPENED
279	CLOSED
167	PENDING

Number of Days to Complete Intake and Investigations	
145	UP TO 90 DAYS
14	91 TO 180 DAYS
64	181 DAYS TO 1 YEAR
54	1 TO 2 YEARS
1	2 TO 3 YEARS
1	OVER 3 YEARS
167	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
80	ISSUED
48	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
187	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

BUREAU OF REAL ESTATE APPRAISERS

Total Amount of Fines	
\$119,000	ASSESSED
\$0	REDUCED
\$115,936	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
5	CASES OPENED/INITIATED
6	CASES CLOSED
4	CASES PENDING

Number of Days to Complete Attorney General Cases	
3	UP TO 1 YEAR
2	1 TO 2 YEARS
1	2 TO 3 YEARS
0	OVER 3 YEARS
442	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
4	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
2	LICENSE APPLICATIONS DENIED
3	REVOCATION
2	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
1	SUSPENSION ONLY
5	PROBATION ONLY
N/A	PUBLIC REPRIMAND
0	OTHER DECISIONS
14	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
1	GRANTED
0	DENIED

Cost Recovery	
N/A	ORDERED
N/A	COLLECTED

Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
3	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
183	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
181	AVERAGE NUMBER OF DAYS



Licenses and regulates registered nurses and evaluates them for certification in nursing specialties.

www.rn.ca.gov

STAFF:

238.8 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

576,415

BOARD MEMBERSHIP:

4 public representatives
5 licensees

BOARD STAFF:

Executive Officer: Loretta Melby, MSN, R.N.
loretta.melby@dca.ca.gov

Assistant Executive Officer: Evon Lenerd Tapps, MBA
evon.lenerd@dca.ca.gov

LAWS AND REGULATIONS:

Business and Profession Code §§ 2700-2838.4

California Code of Regulations, Division 14,
title 16, §§ 1402-1495.4

SUNSET REVIEW:

Last review: 2021 Next review: 2022

Board Highlights

RECIPROCITY

Pursuant to Business and Professions Code section 2732.1(b), the Board may issue a license upon written application to any individual, without examination, who is licensed or registered as a nurse in another state, district, or territory of the United States or Canada if they have qualifications equal to those required by the Board or have passed a comparable examination for licensure or registration and meet all other Board requirements.

ACCOMPLISHMENTS

Technological Advancements

In June 2021, the Board launched its new application status and details portal, which provides a view of the application process and status details. It also provides information regarding deficiencies. Additional features will be added to enhance the useability of this portal.

The Board prioritized licensing program paperless process improvements and now has fully paperless processes for all U.S. and advanced-practice application processes.

In March 2021, the Board launched a new phone system that uses up-to-date technology to respond to incoming calls. This system allows for enhanced data collection and features to provide better customer service. For example, the new phone system allows more calls to be offered to the Board, tracks the reason for the call, and gives Board staff the ability to enter related statistical data. The system can identify the number of times an individual calls using the same number and provides a call-back feature.

Website Enhancements

The Board, in partnership with DCA's Office of Information Services, added additional online services:

- Updates within BreEZe add the ability to request and submit payments for outgoing license verifications, copies of transcripts, copies of National Council Licensure Examination results, and employer verifications. The addition of these online requests and payment services eliminates mail and cashiering time, and reduces the overall processing time frame.
- Applicants and licensees can now complete name changes online and upload all required documentation.
- BreEZe public license search results now reflect the licensee's method of licensure as either by "examination" or "endorsement" within the license details.

COVID-19 Waivers

The Board worked with the Department of Consumer Affairs on over 40 waivers resulting from the COVID-19 pandemic.

Legislative and Regulatory Implementation

The Board has worked diligently to implement new requirements and mandates from the Legislature and Governor Gavin Newsom. The Board received final approval of its AB 2138 (Chiu, Chapter 995, Statutes of 2018) regulatory package on May 20, 2021, from the Office of Administrative Law. This major regulatory package, regarding applicants with prior criminal convictions, required significant coordination with the Department of Consumer Affairs since 2019. The Board has also made progress over the first six months of 2021 in implementing other new legislative mandates.

The Board established a new Nurse Practitioner Advisory Committee in compliance with AB 890 (Wood, Chapter 265, Statutes of 2020). This new committee will advise and make recommendations to the Board regarding the regulation of nurse practitioners and the disciplinary actions against nurse practitioners. Similarly, the Board established a new Nurse Midwifery Advisory Committee in compliance with SB 1237 (Dodd, Chapter 88, Statutes of 2020). This committee will advise and make recommendations to the Board regarding the regulation of nurse midwifery. New members were appointed by the Board to these committees at the February and March 2021 Board meetings.

Finally, the Board implemented requirements of AB 2288 (Low, Chapter 282, Statutes of 2020), which allowed approved nursing programs and students flexibility to meet Board licensing requirements due to the COVID-19 pandemic. Within days of Governor Newsom signing the legislation, the Board sent notification to all program directors to make them aware of the new changes.

NEW LEGISLATION

AB 1015 (Rubio, Chapter 591, Statutes of 2021)

requires the Board to incorporate regional forecasts in its biennial nursing workforce analysis. It also requires the Board to develop a plan to address regional areas with nursing shortages and facilities that could offer clinical placement slots. The Board will also be required to collect, analyze, and report clinical placement data on its website.

AB 1407 (Burke, Chapter 445, Statutes of 2021)

requires nurses to complete one hour of training on implicit bias as part of their prelicensure education program. Beginning January 1, 2023, this bill requires nurses within the first two years immediately following their initial licensure to also complete implicit bias training as continuing education. The bill also requires hospitals to implement an evidence-based implicit bias program as part of their new graduate training.

AB 1532 (Committee on Business and Professions, Chapter 628, Statutes of 2021)

extends the sunset date of the Board from January 1, 2022, to January 1, 2023. Other notable provisions include extending existing authority that allows nursing services to be rendered by unlicensed individuals during a pandemic, and requiring the Board to prominently display information about the availability of temporary licenses on its website.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
REGISTERED NURSE		
APPLICATION BY EXAMINATION (CALIFORNIA GRADUATES)	\$300	\$1,000
APPLICATION BY EXAMINATION (U.S. GRADUATES)	\$350	\$1,000
APPLICATION BY EXAMINATION (INTERNATIONAL GRADUATES)	\$750	\$1,000
APPLICATION BY ENDORSEMENT (U.S. GRADUATES)	\$350	\$1,000
APPLICATION BY ENDORSEMENT (INTERNATIONAL GRADUATES)	\$750	\$1,500
BIENNIAL RENEWAL FEE***	\$190	\$750
PUBLIC HEALTH NURSE		
PUBLIC HEALTH NURSE APPLICATION FEE	\$300	\$1,000
NURSE PRACTITIONER		
NURSE PRACTITIONER APPLICATION FEE	\$500	\$1,500
NURSE PRACTITIONER FURNISHING APPLICATION FEE	\$400	\$1,500

* The program has additional license populations and fees that can be found in California Code of Regulations section 1417.

** Any licensee holding an advanced practitioner certificate must first have a California registered nursing license.

*** \$10 is assessed and collected for the Registered Nursing Education Fund pursuant to Business and Professions Code section 2815.1.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
CLINICAL NURSE SPECIALIST CERTIFICATE	94	61	1,564
CONTINUING EDUCATION PROVIDER (BRN)	148	124	1,004
INTERIM PERMIT	1,901	1,757	N/A
NURSE ANESTHETIST CERTIFICATE	235	213	1,261
NURSE MIDWIFE CERTIFICATE	74	73	639
NURSE MIDWIFE FURNISHING CERTIFICATE	76	62	495
NURSE PRACTITIONER CERTIFICATE	3,654	2,937	13,632
NURSE PRACTITIONER FURNISHING CERTIFICATE	3,176	2,708	12,339
PSYCHIATRIC MENTAL HEALTH CERTIFICATE	71	2	125
PUBLIC HEALTH NURSE CERTIFICATE	2,824	2,504	18,567
REGISTERED NURSE	41,573	28,035	214,223
TEMPORARY LICENSE (BRN)	7,064	3,887	N/A
EMERGENCY REGISTERED NURSE TEMPORARY LICENSE (BRN)	851	834	N/A
TOTAL	61,741	43,197	263,849

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CLINICAL NURSE SPECIALIST CERTIFICATE	3,323	0	0
CONTINUING EDUCATION PROVIDER (BRN)	2,165	0	0
INTERIM PERMIT	995	0	0
NURSE ANESTHETIST CERTIFICATE	2,772	0	0
NURSE MIDWIFE CERTIFICATE	1,368	0	0
NURSE MIDWIFE FURNISHING CERTIFICATE	1,070	0	0
NURSE PRACTITIONER CERTIFICATE	29,699	0	0
NURSE PRACTITIONER FURNISHING CERTIFICATE	26,792	0	0
PSYCHIATRIC MENTAL HEALTH CERTIFICATE	219	0	0
PUBLIC HEALTH NURSE CERTIFICATE	38,616	0	0
REGISTERED NURSE	0	466,704	0
TEMPORARY LICENSE (BRN)	0	2,692	0
EMERGENCY R.N. TEMPORARY LICENSE (BRN)	0	0	0
TOTAL	107,019	469,396	0

BOARD OF REGISTERED NURSING

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
REGISTERED NURSE LICENSE	EVERY 2 YEARS	30
CLINICAL NURSE SPECIALIST CERTIFICATE	EVERY 2 YEARS	0
NURSE ANESTHETIST CERTIFICATE	EVERY 2 YEARS	0
NURSE MIDWIFE CERTIFICATE	EVERY 2 YEARS	0
NURSE MIDWIFE PRACTITIONER CERTIFICATE	EVERY 2 YEARS	0
NURSE PRACTITIONER CERTIFICATE	EVERY 2 YEARS	0
NURSE PRACTITIONER FURNISHING CERTIFICATE	EVERY 2 YEARS	0
PSYCHIATRIC MENTAL HEALTH CERTIFICATE	EVERY 2 YEARS	0
PUBLIC HEALTH NURSE CERTIFICATE	EVERY 2 YEARS	0
CONTINUING EDUCATION PROVIDER	EVERY 2 YEARS	0
SCHOOL/PROGRAM APPROVALS	EVERY 5 YEARS	0

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
NCLEX	13,379	5,361	18,480

Summary of Enforcement Activity

Consumer Complaints—Intake	
4,733	RECEIVED
7	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
4,635	REFERRED FOR INVESTIGATION
115	PENDING

Conviction/Arrest Notification Complaints	
3,023	RECEIVED
1	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
2,971	REFERRED FOR INVESTIGATION
30	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
7,606	OPENED
7,246	CLOSED
2,805	PENDING

Number of Days to Complete Intake and Investigations	
5,244	UP TO 90 DAYS
575	91 TO 180 DAYS
711	181 DAYS TO 1 YEAR
606	1 TO 2 YEARS
96	2 TO 3 YEARS
14	OVER 3 YEARS
106	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
144	ISSUED
144	ISSUED WITH A FINE
5	WITHDRAWN
3	DISMISSED
308	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$82,075	ASSESSED
\$750	REDUCED
\$140,358.15	COLLECTED

Criminal/Civil Actions	
54	REFERRALS FOR CRIMINAL/CIVIL ACTION
13	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
997	CASES OPENED/INITIATED
1,010	CASES CLOSED
743	CASES PENDING

Number of Days to Complete Attorney General Cases	
279	UP TO 1 YEAR
373	1 TO 2 YEARS
249	2 TO 3 YEARS
109	OVER 3 YEARS
697	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
23	STATEMENTS OF ISSUES FILED
630	ACCUSATIONS FILED
9	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
7	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
93	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
2	LICENSE APPLICATIONS DENIED
200	REVOCATION
134	SURRENDER OF LICENSE
31	PROBATION WITH SUSPENSION
1	SUSPENSION ONLY
259	PROBATION ONLY
114	PUBLIC REPRIMAND
4	OTHER DECISIONS
745	TOTAL

Petition for Modification or Termination of Probation	
127	GRANTED
15	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
61	GRANTED
14	DENIED

Cost Recovery	
\$2,887,048.49	ORDERED
\$942,841.47	COLLECTED

Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
10	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
101	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
376	AVERAGE NUMBER OF DAYS



Licenses and regulates respiratory care practitioners.

www.rcb.ca.gov

STAFF:

16.4 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

23,732

BOARD MEMBERSHIP:

4 public representatives
4 licensees
1 licensed physician and surgeon

BOARD STAFF:

Executive Officer: Stephanie Nunez
stephanie.nunez@dca.ca.gov

Assistant Executive Officer: Christine Molina
christine.molina@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 3700–3779

California Code of Regulations, Division 13.6,
title 16, §§1399.300–1399.395

SUNSET REVIEW:

Last review: 2017 Next review: 2022

Board Highlights

RECIPROCITY

Pursuant to Business and Professions Code section 3735, the Board recognizes and accepts the National Board for Respiratory Care's registered respiratory therapist credential in lieu of passage of the state licensing examinations. However, education requirements must also be met and background checks performed prior to license issuance. Further, verification of licensure, including discipline history, is required from each state where the applicant has been licensed.

ACCOMPLISHMENTS

The Board accomplished several goals in fiscal year 2020–21 to support consumers, licensees, and applicants interested in the respiratory care field:

The Board initiated the development of an action plan to incorporate a baccalaureate degree provision in the Respiratory Care Practice Act to ensure education requirements meet the demand of the respiratory care field.

In addition, the Board increased BreZE functionality for stakeholders by launching an online version of the initial application for licensure, implementing email notifications for applicants and licensees, and establishing a new "attachment" feature for electronic submission of documents.

The Board also re-established distribution of its annual e-newsletter "Breathing Matters" to keep licensees informed of current Board issues and activities.

To guide the Board's preparedness in workforce and leadership continuity, the Board developed and approved a comprehensive succession plan.

As an aid to licensees, the Board developed a "License Renewal Fee Outlook" document. This document was distributed with all renewal applications to educate licensees about the numerous variables that affect the biennial license fee for each board and provide a summary of where fees are applied.

NEW LEGISLATION

There was no enacted legislation solely related to the Board in 2021.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
APPLICATION FEE	\$300	\$300
EXAMINATION FEE	\$190 - \$390	ACTUAL COST
BIENNIAL RENEWAL FEE	\$330	\$330

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
RESPIRATORY CARE PRACTITIONER	1,538	1,175	9,841
TOTAL	1,538	1,175	9,841

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
RESPIRATORY CARE PRACTITIONER	N/A	23,732	N/A
TOTAL	N/A	23,732	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
RESPIRATORY CARE PRACTITIONER	BIENNIAL	30

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
THERAPIST MULTIPLE CHOICE	873	272	1,145
CLINICAL SIMULATION EXAMINATION	689	339	1,028

Summary of Enforcement Activity

Consumer Complaints—Intake	
319	RECEIVED
45	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
274	REFERRED FOR INVESTIGATION
1	PENDING

Conviction/Arrest Notification Complaints	
380	RECEIVED
6	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
374	REFERRED FOR INVESTIGATION
1	PENDING

Inspections	
N/A	CONDUCTED
N/A	CITATIONS ISSUED

Investigations	
648	OPENED
658	CLOSED
136	PENDING

Number of Days to Complete Intake and Investigations	
545	UP TO 90 DAYS
69	91 TO 180 DAYS
29	181 DAYS TO 1 YEAR
15	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
56	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
36	ISSUED
36	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
81	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$16,760	ASSESSED
\$110	REDUCED
\$12,885	COLLECTED

RESPIRATORY CARE BOARD OF CALIFORNIA

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
31	CASES OPENED/INITIATED
42	CASES CLOSED
19	CASES PENDING

Number of Days to Complete Attorney General Cases	
31	UP TO 1 YEAR
10	1 TO 2 YEARS
1	2 TO 3 YEARS
0	OVER 3 YEARS
442	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
28	ACCUSATIONS FILED
1	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
9	REVOCAION
4	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
18	PROBATION ONLY
1	PUBLIC REPRIMAND
0	OTHER DECISIONS
33	TOTAL

Petition for Modification or Termination of Probation	
3	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
1	GRANTED
0	DENIED

Cost Recovery	
\$234,234	ORDERED
\$106,721	COLLECTED

Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
1	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
56	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
288	AVERAGE NUMBER OF DAYS



Licenses and regulates security guards, proprietary private security employers and officers, private investigators, alarm companies and employees, locksmith companies and locksmiths, private patrol operators, and repossession agencies and their employees. The Bureau also has jurisdiction over firearm and baton training facilities and their instructors.

www.bsis.ca.gov

STAFF:

75.5 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

415,847

COMMITTEE MEMBERSHIP:

6 public representatives (Advisory)
7 industry representatives (Advisory)
10 public representatives (Disciplinary Review)
15 industry representatives (Disciplinary Review)

BUREAU STAFF:

Bureau Chief: Lynne Andres
lynne.andres@dca.ca.gov

Deputy Chief–Licensing: Gloriela Garcia
gloriela.garcia@dca.ca.gov

Deputy Chief–Enforcement: Samuel Stodolski
samuel.stodolski@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 6980–6981;
§§ 7500–7599.80

California Code of Regulations, Division 7,
title 16, §§ 600–645

SUNSET REVIEW:

Last review: 2019 Next review: 2023

Bureau Highlights

RECIPROCITY

The Bureau does not have reciprocity.

ACCOMPLISHMENTS

Legislative and Regulatory Implementation

The Bureau released the new enhanced and redesigned photo pocket cards for private investigators in accordance with Senate Bill 385 (Jones, Chapter 326, Statutes of 2019). The pocket cards are also available for these license types: alarm company qualified managers, alarm company employees, locksmith employees, reposessor agents, reposessor qualified managers, and security guards.

The Bureau’s rulemaking package for Assembly Bill 2138 (Chiu, Chapter 995, Statutes of 2018) was approved by the Office of Administrative Law on June 1, 2021. This major regulatory package regarding applicants with prior criminal convictions required significant coordination with the Department of Consumer Affairs since 2019.

Licensing Improvements

The Bureau released a new BreEZe feature that allows those applying for an initial firearms permit or renewing an existing firearms permit to view their deficiencies on their BreEZe profile and not wait for the Bureau to mail them a deficiency letter.

The Bureau met its licensing performance measures (application processing times) 90% of the time in 2020–21 versus 80% in 2019–20.

The Bureau continued the firearms assessment for security guards applying for a firearms permit to determine whether individuals possess, at the time of the assessment, appropriate judgment, restraint, and self-control for the purposes of carrying a firearm during the course of their security guard duties. In 2020–21, 8,863 applicants completed the firearms assessment with a passage rate of 85.5%.

Military Application Program

In 2020–21, the Bureau processed 13,338 applications identified as belonging to a current or former member of the U.S. military, which brings the total number of applications processed since the inception of the Bureau’s Veterans Come First Program in 2012 to 77,537. Through this program, the Bureau provides priority services to veteran applicants via a dedicated email account and specifically assigned staff to assist military members during the licensing process.

Enforcement

Bureau enforcement staff continued to reduce the investigation cycle time from an average of 111 days to 79 days in keeping with the Department of Consumer Affairs' Enforcement Performance Measures. Complaint resolution staff also negotiated \$70,922 in savings on behalf of consumers.

Outreach

In response to consumer and industry comments, Bureau Chief Lynne Andres initiated a weekly email through Listserv informing the public of licenses that were revoked or suspended.

NEW LEGISLATION

AB 229 (Holden, Chapter 697, Statutes of 2021)

expands, after January 1, 2023, the power to arrest training and training in the carrying and use of firearms to include topics on the appropriate use of force. Specified licensees of the Bureau are required to complete the training prior to being issued a registration or firearms permit. This bill clarifies who may employ armed security guards, clarifies training and record retention requirements, and makes amendments to provisions surrounding the submission of a written report.

AB 484 (Medina, Chapter 373, Statutes of 2021)

updates the existing requirement that alarm company advertisements include the licensee's name and license number to better reflect modern forms of advertisement. All advertisements will be required to provide the licensee's name and license number or direct them to a landing page on the licensee's website containing that information.

AB 913 (Smith, Chapter 416, Statutes of 2021)

redefines specified terms in the Collateral Recovery Act, including "deadly weapon," "legal owner," and "repossession." This bill also requires instruments or weapons, other than a firearm, to be inventoried and disposed of in a reasonable and safe manner and requires a repossession agency to receive written authorization from a debtor allowing a third party to take possession of their belongings. Lastly, this bill makes other minor, technical, and nonsubstantive changes to the Collateral Recovery Act.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y*
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y*
EXAMINATION	Y*
CONTINUING EDUCATION/COMPETENCY	Y*
FINGERPRINT REQUIREMENT	Y*

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
LOCKSMITH		
INITIAL APPLICATION FEE	\$250	\$275
INITIAL LICENSE FEE	\$250	\$275
TOTAL INITIAL LICENSE FEE	\$500	\$550
BIENNIAL RENEWAL FEE	\$500	\$550
BRANCH OFFICE/INITIAL BRANCH FEE	\$250	\$275
BRANCH OFFICE/BIENNIAL RENEWAL FEE	\$150	\$165
EMPLOYEE/INITIAL APPLICATION FEE	\$55	\$60
EMPLOYEE/BIENNIAL RENEWAL FEE	\$40	\$44
PRIVATE INVESTIGATOR		
INITIAL APPLICATION AND EXAMINATION FEE	\$340	\$374
INITIAL LICENSE FEE	\$385	\$424
TOTAL INITIAL LICENSE FEE	\$725	\$798
BIENNIAL RENEWAL FEE	\$265	\$292
BRANCH OFFICE/INITIAL BRANCH FEE	\$90	\$99
BRANCH OFFICE/BIENNIAL RENEWAL FEE	\$65	\$72
PRIVATE PATROL OPERATOR		
INITIAL APPLICATION AND EXAMINATION FEE	\$550	\$605
INITIAL LICENSE FEE	\$770	\$847
TOTAL INITIAL LICENSE FEE	\$1,320	\$1,452
BIENNIAL RENEWAL FEE	\$900	\$990
BRANCH OFFICE/INITIAL BRANCH FEE	\$250	\$275
BRANCH OFFICE/BIENNIAL RENEWAL FEE	\$150	\$165
SECURITY GUARD		
INITIAL APPLICATION FEE	\$55	\$60
BIENNIAL RENEWAL FEE	\$40	\$44
FIREARMS PERMIT		
INITIAL APPLICATION	\$100	\$110
BIENNIAL RENEWAL	\$80	\$88
FIREARM TRAINING INSTRUCTOR		
INITIAL APPLICATION FEE	\$350	\$385
BIENNIAL RENEWAL FEE	\$300	\$330

BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

License Type	Actual Fee	Statutory Limit
FIREARM TRAINING FACILITY		
INITIAL APPLICATION FEE	\$800	\$880
BIENNIAL RENEWAL FEE	\$750	\$825
BATON PERMIT		
INITIAL APPLICATION FEE	\$60	\$66
BIENNIAL RENEWAL FEE	N/A	N/A
BATON TRAINING INSTRUCTOR		
INITIAL APPLICATION FEE	\$350	\$385
BIENNIAL RENEWAL FEE	\$275	\$303
BATON TRAINING FACILITY		
INITIAL APPLICATION FEE	\$700	\$770
BIENNIAL RENEWAL FEE	\$550	\$605
PROPRIETARY PRIVATE SECURITY EMPLOYER		
INITIAL APPLICATION FEE	\$350	\$385
BIENNIAL RENEWAL FEE	\$350	\$385
PROPRIETARY PRIVATE SECURITY OFFICER		
INITIAL APPLICATION FEE	\$55	\$60
BIENNIAL RENEWAL FEE	\$40	\$44
ALARM COMPANY OPERATOR		
INITIAL APPLICATION FEE	\$370	\$407
INITIAL LICENSE FEE	\$600	\$660
TOTAL LICENSE FEE	\$970	\$1,067
BIENNIAL RENEWAL FEE	\$750	\$825
ALARM COMPANY OPERATOR QUALIFIED MANAGER		
INITIAL APPLICATION AND EXAMINATION FEE	\$350	\$385
BIENNIAL RENEWAL FEE	\$225	\$248
ALARM COMPANY OPERATOR BRANCH OFFICE		
INITIAL APPLICATION FEE	\$250	\$275
BIENNIAL RENEWAL FEE	\$150	\$165
ALARM AGENT		
INITIAL APPLICATION FEE	\$55	\$60
BIENNIAL RENEWAL FEE	\$40	\$44
REPOSSESSION AGENCY		
INITIAL LICENSE FEE	\$970	\$1,067
LICENSE RENEWAL FEE	\$750	\$825
REPOSSESSION AGENCY QUALIFIED MANAGER		
INITIAL APPLICATION AND EXAMINATION FEE	\$350	\$385
LICENSE RENEWAL FEE	\$225	\$248
REPOSSESSION AGENCY EMPLOYEE		
INITIAL APPLICATION FEE	\$75	\$82
REGISTRATION RENEWAL FEE	\$40	\$44

*Additional fees may be required. Refer to laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ALARM COMPANY EMPLOYEE REGISTRATION	4,640	4,064	4,195
ALARM COMPANY OPERATOR	120	47	833
ALARM COMPANY OPERATOR BRANCH	40	33	93
ALARM COMPANY QUALIFIED MANAGER	56	45	824
BATON PERMIT	2,947	3,411	N/A
FIREARM PERMIT	12,694	7,666	14,426
LOCKSMITH COMPANY OPERATOR	266	169	954
LOCKSMITH EMPLOYEE REGISTRATION	293	224	837
LOCKSMITH BRANCH	23	17	12
PRIVATE INVESTIGATOR	344	212	3,604
PRIVATE INVESTIGATOR BRANCH	31	31	61
PRIVATE PATROL OPERATOR	658	294	1,158
PRIVATE PATROL OPERATOR BRANCH	96	77	153
PROPRIETARY PRIVATE SECURITY EMPLOYER	145	48	256
PROPRIETARY PRIVATE SECURITY OFFICER	1,916	1,183	1,500
REPOSSESSION AGENCY	42	36	104
REPOSSESSION AGENCY EMPLOYEE	127	98	200
REPOSSESSION AGENCY QUALIFIED MANAGER	10	7	140
SECURITY GUARD	65,176	57,818	89,518
TRAINING FACILITY (BATON)	22	14	66
TRAINING FACILITY (FIREARM)	35	28	140
TRAINING INSTRUCTOR (BATON)	27	19	77
TRAINING INSTRUCTOR (FIREARM)	73	43	237
TOTAL	89,781	75,584	119,388

*Baton permits are not subject to renewals.

