

Department of Consumer Affairs  
California State Athletic Commission

**COMMISSION MEETING**

*December 11, 2018*

*Sacramento, CA*



Chairman John Carvelli  
Vice Chair Mary Lehman  
Commissioner Martha Shen-Urquidez  
Commissioner Van Gordon Sauter  
Commissioner Vernon Williams  
Commissioner Luis Ayala  
Executive Officer Andy Foster



DEPARTMENT OF CONSUMER AFFAIRS  
BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.

**CALIFORNIA STATE ATHLETIC COMMISSION**  
2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815  
Phone:(916) 263-2195 | Fax:(916) 263-2197  
Website: [www.dca.ca.gov/csac](http://www.dca.ca.gov/csac) | Email:[csac@dca.ca.gov](mailto:csac@dca.ca.gov)



Members of the Commission

John Carvelli, Chair  
Mary Lehman, Vice Chair  
Martha Shen-Urquidez  
Van Gordon Sauter  
Vernon Williams, M.D.  
Luis Ayala

## COMMISSION MEETING AGENDA

**Tuesday, December 11, 2018**  
**10:00 a.m. - Conclusion of Business**

**Location:**  
*California State Capitol  
Room 127  
Sacramento, CA 95814*

*ORDER OF ITEMS SUBJECT TO CHANGE*

**OPEN SESSION**

1. Call to Order/Pledge of Allegiance/Roll Call/Establishment of a Quorum
2. Welcome - Chairman's Opening Remarks
3. Approval of the October 2, 2018, Commission Meeting Minutes
4. Review and Possible Action regarding Mr. Jonathan Jones' Mixed Martial Arts License Application
5. Review and Possible Action of Petition to Change the Decision for Kevin VanNostrand vs Robin VanRoosmalen bout on March 31, 2018, in Long Beach, CA.
6. Review and Approval of the California State Athletic Commission Strategic Plan
7. Review and Approval of the California State Athletic Commission Draft Sunset Report
8. Subcommittees Updates:
  - a. Pension Fund Subcommittee (Commissioners Lehman and Ayala)
    - i. Report from Beth Herrington from Benefit Resources regarding the pension fund administration.
    - ii. Report from Cyril Shah of Raymond James Financial Services regarding the status of the Pension Fund investment account and allocation of assets.
9. Executive Officer's Report
  - a. Budget Update for the Support Fund, the Neurological Fund, and Pension Program

- b. Report on Pending and Proposed Regulations
    - Examination of Boxer Applicants (Amendment to Title 4, California Code of Regulations section 280.)
  - c. Status Update of Delegated Entities
    - California Amateur Mixed Martial Arts Organizations (CAMO)
    - USA Boxing (USA Boxing)
    - United States Fight League (USFL)
    - International Kickboxing Federation (IKF)
  - d. Update on C3 Logix Sports Concussion Management Program
  - e. Upcoming Event Schedule and discussion regarding event activity
  - f. Staffing update
10. Business and Professions Code section 18640.5 requires the Commission to invite stakeholder testimony at Commission meetings in order to identify actions that may lead to greater opportunities for licensees to participate in major professional boxing contests.
  11. Public Comment on Items Not on The Agenda.  
*(The Commission may not discuss or take action on any matter raised during this public comment section, except to decide whether to place the matter on the agenda of a future meeting pursuant to Government Code sections 11125 and 11125.7(a))*
  12. Calendar year end Commission summary
  13. Review and approval of CSAC 2019 Meeting Dates and Locations

#### **CLOSED SESSION**

14. Pursuant to Government Code Section 11126(a)(1) the Commission will conduct an annual review of the Executive Officer.
15. The Board will meet in closed session as authorized by Government Code § 11126(e) (2)(B)(i) to confer with legal counsel regarding possible litigation.

#### **OPEN SESSION**

16. ADJOURNMENT

**NOTICE:** The meeting is accessible to the physically disabled. A person who needs disability-related accommodation or modification in order to participate in the meeting may make a request by contacting Heather Jackson at (916) 263-2195 or email [heather.jackson@dca.ca.gov](mailto:heather.jackson@dca.ca.gov) or sending a written request to the California State Athletic Commission, 2005 Evergreen Street, Suite 2010, Sacramento, CA 95815. Providing your request at least five (5) days before the meeting will help ensure availability of the requested accommodation. Requests for further information should be directed to Heather Jackson at the same address and telephone number. Meetings of the California State Athletic Commission are open to the public except when specifically noticed otherwise in accordance with the Open Meetings Act. The audience will be given appropriate opportunities to comment on any issue presented. This meeting will be available for viewing via live webcast. To view the webcast, click the following link and click on the Athletic Commission's link on the Calendar. <https://thedcapage.wordpress.com/webcasts>

Please note - While the Athletic Commission intends to webcast this meeting, it may not be possible to webcast the entire open meeting due to limitations on resources.

# **AGENDA ITEM**

**#3**

*Approval of the October 2, 2018,  
Commission Meeting Minutes*





**CALIFORNIA STATE ATHLETIC COMMISSION**

2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815

Phone: (916) 263-2195 | Fax: (916) 263-2197

Website: [www.dca.ca.gov/csac](http://www.dca.ca.gov/csac) | Email: [csac@dca.ca.gov](mailto:csac@dca.ca.gov)



Members of the Commission

John Carvelli, Chair  
Mary Lehman, Vice