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ALARM COMPANY EMPLOYEE REGISTRATION	N/A	16,824	N/A
ALARM COMPANY OPERATOR	N/A	1,727	N/A
ALARM COMPANY OPERATOR BRANCH	264	N/A	N/A
ALARM COMPANY QUALIFIED MANAGER	N/A	1,791	N/A
BATON PERMIT	34,448	N/A	N/A
FIREARM PERMIT	39,232	N/A	N/A
LOCKSMITH COMPANY OPERATOR	N/A	2,136	N/A

BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
LOCKSMITH EMPLOYEE REGISTRATION	N/A	2,066	N/A
LOCKSMITH BRANCH	61	N/A	N/A
PRIVATE INVESTIGATOR		7,896	N/A
PRIVATE INVESTIGATOR BRANCH	162	N/A	N/A
PRIVATE PATROL OPERATOR	N/A	2,492	N/A
PRIVATE PATROL OPERATOR BRANCH	369	N/A	N/A
PROPRIETARY PRIVATE SECURITY EMPLOYER	N/A	564	N/A
PROPRIETARY PRIVATE SECURITY OFFICER	N/A	6,046	N/A
REPOSSESSION AGENCY	N/A	254	N/A
REPOSSESSION AGENCY EMPLOYEE	N/A	552	N/A
REPOSSESSION AGENCY QUALIFIED MANAGER	N/A	256	N/A
SECURITY GUARD	N/A	297,396	N/A
TRAINING FACILITY (BATON)	164	N/A	N/A
TRAINING FACILITY (FIREARM)	333	N/A	N/A
TRAINING INSTRUCTOR (BATON)	202	N/A	N/A
TRAINING INSTRUCTOR (FIREARM)	612	N/A	N/A
TOTAL	75,847	340,000	N/A

*Baton permits are not subject to renewals.

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
ALARM COMPANY OPERATOR-BRANCH	EVERY 2 YEARS	N/A
ALARM COMPANY EMPLOYEE REGISTRATION	EVERY 2 YEARS	N/A
ALARM COMPANY OPERATOR	EVERY 2 YEARS	N/A
ALARM COMPANY QUALIFIED MANAGER	EVERY 2 YEARS	N/A
BATON PERMIT***	N/A	N/A
FIREARM PERMIT*	EVERY 2 YEARS	8
SECURITY GUARD REGISTRATION	EVERY 2 YEARS	16
LOCKSMITH-BRANCH	EVERY 2 YEARS	N/A
LOCKSMITH COMPANY OPERATOR	EVERY 2 YEARS	N/A
LOCKSMITH EMPLOYEE REGISTRATION	EVERY 2 YEARS	N/A
PRIVATE INVESTIGATOR	EVERY 2 YEARS	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
PRIVATE INVESTIGATOR-BRANCH	EVERY 2 YEARS	N/A
PRIVATE PATROL OPERATOR-BRANCH	EVERY 2 YEARS	N/A
PRIVATE PATROL OPERATOR	EVERY 2 YEARS	N/A
PROPRIETARY PRIVATE SECURITY EMPLOYER	EVERY 2 YEARS	N/A
PROPRIETARY PRIVATE SECURITY OFFICER	EVERY 2 YEARS	4
REPOSSESSION AGENCY**	EVERY 2 YEARS	N/A
REPOSSESSION AGENCY EMPLOYEE**	EVERY 2 YEARS	N/A
REPOSSESSION AGENCY QUALIFIED MANAGER**	EVERY 2 YEARS	N/A
TRAINING FACILITY-BATON	EVERY 2 YEARS	N/A
TRAINING FACILITY-FIREARM	EVERY 2 YEARS	N/A
TRAINING INSTRUCTOR-BATON	EVERY 2 YEARS	N/A
TRAINING INSTRUCTOR-FIREARM	EVERY 2 YEARS	N/A

*Includes 4 range qualifications and 8 hours of continuing education.

**Initial renewal frequency is 1 year; thereafter, renewal is every 2 years.

***Not subject to renewal.

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
ALARM COMPANY QUALIFIED MANAGER	43	24	67
FIREARMS PERMIT ASSESSMENT	7,550	1,275	8,825
PRIVATE INVESTIGATOR QUALIFIED MANAGER	168	106	274
PRIVATE PATROL QUALIFIED MANAGER	222	253	475
REPOSSESSION AGENCY QUALIFIED MANAGER	6	2	8

Summary of Enforcement Activity

Consumer Complaints—Intake	
2,822	RECEIVED
396	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
2,813	REFERRED FOR INVESTIGATION
16	PENDING

Conviction/Arrest Notification Complaints	
20,820	RECEIVED
17,371	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
3,449	REFERRED FOR INVESTIGATION
45	PENDING

BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

Inspections	
191	CONDUCTED
15	CITATIONS ISSUED

Investigations	
6,262	OPENED
6,037	CLOSED
2,322	PENDING

Number of Days to Complete Intake and Investigations	
4,986	UP TO 90 DAYS
503	91 TO 180 DAYS
272	181 DAYS TO 1 YEAR
208	1 TO 2 YEARS
62	2 TO 3 YEARS
6	OVER 3 YEARS
81	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
108	ISSUED
108	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
241	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$299,900	ASSESSED
\$11,250	REDUCED
\$90,950	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
471	CASES OPENED/INITIATED
482	CASES CLOSED
397	CASES PENDING

Number of Days to Complete Attorney General Cases	
283	UP TO 1 YEAR
177	1 TO 2 YEARS
22	2 TO 3 YEARS
0	OVER 3 YEARS
372	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
398	STATEMENTS OF ISSUES FILED
40	ACCUSATIONS FILED
8	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
198	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
4	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
3,758	LICENSE APPLICATIONS DENIED
103	REVOCATION
2	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
404	SUSPENSION ONLY
16	PROBATION ONLY
0	PUBLIC REPRIMAND
5	OTHER DECISIONS
4,288	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
1	DENIED

Cost Recovery	
\$28,803.54	ORDERED
\$18,755.67	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$26,248	AMOUNT REFUNDED
\$2,284	REWORK AT NO CHARGE
\$42,390	ADJUSTMENTS/RETURNS/EXCHANGES
\$70,922	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
6	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
79	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
348	AVERAGE NUMBER OF DAYS



SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

Licenses and regulates speech-language pathology assistants, audiologists, and hearing aid dispensers.

www.speechandhearing.ca.gov

STAFF:

11.6 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

35,961

BOARD MEMBERSHIP:

3 public representatives
6 licensees

BOARD STAFF:

Executive Officer: Paul Sanchez
paul.sanchez@dca.ca.gov

Assistant Executive Officer: Cherise Burns
cherise.burns@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 2530–2539.14

California Code of Regulations, Division 13.3,
title 16, §§ 1399.100–1399.144

California Code of Regulations, Division 13.4,
title 16, §§ 1399.150–1399.199.14

SUNSET REVIEW:

Last review: 2017 Next review: 2022

Board Highlights

RECIPROCITY

The Board does not have reciprocity.

ACCOMPLISHMENTS

The Board continued to mobilize a proactive and robust response to the COVID-19 pandemic by successfully advocating for and securing approval of the continued extension of four Department of Consumer Affairs waivers relevant to the Board and its registrants and licensees. These waivers allowed licensees and registrants to continue providing necessary speech and hearing services to consumers during the pandemic.

Business Modernization

In coordination with DCA's Office of Information Services and Organizational Improvement Office, the Board continued its Business Modernization Project efforts to develop an information technology solution that will transition the Board from its existing legacy databases to a more efficient system. The new system will provide access for licensees and applicants to apply for licensure online and complete online transactions. In 2020, the Board received budgetary authority to proceed with the project and an analyst position to address the increased workload during the development and transition to the system. The Board has now completed Stages 1 (Business Analysis) and 2 (Alternative Analysis) of the California Department of Technology's Project Approval Lifecycle (PAL). The Board has begun Stage 3 (Solution Development) of the process and will continue to complete the last two required PAL stages in 2021.

Exams

After having to cancel most of its 2020 hearing aid dispenser practical examinations due to the pandemic, examinations resumed in October 2020 with robust safety and sanitation precautions. Board staff used larger examination rooms and used sanitation measures as required by state health and safety guidelines. The Board conducted a total of 170 practical hearing aid dispenser examinations in fiscal year 2020–21.

Licensing

The Board met or exceeded license application processing targets of all license types, issued 3,703 licenses, and processed 13,660 license renewals. Most of the Board's 7,133 license renewals were processed online. Since the online renewal program began, online renewals have increased from 2% to 51%.

Outreach

Board staff continued outreach efforts by providing educational presentations to two professional associations and two graduate programs, emphasizing consumer protection, licensing laws, and the Board’s enforcement program. The Board’s executive officer was interviewed and featured in Convey magazine, a quarterly publication of the California Speech Language Hearing Association. Board staff also met with all California university communication science disorders program administrators to discuss and troubleshoot licensing issues.

Regulatory Changes

The Board finalized regulations implementing Assembly Bill 2138 (Chiu, Chapter 995, Statutes of 2018). The rulemaking package was approved by the Office of Administrative Law on May 7, 2021, and became effective on May 7, 2021.

Strategic Plan

On February 5, 2021, the Board completed and approved its 2021–2024 Strategic Plan. The plan was developed with input from internal and external stakeholders to formulate the goals that will direct the Board to effectively carry out its mission of consumer protection while ensuring efficient operations in licensing, enforcement, outreach and communication, laws and regulations, and administration.

NEW LEGISLATION

AB 435 (Mullin, Chapter 266, Statutes of 2021) requires hearing aid dispensers and licensed dispensing audiologists to provide a written notice to consumers who purchase hearing aids that use proprietary or locked programming software. This notice is required to state that these hearing aids use proprietary software and can only be serviced or programmed at specific facilities or locations. Consumers must sign the notice prior to the completion of a sale.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y*
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y*
EXAMINATION	Y*
CONTINUING EDUCATION/COMPETENCY	Y*
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
AIDE (AUDIOLOGY OR SPEECH-LANGUAGE PATHOLOGY)	\$10	\$30
AUDIOLOGIST		
BIENNIAL RENEWAL	\$110	\$150
INITIAL APPLICATION AND LICENSE	\$60	\$150
REQUIRED PROFESSIONAL EXPERIENCE TEMPORARY LICENSE		
EXTENSION APPLICATION	\$35	\$35
INITIAL APPLICATION AND TEMPORARY LICENSE	\$60	\$150
SPEECH-LANGUAGE PATHOLOGIST		
BIENNIAL RENEWAL	\$110	\$150
INITIAL APPLICATION AND LICENSE	\$60	\$150
SPEECH-LANGUAGE PATHOLOGY ASSISTANT		
BIENNIAL RENEWAL	\$75	\$150
INITIAL APPLICATION AND REGISTRATION	\$50	\$100
BRANCH LICENSE		
ANNUAL RENEWAL	\$25	\$25
INITIAL APPLICATION	\$25	\$25
DISPENSING AUDIOLOGIST		
ANNUAL RENEWAL	\$280	\$280
INITIAL APPLICATION AND LICENSE	\$280	\$280
HEARING AID DISPENSER		
ANNUAL RENEWAL	\$280	\$280
INITIAL APPLICATION	\$75	\$75
TEMPORARY LICENSE (INITIAL APPLICATION)	\$175	\$175
WRITTEN EXAMINATION FEE	\$225	ACTUAL COST
PRACTICAL EXAMINATION FEE	\$500	ACTUAL COST
HEARING AID DISPENSER TRAINEE		
INITIAL APPLICATION	\$175	\$175
RENEWAL—MAY BE RENEWED TWICE	\$100	\$100
CONTINUING EDUCATION COURSE		
APPROVAL	\$50	\$50
CONTINUING PROFESSIONAL DEVELOPMENT		
PROVIDER	\$200	\$200
RENEWAL FEE	\$200	\$200

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
AIDE	36	22	0
AUDIOLOGIST	41	70	238
BRANCH	251	249	622
DISPENSING AUDIOLOGIST	31	24	1,083
HEARING AID DISPENSER	151	55	1,080
HEARING AID DISPENSER TEMPORARY-LICENSED IN ANOTHER STATE	12	11	0
HEARING AID DISPENSER TRAINEE	95	93	144
PROFESSIONAL DEVELOPMENT PROVIDER	15	13	60
REQUIRED PROFESSIONAL EXPERIENCE	1,055	1,039	0
SPEECH-LANGUAGE PATHOLOGIST	1,790	1,621	8,659
SPEECH-LANGUAGE PATHOLOGY ASSISTANT	551	505	1,774
AUDIOLOGIST TEMPORARY-LICENSED IN ANOTHER STATE	1	1	0
SPEECH-LANGUAGE PATHOLOGIST TEMPORARY-LICENSED IN ANOTHER STATE	0	0	0
TOTAL	4,029	3,703	13,660

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
AIDE	N/A	290	N/A
AUDIOLOGIST	N/A	830	N/A
BRANCH	N/A	1,141	N/A
DISPENSING AUDIOLOGIST	N/A	1,375	N/A
HEARING AID DISPENSER	N/A	1,398	N/A
HEARING AID DISPENSER TEMPORARY-LICENSED IN ANOTHER STATE	N/A	47	N/A
HEARING AID DISPENSER TRAINEE	N/A	243	N/A
PROFESSIONAL DEVELOPMENT PROVIDER	N/A	156	N/A
REQUIRED PROFESSIONAL EXPERIENCE	N/A	1,626	N/A
SPEECH-LANGUAGE PATHOLOGIST	N/A	23,309	N/A
SPEECH-LANGUAGE PATHOLOGY ASSISTANT	N/A	5,538	N/A
AUDIOLOGIST TEMPORARY-LICENSED IN ANOTHER STATE	N/A	8	N/A

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
SPEECH-LANGUAGE PATHOLOGIST TEMPORARY-LICENSED IN ANOTHER STATE	N/A	0	N/A
TOTAL	N/A	35,961	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
SPEECH-LANGUAGE PATHOLOGIST	EVERY 2 YEARS	24
SPEECH-LANGUAGE PATHOLOGY ASSISTANT	EVERY 2 YEARS	12
AUDIOLOGIST	EVERY 2 YEARS	24
DISPENSING AUDIOLOGIST	ANNUALLY	12
AIDE	N/A	N/A
REQUIRED PROFESSIONAL EXPERIENCE	N/A	N/A
PROFESSIONAL DEVELOPMENT PROVIDER	EVERY 2 YEARS	N/A
HEARING AID DISPENSER	ANNUALLY	12
HEARING AID DISPENSER TRAINEE	MAX. TWICE, 6 MONTHS EACH	N/A
HEARING AID DISPENSER TEMPORARY LICENSE	N/A	N/A
BRANCH LICENSE	ANNUALLY	N/A

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
HEARING AID DISPENSERS WRITTEN EXAMINATION	119	51	170
HEARING AID DISPENSERS PRACTICAL EXAMINATION	85	57	142

Summary of Enforcement Activity

Consumer Complaints—Intake	
91	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
89	REFERRED FOR INVESTIGATION
3	PENDING

Conviction/Arrest Notification Complaints	
45	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
42	REFERRED FOR INVESTIGATION
3	PENDING

SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

Investigations	
131	OPENED
200	CLOSED
223	PENDING

Number of Days to Complete Intake and Investigations	
47	UP TO 90 DAYS
20	91 TO 180 DAYS
40	181 DAYS TO 1 YEAR
57	1 TO 2 YEARS
33	2 TO 3 YEARS
3	OVER 3 YEARS
398	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
7	ISSUED
7	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
406	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$3,700	ASSESSED
\$1,450	REDUCED
\$3,200	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
8	CASES OPENED/INITIATED
4	CASES CLOSED
21	CASES PENDING

Number of Days to Complete Attorney General Cases	
0	UP TO 1 YEAR
1	1 TO 2 YEARS
2	2 TO 3 YEARS
1	OVER 3 YEARS
932	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
8	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
3	LICENSE APPLICATIONS DENIED
2	REVOCAION
3	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
1	OTHER DECISIONS
10	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED

Cost Recovery	
\$13,747.50	ORDERED
\$12,754.27	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$695	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$695	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
1	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
397	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
537	AVERAGE NUMBER OF DAYS



SPCB

STRUCTURAL PEST CONTROL BOARD

Licenses and regulates fumigators, pest control companies, pest management professionals, and structural pesticide applicators.

www.pestboard.ca.gov

STAFF:

28.5 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

30,016

BOARD MEMBERSHIP:

4 public representatives
3 licensees

BOARD STAFF:

Executive Officer: Susan Saylor
susan.saylor@dca.ca.gov

Assistant Executive Officer: Robert Lucas
robert.lucas@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 8500–8697.4
California Code of Regulations, Division 19,
title 16, §§ 1900–1999.5

SUNSET REVIEW:

Last review: 2018 Next review: 2023

Board Highlights

RECIPROCITY

The Board does not have reciprocity.

ACCOMPLISHMENTS

Updated Examinations

The Board continued to work with the Department of Consumer Affairs Office of Professional Examination Services on the creation and introduction of new licensing examinations and occupational analyses. During fiscal year 2020–21, the Board debuted two new applicator examinations, three new field representative examinations, and three new operator examinations. These updated examinations reflect current industry best practices and help ensure licensees offer high-quality service to California consumers.

Strategic Planning and Outreach

Board staff published a comprehensive *Board Member Procedure Manual* to assist and inform new Board members during the onboarding process. In addition, in 2021 the Board published an updated booklet containing the Structural Pest Control Act and the rules and regulations that includes all applicable statutes, regulations, and documents incorporated by reference.

In coordination with DCA's SOLID Training and Planning Solutions unit, the Board began the strategic planning process with the goal of adopting a strategic plan for 2022 through 2027.

Research Projects

The Board continued its support of the following research projects selected for funding at its July 2018 Board meeting:

- "Improving Urban Pest Ants Management by Low Impact IPM Strategies."
- "Development and Evaluation of Baiting Strategies for Control of Pest Yellowjackets in California."
- "Investigation of Rodenticide Pathways in an Urban System Through the Use of Isotopically Labelled Bait."
- "Diet and Colony Structure of Two Emerging Invasive Pest Ants."
- "Evaluation of Bait Station System Efficacy for Reduced Risk Subterranean Termite Management in California."

These research projects will improve understanding of invasive pest populations to the benefit of both consumers and pest control professionals.

Business Modernization

The Board continued the Business Modernization process to implement a new information technology system. This new platform will benefit consumers and the pest control industry by offering online payment and submission capability.

NEW LEGISLATION

There was no enacted legislation solely related to the Board in 2021.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	N
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
DUPLICATE LICENSE	\$2	\$2
CHANGE OF LICENSEE NAME	\$2	\$2
OPERATOR EXAMINATION	\$65	\$100
OPERATOR LICENSE	\$120	\$150
RENEWAL OPERATOR LICENSE	\$120	\$150
COMPANY OFFICE REGISTRATION	\$120	\$120
BRANCH OFFICE REGISTRATION	\$60	\$60
FIELD REPRESENTATIVE EXAMINATION	\$50	\$75
FIELD REPRESENTATIVE LICENSE	\$30	\$45
RENEWAL FIELD REPRESENTATIVE LICENSE	\$30	\$45
CHANGE OF REGISTERED COMPANY NAME	\$25	\$25
CHANGE OF PRINCIPLE OFFICE ADDRESS	\$25	\$25
CHANGE OF BRANCH OFFICE ADDRESS	\$25	\$25
CHANGE OF QUALIFYING MANAGER	\$25	\$25
CHANGE OF REGISTERED COMPANY OFFICERS	\$25	\$25
CHANGE OF BOND OR INSURANCE	\$25	\$25
CONTINUING EDUCATION PROVIDER	\$50	\$50
CONTINUING EDUCATION COURSE APPROVAL	\$25	\$25
PESTICIDE USE REPORT FILING	\$6	\$7
APPLICATORS LICENSE	\$10	\$50
RENEWAL APPLICATOR LICENSE	\$10	\$50
APPLICATOR EXAMINATION	\$55	\$60
OPERATOR CHALLENGE EXAMINATION	\$65	\$100
FIELD REPRESENTATIVE CHALLENGE EXAM	\$50	\$75
WDO INSPECTION AND COMPLETION FEE	\$4	\$5

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
APPLICATOR	1502	1414	1,224
BRANCH OFFICE REGISTRATIONS	46	46	N/A
COMPANY REGISTRATIONS	261	236	N/A
FIELD REPRESENTATIVE	2054	1850	3,878
OPERATOR	258	256	1,512
TOTAL	4,121	3,802	6,614

STRUCTURAL PEST CONTROL BOARD

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
APPLICATOR	N/A	7,281	N/A
BRANCH OFFICE REGISTRATIONS	N/A	460	N/A
COMPANY REGISTRATIONS	N/A	3,236	N/A
FIELD REPRESENTATIVE	N/A	14,606	N/A
OPERATOR	N/A	4,433	N/A
TOTAL	N/A	30,016	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
OPERATOR BRANCH 1	3 YEARS	16
OPERATOR BRANCH 2	3 YEARS	16
OPERATOR BRANCH 3	3 YEARS	16
OPERATOR BRANCH 1 AND 2	3 YEARS	20
OPERATOR BRANCH 1 AND 3	3 YEARS	20
OPERATOR BRANCH 2 AND 3	3 YEARS	20
OPERATOR BRANCH 1, 2 AND 3	3 YEARS	24
FIELD REPRESENTATIVE BRANCH 1	3 YEARS	16
FIELD REPRESENTATIVE BRANCH 2	3 YEARS	16
FIELD REPRESENTATIVE BRANCH 3	3 YEARS	16
FIELD REPRESENTATIVE BRANCH 1 AND 2	3 YEARS	20
FIELD REPRESENTATIVE BRANCH 1 AND 3	3 YEARS	20
FIELD REPRESENTATIVE BRANCH 2 AND 3	3 YEARS	20
FIELD REPRESENTATIVE BRANCH 1, 2 AND 3	3 YEARS	24
APPLICATOR	3 YEARS	12

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
OPERATOR BRANCH 1	10	10	20
OPERATOR BRANCH 2	234	70	304
OPERATOR BRANCH 3	87	45	132
FIELD REPRESENTATIVE BRANCH 1	55	17	72
FIELD REPRESENTATIVE BRANCH 2	2,028	966	2,994
FIELD REPRESENTATIVE BRANCH 3	480	609	1,089
APPLICATOR	1,593	1,015	2,608

Summary of Enforcement Activity

Consumer Complaints—Intake	
335	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
333	REFERRED FOR INVESTIGATION
2	PENDING

Conviction/Arrest Notification Complaints	
970	RECEIVED
853	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
7	REFERRED FOR INVESTIGATION
110	PENDING

Inspections	
51	CONDUCTED
18	CITATIONS ISSUED

Investigations	
340	OPENED
347	CLOSED
96	PENDING

Number of Days to Complete Intake and Investigations	
256	UP TO 90 DAYS
40	91 TO 180 DAYS
28	181 DAYS TO 1 YEAR
22	1 TO 2 YEARS
1	2 TO 3 YEARS
0	OVER 3 YEARS
94	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
143	ISSUED
143	ISSUED WITH A FINE
1	WITHDRAWN
2	DISMISSED
0	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$188,934	ASSESSED
\$850	REDUCED
\$203,532	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
40	CASES OPENED/INITIATED
54	CASES CLOSED
27	CASES PENDING

Number of Days to Complete Attorney General Cases	
21	UP TO 1 YEAR
33	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
451	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
12	STATEMENTS OF ISSUES FILED
19	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
3	LICENSE APPLICATIONS DENIED
23	REVOCAION
8	SURRENDER OF LICENSE
2	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
19	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
55	TOTAL

Petition for Modification or Termination of Probation	
0	GRANTED
2	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
2	DENIED

Cost Recovery	
\$75,627.40	ORDERED
\$76,269.54	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$21,722.50	RESTITUTION ORDERED
\$501,221.36	AMOUNT REFUNDED
\$152,818.75	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$675,762.61	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
3	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
94	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
330	AVERAGE NUMBER OF DAYS



Licenses and regulates veterinarians, registered veterinary technicians, and veterinary premises.

www.vmb.ca.gov

STAFF:

31.7 civil servant positions
1 exempt

LICENSES, REGISTRATIONS, PERMITS, AND CERTIFICATES:

38,549

BOARD MEMBERSHIP:

3 public representatives
5 licensees

BOARD STAFF:

Executive Officer: Jessica Sieferman
jessica.sieferman@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 4800–4917

California Code of Regulations, Division 20,
title 16, §§ 2000–2086.9

Civil Code §§ 3051, § 3052, §§ 3080–3080.03,
§§ 1834.5–1834.6

Health and Safety Code §§ 122125–122220

SUNSET REVIEW:

Last review: 2021 Next review: 2025

Board Highlights

RECIPROCITY

Pursuant to Business and Professions Code section 4848, reciprocity for veterinary applicants is offered as follows:

- Any person applying for a veterinary license who has passed the veterinary national licensing examination at the time of original licensure in another state and has been practicing veterinary medicine full time for two out of the three years (in the United States, U.S. territory, or Canada) immediately preceding the application may apply for reciprocity (one-year license) if he or she has no disciplinary action taken against the license.
- International veterinary graduates may apply for reciprocity if they meet all the above requirements and have completed a recognized education equivalence program.
- All reciprocity licensees must complete a three-day course on regionally specific diseases and conditions within 12 months of the date of issue of their temporary license to receive unrestricted licensure. Courses are offered in March and September.

Pursuant to title 16, California Code of Regulations section 2068.6, an out-of-state veterinary technician licensed in the United States, U.S. territory, or Canada may apply for reciprocity and is eligible for the California veterinary technician examination if he or she has passed the national veterinary technician licensing examination and has obtained 4,416 hours of directed clinical practice under the direct supervision of a veterinarian licensed in the United States, U.S. territory, or Canada and if he or she has no disciplinary action taken against the license.

ACCOMPLISHMENTS

Examinations

Through the Department of Consumer Affairs (DCA) Office of Professional Examination Services, the Board completed an Occupational Analysis and Linkage Study for the national and state veterinarian examinations and deemed the state examination redundant to the national examination. As such, the Board voted to eliminate the state examination from the veterinarian licensing requirements. In addition, the Board evaluated its statutes and regulations to eliminate unnecessary barriers to licensure, streamline the licensing process, increase access to veterinary care, and improve consumer protection mechanisms. The evaluation resulted in the Board approving legislative proposals that amended 13, repealed nine, and added four statutes. The evaluation also resulted in nine regulations being amended and 16 being repealed.

Sunset Hearing

The Board's president, vice president, and executive officer testified at the Board's sunset hearing on March 3, 2021. At the Legislature's request, subsequent reports were provided regarding BreEZe licensing enhancements and enforcement-specific expenditures and cost recovery. The Board's sunset bill includes all Board-requested legislative proposals mentioned above and addresses concerns raised by stakeholders during the sunset process.

Response to COVID-19

Due to the COVID-19 pandemic, the Board and its Multidisciplinary Advisory Committee evaluated existing requirements to determine what, if any, requirements should be amended to increase access to veterinary care through electronic means while still adequately protecting consumers. Stakeholders were invited to and participated in six public meetings to assist this evaluation. The Board recognized that the ability to provide veterinary care through electronic means is a valuable tool in many situations and for all populations.

The pandemic further highlighted the critical issues regarding access to veterinary care. Access to care afforded by electronic veterinary services is particularly important for underserved populations. Ultimately, the meetings led to a Board-approved electronic veterinary services legislative proposal. The Board also created an Access to Veterinary Care Committee to collaborate with stakeholders on ways to increase care to all Californians.

In addition, the pandemic led to the Board transitioning all meetings to a virtual platform, saving the Board over \$40,000 in travel costs.

Operational Efficiency

With the assistance of DCA's Office of Information Services, the Board created and fully implemented an interface with the national examination vendor to receive electronic examination results for veterinarian and registered veterinary technicians directly into the BreEZe system. This eliminated all workload associated with manually entering individual examination scores. The Board also created and began posting full processing timelines on its website rather than the time it takes to initially start processing applications. This increased transparency by giving applicants complete and realistic expectations on how long it takes to receive a license. The Board also updated BreEZe to auto-assign applications to staff, streamlining the process and increasing accountability.

The Board launched its new continuing education audit program in January 2021, auditing 5% of renewals received each month. The Board also eliminated two generic licensing email accounts that previously confused stakeholders and led to some duplicated efforts.

Website Enhancements

The Board worked closely with DCA's Office of Public Affairs to make the Board's website more user-friendly and create two instructional videos for applicants applying for initial licensure.

NEW LEGISLATION

AB 1282 (Bloom, Chapter 752, Statutes of 2021) allows community-based animal blood banks to commercially sell animal blood from community donors. This bill expands the scope of actions constituting veterinary medicine to include the collection of blood from an animal for the purpose of transferring or selling that blood and blood component products, as defined, to a licensed veterinarian for use at a registered premises, except in certain circumstances. It authorizes the Board to establish a community-based animal blood bank registration, to be renewed annually, to cover the costs associated with oversight and inspection of community-based animal blood banks. It establishes specified safety procedures, such as veterinarian supervision and testing of the blood. This bill also requires both closed colony and community-based animal blood banks to submit quarterly reports to the Department of Food and Agriculture, which would subsequently be required to phase out licensing of closed colony blood banks within 18 months once the reports show that community-based blood banks are collecting an annual amount equal to the amount sold by closed colony blood banks in four consecutive quarters.

AB 1535 (Committee on Business and Professions, Chapter 631, Statutes of 2021) extends the sunset date of the Board from January 1, 2022, to January 1, 2026. Other notable provisions include: (1) removes the state-specific examinations for veterinarians and veterinary technicians; (2) removes temporary and intern veterinarian licenses; (3) removes the requirement for out-of-state licensees to take an in-person California-specific course for reciprocity purposes; (4) decreases fees by 36% for registered veterinary technicians; (5) prohibits a premises registration holder who is not a California-licensed veterinarian from interfering with, controlling, or otherwise directing the professional judgment of any California-licensed veterinarian or registered veterinary technician; (6) expands disclosure requirements for veterinary premises registrations; (7) allows the Board to deem applications abandoned after one year of inactivity; (8) renames the Diversion Evaluation Committee to the Wellness Evaluation Committee, and (9) exempts a person providing specified care to animals deposited at animal shelters from licensure requirements and exempts animal shelters from the veterinary premises registration requirement if those shelters are solely administering nonprescription vaccinations, nonprescription medications, and medications pursuant to a written treatment plan.

VETERINARY MEDICAL BOARD

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
VETERINARIAN FEES		
APPLICATION	\$350	\$350
STATE EXAM	\$350	\$350
LAW EXAM	\$100	\$100
INITIAL LICENSE	\$500	\$500
RENEWAL	\$500	\$500
VETERINARIAN TEMPORARY FEES		
LICENSE	\$250	\$250
VETERINARIAN INTERNSHIP FEES		
LICENSE	\$250	\$250
UNIVERSITY VETERINARIAN FEES		
INITIAL LICENSE	\$500	\$500
RENEWAL	\$500	\$500
REGISTERED VETERINARY TECHNICIAN FEES		
APPLICATION	\$350	\$350
INITIAL LICENSE	\$350	\$350
RENEWAL	\$350	\$350
VETERINARY ASSISTANT CONTROLLED SUBSTANCES PERMIT FEES		
APPLICATION	\$50	\$100
INITIAL LICENSE	\$50	-
RENEWAL	\$50	\$50
VETERINARY PREMISES FEES		
INITIAL LICENSE	\$400	\$400
RENEWAL	\$400	\$400

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
REGISTERED VETERINARY TECHNICIAN	993	748	3,620
VETERINARIAN	828	694	6,079
VETERINARIAN INTERN	38	20	N/A
VETERINARIAN TEMPORARY	91	62	N/A

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
VETERINARY ASSISTANT CONTROLLED SUBSTANCES PERMIT	1,889	1,508	1,935
VETERINARY PREMISES	360	345	3,522
UNIVERSITY VETERINARIAN LICENSE	25	23	39
TOTAL	4,224	3,400	15,195

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
REGISTERED VETERINARY TECHNICIAN	N/A	10,071	N/A
VETERINARIAN	N/A	15,400	N/A
VETERINARIAN INTERN	N/A	30	N/A
VETERINARIAN TEMPORARY	N/A	62	N/A
VETERINARY ASSISTANT CONTROLLED SUBSTANCES PERMIT	8,616	N/A	N/A
VETERINARY PREMISES	4,209	N/A	N/A
UNIVERSITY VETERINARIAN LICENSE	N/A	161	N/A
TOTAL	12,825	25,724	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
VETERINARIAN	EVERY 2 YEARS	36
REGISTERED VETERINARY TECHNICIAN	EVERY 2 YEARS	20

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
CALIFORNIA STATE BOARD	506	95	601
VETERINARY LAW EXAM	446	4	450

Summary of Enforcement Activity

Consumer Complaints—Intake	
1,499	RECEIVED
4	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,485	REFERRED FOR INVESTIGATION
29	PENDING

Conviction/Arrest Notification Complaints	
146	RECEIVED
3	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
141	REFERRED FOR INVESTIGATION
2	PENDING

Inspections	
50	CONDUCTED
0	CITATIONS ISSUED

Investigations	
1,626	OPENED
560	CLOSED
3,508	PENDING

Number of Days to Complete Intake and Investigations	
339	UP TO 90 DAYS
29	91 TO 180 DAYS
36	181 DAYS TO 1 YEAR
62	1 TO 2 YEARS
22	2 TO 3 YEARS
72	OVER 3 YEARS
309	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
16	ISSUED
16	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
1,581	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$38,004	ASSESSED
\$0	REDUCED
\$21,504	COLLECTED

Criminal/Civil Actions	
2	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
38	CASES OPENED/INITIATED
74	CASES CLOSED
53	CASES PENDING

Number of Days to Complete Attorney General Cases	
3	UP TO 1 YEAR
6	1 TO 2 YEARS
22	2 TO 3 YEARS
43	OVER 3 YEARS
1,288	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
10	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
2	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
7	REVOCATION
10	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
8	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS
26	TOTAL

Petition for Modification or Termination of Probation	
4	GRANTED
2	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
2	GRANTED
1	DENIED

Cost Recovery	
\$119,392	ORDERED
\$42,798.70	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$3,880	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$3,880	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
9	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
300	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
964	AVERAGE NUMBER OF DAYS



**BOARD OF VOCATIONAL NURSING
AND PSYCHIATRIC TECHNICIANS**

Licenses and regulates vocational nurses and psychiatric technicians.

www.bvnpt.ca.gov

STAFF:

72 civil servant positions
1 exempt

**LICENSES, REGISTRATIONS, PERMITS,
AND CERTIFICATES:**

141,262

BOARD MEMBERSHIP:

6 public representatives
5 licensees

BOARD STAFF:

Executive Officer: Elaine Yamaguchi
elaine.yamaguchi@dca.ca.gov

Assistant Executive Officer: Vicki Lyman
vicki.lyman@dca.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 2840–2895.5
and 4500-4548

California Code of Regulations, Division 25,
title 16, §§ 2500–25573.3 and 2560–2595.3

SUNSET REVIEW:

Last review: 2021 Next review: 2024

Board Highlights

RECIPROCITY

Pursuant to Business and Professions Code section 2872.1, the Board, upon receiving a written application and required fees, may issue a license to any applicant who possesses a valid unrevoked license as a vocational or practical nurse issued by any other state or foreign country, and who in the opinion of the Board meets all the other requirements.

Pursuant to Business and Professions Code section 4515, the Board, upon receiving a written application and required fees, may issue a license to any applicant who possesses a valid unrevoked license as a psychiatric technician issued by any other state or foreign country, and who in the opinion of the Board meets all the other requirements.

ACCOMPLISHMENTS

Strategic Plan

The Board reached several goals in its 2020–2025 Strategic Plan and continually reviews it to ensure it is on target for completion. For example, the Licensing Division continues implementing the Department of Consumer Affairs Organizational Improvement Office recommendation to ensure the quality and responsiveness of communication to licensees, applicants, and other stakeholders for better customer service. The number of incoming calls from the previous fiscal year dropped from 57,430 to 45,962, and the average time on hold dropped from 6.8 minutes to 3 minutes. The number of incoming calls answered increased from 80% to 92% in this same time period.

The Enforcement Division continues following the Strategic Plan recommendation of monitoring workflow and caseloads to ensure appropriate support and resources. Specifically, a team of enforcement managers reviewed and audited case aging in both the Intake and Enhanced Screening Unit (IESU) and the Licensee and Applicant Case Review Unit. They set and reached a goal of improving performance and lowered the average days to assign/close cases to four days on average from the previous year’s average of seven days. These changes resulted in an over 20% increase in case closures in the IESU and reduced case aging for the unit from an average of 191 days last fiscal year to 166 days in 2020–21.

One element of the Education Division’s Strategic Plan specifically addressed collaborating with partners to ensure schools are accountable. This became apparent during the COVID-19 pandemic when the Nursing Education Consultants (NECs) assisted programs with transitioning traditional classroom learning to online learning. Faced

with a loss of clinical sites shuttered by the pandemic, the NECs closely monitored programs to ensure students received the necessary educational foundation to be successful health care workers upon graduation.

Outreach

The Strategic Plan also focused on the Board communicating via various media channels on issues, regulations, and laws. During the pandemic, weekly posts to Facebook and Twitter reinforced the importance of wearing masks, physical distancing, and correctly washing hands. Posts also included inspirational messages to health care workers for their continued work and dedication. The Enforcement Division produced a trifold brochure titled “How an Arrest Affects Your License.” The brochure is available on the website and included in documents mailed to applicants and licensees as part of the enforcement process.

The Board continued public meetings via Webex during the pandemic. Public attendance and participation increased by 35%.

Important Meetings

During the pandemic, clinical sites closed to nursing students and programs moved to online teaching. At its February 2021 Board meeting, the Board hosted an information forum on simulation and clinical experience and invited a guest speaker from the National Council on State Boards of Nursing to present the national background and perspectives on clinical simulation. Other presenters addressed various teaching modalities and clinical experiences. This forum provided the program directors with a variety of proven instructional options.

Due to the direction provided at the sunset hearing relative to reducing the new program wait list, the Board hosted an informational forum at its May 2021 Board meeting and invited seven program directors to discuss the process and offer suggestions for success. The Board posted the draft documents to its website and encouraged public feedback on developing a new program approval process.

Precedential Decision

The Board adopted and published its first precedential decision December 1, 2020, relevant to licensed vocational nurse (LVN) scope of practice violations at a skin care salon. The LVN injected Botox, Juvederm, Kybella, and glutathione; performed platelet rich plasma therapy and vitamin drips; and performed skin growth removal procedures. All procedures were beyond the LVN scope of practice. The Board’s executive officer released an email statement to over 40,000 individuals on the Board’s Listserv. The statement/decision also was sent to chambers of commerce and business associations in Southern California, the Bay Area, and the Sacramento

region. The American Medical Association, Medical Spa Association, and other like-minded organizations were encouraged to disseminate the information to their members.

NEW LEGISLATION

AB 1536 (Committee on Business and Professions, Chapter 632, Statutes of 2021) is the sunset bill for the Board that extends their operations until January 1, 2025. Among other things, this bill makes changes to the Board’s school approval process by creating timelines that must be met by both the Board and the prospective school and allows the Board to charge application and approval fees. The bill also removes the Governor’s Office’s authority to appoint the executive officer and instead grants that authority to the Board. This bill also requires the Board to delegate the authority to issue default decisions and stipulated surrenders of license to its executive officer, and makes other various nonsubstantive, clarifying changes.

License Requirements*

License Requirements	Y/N
DEGREE/PROFESSIONAL SCHOOLING	Y
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	Y
EXAMINATION	Y
CONTINUING EDUCATION/COMPETENCY	Y
FINGERPRINT REQUIREMENT	Y

*Applicable to specific license types. Refer to laws and regulations for details.

Fees*

License Type	Actual Fee	Statutory Limit
VOCATIONAL NURSES		
INITIAL APPLICATION FOR LICENSURE BY EXAMINATION—GRADUATE OF AN APPROVED CA VN PROGRAM	\$220	\$300
INITIAL APPLICATION FOR LICENSURE BY EXAMINATION—QUALIFYING METHOD OTHER THAN ABOVE	\$225	\$300
APPLICATION FOR LICENSURE BY ENDORSEMENT FROM ANOTHER STATE	\$220	\$300
APPLICATION FOR RE-EXAMINATION	\$220	\$300
BIENNIAL RENEWAL OF A LICENSE	\$220	\$300
RENEWAL DELINQUENT FEE	\$110	\$150
INITIAL LICENSE FEE	\$220	\$300
DUPLICATE LICENSE FEE	\$25	\$50

License Type	Actual Fee	Statutory Limit
APPLICATION FOR VERIFICATION OF LICENSURE TO ANOTHER STATE	\$100	\$150
INTRAVENOUS THERAPY (IV), BLOOD WITHDRAWAL (BW), OR IV/BW COMBO CERTIFICATION	\$20	\$50
PSYCHIATRIC TECHNICIANS		
INITIAL APPLICATION FOR LICENSURE BY EXAMINATION—GRADUATE OF AN APPROVED CA PT PROGRAM	\$265	\$345
INITIAL APPLICATION FOR LICENSURE BY EXAMINATION—QUALIFYING METHOD OTHER THAN ABOVE	\$295	\$375
APPLICATION FOR LICENSURE BY ENDORSEMENT FROM ANOTHER STATE	\$220	\$300
APPLICATION FOR RE-EXAMINATION	\$265	\$345
BIENNIAL RENEWAL OF A LICENSE	\$220	\$300
RENEWAL DELINQUENT FEE	\$110	\$150
INITIAL LICENSE FEE	\$220	\$300
DUPLICATE LICENSE FEE	\$25	\$50
APPLICATION FOR VERIFICATION OF LICENSURE TO ANOTHER STATE	\$100	\$150
INTRAVENOUS THERAPY (IV), BLOOD WITHDRAWAL (BW), OR IV/BW COMBO CERTIFICATION	\$20	\$50
VOCATIONAL NURSES		
APPROVAL OF AN INTRAVENOUS (IV), BLOOD WITHDRAWAL (BW), OR IV WITH BW COURSE PROVIDER	\$150	\$250
CONTINUING APPROVAL OF AN INTRAVENOUS THERAPY (IV), BLOOD WITHDRAWAL (BW), OR IV WITH BW COURSE PROVIDER	\$150	\$250
APPROVAL OF A CONTINUING EDUCATION COURSE PROVIDER	\$150	\$250
CONTINUING APPROVAL OF A CONTINUING EDUCATION COURSE PROVIDER	\$150	\$250
PSYCHIATRIC TECHNICIANS		
APPROVAL OF AN INTRAVENOUS (IV), BLOOD WITHDRAWAL (BW), OR IV WITH BW COURSE PROVIDER	\$150	\$250
CONTINUING APPROVAL OF AN INTRAVENOUS THERAPY (IV), BLOOD WITHDRAWAL (BW), OR IV WITH BW COURSE PROVIDER	\$150	\$250
APPROVAL OF A CONTINUING EDUCATION COURSE PROVIDER	\$150	\$250
CONTINUING APPROVAL OF A CONTINUING EDUCATION COURSE PROVIDER	\$150	\$250

*Additional fees may be required. Refer to the laws and regulations for details.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PSYCHIATRIC TECHNICIAN (PT)	386	321	4,422
VOCATIONAL NURSE (VN)	9,351	7,041	49,621
TOTAL	9,737	7,362	54,043

* Issued one time per licensee

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PSYCHIATRIC TECHNICIAN (PT)	0	11,172	0
VOCATIONAL NURSE (VN)	0	130,090	0
TOTAL	0	141,262	0

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF CE HOURS REQUIRED EACH CYCLE
VOCATIONAL NURSE	EVERY 2 YEARS	30 HOURS
PSYCHIATRIC TECHNICIAN	EVERY 2 YEARS	30 HOURS

Exams Results			
EXAM TITLE	PASS	FAIL	TOTAL
NCLEX	6,261	4,778	11,039
PTE	343	247	600

Summary of Enforcement Activity

Consumer Complaints—Intake	
1,015	RECEIVED
378	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
658	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
1,135	RECEIVED
23	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,085	REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
0	CONDUCTED
0	CITATIONS ISSUED

BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS

Investigations	
1,743	OPENED
1,907	CLOSED
1,046	PENDING

Number of Days to Complete Intake and Investigations	
622	UP TO 90 DAYS
334	91 TO 180 DAYS
448	181 DAYS TO 1 YEAR
378	1 TO 2 YEARS
105	2 TO 3 YEARS
20	OVER 3 YEARS
258	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
147	ISSUED
147	ISSUED WITH A FINE
1	WITHDRAWN
0	DISMISSED
408	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$103,033	ASSESSED
\$3,503	REDUCED
\$86,373	COLLECTED

Criminal/Civil Actions	
222	REFERRALS FOR CRIMINAL/CIVIL ACTION
115	CRIMINAL ACTIONS FILED
107	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
222	CASES OPENED/INITIATED
222	CASES CLOSED
164	CASES PENDING

Number of Days to Complete Attorney General Cases	
26	UP TO 1 YEAR
75	1 TO 2 YEARS
59	2 TO 3 YEARS
62	OVER 3 YEARS
842	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
10	STATEMENTS OF ISSUES FILED
174	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
3	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
97	REVOCAION
44	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
70	PROBATION ONLY
7	PUBLIC REPRIMAND
4	OTHER DECISIONS
222	TOTAL

Petition for Modification or Termination of Probation	
4	GRANTED
3	DENIED

Petition for Reinstatement of Revoked License/Registration/Certification	
42	GRANTED
17	DENIED

Cost Recovery	
\$982,499.39	ORDERED
\$30,191.12	COLLECTED

Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS/RETURNS/EXCHANGES
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Investigation Assignment	
3	AVERAGE NUMBER OF DAYS

Start of Investigation to Investigation Closure	
258	AVERAGE NUMBER OF DAYS

Closure of Investigation to Imposing Formal Discipline	
326	AVERAGE NUMBER OF DAYS

Assembly Bill 2138 Report

The following data is provided in compliance with Business and Professions Code sections 480(g)(2) and 480(g)(3), which require all boards under the Department of Consumer Affairs (other than the California State Athletic Commission and the Bureau for Private Postsecondary Education) to annually report the following information:

- (A) The number of applicants with a criminal record who received notice of denial or disqualification of licensure.
- (B) The number of applicants with a criminal record who provided evidence of mitigation or rehabilitation.
- (C) The number of applicants with a criminal record who appealed any denial or disqualification of licensure.
- (D) The final disposition and demographic information, consisting of voluntarily provided information on race or gender, of any applicant described in subparagraph (A), (B), or (C).

**ASSEMBLY BILL 2138
ACCOUNTANCY, BOARD OF**

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome		Applicants who Appealed Any Denial or Disqualification of Licensure		Outcome		
					Denial	Withdrawn	Denial	Withdrawn	Issued	Withdrawn	
CPA	3,577	1	0	1	0	1	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure
Male	0	1	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0	0
Indonesian	0	0	0	0
Japanese	0	0	0	0
Korean	0	0	0	0
Laotian	0	0	0	0
Malaysian	0	0	0	0
Pakistani	0	0	0	0
Singaporean	0	0	0	0
Thai	0	0	0	0
Vietnamese	0	0	0	0
Other Asian	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0
Fijian	0	0	0	0
Filipino	0	0	0	0
Guamanian	0	0	0	0
Hawaiian	0	0	0	0
Samoa	0	0	0	0
Tongan	0	0	0	0
Other Pacific Islander	0	0	0	0
Other Not Listed Above	0	1	0	0

ASSEMBLY BILL 2138

ACUPUNCTURE, BOARD OF

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome		Applicants who Appealed Any Denial or Disqualification of Licensure		Outcome	
					Denial	Withdrawn	Denial	Withdrawn	Issued	Withdrawn
Licensed Acupuncturist	296	11	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure	Outcome
Indian	0	0	0	Indian	0	0	0	0
Indonesian	0	0	0	Indonesian	0	0	0	0
Japanese	0	0	0	Japanese	0	0	0	0
Korean	0	0	0	Korean	0	0	0	0
Laotian	0	0	0	Laotian	0	0	0	0
Malaysian	0	0	0	Malaysian	0	0	0	0
Pakistani	0	0	0	Pakistani	0	0	0	0
Singaporean	0	0	0	Singaporean	0	0	0	0
Thai	0	0	0	Thai	0	0	0	0
Vietnamese	0	0	0	Vietnamese	0	0	0	0
Other Asian	0	0	0	Other Asian	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	Native Hawaiian/Pacific Islander	0	0	0	0
Fijian	0	0	0	Fijian	0	0	0	0
Filipino	0	0	0	Filipino	0	0	0	0
Guamanian	0	0	0	Guamanian	0	0	0	0
Hawaiian	0	0	0	Hawaiian	0	0	0	0
Samoa	0	0	0	Samoa	0	0	0	0
Tongan	0	0	0	Tongan	0	0	0	0
Other Pacific Islander	0	0	0	Other Pacific Islander	0	0	0	0
Other Not Listed Above	0	0	0	Other Not Listed Above	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure
Male	0	0	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

ASSEMBLY BILL 2138

ARCHITECTS BOARD, CALIFORNIA

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure			Outcome			
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	
Architect	611	0	0	0	0	0	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0	0	0	0	0
Indonesian	0	0	0	0	0	0	0
Japanese	0	0	0	0	0	0	0
Korean	0	0	0	0	0	0	0
Laotian	0	0	0	0	0	0	0
Malaysian	0	0	0	0	0	0	0
Pakistani	0	0	0	0	0	0	0
Singaporean	0	0	0	0	0	0	0
Thai	0	0	0	0	0	0	0
Vietnamese	0	0	0	0	0	0	0
Other Asian	0	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0	0	0
Fijian	0	0	0	0	0	0	0
Filipino	0	0	0	0	0	0	0
Guamanian	0	0	0	0	0	0	0
Hawaiian	0	0	0	0	0	0	0
Samoaan	0	0	0	0	0	0	0
Tongan	0	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0	0
Female	0	0	0	0
Nonbinary	0	0	0	0
Decline to State	0	0	0	0
African-American/Black/African-born	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0
Latino/Hispanic	0	0	0	0
Central American	0	0	0	0
Puerto Rican	0	0	0	0
Cuban	0	0	0	0
South American	0	0	0	0
Mexican	0	0	0	0
Other Hispanic	0	0	0	0
Asian	0	0	0	0
Cambodian	0	0	0	0
Chinese	0	0	0	0
Hmong	0	0	0	0

**ASSEMBLY BILL 2138
AUTOMOTIVE REPAIR, BUREAU OF**

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Auto Repair Dealer	3,233	32	2	113	2	108	0	0	0	0
Brake and Lamp Adjuster	1,235	14	0	19	0	16	0	0	0	0
Smog Check Inspector	1,327	28	1	83	1	75	0	1	0	0
Smog Check Repair Technician	613	11	0	43	2	37	0	0	0	0
Smog Check Repair Only Station	5	0	0	0	0	0	0	0	0	0
Smog Check Test Only Station	240	2	0	11	0	10	0	0	0	0
Smog Check Test and Repair Station	351	3	0	15	1	12	0	0	0	0
Brake and Lamp Station	182	2	0	14	0	12	0	0	0	0
STAR Station Certification	495	0	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	18	0
Female	0	2	0
Nonbinary	0	0	0
Decline to State	0	1	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	1	0
Caucasian/White European/Middle Eastern	0	10	0
Latino/Hispanic	0	4	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	2	0
Other Hispanic	0	0	0
Asian	0	1	0
Cambodian	0	0	0
Chinese	0	1	0
Hmong	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	2	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoa	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	1	0

ASSEMBLY BILL 2138

BARBERING AND COSMETOLOGY, BOARD OF

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure			Outcome			
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	
Barber	3,887	96	3	0	0	0	0	0	0	0	0	0	0	0
Barber Apprentice	1,053	36	0	0	0	0	0	0	0	0	0	0	0	0
Cosmetologist	9,794	79	0	0	0	0	0	0	0	0	0	0	0	0
Cosmetologist Apprentice	656	3	0	0	0	0	0	0	0	0	0	0	0	0
Electrologist	65	1	0	0	0	0	0	0	0	0	0	0	0	0
Electrologist Apprentice	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Establishment	6,948	1	1	0	0	0	0	0	0	0	0	0	0	0
Esthetician	8,186	82	0	0	0	0	0	0	0	0	0	0	0	0
Manicurist	6,210	28	0	0	0	0	0	0	0	0	0	0	0	0
Mobile Unit	22	0	0	0	0	0	0	0	0	0	0	0	0	0
Schools	6	0	0	0	0	0	0	0	0	0	0	0	0	0
Sponsor	1	0	0	0	0	0	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoa	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

ASSEMBLY BILL 2138 BEHAVIORAL SCIENCES, BOARD OF											
License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome		Applicants who Appealed Any Denial or Disqualification of Licensure		Outcome		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	
Licensed Marriage and Family Therapists	2,875	373	13	173	3	170	0	6	1	3	2
Licensed Clinical Social Worker	3,417	451	20	231	2	228	1	6	1	1	4
Licensed Educational Psychologist	103	18	0	6	0	0	0	0	0	0	0
Licensed Professional Clinical Counselor	1,276	127	3	71	0	71	0	2	0	2	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0	0	0	0	0
Indonesian	0	0	0	0	0	0	0
Japanese	0	0	0	0	0	0	0
Korean	0	0	0	0	0	0	0
Laotian	0	0	0	0	0	0	0
Malaysian	0	0	0	0	0	0	0
Pakistani	0	0	0	0	0	0	0
Singaporean	0	0	0	0	0	0	0
Thai	0	0	0	0	0	0	0
Vietnamese	0	0	0	0	0	0	0
Other Asian	0	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0	0	0
Fijian	0	0	0	0	0	0	0
Filipino	0	0	0	0	0	0	0
Guamanian	0	0	0	0	0	0	0
Hawaiian	0	0	0	0	0	0	0
Samoa	0	0	0	0	0	0	0
Tongan	0	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0	0
Female	0	0	0	0
Nonbinary	0	0	0	0
Decline to State	0	0	0	0
African-American/Black/African-born	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0
Latino/Hispanic	0	0	0	0
Central American	0	0	0	0
Puerto Rican	0	0	0	0
Cuban	0	0	0	0
South American	0	0	0	0
Mexican	0	0	0	0
Other Hispanic	0	0	0	0
Asian	0	0	0	0
Cambodian	0	0	0	0
Chinese	0	0	0	0
Hmong	0	0	0	0

ASSEMBLY BILL 2138 CEMETERY AND FUNERAL BUREAU											
License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure	Outcome		
					Denial	Issued	Withdrawn		Denial	Issued	Withdrawn
Apprentice Embalmers	221	0	0	0	0	0	0	0	0	0	0
Cemetery Broker Branch	15	0	0	0	0	0	0	0	0	0	0
Cemetery Broker/Additional Broker	26	0	0	0	0	0	0	0	0	0	0
Cemetery Manager	46	0	0	0	0	0	0	0	0	0	0
Cemetery Salesperson	774	0	0	12	0	12	0	0	0	0	0
Certificate Of Authority (Cemetery)	7	0	0	0	0	0	0	0	0	0	0
Cremated Remains Disposer*	20	0	0	0	0	0	0	0	0	0	0
Crematory	11	0	0	0	0	0	0	0	0	0	0
Crematory Manager	94	0	0	1	0	1	0	0	1	0	0
Embalmer	46	0	0	0	0	0	0	0	0	0	0
Funeral Director	235	0	0	1	0	1	0	0	1	0	0
Funeral Establishment	43	0	0	0	0	0	0	0	0	0	0
Hydrolysis Facility	1	0	0	0	0	0	0	0	0	0	0

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ASSEMBLY BILL 2138
CEMETERY AND FUNERAL BUREAU (Continued)

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoa	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	2	0
Female	0	1	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	2	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	1	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

ASSEMBLY BILL 2138

CHIROPRACTIC EXAMINERS, BOARD OF

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Outcome		Outcome		
					Denial	Withdrawn	Denial	Withdrawn	
Doctor of Chiropractic-Initial License	221	22	1	22	1	21	0	1	0

Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Appealed Any Denial or Disqualification of Licensure	Outcome
Indian	0	0	0	0	0	0
Indonesian	0	0	0	0	0	0
Japanese	0	0	0	0	0	0
Korean	0	0	0	0	0	0
Laotian	0	0	0	0	0	0
Malaysian	0	0	0	0	0	0
Pakistani	0	0	0	0	0	0
Singaporean	0	0	0	0	0	0
Thai	0	0	0	0	0	0
Vietnamese	0	0	0	0	0	0
Other Asian	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0	0
Fijian	0	0	0	0	0	0
Filipino	0	0	0	0	0	0
Guamanian	0	0	0	0	0	0
Hawaiian	0	0	0	0	0	0
Samoa	0	0	0	0	0	0
Tongan	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	0	0

Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

ASSEMBLY BILL 2138

CONTRACTORS STATE LICENSE BOARD

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome		Applicants who Appealed Any Denial or Disqualification of Licensure		Outcome	
					Denial	Withdrawn	Denial	Withdrawn	Issued	Withdrawn
Contractor	22,190	6,817	10	10	5	0	8	5	0	0
Home Improvement Salesperson	11,653	2,333	6	6	3	0	3	3	0	0
Personnel Change	2,059	286	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoaan	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

ASSEMBLY BILL 2138

COURT REPORTERS BOARD OF CALIFORNIA

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Certified Shorthand Reporter	39	0	0	0	0	0	0	0	0	0

Demographic Information	Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Appealed Any Denial or Disqualification of Licensure	Applications who Appealed Any Denial or Disqualification of Licensure
Indian	Indian	0	0	0	0	0	0
Indonesian	Indonesian	0	0	0	0	0	0
Japanese	Japanese	0	0	0	0	0	0
Korean	Korean	0	0	0	0	0	0
Laotian	Laotian	0	0	0	0	0	0
Malaysian	Malaysian	0	0	0	0	0	0
Pakistani	Pakistani	0	0	0	0	0	0
Singaporean	Singaporean	0	0	0	0	0	0
Thai	Thai	0	0	0	0	0	0
Vietnamese	Vietnamese	0	0	0	0	0	0
Other Asian	Other Asian	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	Native Hawaiian/Pacific Islander	0	0	0	0	0	0
Fijian	Fijian	0	0	0	0	0	0
Filipino	Filipino	0	0	0	0	0	0
Guamanian	Guamanian	0	0	0	0	0	0
Hawaiian	Hawaiian	0	0	0	0	0	0
Samoan	Samoan	0	0	0	0	0	0
Tongan	Tongan	0	0	0	0	0	0
Other Pacific Islander	Other Pacific Islander	0	0	0	0	0	0
Other Not Listed Above	Other Not Listed Above	0	0	0	0	0	0

Demographic Information	Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Appealed Any Denial or Disqualification of Licensure	Applications who Appealed Any Denial or Disqualification of Licensure
Male	Male	0	0	0	0	0	0
Female	Female	0	0	0	0	0	0
Nonbinary	Nonbinary	0	0	0	0	0	0
Decline to State	Decline to State	0	0	0	0	0	0
African-American/Black/African-born	African-American/Black/African-born	0	0	0	0	0	0
American Indian/Native American/Alaskan Native	American Indian/Native American/Alaskan Native	0	0	0	0	0	0
Caucasian/White European/Middle Eastern	Caucasian/White European/Middle Eastern	0	0	0	0	0	0
Latino/Hispanic	Latino/Hispanic	0	0	0	0	0	0
Central American	Central American	0	0	0	0	0	0
Puerto Rican	Puerto Rican	0	0	0	0	0	0
Cuban	Cuban	0	0	0	0	0	0
South American	South American	0	0	0	0	0	0
Mexican	Mexican	0	0	0	0	0	0
Other Hispanic	Other Hispanic	0	0	0	0	0	0
Asian	Asian	0	0	0	0	0	0
Cambodian	Cambodian	0	0	0	0	0	0
Chinese	Chinese	0	0	0	0	0	0
Hmong	Hmong	0	0	0	0	0	0

ASSEMBLY BILL 2138
DENTAL BOARD OF CALIFORNIA

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Dentist (DDS) License	2,014	11	0	0	0	0	0	0	0	0
Special Permit	5	0	0	0	0	0	0	0	0	0
Oral Maxillofacial Surgery Permit	3	0	0	0	0	0	0	0	0	0
Registered Dental Assistant (RDA)	2,489	115	7	1	7	0	0	0	7	0
Registered Dental Assistant in Extended Functions (RDAEF)	135	1	0	0	0	0	0	0	0	0
Orthodontic Assistant (OA)	243	14	1	0	1	0	0	0	1	0
Dental Sedation Assistant (DSA)	8	0	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0
Female	0	1	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	1	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoa	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

ASSEMBLY BILL 2138

DENTAL HYGIENE BOARD OF CALIFORNIA

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure			
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	
Registered Dental Hygienist	960	9	0	6	0	0	0	0	0	0	0
Registered Dental Hygienist In Alternative Practice	90	0	0	0	0	0	0	0	0	0	0
Registered Dental Hygienist In Extended Functions	0	0	0	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure	Applicants who Received Notice of Denial or Disqualification of Licensure	Demographic Information	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indonesian	0	0	0	0	0	0	
Japanese	0	0	0	0	0	0	
Korean	0	0	0	0	0	0	
Lactian	0	0	0	0	0	0	
Malaysian	0	0	0	0	0	0	
Pakistani	0	0	0	0	0	0	
Singaporean	0	0	0	0	0	0	
Thai	0	0	0	0	0	0	
Vietnamese	0	0	0	0	0	0	
Other Asian	0	0	0	0	0	0	
Native Hawaiian/Pacific Islander	0	0	0	0	0	0	
Fijian	0	0	0	0	0	0	
Filipino	0	0	0	0	0	0	
Guamanian	0	0	0	0	0	0	
Hawaiian	0	0	0	0	0	0	
Samoan	0	0	0	0	0	0	
Tongan	0	0	0	0	0	0	
Other Pacific Islander	0	0	0	0	0	0	
Other Not Listed Above	0	0	0	0	0	0	

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure	Applicants who Received Notice of Denial or Disqualification of Licensure	Demographic Information	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Female	0	0	0	0	0	0	
Nonbinary	0	0	0	0	0	0	
Decline to State	0	0	0	0	0	0	
African-American/Black/African-born	0	0	0	0	0	0	
American Indian/Native American/Alaskan Native	0	0	0	0	0	0	
Caucasian/White European/Middle Eastern	0	0	0	0	0	0	
Latino/Hispanic	0	0	0	0	0	0	
Central American	0	0	0	0	0	0	
Puerto Rican	0	0	0	0	0	0	
Cuban	0	0	0	0	0	0	
South American	0	0	0	0	0	0	
Mexican	0	0	0	0	0	0	
Other Hispanic	0	0	0	0	0	0	
Asian	0	0	0	0	0	0	
Cambodian	0	0	0	0	0	0	
Chinese	0	0	0	0	0	0	
Hmong	0	0	0	0	0	0	

ASSEMBLY BILL 2138											
HOUSEHOLD GOODS AND SERVICES, BUREAU OF											
License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome			
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	
Appliance Service Dealer	392	12	0	0	0	0	0	0	0	0	0
Combination Service Dealer	16	0	0	0	0	0	0	0	0	0	0
Electronic Service Dealer	196	13	0	0	0	0	0	0	0	0	0
Service Contract Administrator	0	0	0	0	0	0	0	0	0	0	0
Service Contract Seller	272	4	0	0	0	0	0	0	0	0	0
Bedding Retailer	2	0	0	0	0	0	0	0	0	0	0
Custom Upholsterer	49	0	0	0	0	0	0	0	0	0	0
Furniture and Bedding Manufacturer	137	0	0	0	0	0	0	0	0	0	0
Furniture and Bedding Retailer	260	9	0	0	0	0	0	0	0	0	0
Furniture and Bedding Wholesaler	19	0	0	0	0	0	0	0	0	0	0
Furniture Retailer	182	0	0	0	0	0	0	0	0	0	0
Importer	823	0	0	0	0	0	0	0	0	0	0
Sanitizer	0	0	0	0	0	0	0	0	0	0	0
Supply Dealer	3	0	0	0	0	0	0	0	0	0	0
Thermal Insulation Manufacturer	5	0	0	0	0	0	0	0	0	0	0
Household Mover Permit	209	65	0	0	0	0	0	0	0	0	0

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ASSEMBLY BILL 2138
HOUSEHOLD GOODS AND SERVICES, BUREAU OF (Continued)

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoaan	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

ASSEMBLY BILL 2138

LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Landscape Architect	85	6	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Indian	0	0	0	0	0	0	0	0	0	0
Indonesian	0	0	0	0	0	0	0	0	0	0
Japanese	0	0	0	0	0	0	0	0	0	0
Korean	0	0	0	0	0	0	0	0	0	0
Laotian	0	0	0	0	0	0	0	0	0	0
Malaysian	0	0	0	0	0	0	0	0	0	0
Pakistani	0	0	0	0	0	0	0	0	0	0
Singaporean	0	0	0	0	0	0	0	0	0	0
Thai	0	0	0	0	0	0	0	0	0	0
Vietnamese	0	0	0	0	0	0	0	0	0	0
Other Asian	0	0	0	0	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0	0	0	0	0	0
Fijian	0	0	0	0	0	0	0	0	0	0
Filipino	0	0	0	0	0	0	0	0	0	0
Guamanian	0	0	0	0	0	0	0	0	0	0
Hawaiian	0	0	0	0	0	0	0	0	0	0
Samoa	0	0	0	0	0	0	0	0	0	0
Tongan	0	0	0	0	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Male	0	0	0	0	0	0	0	0	0	0
Female	0	0	0	0	0	0	0	0	0	0
Nonbinary	0	0	0	0	0	0	0	0	0	0
Decline to State	0	0	0	0	0	0	0	0	0	0
African-American/Black/African-born	0	0	0	0	0	0	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0	0	0	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0	0	0	0	0	0	0
Latino/Hispanic	0	0	0	0	0	0	0	0	0	0
Central American	0	0	0	0	0	0	0	0	0	0
Puerto Rican	0	0	0	0	0	0	0	0	0	0
Cuban	0	0	0	0	0	0	0	0	0	0
South American	0	0	0	0	0	0	0	0	0	0
Mexican	0	0	0	0	0	0	0	0	0	0
Other Hispanic	0	0	0	0	0	0	0	0	0	0
Asian	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0
Chinese	0	0	0	0	0	0	0	0	0	0
Hmong	0	0	0	0	0	0	0	0	0	0

ASSEMBLY BILL 2138

MEDICAL BOARD OF CALIFORNIA

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome			
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	
Physician and Surgeon	4,699	13	0	12	0	9	0	0	0	0	0
Special Faculty Permit	1	0	0	0	0	0	0	0	0	0	0
Special Programs (Individual)	32	0	0	0	0	0	0	0	0	0	0
Licensed Midwife	44	0	0	0	0	0	0	0	0	0	0
Polysomnographic Trainee	9	0	0	0	0	0	0	0	0	0	0
Polysomnographic Technician	16	0	0	0	0	0	0	0	0	0	0
Polysomnographic Technologist	20	0	0	0	0	0	0	0	0	0	0
Research Psychoanalyst	9	0	0	0	0	0	0	0	0	0	0
Postgraduate Training License	3,099	10	0	8	0	9	0	0	0	0	0

Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoa	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

ASSEMBLY BILL 2138

NATUROPATHIC MEDICINE COMMITTEE

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Naturopathic Doctor's License	118	3	0	3	0	3	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation
Male	0	1	0	0	Indian	0	0	0	0
Female	0	2	0	0	Indonesian	0	0	0	0
Nonbinary	0	0	0	0	Japanese	0	0	0	0
Decline to State	0	0	0	0	Korean	0	0	0	0
African-American/Black/African-born	0	0	0	0	Lactian	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0	Malaysian	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0	Pakistani	0	0	0	0
Latino/Hispanic	0	0	0	0	Singaporean	0	0	0	0
Central American	0	0	0	0	Thai	0	0	0	0
Puerto Rican	0	0	0	0	Vietnamese	0	0	0	0
Cuban	0	0	0	0	Other Asian	0	0	0	0
South American	0	0	0	0	Native Hawaiian/Pacific Islander	0	0	0	0
Mexican	0	0	0	0	Fijian	0	0	0	0
Other Hispanic	0	0	0	0	Filipino	0	0	0	0
Asian	0	0	0	0	Guamanian	0	0	0	0
Cambodian	0	0	0	0	Hawaiian	0	0	0	0
Chinese	0	0	0	0	Samoa	0	0	0	0
Hmong	0	0	0	0	Tongan	0	0	0	0
					Other Pacific Islander	0	0	0	0
					Other Not Listed Above	0	0	0	0

ASSEMBLY BILL 2138

OCCUPATIONAL THERAPY, CALIFORNIA BOARD OF

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure			
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	
Occupational Therapist (OT)	1,550	25	0	0	0	0	0	0	0	0	0
Occupational Therapist Limited Permit (OTLP)	130	3	0	0	0	0	0	0	0	0	0
Occupational Therapy Assistant (OTA)	427	26	0	0	0	0	0	0	0	0	0
Occupational Therapy Assistant Limited Permit (OTLAP)	18	0	0	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indonesian	0	0	0	0	0	0
Japanese	0	0	0	0	0	0
Korean	0	0	0	0	0	0
Laotian	0	0	0	0	0	0
Malaysian	0	0	0	0	0	0
Pakistani	0	0	0	0	0	0
Singaporean	0	0	0	0	0	0
Thai	0	0	0	0	0	0
Vietnamese	0	0	0	0	0	0
Other Asian	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0	0
Fijian	0	0	0	0	0	0
Filipino	0	0	0	0	0	0
Guamanian	0	0	0	0	0	0
Hawaiian	0	0	0	0	0	0
Samoa	0	0	0	0	0	0
Tongan	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Female	0	0	0	0	0	0
Nonbinary	0	0	0	0	0	0
Decline to State	0	0	0	0	0	0
African-American/Black/African-born	0	0	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0	0	0
Latino/Hispanic	0	0	0	0	0	0
Central American	0	0	0	0	0	0
Puerto Rican	0	0	0	0	0	0
Cuban	0	0	0	0	0	0
South American	0	0	0	0	0	0
Mexican	0	0	0	0	0	0
Other Hispanic	0	0	0	0	0	0
Asian	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0
Chinese	0	0	0	0	0	0
Hmong	0	0	0	0	0	0

ASSEMBLY BILL 2138

OPTOMETRY, CALIFORNIA STATE BOARD OF

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome		Applicants who Appealed Any Denial or Disqualification of Licensure		Outcome	
					Denial	Withdrawn	Denial	Withdrawn	Issued	Withdrawn
Optometrist	358	1	0	0	0	0	0	0	0	0
Spectacle Lens Dispenser	342	29	0	0	0	0	0	0	0	0
Contact Lens Dispenser	66	3	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure
Indonesian	0	0	0	0	0	0
Japanese	0	0	0	0	0	0
Korean	0	0	0	0	0	0
Laotian	0	0	0	0	0	0
Malaysian	0	0	0	0	0	0
Pakistani	0	0	0	0	0	0
Singaporean	0	0	0	0	0	0
Thai	0	0	0	0	0	0
Vietnamese	0	0	0	0	0	0
Other Asian	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0	0
Fijian	0	0	0	0	0	0
Filipino	0	0	0	0	0	0
Guamanian	0	0	0	0	0	0
Hawaiian	0	0	0	0	0	0
Samoa	0	0	0	0	0	0
Tongan	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure
Female	0	0	0	0	0	0
Nonbinary	0	0	0	0	0	0
Decline to State	0	0	0	0	0	0
African-American/Black/African-born	0	0	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0	0	0
Latino/Hispanic	0	0	0	0	0	0
Central American	0	0	0	0	0	0
Puerto Rican	0	0	0	0	0	0
Cuban	0	0	0	0	0	0
South American	0	0	0	0	0	0
Mexican	0	0	0	0	0	0
Other Hispanic	0	0	0	0	0	0
Asian	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0
Chinese	0	0	0	0	0	0
Hmong	0	0	0	0	0	0

ASSEMBLY BILL 2138

OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Osteopathic Physician and Surgeon	696	22	0	0	0	0	0	0	0	0
Postgraduate Training License	582	9	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoa	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

**ASSEMBLY BILL 2138
PHARMACY, CALIFORNIA STATE BOARD OF**

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome			
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	
Pharmacy Technician (TCH)	4,706	347	8	12	0	5	0	0	0	0	0
Pharmacist Exam (RPH)	2,303	111	4	1	0	0	0	0	0	0	1*
Intern Pharmacist (INT)	1,650	35	2	1	0	1	0	0	0	0	0
Designative Representative (EXC)	427	43	0	2	0	2	0	0	0	0	0
Designative Representative-VET (EXV)	5	0	0	0	0	0	0	0	0	0	0
Designative Representative -3PL (DRL)	106	10	0	0	0	0	0	0	0	0	0
Designative Representative-Reverse Distributor (DRR)	3	0	0	0	0	0	0	0	0	0	0
Designative Paramedic (DPM)	0	0	0	0	0	0	0	0	0	0	0
Pharmacist Retake Exam Applications	1,649	0	0	0	0	0	0	0	0	0	0
Pharmacist Initial License Applications	1,954	0	0	0	0	0	0	0	0	0	0
Advanced Practice Pharmacist (APH)	173	22	0	0	0	0	0	0	0	0	0

*Appeal withdrawn by applicant

Continued on next page

ASSEMBLY BILL 2138

PHARMACY, CALIFORNIA STATE BOARD OF (Continued)

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Lactian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoaan	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

ASSEMBLY BILL 2138

PHYSICAL THERAPY BOARD OF CALIFORNIA

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome		Applicants who Appealed Any Denial or Disqualification of Licensure		Outcome	
					Denial	Withdrawn	Denial	Withdrawn	Issued	Withdrawn
Physical Therapist	1968	19	2	13	0	10	2	2	0	1
Physical Therapist Assistant	725	26	0	15	0	14	1	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Demographic Information		Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
					Denial	Withdrawn			
Indian	0	0	0	0	0	0	0	0	0
Indonesian	0	0	0	0	0	0	0	0	0
Japanese	0	0	0	0	0	0	0	0	0
Korean	0	0	0	0	0	0	0	0	0
Lactian	0	0	0	0	0	0	0	0	0
Malaysian	0	0	0	0	0	0	0	0	0
Pakistani	0	0	0	0	0	0	0	0	0
Singaporean	0	0	0	0	0	0	0	0	0
Thai	0	0	0	0	0	0	0	0	0
Vietnamese	0	0	0	0	0	0	0	0	0
Other Asian	0	0	0	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0	0	0	0	0
Fijian	0	0	0	0	0	0	0	0	0
Filipino	0	0	0	0	0	0	0	0	0
Guamanian	0	0	0	0	0	0	0	0	0
Hawaiian	0	0	0	0	0	0	0	0	0
Samoaan	0	0	0	0	0	0	0	0	0
Tongan	0	0	0	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure
Female	0	0	0	0	0	0	0
Nonbinary	0	0	0	0	0	0	0
Decline to State	0	0	0	0	0	0	0
African-American/Black/African-born	0	0	0	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0	0	0	0
Latino/Hispanic	0	0	0	0	0	0	0
Central American	0	0	0	0	0	0	0
Puerto Rican	0	0	0	0	0	0	0
Cuban	0	0	0	0	0	0	0
South American	0	0	0	0	0	0	0
Mexican	0	0	0	0	0	0	0
Other Hispanic	0	0	0	0	0	0	0
Asian	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0
Chinese	0	0	0	0	0	0	0
Hmong	0	0	0	0	0	0	0

**ASSEMBLY BILL 2138
PHYSICIAN ASSISTANT BOARD**

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Physician Assistant	1,630	17	2	17	0	17	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoa	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

ASSEMBLY BILL 2138

PODIATRIC MEDICAL BOARD OF CALIFORNIA

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Resident License	48	0	0	0	0	0	0	0	0	0
Permanent License	108	0	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Demographic Information			Demographic Information		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Indian	0	0	0	0	0	0	0	0	0	0
Indonesian	0	0	0	0	0	0	0	0	0	0
Japanese	0	0	0	0	0	0	0	0	0	0
Korean	0	0	0	0	0	0	0	0	0	0
Laotian	0	0	0	0	0	0	0	0	0	0
Malaysian	0	0	0	0	0	0	0	0	0	0
Pakistani	0	0	0	0	0	0	0	0	0	0
Singaporean	0	0	0	0	0	0	0	0	0	0
Thai	0	0	0	0	0	0	0	0	0	0
Vietnamese	0	0	0	0	0	0	0	0	0	0
Other Asian	0	0	0	0	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0	0	0	0	0	0
Fijian	0	0	0	0	0	0	0	0	0	0
Filipino	0	0	0	0	0	0	0	0	0	0
Guamanian	0	0	0	0	0	0	0	0	0	0
Hawaiian	0	0	0	0	0	0	0	0	0	0
Samoa	0	0	0	0	0	0	0	0	0	0
Tongan	0	0	0	0	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Demographic Information			Demographic Information		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Male	0	0	0	0	0	0	0	0	0	0
Female	0	0	0	0	0	0	0	0	0	0
Nonbinary	0	0	0	0	0	0	0	0	0	0
Decline to State	0	0	0	0	0	0	0	0	0	0
African-American/Black/African-born	0	0	0	0	0	0	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0	0	0	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0	0	0	0	0	0	0
Latino/Hispanic	0	0	0	0	0	0	0	0	0	0
Central American	0	0	0	0	0	0	0	0	0	0
Puerto Rican	0	0	0	0	0	0	0	0	0	0
Cuban	0	0	0	0	0	0	0	0	0	0
South American	0	0	0	0	0	0	0	0	0	0
Mexican	0	0	0	0	0	0	0	0	0	0
Other Hispanic	0	0	0	0	0	0	0	0	0	0
Asian	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0
Chinese	0	0	0	0	0	0	0	0	0	0
Hmong	0	0	0	0	0	0	0	0	0	0

ASSEMBLY BILL 2138										
PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR										
License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Agricultural Engineer	4	0	0	0	0	0	0	0	0	0
Certified Engineering Geologist	29	1	0	0	0	0	0	0	0	0
Certified Hydrogeologist	12	1	0	0	0	0	0	0	0	0
Chemical Engineer	45	1	0	0	0	0	0	0	0	0
Civil Engineer	1,143	84	0	3	0	2	0	0	0	0
Control Systems Engineer	28	4	0	1	0	1	0	0	0	0
Electrical Engineer	407	4	0	1	0	1	0	0	0	0
Engineer-In-Training	3,173	103	0	54	0	53	0	0	0	0
Fire Protection Engineer	55	4	0	1	0	1	0	0	0	0
Geologist-In-Training	180	23	0	0	0	0	0	0	0	0
Geologist	151	11	0	0	0	0	0	0	0	0
Geophysicist	9	0	0	0	0	0	0	0	0	0
Geotechnical Engineer	32	1	0	0	0	0	0	0	0	0
Industrial Engineer	6	0	0	0	0	0	0	0	0	0
Land Surveyor	77	15	0	0	0	0	0	0	0	0
Land Surveyor-In-Training	122	14	0	9	0	9	0	0	0	0
Mechanical Engineer	466	17	0	2	0	2	0	0	0	0
Metallurgical Engineer	10	0	0	0	0	0	0	0	0	0
Nuclear Engineer	1	0	0	0	0	0	0	0	0	0
Petroleum Engineer	11	0	0	0	0	0	0	0	0	0
Structural Engineer	178	4	0	0	0	0	0	0	0	0
Traffic Engineer	32	2	0	0	0	0	0	0	0	0

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PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR (Continued)

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	5	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	4	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	1	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	1	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoa	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

ASSEMBLY BILL 2138

PROFESSIONAL FIDUCIARIES BUREAU

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Professional Fiduciary License	94	0	0	0	0	0	0	0	0	0

Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Outcome	Outcome
Indian	0	0	0	0	Indian	0	0	0	0	0	0
Indonesian	0	0	0	0	Indonesian	0	0	0	0	0	0
Japanese	0	0	0	0	Japanese	0	0	0	0	0	0
Korean	0	0	0	0	Korean	0	0	0	0	0	0
Laotian	0	0	0	0	Laotian	0	0	0	0	0	0
Malaysian	0	0	0	0	Malaysian	0	0	0	0	0	0
Pakistani	0	0	0	0	Pakistani	0	0	0	0	0	0
Singaporean	0	0	0	0	Singaporean	0	0	0	0	0	0
Thai	0	0	0	0	Thai	0	0	0	0	0	0
Vietnamese	0	0	0	0	Vietnamese	0	0	0	0	0	0
Other Asian	0	0	0	0	Other Asian	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	Native Hawaiian/Pacific Islander	0	0	0	0	0	0
Fijian	0	0	0	0	Fijian	0	0	0	0	0	0
Filipino	0	0	0	0	Filipino	0	0	0	0	0	0
Guamanian	0	0	0	0	Guamanian	0	0	0	0	0	0
Hawaiian	0	0	0	0	Hawaiian	0	0	0	0	0	0
Samoa	0	0	0	0	Samoa	0	0	0	0	0	0
Tongan	0	0	0	0	Tongan	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	Other Pacific Islander	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	Other Not Listed Above	0	0	0	0	0	0

Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Outcome	Outcome
Male	0	0	0	0	Male	0	0	0	0	0	0
Female	0	0	0	0	Female	0	0	0	0	0	0
Nonbinary	0	0	0	0	Nonbinary	0	0	0	0	0	0
Decline to State	0	0	0	0	Decline to State	0	0	0	0	0	0
African-American/Black/African-born	0	0	0	0	African-American/Black/African-born	0	0	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0	American Indian/Native American/Alaskan Native	0	0	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0	Caucasian/White European/Middle Eastern	0	0	0	0	0	0
Latino/Hispanic	0	0	0	0	Latino/Hispanic	0	0	0	0	0	0
Central American	0	0	0	0	Central American	0	0	0	0	0	0
Puerto Rican	0	0	0	0	Puerto Rican	0	0	0	0	0	0
Cuban	0	0	0	0	Cuban	0	0	0	0	0	0
South American	0	0	0	0	South American	0	0	0	0	0	0
Mexican	0	0	0	0	Mexican	0	0	0	0	0	0
Other Hispanic	0	0	0	0	Other Hispanic	0	0	0	0	0	0
Asian	0	0	0	0	Asian	0	0	0	0	0	0
Cambodian	0	0	0	0	Cambodian	0	0	0	0	0	0
Chinese	0	0	0	0	Chinese	0	0	0	0	0	0
Hmong	0	0	0	0	Hmong	0	0	0	0	0	0

ASSEMBLY BILL 2138 PSYCHOLOGY, CALIFORNIA BOARD OF									
License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome	
					Denial	Issued	Withdrawn	Denial	Issued
Psychologist	2061	34	1	2	0	2	0	0	0
Psychological Assistant	832	50	2	5	2	3	0	1	0
Registered Psychologist	77	2	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure	Outcome		Applicants who Appealed Any Denial or Disqualification of Licensure
						Denial	Issued	
Indian	0	0	0	0	0	0	0	0
Indonesian	0	0	0	0	0	0	0	0
Japanese	0	0	0	0	0	0	0	0
Korean	0	0	0	0	0	0	0	0
Laotian	0	0	0	0	0	0	0	0
Malaysian	0	0	0	0	0	0	0	0
Pakistani	0	0	0	0	0	0	0	0
Singaporean	0	0	0	0	0	0	0	0
Thai	0	0	0	0	0	0	0	0
Vietnamese	0	0	0	0	0	0	0	0
Other Asian	0	0	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0	0	0	0
Fijian	0	0	0	0	0	0	0	0
Filipino	0	0	0	0	0	0	0	0
Guamanian	0	0	0	0	0	0	0	0
Hawaiian	0	0	0	0	0	0	0	0
Samoa	0	0	0	0	0	0	0	0
Tongan	0	0	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure	Outcome		Applicants who Appealed Any Denial or Disqualification of Licensure
						Denial	Issued	
Male	0	0	0	0	0	0	0	0
Female	0	0	0	0	0	0	0	0
Nonbinary	0	0	0	0	0	0	0	0
Decline to State	0	0	0	0	0	0	0	0
African-American/Black/African-born	0	0	0	0	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0	0	0	0	0
Latino/Hispanic	0	0	0	0	0	0	0	0
Central American	0	0	0	0	0	0	0	0
Puerto Rican	0	0	0	0	0	0	0	0
Cuban	0	0	0	0	0	0	0	0
South American	0	0	0	0	0	0	0	0
Mexican	0	0	0	0	0	0	0	0
Other Hispanic	0	0	0	0	0	0	0	0
Asian	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0
Chinese	0	0	0	0	0	0	0	0
Hmong	0	0	0	0	0	0	0	0

ASSEMBLY BILL 2138										
REAL ESTATE APPRAISERS, BUREAU OF										
License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Trainee (AT)	221	6	0	0	0	0	0	0	0	0
Residential (AL)	51	6	0	0	0	0	0	0	0	0
Certified Residential (AR)	53	7	0	0	0	0	0	0	0	0
Certified General (AG)	64	33	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indonesian	0	0	0	0	Indonesian	0	0	0	0	0
Japanese	0	0	0	0	Japanese	0	0	0	0	0
Korean	0	0	0	0	Korean	0	0	0	0	0
Laotian	0	0	0	0	Laotian	0	0	0	0	0
Malaysian	0	0	0	0	Malaysian	0	0	0	0	0
Pakistani	0	0	0	0	Pakistani	0	0	0	0	0
Singaporean	0	0	0	0	Singaporean	0	0	0	0	0
Thai	0	0	0	0	Thai	0	0	0	0	0
Vietnamese	0	0	0	0	Vietnamese	0	0	0	0	0
Other Asian	0	0	0	0	Other Asian	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	Native Hawaiian/Pacific Islander	0	0	0	0	0
Fijian	0	0	0	0	Fijian	0	0	0	0	0
Filipino	0	0	0	0	Filipino	0	0	0	0	0
Guamanian	0	0	0	0	Guamanian	0	0	0	0	0
Hawaiian	0	0	0	0	Hawaiian	0	0	0	0	0
Samoa	0	0	0	0	Samoa	0	0	0	0	0
Tongan	0	0	0	0	Tongan	0	0	0	0	0
Other Pacific Islander	0	0	0	0	Other Pacific Islander	0	0	0	0	0
Other Not Listed Above	0	0	0	0	Other Not Listed Above	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Female	0	0	0	0	Female	0	0	0	0	0
Nonbinary	0	0	0	0	Nonbinary	0	0	0	0	0
Decline to State	0	0	0	0	Decline to State	0	0	0	0	0
African-American/Black/African-born	0	0	0	0	African-American/Black/African-born	0	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0	American Indian/Native American/Alaskan Native	0	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0	Caucasian/White European/Middle Eastern	0	0	0	0	0
Latino/Hispanic	0	0	0	0	Latino/Hispanic	0	0	0	0	0
Central American	0	0	0	0	Central American	0	0	0	0	0
Puerto Rican	0	0	0	0	Puerto Rican	0	0	0	0	0
Cuban	0	0	0	0	Cuban	0	0	0	0	0
South American	0	0	0	0	South American	0	0	0	0	0
Mexican	0	0	0	0	Mexican	0	0	0	0	0
Other Hispanic	0	0	0	0	Other Hispanic	0	0	0	0	0
Asian	0	0	0	0	Asian	0	0	0	0	0
Cambodian	0	0	0	0	Cambodian	0	0	0	0	0
Chinese	0	0	0	0	Chinese	0	0	0	0	0
Hmong	0	0	0	0	Hmong	0	0	0	0	0

ASSEMBLY BILL 2138 REGISTERED NURSING, BOARD OF												
License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome		Applicants who Appealed Any Denial or Disqualification of Licensure		Outcome			
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn		
Clinical Nurse Specialist Certificate	94	0	0	0	0	0	0	0	0	0	0	0
Continuing Education Provider (BRN)	148	0	0	0	0	0	0	0	0	0	0	0
Nurse Anesthetist Certificate	235	0	0	0	0	0	0	0	0	0	0	0
Nurse Midwife Certificate	74	0	0	0	0	0	0	0	0	0	0	0
Nurse Midwife Furnishing Certificate	76	0	0	0	0	0	0	0	0	0	0	0
Nurse Practitioner Certificate	3,654	0	0	0	0	0	0	0	0	0	0	0
Nurse Practitioner Furnishing Certificate	3,176	0	0	0	0	0	0	0	0	0	0	0
Psychiatric Mental Health Certificate	71	0	0	0	0	0	0	0	0	0	0	0
Public Health Nurse Certificate	2,824	0	0	0	0	0	0	0	0	0	0	0
Registered Nurse	41,573	376	37	224	4	206	2	23	1	8	2	2

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0	0	0	0
Indonesian	0	0	0	0	0	0
Japanese	0	0	0	0	0	0
Korean	0	0	0	0	0	0
Laotian	0	0	0	0	0	0
Malaysian	0	0	0	0	0	0
Pakistani	0	0	0	0	0	0
Singaporean	0	0	0	0	0	0
Thai	0	0	0	0	0	0
Vietnamese	0	0	0	0	0	0
Other Asian	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0	0
Fijian	0	0	0	0	0	0
Filipino	0	0	0	0	0	0
Guamanian	0	0	0	0	0	0
Hawaiian	0	0	0	0	0	0
Samoa	0	0	0	0	0	0
Tongan	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

ASSEMBLY BILL 2138

RESPIRATORY CARE BOARD OF CALIFORNIA

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Respiratory Care Practitioner	1,538	181	1	46	0	46	0	1	1	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	1	9	0
Female	0	7	0
Nonbinary	0	0	0
Decline to State	0	2	0
African-American/Black/African-born	0	1	0
American Indian/Native American/Alaskan Native	1	1	0
Caucasian/White European/Middle Eastern	0	6	0
Latino/Hispanic	0	6	0
Central American	0	0	0
Puerto Rican	0	1	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	3	0
Other Hispanic	0	0	0
Asian	0	1	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoa	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

ASSEMBLY BILL 2138														
SECURITY AND INVESTIGATIVE SERVICES, BUREAU OF														
License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure			Outcome			
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	
Repossession Agency Qualified Manager	10	6	0	0	0	0	0	0	0	0	0	0	0	0
Security Guard	65,176	13,079	1,980	161	80	81	0	349	268	81	2			
Training Facility (Firearm)	35	0	0	0	0	0	0	0	0	0	0	0	0	0
Train Facility (Baton)	22	0	0	0	0	0	0	0	0	0	0	0	0	0
Firearm Permit	12,694	1,868	1,868	0	0	0	0	0	0	0	0	0	0	0
Private Patrol Operator	658	1	1	0	0	0	0	0	0	0	0	0	0	0
Private Patrol Operator Branch	31	2	2	0	0	0	0	0	0	0	0	0	0	0
Firearm Instructor	73	4	0	0	0	0	0	0	0	0	0	0	0	0
Baton Instructor	27	2	0	0	0	0	0	0	0	0	0	0	0	0
Proprietary Private Security Officer	1,916	247	18	1	0	1	0	4	3	1	0	0	0	0
Proprietary Private Security Officer Employer	145	15	15	0	0	0	0	0	0	0	0	0	0	0
Alarm Company Employee	4,640	797	47	3	0	3	0	21	17	4	1			
Alarm Company Operator	120	0	0	0	0	0	0	0	0	0	0	0	0	0
Alarm Company Qualified Manager	56	28	0	0	0	0	0	0	0	0	0	0	0	0
Alarm Company Branch	40	0	0	0	0	0	0	0	0	0	0	0	0	0
Repossession Agency Employee	127	57	1	1	0	1	0	1	0	1	0	0	0	0
Repossession Agency	42	0	0	0	0	0	0	0	0	0	0	0	0	0
Private Investigator	344	2	1	0	0	0	0	1	0	0	0	0	0	0
Private Investigator Branch	31	0	0	0	0	0	0	0	0	0	0	0	0	0
Baton Permits	2,947	0	0	0	0	0	0	0	0	0	0	0	0	0
Locksmith Employee	293	49	1	0	0	0	0	0	0	0	0	0	0	0
Locksmith Company	266	0	0	0	0	0	0	0	0	0	0	0	0	0
Locksmith Company Branch	23	0	0	0	0	0	0	0	0	0	0	0	0	0

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ASSEMBLY BILL 2138
 SECURITY AND INVESTIGATIVE SERVICES, BUREAU OF (Continued)

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Lactian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoan	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	6	6	6
Female	1	1	1
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born American Indian/Native American/Alaskan Native	4	4	4
Caucasian/White European/Middle Eastern	1	1	1
Latino/Hispanic	2	2	2
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	1	1	1
Mexican	0	0	0
Other Hispanic	1	1	1
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

ASSEMBLY BILL 2138

SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Aide	36	2	0	0	0	0	0	0	0	0
Audiologist	41	1	0	0	0	0	0	0	0	0
Hearing Aid Dispenser	151	0	0	0	0	0	0	0	0	0
Hearing Aid Dispenser Trainee	95	0	0	0	0	0	0	0	0	0
Required Professional Experience	1,055	8	0	0	0	0	0	0	0	0
Speech-Language Pathologist	1,790	15	1	0	0	0	0	0	0	0
Speech-Language Pathology Assistant	551	9	2	2	1	0	0	2	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0	0
Female	0	0	0	0
Nonbinary	0	0	0	0
Decline to State	0	0	0	0
African-American/Black/African-born	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0
Caucasian/White European/Middle Eastern	0	0	0	0
Latino/Hispanic	0	0	0	0
Central American	0	0	0	0
Puerto Rican	0	0	0	0
Cuban	0	0	0	0
South American	0	0	0	0
Mexican	0	0	0	0
Other Hispanic	0	0	0	0
Asian	0	0	0	0
Cambodian	0	0	0	0
Chinese	0	0	0	0
Hmong	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoaan	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

ASSEMBLY BILL 2138

STRUCTURAL PEST CONTROL BOARD

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Outcome			Outcome			
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn	
Applicator	3,464	33	25	21	15	1	1	9	2	2	1
Field Representative	5,757	24	9	7	2	5	0	5	0	5	0
Operator	527	0	0	0	0	0	0	0	0	0	0

Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Demographic Information			Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Appealed Any Denial or Disqualification of Licensure
					Indian	Indonesian	Japanese			
Indian	0	0	0	0	0	0	0	0	0	0
Indonesian	0	0	0	0	0	0	0	0	0	0
Japanese	0	0	0	0	0	0	0	0	0	0
Korean	0	0	0	0	0	0	0	0	0	0
Laotian	0	0	0	0	0	0	0	0	0	0
Malaysian	0	0	0	0	0	0	0	0	0	0
Pakistani	0	0	0	0	0	0	0	0	0	0
Singaporean	0	0	0	0	0	0	0	0	0	0
Thai	0	0	0	0	0	0	0	0	0	0
Vietnamese	0	0	0	0	0	0	0	0	0	0
Other Asian	0	0	0	0	0	0	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0	0	0	0	0	0
Fijian	0	0	0	0	0	0	0	0	0	0
Filipino	0	0	0	0	0	0	0	0	0	0
Guamanian	0	0	0	0	0	0	0	0	0	0
Hawaiian	0	0	0	0	0	0	0	0	0	0
Samoaan	0	0	0	0	0	0	0	0	0	0
Tongan	0	0	0	0	0	0	0	0	0	0
Other Pacific Islander	0	0	0	0	0	0	0	0	0	0
Other Not Listed Above	0	0	0	0	0	0	0	0	0	0

Demographic Information	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation	Applications who Received Notice of Denial or Disqualification of Licensure	Applications who Provided Evidence of Mitigation or Rehabilitation
Female	0	1	0	0	0	0
Nonbinary	0	0	0	0	0	0
Decline to State	0	0	0	0	0	0
African-American/Black/African-born	0	0	0	0	0	0
American Indian/Native American/Alaskan Native	0	1	0	0	0	0
Caucasian/White European/Middle Eastern	3	6	1	1	0	0
Latino/Hispanic	1	3	0	0	0	0
Central American	0	0	0	0	0	0
Puerto Rican	0	0	0	0	0	0
Cuban	0	0	0	0	0	0
South American	0	0	0	0	0	0
Mexican	0	0	0	0	0	0
Other Hispanic	0	0	0	0	0	0
Asian	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0
Chinese	0	0	0	0	0	0
Hmong	0	0	0	0	0	0

**ASSEMBLY BILL 2138
VETERINARY MEDICAL BOARD**

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome			Applicants who Appealed Any Denial or Disqualification of Licensure		
					Denial	Issued	Withdrawn	Denial	Issued	Withdrawn
Veterinarian	828	8	1	0	0	0	0	0	0	0
Registered Veterinary Technician	993	17	0	0	0	0	0	0	0	0
Veterinarian Intern	38	0	0	0	0	0	0	0	0	0
Veterinary Assistant Controlled Substances Permit	1,889	40	2	0	0	0	0	0	0	0
University Veterinarian License	25	0	0	0	0	0	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	0	0
Female	0	0	0
Nonbinary	0	0	0
Decline to State	0	0	0
African-American/Black/African-born	0	0	0
American Indian/Native American/Alaskan Native	0	0	0
Caucasian/White European/Middle Eastern	0	0	0
Latino/Hispanic	0	0	0
Central American	0	0	0
Puerto Rican	0	0	0
Cuban	0	0	0
South American	0	0	0
Mexican	0	0	0
Other Hispanic	0	0	0
Asian	0	0	0
Cambodian	0	0	0
Chinese	0	0	0
Hmong	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0
Indonesian	0	0	0
Japanese	0	0	0
Korean	0	0	0
Laotian	0	0	0
Malaysian	0	0	0
Pakistani	0	0	0
Singaporean	0	0	0
Thai	0	0	0
Vietnamese	0	0	0
Other Asian	0	0	0
Native Hawaiian/Pacific Islander	0	0	0
Fijian	0	0	0
Filipino	0	0	0
Guamanian	0	0	0
Hawaiian	0	0	0
Samoaan	0	0	0
Tongan	0	0	0
Other Pacific Islander	0	0	0
Other Not Listed Above	0	0	0

ASSEMBLY BILL 2138

VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS, BOARD OF

License Type	Total Number of Applications Received	Applications Requiring Inquiries Regarding Criminal History	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Outcome		Applicants who Appealed Any Denial or Disqualification of Licensure		Outcome		
					Denial	Withdrawn	Denial	Withdrawn	Issued	Withdrawn	
Vocational Nursing	9,351	177	29	177	6	162	0	8	2	5	1
Psychiatric Technician	386	14	3	14	0	14	0	3	1	2	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure
Male	0	1	0	0
Female	0	2	1	1
Nonbinary	0	0	0	0
Decline to State	0	0	0	0
African-American/Black/African-born	0	0	0	0
American Indian/Native American/Alaskan Native	0	0	0	0
Caucasian/White European/Middle Eastern	0	2	0	0
Latino/Hispanic	0	1	1	1
Central American	0	0	0	0
Puerto Rican	0	0	0	0
Cuban	0	0	0	0
South American	0	0	0	0
Mexican	0	0	0	0
Other Hispanic	0	0	0	0
Asian	0	0	0	0
Cambodian	0	0	0	0
Chinese	0	0	0	0
Hmong	0	0	0	0

Demographic Information	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Provided Evidence of Mitigation or Rehabilitation	Applicants who Received Notice of Denial or Disqualification of Licensure	Applicants who Appealed Any Denial or Disqualification of Licensure
Indian	0	0	0	0
Indonesian	0	0	0	0
Japanese	0	0	0	0
Korean	0	0	0	0
Laotian	0	0	0	0
Malaysian	0	0	0	0
Pakistani	0	0	0	0
Singaporean	0	0	0	0
Thai	0	0	0	0
Vietnamese	0	0	0	0
Other Asian	0	0	0	0
Native Hawaiian/Pacific Islander	0	0	0	0
Fijian	0	0	0	0
Filipino	0	0	0	0
Guamanian	0	0	0	0
Hawaiian	0	0	0	0
Samoaan	0	0	0	0
Tongan	0	0	0	0
Other Pacific Islander	0	0	0	0
Other Not Listed Above	0	1	0	0

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Pg. 218 **Expenditure Categories**

Pg. 221 **Fund Conditions**

Pg. 224 **Revenue Sources**

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EXAMINATION PROGRAM			PREREQUISITES ¹ for admittance to the examination			EXAMINATION VALIDATION ² OA = Occupational Analysis					COSTS (INTHOUSANDS) ³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)		
			B&P	CCR									NAT'L	CAL
ACCOUNTANCY, CALIFORNIA BOARD OF	CERTIFIED PUBLIC ACCOUNTANT (CPA)	UNIFORM CERTIFIED PUBLIC ACCOUNTANT EXAMINATION (CPA EXAM)	5092 5093	9.2	OA: INTERNAL/ EXTERNAL REVIEW AS NEEDED	2019	CRITERION- REFERENCED		\$0	\$0	\$651	\$0		
		PROFESSIONAL ETHICS FOR CERTIFIED PUBLIC ACCOUNTANTS – SUPPLEMENTAL EXAMINATION	5018 5092 5093	10	EXTERNAL REVIEW EVERY 3 YEARS	2016	SET IN REGULATION	X	\$0	\$0	\$0	\$0		
ACUPUNCTURE BOARD	ACUPUNCTURE	CALIFORNIA ACUPUNCTURE LICENSING EXAMINATION	4938 4939 4941	1399.415 1399.416 1399.425 1399.434	OA BY OPES; EVERY 5 YEARS	2021	MODIFIED ANGOFF	X	\$72	\$197	\$11	\$0		
		ARCHITECT REGISTRATION EXAMINATION (ARE)	5550 5552	116	INTERNAL REVIEW; AS NECESSARY	2012	MODIFIED ANGOFF	X	\$2	\$6	\$77	\$11		
		CALIFORNIA SUPPLEMENTAL EXAMINATION (CSE)				2020				\$48	\$171	\$103	\$10	
AUTOMOTIVE REPAIR, BUREAU OF	SMOG CHECK	SMOG CHECK INSPECTOR	HEALTH & SAFETY CODE 44014 44031.5	3340.28 3340.29	INTERNAL REVIEW EVERY 5 YEARS	2016	MODIFIED ANGOFF		\$0	\$5	\$73	\$14		
		SMOG CHECK REPAIR TECHNICIAN				2021			\$41	\$58	\$27	\$19		
	BRAKE ADJUSTER (A,B,C)	9887.2	3310		2018				\$0	\$0	\$40	\$10		
	LAMP ADJUSTER				2018				\$0	\$0	\$21	\$12		
BARBERING AND COSMETOLOGY, BOARD OF	BARBER	BARBER	7321.5			2018								
		COSMETOLOGIST	7321	909 910 924 926		2015								
	ELECTROLOGIST	7330		EXTERNAL REVIEW AS NEEDED	2018	MODIFIED ANGOFF (FIRST FORM OF OA) EQUATING (SUBSEQUENT FORMS)	X	\$58.8	\$0	\$4,240	\$0			
	ESTHETICIAN	7324	909 910		2020									
MANICURIST	MANICURIST	7326			2020									

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 2 Methods used to establish passing scores vary across examination administrations and are based on minimum competence criteria necessary for licensure.
 3 Included are costs for personnel required to perform these functions.
 4 The date in the "OA Year Current Exam is Based" column may be earlier than the date in the "Year of Most Recent OA" column. The current examination may be based on the OA preceding the most recent OA. It takes a significant amount of time to update an examination after a new OA is completed.

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EXAMINATION PROGRAM			PREREQUISITES ¹ for admittance to the examination			EXAMINATION VALIDATION ² OA = Occupational Analysis				COSTS (INTHOUSANDS) ³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year						
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA		OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)		
			B&P	CCR		NAT'L	CAL									
BEHAVIORAL SCIENCES BOARD OF	LICENSED CLINICAL SOCIAL WORKER (LCSW)	CALIFORNIA LCSW LAW AND ETHICS	4992.05 (b)		OA: ANNUAL INTERNAL/ EXTERNAL REVIEW	2021	2015	2015			\$28.6	\$23.7				
		ASSOCIATION OF SOCIAL WORK BOARD (ASWB) CLINICAL EXAMINATION NATIONAL EXAM	4992.05(c) (1-3)			2018	2018		\$0	\$0						
	LICENSED EDUCATIONAL PSYCHOLOGIST (LEP)	CALIFORNIA LEP	4989.20(A)(7)			2015	2015	2015	\$0	\$23.9						
	LICENSED PROFESSIONAL CLINICAL COUNSELOR (LPCC)	CALIFORNIA LPCC LAW AND ETHICS	4999.53(a)(1)			2018	2015	2015	\$0	\$19.2	X			\$0		\$0
		NATIONAL BOARD OF CERTIFIED COUNSELORS														
		NATIONAL CLINICAL MENTAL HEALTH COUNSELOR EXAMINATION (NBCC-NCMHCE)	4993.53(c) (1-3)			2019	2019	2019	\$0	\$0						
LICENSED MARRIAGE AND FAMILY THERAPIST (LMFT)	CALIFORNIA LMFT LAW AND ETHICS	4980.397(a) (1)		2020	2015	2015	\$0	\$13.5								
		CALIFORNIA LMFT CLINICAL	4980.397 (c)(1-3)			2020	2020	\$0	\$26.2							
CEMETERY AND FUNERAL BUREAU	CEMETERY BROKER	CEMETERY BROKER	7651 7651.1 7651.2		ANNUAL INTERNAL REVIEW	2020	2013	2013			\$4.9	\$22.3	\$0.5			
		CEMETERY MANAGER	7653.7	2326.1(a)(1)		2019	2019	2019	\$0	\$0			\$0.6			
		CREMATORY MANAGER	7712.1 7713.1	2326.1(b)		2018	2018	2018	\$0	\$0	X		\$1.0			
	EMBALMER	NATIONAL BOARD EXAM (NBE), SCIENCES SECTION, INTERNATIONAL CONFERENCE OF FUNERAL SERVICE EXAMINING BOARDS	7642 7643 7646	1235			2017	2017	2017							\$0.9
		EMBALMER CALIFORNIA LAW	7642 7643 7646			2021	2014	2014	\$39.8	\$2.1						\$0
		FUNERAL DIRECTOR	FUNERAL DIRECTOR	7618 7619			2017	2017	2017	\$0	\$0					\$6.5
CHIROPRACTIC EXAMINERS BOARD OF	DOCTOR OF CHIROPRACTIC	NATIONAL BOARD OF CHIROPRACTIC EXAMINERS EXAMINATION	SECTION 6, CHIROPRACTIC INITIATIVE ACT		INTERNAL 5 YEARS	2020	2020	2020			\$0	\$0	\$0	\$0		
		CALIFORNIA CHIROPRACTIC LAW EXAMINATION (CCLE)	SECTION 5, CHIROPRACTIC INITIATIVE ACT			2017	2017	2017	\$0	\$18.2	X				\$0	

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EXAMINATION PROGRAM			PREREQUISITES¹ for admittance to the examination			EXAMINATION VALIDATION² OA = Occupational Analysis					COSTS (IN THOUSANDS)³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA		OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)
			B&P	CCR		NAT'L	CAL							
CONTRACTORS STATE LICENSE BOARD	A	GENERAL ENGINEERING					2019	2019			\$0	\$21.6	\$19.1	\$6.2
	B	GENERAL BUILDING					2019	2019			\$0	\$0	\$19.1	\$6.2
	B-2	RESIDENTIAL REMODELING					2020	2020			\$42.5	\$21.6	\$0	\$6.2
	C-2	INSULATION AND ACOUSTICAL					2017	2017			\$42.5	\$0	\$19.1	\$6.2
	C-4	BOILER, HOT WATER HEATING, AND STEAM FITTING					2016	2016			\$42.5	\$0	\$19.1	\$6.2
	C-5	FRAMING AND ROUGH CARPENTRY					2019	2019			\$0	\$0	\$19.1	\$6.2
	C-6	CABINET, MILLWORK, AND FINISH CARPENTRY					2020	2014			\$0	\$21.6	\$19.1	\$6.2
	C-7	LOW VOLTAGE SYSTEMS					2016	2016			\$42.5	\$0	\$19.1	\$6.2
	C-8	CONCRETE					2021	2015			\$42.5	\$0	\$19.1	\$6.2
	C-9	DRYWALL					2020	2015			\$0	\$0	\$19.1	\$6.2
	C-10	ELECTRICAL					2018	2018			\$0	\$0	\$19.1	\$6.2
	C-11	ELEVATOR					2019	2014			\$0	\$0	\$19.1	\$6.2
	C-12	EARTHWORK AND PAVING					2016	2016			\$0	\$0	\$19.1	\$6.2
	C-13	FENCING					2018	2018			\$0	\$0	\$19.1	\$6.2
	C-15	FLOORING AND FLOOR COVERING					2020	2014			\$0	\$21.6	\$19.1	\$6.2
	C-16	FIRE PROTECTION					2021	2016			\$42.5	\$21.6	\$19.1	\$6.2
	C-17	GLAZING			INTERNAL REVIEW AS NEEDED		2021	2015		MODIFIED ANGOFF	\$42.5	\$0	\$19.1	\$6.2
	C-20	WARM AIR HEATING, VENTILATING, AND AIR CONDITIONING					2020	2014			\$0	\$21.6	\$19.1	\$6.2
	C-21	BUILDING MOVING AND DEMOLITION					2018	2018			\$0	\$0	\$19.1	\$6.2
	C-22	ASBESTOS ABATEMENT					2018	2018			\$0	\$0	\$19.1	\$6.2
	C-23	ORNAMENTAL METAL					2019	2019			\$0	\$21.6	\$19.1	\$6.2
	C-27	LANDSCAPING					2020	2015			\$42.5	\$21.6	\$19.1	\$6.2
	C-28	LOCK AND SECURITY EQUIPMENT					2019	2013			\$0	\$21.6	\$19.1	\$6.2
	C-29	MASONRY					2020	2015			\$42.5	\$0	\$19.1	\$6.2
	C-31	CONSTRUCTION ZONE TRAFFIC CONTROL					2021	2015			\$42.5	\$0	\$19.1	\$6.2
	C-32	PARKING AND HIGHWAY IMPROVEMENT					2020	2015			\$42.5	\$21.6	\$19.1	\$6.2
	C-33	PAINTING AND DECORATING					2021	2015			\$42.5	\$21.6	\$19.1	\$6.2
	C-34	PIPELINE					2018	2018			\$0	\$0	\$19.1	\$6.2
	C-35	LATHING AND PLASTERING					2019	2019			\$0	\$0	\$19.1	\$6.2
	C-36	PLUMBING					2019	2019			\$0	\$21.6	\$19.1	\$6.2
	C-38	REFRIGERATION					2018	2018			\$0	\$0	\$19.1	\$6.2

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BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)		
			B&P	CCR										NAT'L	CAL
CONTRACTORS STATE LICENSE BOARD (CONTINUED)	C-39	ROOFING			INTERNAL REVIEW AS NEEDED	2021	2015			\$42.5	\$0	\$19.1	\$6.2		
	C-42	SANITATION SYSTEM				2017	2017			\$0	\$0	\$19.1	\$6.2		
	C-43	SHEET METAL				2020	2014			\$0	\$21.6	\$19.1	\$6.2		
	C-45	SIGN				2018	2018			\$0	\$0	\$19.1	\$6.2		
	C-46	SOLAR				2017	2017			\$0	\$0	\$19.1	\$6.2		
	C-47	GENERAL MANUFACTURED HOUSING				2018	2018			\$0	\$0	\$19.1	\$6.2		
	C-50	REINFORCING STEEL				2018	2018			\$0	\$0	\$19.1	\$6.2		
	C-51	STRUCTURAL STEEL				2019	2019			\$0	\$0	\$19.1	\$6.2		
	C-53	SWIMMING POOL		825		INTERNAL REVIEW AS NEEDED	2021	2016		X	\$42.5	\$0	\$19.1	\$6.2	
	C-54	CERAMIC AND MOSAIC TILE		826			2021	2016			\$42.5	\$0	\$19.1	\$6.2	
	C-55	WATER CONDITIONING					2018	2018			\$0	\$0	\$19.1	\$6.2	
	C-57	WELL DRILLING					2017	2017			\$0	\$0	\$19.1	\$6.2	
	C-60	WELDING					2018	2018			\$0	\$0	\$19.1	\$6.2	
	ASB	ASBESTOS CERTIFICATION					2020	2015			\$42.5	\$0	\$19.1	\$6.2	
	HAZ	HAZARDOUS SUBSTANCE REMOVAL CERTIFICATION					2017	2017			\$0	\$0	\$19.1	\$6.2	
	LAW	LAW AND BUSINESS					2020	2015			\$42.5	\$0	\$19.1	\$6.2	
COURT REPORTERS, BOARD OF CALIFORNIA	CERTIFIED SHORTHAND REPORTER (CSR)	CERTIFIED SHORTHAND REPORTER, PRACTICAL			OA: INTERNAL/ EXTERNAL REVIEW		2017	2017		N/A					
		CERTIFIED SHORTHAND REPORTER, ENGLISH	8020	2418			2017	2017			\$0	\$80	\$48	\$3	
		CERTIFIED SHORTHAND REPORTER, PROFESSIONAL PRACTICE					2017	2017							
	DENTAL BOARD OF CALIFORNIA	DENTIST	PORTFOLIO	1632 (c)(1)	1028(a)		INTERNAL/ EXTERNAL REVIEW AS NEEDED	2013	2013			\$0			
			WREB	1632(c)(2)(A)	1032.3			2019	2019						
			ADEX	1632(c)(2)(B)	1028(a)			2018	2018						
		LAW AND ETHICS	1632(B)	1028.5	2018			2018							
		REGISTERED DENTAL ASSISTANT	1752.1	1076	2018	2018					X	\$0	\$50	\$0	
		REGISTERED DENTAL ASSISTANT IN EXTENDED FUNCTIONS	1753	1076	2016	2016						\$24	\$39		
DENTAL SEDATION ASSISTANT	ORTHODONTIC ASSISTANT	WRITTEN	1750.2	1077.1	SPECIFIED IN REGULATION	2021	2010			\$68	\$31				
		WRITTEN				2010	2010								
	DENTAL SEDATION ASSISTANT	1750.4		2010		2010									

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BOARD/BOARD/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)	
			B&P	CCR										NAT'L
DENTAL HYGIENE BOARD OF CALIFORNIA	REGISTERED DENTAL HYGIENIST (RDH)	NATIONAL BOARD DENTAL HYGIENE EXAMINATION (NBDHE)	1917	1082.1	EXTERNAL REVIEW EVERY 5 YEARS	2019	2019	CRITERION-REFERENCED	X	\$0	\$9	\$0	\$0	
		WESTERN REGIONAL EXAMINATION BOARD (WREB)				2019	2019							
		CENTRAL REGIONAL DENTAL TESTING SERVICES (CRDTS)				2019	2019							
		COMMISSION ON DENTAL COMPETENCY ASSESSMENTS (COCOA)/AMERICAN BOARD OF DENTAL EXAMINERS (ADEX)* MANIKIN-BASED CLINICAL EXAMINATION ONLY- TEMPORARY ACCEPTANCE DUE TO COVID-19 PANDEMIC					N/A							
	REGISTERED DENTAL HYGIENIST IN ALTERNATIVE PRACTICE (RDHAP)	CALIFORNIA LAW AND ETHICS EXAMINATION (FOR RDHs)	1922	1082.3	INTERNAL REVIEW EVERY 3 YEARS	2019	2019	SPECIFIED IN STATUTE		\$0	\$29	\$0	\$0	
		CALIFORNIA LAW AND ETHICS EXAMINATION (FOR RDHAPs)			INTERNAL REVIEW EVERY 3 YEARS	2019	2019	SPECIFIED IN STATUTE			\$29	\$0	\$0	
	ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR PROFESSIONAL	AGRICULTURAL AND BIOLOGICAL ENGINEER (NATIONAL)	PE AGRICULTURAL AND BIOLOGICAL	6704 6732 6750-59	404 420-422 424 427.10 438	OA: INTERNAL/ EXTERNAL REVIEW AS NEEDED	2021	2013	MODIFIED ANGOFF	X	\$0	\$1,714:	\$147	\$120
			PE CHEMICAL				2020	2020						
		CIVIL ENGINEER (NATIONAL)	PE CIVIL BREADTH & DEPTH				2013	2013						
		CIVIL ENGINEER (CALIFORNIA)	SEISMIC PRINCIPLES/ ENGINEERING SURVEY	6702 6704 6731 6731.1 6750-59	404 420-422 424 427.10 438		2017	2017						
CONTROL SYSTEMS ENGINEER (NATIONAL)	PE CONTROL SYSTEMS	6704 6732 6750-59	404 420-422 424 427.10 438		2019	2019								

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 * The manikin-based examinations administered by COCA/ADEX, WREB, and CRDTS were temporarily accepted by the Board from August 29, 2020, until July 1, 2021, unless extended, due to the pandemic.

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BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)
			B&P	CCR									
ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR PROFESSIONAL (CONTINUED)	ELECTRICAL ENGINEER (NATIONAL)	PE ELECTRICAL	6702.1 6704 6750-59	404 420-422 424 426.50 427.10 438	OA, INTERNAL/ EXTERNAL REVIEW AS NEEDED	2018	2018	MODIFIED ANGOFF	X	\$0 TOTAL COST FOR ALL PROGRAMS	\$1,714 TOTAL COST FOR ALL PROGRAMS	\$147 TOTAL COST FOR ALL PROGRAMS	
	ENGINEER-IN-TRAINING (EIT) (NATIONAL)	FUNDAMENTALS OF ENGINEERING (FE)	6704 6750-59	404 420-422 424 426.50 427.10 438		2020	2020						
	FIRE PROTECTION ENGINEER (NATIONAL)	PE FIRE PROTECTION	6704 6732 6750-59	404 420-422 424 426.50 427.10 438		2018	2018						
	GEOTECHNICAL ENGINEER (CALIFORNIA)	GEOTECHNICAL ENGINEER	6730.2 6736.1	404 420-422 424 426.50 427.10 438			2018						
	INDUSTRIAL ENGINEER (NATIONAL)	PE INDUSTRIAL	6704 6732 6750-59	404 420-422 424 426.50 427.10 438		2020	2020						
	LAND SURVEYOR (NATIONAL)	PRINCIPLES OF SURVEYING	8741-8743	404 420-422 424 426.50 427.10 438		2019	2019						
	LAND SURVEYOR (CALIFORNIA)	PROFESSIONAL LAND SURVEYOR	8708 8741-8743	404 420-422 424 426.50 427.10 438			2018						
	LAND SURVEYOR-IN-TRAINING (NATIONAL)	FUNDAMENTALS OF SURVEYING	8741-8743	404 420-422 424 426.50 427.10 438		2020	2020						
	MECHANICAL ENGINEER (NATIONAL)	PE MECHANICAL	6702.2 6704 6750-59	404 420-422 424 426.50 427.10 438		2020	2020						
	METALLURGICAL ENGINEER (NATIONAL)	PE METALLURGICAL AND MATERIALS		404 420-422 424 426.50 427.10 438		2014	2014						
	NUCLEAR ENGINEER (NATIONAL)	PE NUCLEAR	6704 6732 6750-59	404 420-422 424 426.50 427.10 438		2018	2018						
	PETROLEUM ENGINEER (NATIONAL)	PE PETROLEUM		404 420-422 424 426.50 427.10 438		2019	2019						

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BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA		PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)	
			B&P	CCR		NAT'L	CAL							\$10 TOTAL COST FOR ALL PROGRAMS
ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR PROFESSIONAL (CONTINUED)	STRUCTURAL ENGINEER (NATIONAL)	LATERAL FORCES VERTICAL FORCES	6730.2 6736 6763.1	404 420-422 424 426.10- 426.14 427.30	OA: INTERNAL/ EXTERNAL REVIEW AS NEEDED	2018	2018	X						
	TRAFFIC ENGINEER (CALIFORNIA)	TRAFFIC ENGINEER	6704 6732 6750-59	404 420-422 424 427.10, 438		2017	2017							
	PROFESSIONAL GEOLOGIST (CALIFORNIA)	FUNDAMENTALS OF GEOLOGY PRACTICE OF GEOLOGY CALIFORNIA SPECIFIC (CSE)	7843 7841	3031		2015 2015	2015 2015 2019							
	PROFESSIONAL GEOPHYSICIST (CALIFORNIA)	PROFESSIONAL GEOPHYSICIST (PGP)	7841.1			2019	2019							
PROFESSIONAL FIDUCIARIES BUREAU	CERTIFIED ENGINEERING GEOLOGIST (CALIFORNIA)	CERTIFIED ENGINEERING GEOLOGIST (CEG)	7842	3041	INTERNAL REVIEW EVERY 4 YEARS; INTERNAL REVIEW AS NEEDED	2019	2019							
	CERTIFIED HYDROGEOLOGIST (CALIFORNIA)	CERTIFIED HYDROGEOLOGIST (CHG)	7842	3042		2019	2019							
	PROFESSIONAL FIDUCIARY (PF)	PROFESSIONAL FIDUCIARY EXAMINATION	6539	4500		2018 <small>2019 (NATIONAL) 2017 (CALIFORNIA)</small>	2018 <small>2019 (NATIONAL) 2017 (CALIFORNIA)</small>							
LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE	LANDSCAPE ARCHITECT (NATIONAL)	LANDSCAPE ARCHITECT REGISTRATION EXAMINATION (LARE)	5650 5651	2610 2615 2620 2621	INTERNAL REVIEW	2016	2016	X						
	LANDSCAPE ARCHITECT (SUPPLEMENTAL)	CALIFORNIA SUPPLEMENTAL EXAMINATION (CSE)				2020	2014							
	PHYSICIAN AND SURGEON	UNITED STATES MEDICAL LICENSING EXAMINATION (USMLE)	2170			2020	2020							
MEDICAL BOARD OF CALIFORNIA	LICENSED MIDWIFE	NORTH AMERICAN REGISTRY OF MIDWIVES (NARM)	2512.5 2513		EXTERNAL REVIEW AS NEEDED	2016	2016	X						
	POLYSOMNOGRAPHIC TECHNICIAN	CERTIFIED POLYSOMNOGRAPHIC TECHNICIAN (CPSGT)				2018	2018							
	POLYSOMNOGRAPHIC TECHNOLOGIST	REGISTERED POLYSOMNOGRAPHIC TECHNOLOGIST (RPSBT)	3575			2018	2018							

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EXAMINATION PROGRAM			PREREQUISITES ¹ for admittance to the examination			EXAMINATION VALIDATION ² OA = Occupational Analysis					COSTS (INTHOUSANDS) ³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)	
			B&P	CCR										NAT'L
NATUROPATHIC MEDICINE COMMITTEE	NATUROPATHIC DOCTOR	NATUROPATHIC PHYSICIANS LICENSING EXAMINATIONS (INPLEX) I & II	3630 3631	4220	OA: EXTERNAL REVIEW EVERY 5 YEARS	2015	2015	MODIFIED ANGOFF	X					
OCCUPATIONAL THERAPY CALIFORNIA BOARD OF	OCCUPATIONAL THERAPIST	REGISTERED OCCUPATIONAL THERAPIST (OTR)	2570.6		OA: EXTERNAL REVIEW	2017	2017	MODIFIED ANGOFF	X					
	OCCUPATIONAL THERAPY ASSISTANT	CERTIFIED OCCUPATIONAL THERAPY ASSISTANT (COTA)												
OPTOMETRY, CALIFORNIA STATE BOARD OF	OPTOMETRIST (OPT)	NATIONAL BOARD OF EXAMINERS IN OPTOMETRY (NBEO)-APPLIED BASIC SCIENCE (PART I); PATIENT ASSESSMENT AND MANAGEMENT (PART II); CLINICAL SKILLS (PART III)	3041.2 3046		EXTERNAL THROUGH NBEO	2016	2016						EXAMINATION IS ADMINISTERED BY AN OUTSIDE AGENCY. THEREFORE, NO EXPENSES ARE INCURRED BY THE BOARD.	
		CALIFORNIA LAW AND REGULATIONS EXAMINATION (CLRE)	1523		EXTERNAL THROUGH OPES/PSI	2019	2019	MODIFIED ANGOFF	X	\$28	\$34	\$0	\$5	
		AMERICAN BOARD OF OPTICIANRY (ABO) – SPECTACLE EXAM	2559.2		EXTERNAL THROUGH ABO	2019	2019							EXAMINATION IS ADMINISTERED BY OUTSIDE AGENCIES. THEREFORE, NO EXPENSES ARE INCURRED BY THE BOARD.
OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA	CONTACT LENS DISPENSER	NATIONAL CONTACT LENS EXAMINERS (NCLC) – CONTACT LENS EXAM	2561		EXTERNAL THROUGH NCLC	2019	2019							
		COMPLEX-USA LEVEL 1			EXTERNAL REVIEW EVERY 3-5 YEARS	2016	2016	MODIFIED ANGOFF	X				EXAMINATIONS ARE ADMINISTERED BY THE NATIONAL BOARD OF OSTEOPATHIC MEDICAL EXAMINERS, INC. (NBOME). THERE ARE NO COSTS TO THE OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA.	
	COMPLEX-USA LEVEL 2 (CE)	2099.5	1620											
	COMPLEX-USA LEVEL 2 (PE)													
	COMPLEX-USA LEVEL 3													
PHARMACY, CALIFORNIA STATE BOARD OF	POSTGRADUATE TRAINING LICENSE	COMPLEX-USA LEVEL 1	2064.5			2016	2016							
	PHARMACIST	CALIFORNIA PRACTICE AND JURISPRUDENCE EXAMINATION FOR PHARMACISTS (CPJE)	4200 4200.1 4200.2	1719 1720 1720.1 1721	OA: INTERNAL/ EXTERNAL REVIEW	2014	2014	MODIFIED ANGOFF/ EQUATING	X	\$0	\$223	\$224	\$138	
PHARMACY TECHNICIAN		NORTH AMERICAN PHARMACIST LICENSURE EXAMINATION (INAPLEX)	4200.3 4200.4 4209 4400	1723.1 1724 1725 1728 1749	OA	2020	2020						EXAMINATION ADMINISTERED BY THE NATIONAL ASSOCIATION OF BOARDS OF PHARMACY (NABP) WITH NO EXPENSE TO THE STATE.	
		PHARMACY TECHNICIAN CERTIFICATION EXAMINATION (PTCB)	4202 4400	1749 1793 1793.2 1793.5	OA	2016	2016	ANGOFF		\$0	\$0	\$0	\$0	
		EXAM FOR THE CERTIFICATION OF PHARMACY TECHNICIANS (EXCPT)			OA	2016	2016	MODIFIED ANGOFF						

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EXAMINATION PROGRAM			PREREQUISITES ¹ for admittance to the examination			EXAMINATION VALIDATION ²				COSTS (IN THOUSANDS) ³			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)
			B&P	CCR									
PHYSICAL THERAPIST (PT)	PHYSICAL THERAPIST (PT)	NATIONAL PHYSICAL THERAPY EXAMINATION (NPTE)	1398.25 1398.26 1398.26.1 1398.26.5 1398.30 1398.32		OA: EVERY 5 YEARS AND AS OTHERWISE DEEMED NECESSARY; ANNUAL DATA COLLECTION	2016	2016			\$0	\$2.5: SHARED WITH PE COSTS	\$5.5	\$2.5: SHARED WITH ED COSTS
		CALIFORNIA LAW EXAMINATION (CAL-LAW)	2650 2653		OA: AS DEEMED NECESSARY DUE TO CHANGES IN LAW OR REGULATION	2012	2012						
PHYSICAL THERAPY BOARD OF CALIFORNIA	PHYSICAL THERAPIST ASSISTANT (PTA)	NATIONAL PHYSICAL THERAPY EXAMINATION (NPTE)	1398.47 1398.50 1398.51		OA: EVERY 5 YEARS AND AS OTHERWISE DEEMED NECESSARY; ANNUAL DATA COLLECTION	2020	2020	MODIFIED ANGOFF	X				
		CALIFORNIA LAW EXAMINATION (CAL-LAW)			OA: AS DEEMED NECESSARY DUE TO CHANGES IN LAW OR REGULATION	2012	2012						
PHYSICIAN ASSISTANT BOARD	PT SPECIALTY CERTIFICATION	KINESIOLOGICAL ELECTROMYOGRAPHY (KEMG)	1399.63 1399.65	2620.5	OA	1990	1990			\$0			
		ELECTRONEUROMYOGRAPHY (ENMG)	1399.64 1399.65		OA	1994	1994			\$0			
PHYSICIAN ASSISTANT BOARD	PHYSICIAN ASSISTANT	PHYSICIAN ASSISTANT NATIONAL CERTIFYING EXAMINATION (PANCE)	1399.507	3519	EXTERNAL PRACTICE ANALYSIS; EVERY 5 TO 7 YEARS	2015	2015	MODIFIED ANGOFF	TWICE YEARLY		\$0		
		DOCTOR OF PODIATRIC MEDICINE (DPM) – RESIDENT (EL)	1399.668	2475.1	OA: INTERNAL/ EXTERNAL REVIEW	2016	2016						
PODIATRIC MEDICAL BOARD OF CALIFORNIA	DOCTOR OF PODIATRIC MEDICINE (DPM) – PERMANENT (E)	NBPME PART I		2486	OA: INTERNAL/ EXTERNAL REVIEW	2021	2015	MODIFIED ANGOFF	X				
		NBPME PART II											
		NBPME PART III											

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EXAMINATION PROGRAM			PREREQUISITES ¹ for admittance to the examination			EXAMINATION VALIDATION ² OA = Occupational Analysis				COSTS (INTHOUSANDS) ³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)
			B&P	CCR									
PSYCHOLOGY, CALIFORNIA BOARD OF	PSYCHOLOGIST	EXAMINATION FOR PROFESSIONAL PRACTICE IN PSYCHOLOGY (EPPP) CALIFORNIA PSYCHOLOGY LAWS AND ETHICS EXAMINATION (CPLEE)	2914	1388	INTERNAL/EXTERNAL (AS NEEDED)	2016	2016	MODIFIED ANGOFF	X	\$0	\$0	\$144	\$0
			11340	3621 (TITLE 10)	EXTERNAL REVIEW	2020	2014	MODIFIED ANGOFF	X	\$0	\$0	\$19.7	\$0
			144 7526 7541	1412 1426	OA; INTERNAL AND EXTERNAL REVIEW: INTERNAL REVIEW EVERY 5 YEARS AND AS NEEDED, EXTERNAL REVIEW EVERY 3 YEARS	2017	2017	ITEM RESPONSE THEORY	X	\$0	\$0	\$19.7	\$0
RESPIRATORY CARE BOARD OF CALIFORNIA	RESPIRATORY CARE PRACTITIONER	THERAPIST MULTIPLE CHOICE (TMC) CLINICAL SIMULATION (CS)	3740		OA; ONGOING INTERNAL REVIEW	2017	2017	MODIFIED ANGOFF	X	\$0	\$0	\$11	
			144 7599		INTERNAL REVIEW OF QUALIFYING EXPERIENCE/ OA ONGOING	2017	2017	MODIFIED ANGOFF		\$0	\$0	\$2.5	
SECURITY AND INVESTIGATIVE SERVICES, BUREAU OF	PRIVATE INVESTIGATOR	PRIVATE INVESTIGATOR QUALIFIED MANAGER	7525 7526 7541	601 620	INTERNAL REVIEW OF QUALIFYING EXPERIENCE/ OA ONGOING	2014	2014	MODIFIED ANGOFF	X	\$0	\$0	\$10.2	\$0
			144 7582 7583, 7583.1	601	INTERNAL REVIEW OF QUALIFYING EXPERIENCE/ OA ONGOING	2012	2012	MODIFIED ANGOFF		\$44.3	\$0	\$17.9	
			7503 7504	601	INTERNAL REVIEW OF QUALIFYING EXPERIENCE/ OA ONGOING	2017	2017	MODIFIED ANGOFF		\$0	\$0	\$0.3	
SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD	SPEECH-LANGUAGE PATHOLOGIST	THE PRAXIS SERIES	2532.1 2532.2 2532.25	1399.152.3	OA; INTERNAL/ EXTERNAL REVIEW EVERY 5 TO 7 YEARS	2015	2015	MODIFIED TUCKER- ANGOFF		\$0	\$0	\$0	\$0
			2538.24 2538.25	1399.120	OA; INTERNAL/ EXTERNAL REVIEW EVERY 5 TO 7 YEARS	2020	2012 (W) 2020 (P)	MODIFIED TUCKER- ANGOFF	X	\$0	\$33	\$111	\$0

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EXAMINATION PROGRAM			PREREQUISITES¹ for admittance to the examination			EXAMINATION VALIDATION² OA = Occupational Analysis						COSTS (INTHOUSANDS)³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)		
			B&P	CCR										NAT'L	CAL
STRUCTURAL PEST CONTROL BOARD	APPLICATOR	APPLICATOR	8564.5		OA; INTERNAL/ EXTERNAL REVIEW EVERY 5 YEARS	2014	2014	SPECIFIED IN STATUTE	X	\$0	\$23	\$227	\$0		
		BRANCH 1	8563			2019	2019								
		BRANCH 2	8564			2015	2015								
		BRANCH 3	8566		2017	2017									
		BRANCH 1	8561	1934	2019	2019									
		BRANCH 2	8562		2017	2017									
	BRANCH 3	8565		2017	2017										
VETERINARY MEDICAL BOARD	VETERINARIAN	NORTH AMERICAN VETERINARY LICENSING EXAMINATION (NAVLE)	2010		OA; EXTERNAL REVIEW EVERY 7 YEARS	2017	2017	MODIFIED ANGOFF	X	\$0	\$4	\$32	\$14		
		CALIFORNIA VETERINARY STATE BOARD EXAMINATION (CSB)	4846.1			2015	2015								
		4846.2		2015.1	2013										
		4848		2015.2	2019										
	VETERINARY LAW EXAMINATION (VLE)	4848.1		INTERNAL LAW REVIEW EVERY 1-2 YEARS	2016	2016									
VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS, BOARD OF	REGISTERED VETERINARY TECHNICIAN	VETERINARY TECHNICIAN NATIONAL EXAMINATION (VTNE)	4839		OA; EXTERNAL REVIEW EVERY 5-7 YEARS	2017	2017	MODIFIED ANGOFF	X	\$0	\$4	\$48	\$14		
			4841.4			2016	2016								
	4841.5		2018	2018											
			2018	2018											
	VOCATIONAL NURSE	NATIONAL COUNCIL LICENSURE EXAMINATION FOR PRACTICAL/ VOCATIONAL NURSES (NCLEX-PN)	2866 (a-d)	2516	OA; EXTERNAL REVIEW EVERY 3 YEARS	2018	2018								
			2873	2516.5											
			2873.5	2530(g)											
			2882	2532											
				2533											
				2534											
				2534.1											
	PSYCHIATRIC TECHNICIAN	CALIFORNIA PSYCHIATRIC TECHNICIAN LICENSURE EXAMINATION (PSI EXAM)	4511 (a-d)	2575	OA; EXTERNAL REVIEW EVERY 5 YEARS	2019	2019								
			4531	2585(g)											
				2586											
				2587											
				2588											
				2588.1											

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Expenditure Categories

FISCAL YEAR 2020-21 NET EXPENDITURES (IN THOUSANDS OF DOLLARS)									
	Fund Number and Name	From FM 13 FISCAL Expenditure Report (a)			From FM 13 FISCAL Revenue Report (a)		From FM 13 FISCAL Revenue Report (a)		Total Net Expenditures
		Personal Services	Operating Expenses	Subtotal (Month 13)	Reimbursements	Less (-)	Less (-) Internal Distributed Costs		
1111-BOARDS AND BUREAUS									
ACCOUNTANCY, BOARD OF	0704 ACCOUNTANCY FUND	9,959	4,982	14,941	-187	0	0	14,754	
ACUPUNCTURE, BOARD OF	0108 ACUPUNCTURE FUND	1,246	2,543	3,789	-59	0	0	3,730	
ARBITRATION CERTIFICATION PROGRAM	0166 CERTIFICATION ACCOUNT	924	336	1,260	0	0	0	1,260	
ARCHITECTS BOARD, CALIFORNIA	0706 CALIFORNIA ARCHITECTS BOARD FUND	2,405	1,530	3,935	0	-26	-26	3,909	
	0326 STATE ATHLETIC FUND	985	511	1,496	0	0	0	1,496	
ATHLETIC COMMISSION, CALIFORNIA STATE	0492 STATE ATHLETIC COMM NEURO EXAM ACCOUNT	0	23	23	0	0	0	23	
	9250 BOXERS' PENSION	99	267	366	0	0	0	366	
	TOTAL CSAC	1,084	801	1,885	0	0	0	1,885	
	0421 VEHICLE INSPECTION AND REPAIR FUND	53,125	63,309	116,434	-916	-71	-71	115,447	
AUTOMOTIVE REPAIR, BUREAU OF	0582 HIGH POLLUTER REPAIR OR REMOVAL ACCOUNT	5,353	42,482	47,835	0	0	0	47,835	
	3122 ENHANCED FLEET MODERNIZATION SUBACCOUNT	556	29,504	30,060	0	0	0	30,060	
	TOTAL BAR	59,034	135,295	194,329	-916	-71	-71	193,342	
BARBERING AND COSMETOLOGY, BOARD OF	0069 BARBERING AND COSMETOLOGY FUND	7,267	11,121	18,388	-75	0	0	18,313	
BEHAVIORAL SCIENCES, BOARD OF	0773 BEHAVIORAL SCIENCE EXAMINERS FUND	5,119	5,555	10,674	-328	0	0	10,346	
CEMETERY AND FUNERAL BUREAU	0717 CEMETERY AND FUNERAL FUND	2,642	1,595	4,237	-20	0	0	4,217	
CHIROPRACTIC EXAMINERS, BOARD OF	0152 CHIROPRACTIC EXAMINERS FUND	1,847	2,686	4,533	-80	0	0	4,453	
	0735 CONTRACTORS' LICENSE FUND	37,987	28,582	66,569	-683	0	0	65,886	
CONTRACTORS STATE LICENSE BOARD	0093 CONSTRUCTION MANAGEMENT EDUCATION ACCOUNT (CMEA)	0	68	68	0	0	0	68	
	TOTAL CSLB	37,987	28,650	66,637	-683	0	0	65,954	
	0771 COURT REPORTERS FUND	562	393	955	-8	0	0	947	
COURT REPORTERS BOARD OF CALIFORNIA	0410 TRANSCRIPT REIMBURSEMENT FUND	0	62	62	0	0	0	62	
	TOTAL CRB	562	455	1,017	-8	0	0	1,009	

[a] All expenditures based on FISCAL Program Disbursement Report generated on 9/7/2021.

Expenditure Categories

FISCAL YEAR 2020–21 NET EXPENDITURES (IN THOUSANDS OF DOLLARS)							
1111—BOARDS AND BUREAUS	Fund Number and Name	From FM 13 FISCAL Expenditure Report (a)			From FM 13 FISCAL Revenue Report (a)		Total Net Expenditures
		Personal Services	Operating Expenses	Subtotal (Month 13)	Less (-) Reimbursements	Less (-) Internal Distributed Costs	
	0741 STATE DENTISTRY FUND	7,609	7,335	14,944	-635	0	14,309
DENTAL BOARD OF CALIFORNIA	3039 DENTALLY UNDERSERVED ACCOUNT	0	350	350	0	0	350
	3142 STATE DENTAL ASSISTANT FUND	0	0	0	0	0	0
	TOTAL DBC	7,609	7,685	15,294	-635	0	14,659
DENTAL HYGIENE BOARD OF CALIFORNIA	3140 STATE DENTAL HYGIENE FUND	1,012	1,001	2,013	-23	0	1,990
	0325 ELECTRONIC AND APPLIANCE REPAIR FUND	1,669	918	2,587	0	-61	2,526
HOUSEHOLD GOODS AND SERVICES, BUREAU OF	0752 BUREAU OF HOME FURNISHINGS AND THERMAL INSULATION FUND	2,451	1,447	3,898	0	0	3,898
	3315 HOUSEHOLD MOVERS FUND	1,029	691	1,720	0	0	1,720
	TOTAL BHGS	5,149	3,056	8,205	0	-61	8,144
LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE	0757 LANDSCAPE ARCHITECTS FUND	437	365	802	0	0	802
	0210 OUTPATIENT SETTING FUND OF THE MED BOARD OF CA	0	0	0	0	0	0
MEDICAL BOARD OF CALIFORNIA	0755 LICENSED MIDWIFERY FUND	0	120	120	0	0	120
	0758 CONTINGENT FUND OF THE MEDICAL BOARD OF CALIFORNIA	16,594	52,972	69,566	-3,175	-600	65,791
	TOTAL MBC	16,594	53,092	69,686	-3,175	-600	65,911
NATUROPATHIC MEDICINE COMMITTEE	3069 NATUROPATHIC DOCTOR'S FUND	204	114	318	0	0	318
OCCUPATIONAL THERAPY, CALIFORNIA BOARD OF	3017 OCCUPATIONAL THERAPY FUND	1,360	1,280	2,640	-41	0	2,599
	0763 STATE OPTOMETRY FUND	928	837	1,765	-45	0	1,720
OPTOMETRY, CALIFORNIA STATE BOARD OF	0175 DISPENSING OPTICIANS FUND	152	244	396	-6	0	390
	TOTAL OPTOMETRY BOARD OF CALIFORNIA	1,080	1,081	2,161	-51	0	2,110
OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA	0264 OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA CONTINGENT FUND	1,312	1,487	2,799	-179	-14	2,606
PHARMACY, CALIFORNIA STATE BOARD OF	0767 PHARMACY BOARD CONTINGENT FUND	16,713	11,003	27,716	-1,614	0	26,102
PHYSICAL THERAPY BOARD	0759 PHYSICAL THERAPY FUND	2,624	3,000	5,624	-145	0	5,479
PHYSICIAN ASSISTANT BOARD	0280 PHYSICIAN ASSISTANT FUND	738	1,691	2,429	-163	0	2,266
PODIATRIC MEDICAL BOARD OF CALIFORNIA	0295 BOARD OF PODIATRIC MEDICINE FUND	542	825	1,367	-73	0	1,294

[a] All expenditures based on FISCAL Program Disbursement Report generated on 9/7/2021.

Expenditure Categories

FISCAL YEAR 2020–21 NET EXPENDITURES (IN THOUSANDS OF DOLLARS)							
	Fund Number and Name	From FM 13 Fiscal Expenditure Report (a)			From FM 13 Fiscal Revenue Report (a)		Total Net Expenditures
		Personal Services	Operating Expenses	Subtotal (Month 13)	Less (-) Reimbursements	Less (-) Internal Distributed Costs	
1111—BOARDS AND BUREAUS							
PRIVATE POSTSECONDARY EDUCATION, BUREAU FOR	0305 PRIVATE POSTSECONDARY EDUCATION ADMINISTRATION FUND	10,541	6,202	16,743	-70	0	16,673
	0960 STUDENT TUITION RECOVERY FUND	0	6,258	6,258	0	0	6,258
	TOTAL BPPE	10,541	12,460	23,001	-70	0	22,931
PROFESSIONAL ENGINEERS, LAND SURVEYORS AND GEOLOGISTS, BOARD FOR	0770 PROFESSIONAL ENGINEERS' AND LAND SURVEYORS' FUND	4,673	5,761	10,434	-191	0	10,243
PROFESSIONAL FIDUCIARIES BUREAU	3108 PROFESSIONAL FIDUCIARY FUND	350	200	550	0	0	550
PSYCHOLOGY, CALIFORNIA BOARD OF	0310 PSYCHOLOGY FUND	2,539	3,450	5,989	-205	0	5,784
REAL ESTATE APPRAISERS, BUREAU OF	0400 REAL ESTATE APPRAISERS FUND	3,022	1,660	4,682	0	0	4,682
REGISTERED NURSING, BOARD OF	0761 BOARD OF REGISTERED NURSING FUND	19,927	33,563	53,490	-2,751	0	50,739
RESPIRATORY CARE BOARD OF CALIFORNIA	0319 RESPIRATORY CARE FUND	1,741	1,470	3,211	-161	0	3,050
SECURITY AND INVESTIGATIVE SERVICES, BUREAU OF	0239 PRIVATE SECURITY SERVICES FUND	6,373	10,165	16,538	-708	0	15,830
	0769 PRIVATE INVESTIGATOR FUND	0	0	0	0	0	0
	TOTAL BSIS	6,373	10,165	16,538	-708	0	15,830
SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD	0376 SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS FUND	1,206	1,162	2,368	-64	0	2,304
	0168 SPC RESEARCH FUND	0	-32	-32	0	0	-32
STRUCTURAL PEST CONTROL BOARD	0399 SPC EDUCATION AND ENFORCEMENT FUND	116	200	316	0	0	316
	0775 STRUCTURAL PEST CONTROL FUND	2,567	2,357	4,924	-88	0	4,836
	TOTAL SPCB	2,683	2,525	5,208	-88	0	5,120
VETERINARY MEDICAL BOARD	0777 VETERINARY MEDICAL BOARD CONTINGENT FUND	2,457	2,762	5,219	-234	0	4,985
VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS, BOARD OF	0779 VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS FUND	7,146	9,809	16,955	-317	0	16,638
CURES	3252 CURES FUND	0	1,820	1,820	0	0	1,820
	DCA - TOTAL	248,108	368,036	616,144	-13,244	-772	602,128

[a] All expenditures based on Fiscal Program Disbursement Report generated on 9/7/2021.

Fund Conditions

FISCAL YEAR 2020–21 FUND CONDITIONS (IN THOUSANDS OF DOLLARS)		From General Ledger Fund Conditions						
	Fund Number and Name	Total Reserves July 1	Total Revenues	Transfers	Total Expenditures [a]	Reserve June 30	Estimated Months of Reserve	
1111—BOARDS AND BUREAUS	ACCOUNTANCY, CALIFORNIA BOARD OF	2,826	17,905	-9,994	15,858	-5,121	8.2	
	ACUPUNCTURE, BOARD OF	4,019	3,090	0	3,920	3,189	8.4	
	ARBITRATION CERTIFICATION PROGRAM	1,250	1,555	2	1,373	1,434	10.8	
	ARCHITECTS BOARD, CALIFORNIA	5,707	3,019	0	4,216	4,510	10.3	
		0326 STATE ATHLETIC FUND	1,466	893	0	1,625	734	4.4
	ATHLETIC COMMISSION, CALIFORNIA STATE	433	9	0	26	416	84.6	
		9250 BOXERS' PENSION FUND	845	317	0	369	793	N/A
		0421 VEHICLE INSPECTION AND REPAIR FUND	227,249	135,626	-29,965	142,792	190,118	14.7
	AUTOMOTIVE REPAIR, BUREAU OF	81,502	45,869	-60,000	48,453	18,918	4.2	
		3122 ENHANCED FLEET MODERNIZATION SUBACCOUNT	15,774	34,529	-3,400	32,931	13,972	5.0
BARBERING AND COSMETOLOGY, BOARD OF		46,709	14,687	604	19,744	42,256	22.9	
	BEHAVIORAL SCIENCES, BOARD OF	3,597	13,042	0	11,101	5,538	4.7	
	CEMETERY AND FUNERAL BUREAU	5,021	4,375	0	4,560	4,836	8.9	
	CHIROPRACTIC EXAMINERS, BOARD OF	2,278	4,662	0	4,772	2,168	5.3	
		0735 CONTRACTORS' LICENSE FUND	-489	73,265	41	70,951	1,866	0.3
	CONTRACTORS STATE LICENSE BOARD [b]	412	186	0	73	525	59.3	
	COURT REPORTERS BOARD OF CALIFORNIA	606	1,391	-200	1,026	771	7.1	
		0410 TRANSCRIPT REIMBURSEMENT FUND	36	0	200	62	174	N/A
		0741 STATE DENTISTRY FUND	14,172	18,678	-4,991	15,410	12,449	7.5
	DENTAL BOARD OF CALIFORNIA	1,369	7	0	356	1,020	N/A	
DENTAL HYGIENE BOARD OF CALIFORNIA		2,908	189	0	156	2,941	N/A	
		2,184	1,887	0	2,131	1,940	8.8	
		3,953	2,521	0	2,701	3,773	10.3	
	HOUSEHOLD GOODS AND SERVICES, BUREAU OF	5,243	5,085	0	4,267	6,061	11.0	
		3,415	3,670	-21	2,001	5,063	25.3	

[a] Expenditures in fund conditions include SCO and/or other charges that are direct assessments against each fund.

[b] Contractors Fund Total Reserves includes the fund's beginning balance of \$627,000 combined with prior year adjustments of -\$1.1 million, netting an adjusted balance of -\$489,000.

Fund Conditions

FISCAL YEAR 2020-21 FUND CONDITIONS (IN THOUSANDS OF DOLLARS)		From General Ledger Fund Conditions						
111-BOARDS AND BUREAUS	Fund Number and Name	Total Reserves July 1	Total Revenues	Transfers	Total Expenditures [a]	Reserve June 30	Estimated Months of Reserve	
LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE	0757 LANDSCAPE ARCHITECTS FUND	1,300	829	0	876	1,253	10.9	
MEDICAL BOARD OF CALIFORNIA	0210 OUTPATIENT SETTING FUND OF THE MED BOARD OF CA	560	3	0	1	562	240.9	
	0755 LICENSED MIDWIFERY FUND	402	61	0	133	330	29.6	
NATUROPATHIC MEDICINE COMMITTEE	0758 CONTINGENT FUND OF THE MEDICAL BOARD OF CALIFORNIA	17,795	59,942	8	69,804	7,941	1.2	
OCCUPATIONAL THERAPY, CALIFORNIA BOARD OF	3069 NATUROPATHIC DOCTOR'S FUND	451	535	0	357	629	17.4	
	3017 OCCUPATIONAL THERAPY FUND	1,803	2,490	0	2,759	1,534	5.2	
OPTOMETRY, CALIFORNIA STATE BOARD OF	0763 STATE OPTOMETRY FUND	2,085	1,823	0	1,863	2,045	8.1	
	0175 DISPENSING OPTICIANS FUND	1,044	609	0	421	1,232	N/A	
OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA	0264 OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA CONTINGENT FUND	4,920	2,977	0	2,807	5,090	16.6	
PHARMACY, CALIFORNIA STATE BOARD OF	0767 PHARMACY BOARD CONTINGENT FUND	8,014	32,992	-2,389	28,440	10,177	3.7	
PHYSICAL THERAPY BOARD OF CALIFORNIA	0759 PHYSICAL THERAPY FUND	4,847	6,380	2	5,771	5,458	9.4	
PHYSICIAN ASSISTANT BOARD	0280 PHYSICIAN ASSISTANT FUND	4,812	2,364	0	2,380	4,796	18.4	
PODIATRIC MEDICAL BOARD OF CALIFORNIA	0295 BOARD OF PODIATRIC MEDICINE FUND	572	1,292	0	1,382	482	3.5	
PRIVATE POSTSECONDARY EDUCATION, BUREAU FOR	0305 PRIVATE POSTSECONDARY EDUCATION ADMINISTRATION FUND	3,278	15,524	4	17,927	879	0.5	
	0960 STUDENT TUITION RECOVERY FUND	21,785	303	0	6,258	15,830	N/A	
PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR	0770 PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS' FUND	4,880	8,559	0	11,087	2,352	2.1	
PROFESSIONAL FIDUCIARIES BUREAU	3108 PROFESSIONAL FIDUCIARY FUND	258	679	0	594	343	5.9	
PSYCHOLOGY, CALIFORNIA BOARD OF	0310 PSYCHOLOGY FUND	11,042	4,690	-897	6,168	8,667	14.0	
REAL ESTATE APPRAISERS, BUREAU OF	0400 REAL ESTATE APPRAISERS FUND	4,190	6,065		5,199	5,056	8.8	
REGISTERED NURSING, BOARD OF	0761 BOARD OF REGISTERED NURSING FUND	46,976	71,742	-29,985	53,877	34,856	6.5	
RESPIRATORY CARE BOARD OF CALIFORNIA	0319 RESPIRATORY CARE FUND	866	3,785	0	3,291	1,360	3.9	

[a] Expenditures in fund conditions include SCO and/or other charges that are direct assessments against each fund.

[b] Contractors Fund Total Reserves includes the fund's beginning balance of \$627,000 combined with prior year adjustments of -\$1.1 million, netting an adjusted balance of -\$489,000.

Fund Conditions

FISCAL YEAR 2020–21 FUND CONDITIONS (IN THOUSANDS OF DOLLARS)		From General Ledger Fund Conditions					
1111–BOARDS AND BUREAUS	Fund Number and Name	Total Reserves July 1	Total Revenues	Transfers	Total Expenditures [a]	Reserve June 30	Estimated Months of Reserve
SECURITY AND INVESTIGATIVE SERVICES, BUREAU OF	0239 PRIVATE SECURITY SERVICES FUND	7,329	15,958	2	16,832	6,457	4.1
	0769 PRIVATE INVESTIGATOR FUND	424	95	0	84	435	N/A
SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD	0376 SPEECH-LANGUAGE PATHOLOGY AND AUDIO, AND HEARING AID DISP. FUND	1,818	2,209	0	2,480	1,547	5.0
	0168 SPC RESEARCH FUND	597	165	0	-32	794	N/A
STRUCTURAL PEST CONTROL BOARD	0399 SPC EDUCATION AND ENFORCEMENT FUND	1,117	428	0	338	1,207	42.6
	0775 SPC FUND	1,477	6,550	0	5,214	2,813	4.6
VETERINARY MEDICAL BOARD	0777 VETERINARY MEDICAL BOARD CONTINGENT FUND	2,752	7,607	0	5,325	5,034	8.0
VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS, BOARD OF	0779 VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS FUND	4,250	17,721	3	17,998	4,076	2.5
CURES	3252 CURES FUND	3,893	1,891	0	1,898	3,886	12.0

[a] Expenditures in fund conditions include SCO and/or other charges that are direct assessments against each fund.

[b] Contractors Fund Total Reserves includes the fund's beginning balance of \$627,000 combined with prior year adjustments of -\$1.1 million, netting an adjusted balance of -\$489,000.

Revenue Sources

FISCAL YEAR 2020-21 REVENUE CATEGORIES (IN THOUSANDS)																	
1111-Boards and Bureaus	Fund Number and Name	Other Motor Vehicle Fees (4115600)	Delinquent Fees (4121200)	Division of Real Estate License Fees (4127200)	Renewal Fees (4127400)	Other Regulatory Fees (4129200)	Other Regulatory Licenses and Permits (4129400)	Miscellaneous Revenue From Local Agencies (4135000)	Sale of Documents (4140000)	Miscellaneous Services to the Public (4143500)	Investment Income - Surplus Money Investments (4163000)	Other Revenue, Cost Recoveries (417100)	Escheats (4171400, 4171500)	Miscellaneous/ Other Revenue (4172500)	Penalty Assessments (4173000)	Settlements and Judgments - Other (4173500)	Total
ACCOUNTANCY, CALIFORNIA BOARD OF	0704 ACCOUNTANCY FUND	0	255	0	11,299	1,788	4,455	0	0	0	84	0	10	2	0	12	17,905
	0108 ACUPUNCTURE FUND	0	15	0	2,401	106	539	0	0	0	22	0	4	0	0	2	3,089
ARBITRATION CERTIFICATION PROGRAM	0166 CERTIFICATION ACCOUNT	0	0	0	0	1,547	0	0	0	0	5	0	0	0	0	3	1,555
	0706 CALIFORNIA ARCHITECTS BOARD FUND	0	26	0	2,611	40	312	0	0	0	25	0	3	0	0	3	3,020
ATHLETIC COMMISSION, STATE	0326 STATE ATHLETIC FUND	0	0	0	47	779	60	0	0	0	7	0	1	0	0	0	894
	0482 STATE ATHLETIC COMM NEURO EXAM ACCOUNT	0	0	0	0	7	0	0	0	0	2	0	0	0	0	0	9
	9250 BOXERS' PENSION FUND	0	0	0	0	0	0	0	0	0	1	0	0	315	0	0	316
	TOTAL CSAC	0	0	0	47	786	60	0	0	0	10	0	1	315	0	0	1,219
	0421 VEHICLE INSPECTION AND REPAIR FUND	0	231	0	7,674	1,641	124,804	0	0	0	1,100	31	45	2	0	98	135,626
AUTOMOTIVE REPAIR, BUREAU OF	0582 HIGH POLLUTER REPAIR OR REMOVAL ACCOUNT	0	0	0	0	284	45,345	6	0	0	226	0	6	0	0	1	45,868
	3122 ENHANCED FLEET MODERNIZATION SUBACCOUNT	34,424	0	0	0	0	0	0	0	0	105	0	0	0	0	0	34,529
	TOTAL BAR	34,424	231	0	7,674	1,925	170,149	6	0	0	1,431	31	51	2	0	99	216,023

(a) All revenues based on FISCAL Program Disbursement Report generated on 9/7/2021.

Revenue Sources

FISCAL YEAR 2020-21 REVENUE CATEGORIES (IN THOUSANDS)																	
1111-Boards and Bureaus	Fund Number and Name	Other Motor Vehicle Fees (4115600)	Delinquent Fees (4121200)	Division of Real Estate License Fees (4127200)	Renewal Fees (4127400)	Other Regulatory Fees (4129200)	Other Regulatory Licenses and Permits (4129400)	Miscellaneous Revenue From Local Agencies (4135000)	Sale of Documents (4140000)	Miscellaneous Services to the Public (4143500)	Investment Income - Surplus Money Investments (4163000)	Other Revenue, Cost Recoveries (4171100)	Escheats (4171400, 4171500)	Miscellaneous/ Other Revenue (4172500)	Penalty Assessments (4173000)	Settlements and Judgments - Other (4173500)	Total
BARBERING AND COSMETOLOGY, BOARD OF	0069 BARBERING AND COSMETOLOGY FUND	0	1,047	0	9,306	1,127	2,974	0	0	20	184	0	16	5	0	8	14,687
	0773 BEHAVIORAL SCIENCE EXAMINERS FUND	0	150	0	7,728	193	4,920	0	0	0	31	0	15	3	0	1	13,041
CEMETERY AND FUNERAL BUREAU	0717 CEMETERY FUND	0	47	0	1,417	2,695	181	0	0	0	28	0	3	0	0	4	4,375
	0152 CHIROPRACTIC EXAMINERS FUND	0	75	0	4,035	132	401	0	0	0	14	0	1	1	0	3	4,662
CHIROPRACTIC EXAMINERS, BOARD OF	0735 CONTRACTORS' LICENSE FUND	0	6,182	0	50,643	121	14,432	0	0	65	38	0	45	4	1,702	33	73,265
	0083 CONSTRUCTION MANAGEMENT EDUCATION ACCOUNT (CMEA)	0	0	0	0	0	183	0	0	0	2	0	0	0	0	0	185
CONTRACTORS STATE LICENSE BOARD	TOTAL CONTRACTORS STATE LICENSE BOARD	0	6,182	0	50,643	121	14,615	0	0	65	40	0	45	4	1,702	33	73,450
	0771 COURT REPORTERS FUND	0	20	0	1,332	10	23	0	0	0	5	0	1	0	0	0	1,391
COURT REPORTERS BOARD OF CALIFORNIA	0410 TRANSCRIPT REIMBURSEMENT FUND	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	TOTAL COURT REPORTERS BOARD	0	20	0	1,332	10	23	0	0	0	5	0	1	0	0	0	1,391

[a] All revenues based on FISCAL Program Disbursement Report generated on 9/7/2021.

Revenue Sources

FISCAL YEAR 2020-21 REVENUE CATEGORIES (IN THOUSANDS)																	
1111-Boards and Bureaus	Fund Number and Name	Other Motor Vehicle Fees (4115600)	Delinquent Fees (4121200)	Division of Real Estate License Fees (4127200)	Renewal Fees (4127400)	Other Regulatory Fees (4129200)	Other Regulatory Licenses and Permits (4129400)	Miscellaneous Revenue From Local Agencies (4135000)	Sale of Documents (4140000)	Miscellaneous Services to the Public (4143500)	Investment Income - Surplus Money Investments (4163000)	Other Revenue, Recoveries (4171100)	Escheats (4171400, 4171500)	Miscellaneous/Other Revenue (4172500)	Penalty Assessments (4173000)	Settlements and Judgments - Other (4173500)	Total
	0741 STATE DENTISTRY FUND	0	314	0	14,934	151	3,184	0	0	0	75	0	12	0	0	7	18,677
DENTAL BOARD OF CALIFORNIA	3039 DENTALLY UNDERSERVED ACCOUNT	0	0	0	0	0	0	0	0	0	7	0	0	0	0	0	7
	3142 STATE DENTAL ASSISTANT FUND	0	0	0	174	0	0	0	0	0	15	0	0	0	0	0	189
	TOTAL DENTAL BOARD OF CALIFORNIA	0	314	0	15,108	151	3,184	0	0	0	97	0	12	0	0	7	18,873
DENTAL HYGIENE BOARD OF CALIFORNIA	3140 STATE DENTAL HYGIENE COMMITTEE	0	46	0	1,581	23	223	0	0	0	12	0	1	1	0	0	1,887
HOUSEHOLD GOODS AND SERVICES, BUREAU OF	0325 ELECTRONIC AND APPLIANCE REPAIR FUND	0	106	0	2,109	3	277	0	0	0	21	0	4	0	0	2	2,522
	0752 HOME FURNISHINGS AND THERMAL INSULATION FUND	0	156	0	3,758	86	1,038	0	0	0	30	0	13	0	0	2	5,083
	3315 HOUSEHOLD MOVERS FUND	0	125	0	3,368	73	100	0	0	0	0	0	2	1	0	1	3,670
	TOTAL BHGS	0	387	0	9,235	162	1,415	0	0	0	51	0	19	1	0	5	11,275
LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE	0757 LANDSCAPE ARCHITECTS FUND	0	10	0	724	3	83	0	0	0	8	0	0	0	0	1	829

[a] All revenues based on FISCAL Program Disbursement Report generated on 9/7/2021.

Revenue Sources

FISCAL YEAR 2020-21 REVENUE CATEGORIES (IN THOUSANDS)																	
1111-Boards and Bureaus	Fund Number and Name	Other Motor Vehicle Fees (4115600)	Delinquent Fees (4121200)	Division of Real Estate License Fees (4127200)	Renewal Fees (4127400)	Other Regulatory Fees (4129200)	Other Regulatory Licenses and Permits (4129400)	Miscellaneous Revenue From Local Agencies (4135000)	Sale of Documents (4140000)	Miscellaneous Services to the Public (4143500)	Investment Income - Surplus Money Investments (4163000)	Other Revenue, Cost Recoveries (4171100)	Escheats (4171400, 4171500)	Miscellaneous/ Other Revenue (4172500)	Penalty Assessments (4173000)	Settlements and Judgments - Other (4173500)	Total
	0210 OUTPATIENT SETTING FUND OF THE MED BOARD OF CA	0	0	0	0	0	0	0	0	0	3	0	0	0	0	0	3
	0755 LICENSED MIDWIFERY FUND	0	1	0	44	0	13	0	0	0	2	0	0	0	0	0	60
MEDICAL BOARD OF CALIFORNIA	0758 MEDICAL BOARD OF CALIFORNIA FUND	0	130	0	52,793	481	6,278	0	0	0	138	0	40	4	50	27	59,941
	TOTAL MEDICAL BOARD OF CALIFORNIA	0	131	0	52,837	481	6,291	0	0	0	143	0	40	4	50	27	60,004
NATUROPATHIC MEDICINE COMMITTEE	3068 NATUROPATHIC DOCTORS FUND	0	3	0	417	2	108	0	0	0	3	0	1	0	0	0	534
OCCUPATIONAL THERAPY, CALIFORNIA BOARD OF	3017 OCCUPATIONAL THERAPY FUND	0	41	0	2,003	24	379	0	0	30	10	0	2	0	0	1	2,490
	0763 STATE OPTOMETRY FUND	0	15	0	1,609	32	153	0	0	0	12	0	0	0	0	2	1,823
OPTOMETRY, CALIFORNIA STATE BOARD OF	0175 DISPENSING OPTICIANS FUND	0	21	0	447	3	131	0	0	0	6	0	0	0	0	0	608
	TOTAL OPTOMETRY BOARD OF CALIFORNIA	0	36	0	2,056	35	284	0	0	0	18	0	0	0	0	2	2,431
OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA	0264 OSTEOPATHIC MED BO OF CA FUND	0	18	0	2,265	31	574	0	0	0	26	0	1	57	0	3	2,975
PHARMACY, CALIFORNIA STATE BOARD OF	0767 PHARMACY BOARD CONTINGENT FUND	0	234	0	27,357	895	4,337	1	0	0	66	0	79	0	0	23	32,992
PHYSICAL THERAPY BOARD OF CALIFORNIA	0769 PHYSICAL THERAPY FUND	0	44	0	5,153	105	1,039	0	0	0	31	0	4	0	0	4	6,380
PHYSICIAN ASSISTANT BOARD	0280 PHYSICIAN ASSISTANT FUND	0	5	0	1,975	16	336	0	0	0	28	0	2	0	0	2	2,364

(a) All revenues based on FISCAL Program Disbursement Report generated on 9/7/2021.

Revenue Sources

FISCAL YEAR 2020-21 REVENUE CATEGORIES (IN THOUSANDS)																	
1111-Boards and Bureaus	Fund Number and Name	Other Motor Vehicle Fees (4115600)	Delinquent Fees (4121200)	Division of Real Estate License Fees (4127200)	Renewal Fees (4127400)	Other Regulatory Fees (4129200)	Other Regulatory Licenses and Permits (4129400)	Miscellaneous Revenue From Local Agencies (4135000)	Sale of Documents (4140000)	Miscellaneous Services to the Public (4143500)	Investment Income - Surplus Money Investments (4163000)	Other Revenue, Cost Recoveries (4171100)	Escheats (4171400, 4171500)	Miscellaneous/ Other Revenue (4172500)	Penalty Assessments (4173000)	Settlements and Judgments - Other (4173500)	Total
PODIATRIC MEDICAL BOARD OF CALIFORNIA	0295 BOARD OF PODIATRIC/MEDICINE FUND	0	4	0	1,169	14	100	0	0	0	4	0	0	0	0	1	1,292
	0305 PRIVATE POSTSECONDARY EDUCATION ADMINISTRATION FUND	0	416	0	13,871	569	648	0	0	0	18	0	1	0	0	1	15,524
	0960 STUDENT TUITION RECOVERY FUND	0	0	0	0	182	0	0	0	0	97	0	24	0	0	0	303
	TOTAL BPPE	0	416	0	13,871	751	648	0	0	0	115	0	25	0	0	1	15,827
PROFESSIONAL ENGINEERS, LAND SURVEYOR AND GEOLOGISTS, BOARD FOR	0770 PROFESSIONAL ENGINEERS' AND LAND SURVEYORS' FUND	0	122	0	6,707	104	1,571	0	0	0	32	0	21	0	0	2	8,559
PROFESSIONAL FIDUCIARIES BUREAU	3108 PROFESSIONAL FIDUCIARY FUND	0	3	0	523	29	122	0	0	0	2	0	0	0	0	0	679
PSYCHOLOGY, CALIFORNIA BOARD OF	0310 PSYCHOLOGY FUND	0	79	0	3,798	178	574	0	0	0	55	0	2	0	0	4	4,690
REAL ESTATE APPRAISERS, BUREAU OF	0400 REAL ESTATE APPRAISERS FUND	0	0	5,300	0	0	565	0	1	0	25	0	0	54	113	7	6,065
REGISTERED NURSING, BOARD OF	0761 BOARD OF REGISTERED NURSING FUND	0	1,082	0	46,357	2,357	21,615	0	0	14	216	1	10	38	0	52	71,742
RESPIRATORY CARE BOARD	0319 RESPIRATORY CARE FUND	0	76	0	3,207	48	442	0	0	0	8	0	2	0	0	2	3,785

[a] All revenues based on FISCAL Program Disbursement Report generated on 9/7/2021.

Revenue Sources

FISCAL YEAR 2020-21 REVENUE CATEGORIES (IN THOUSANDS)																	
	Fund Number and Name	Other Motor Vehicle Fees (4115600)	Delinquent Fees (4121200)	Division of Real Estate License Fees (4127200)	Renewal Fees (4127400)	Other Regulatory Fees (4129200)	Other Regulatory Licenses and Permits (4129400)	Miscellaneous Revenue From Local Agencies (4135000)	Sale of Documents (4140000)	Miscellaneous Services to the Public (4143500)	Investment Income - Surplus Money Investments (4163000)	Other Revenue, Cost Recoveries (4171100)	Escheats (4171400, 4171500)	Miscellaneous/ Other Revenue (4172500)	Penalty Assessments (4173000)	Settlements and Judgments - Other (4173500)	Total
1111-Boards and Bureaus	0239 PRIVATE SECURITY SERVICES FUND	0	381	0	8,497	702	6,300	0	0	0	50	3	19	1	0	4	15,957
	0769 PRIVATE INVESTIGATOR FUND	0	0	0	91	0	0	0	0	0	3	0	0	0	0	0	94
	TOTAL BSIS	0	381	0	8,588	702	6,300	0	0	0	53	3	19	1	0	4	16,051
SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD	0376 SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY FUND	0	29	0	1,766	37	361	0	0	0	10	0	5	0	0	0	2,208
	0168 SPCB RESEARCH FUND	0	0	0	0	159	0	0	0	0	6	0	0	0	0	0	165
STRUCTURAL PEST CONTROL BOARD	0399 SPCB EDUCATION AND ENFORCEMENT FUND	0	0	0	0	420	0	0	0	0	7	0	1	0	0	0	428
	0775 SPC FUND	0	6	0	234	5,634	653	0	0	2	15	0	2	1	0	3	6,550
	TOTAL SPCB	0	6	0	234	6,213	653	0	0	2	28	0	3	1	0	3	7,143
VETERINARY MEDICAL BOARD	0777 VETERINARY MEDICAL BOARD FUND	0	56	0	5,624	65	1,826	0	0	0	22	0	10	0	0	3	7,606
VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS, BOARD OF	0779 VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS FUND	0	285	0	11,981	418	4,976	0	0	0	35	0	10	1	0	15	17,721
CURES	3252 CURES FUND	0	0	0	0	1,868	0	0	0	0	23	0	0	0	0	0	1,891
	DCA TOTAL	34,424	11,856	5,300	323,029	25,182	256,635	7	1	131	3,000	35	418	490	1,865	337	662,710

(a) All revenues based on Fi&Cal Program Disbursement Report generated on 9/7/2021.



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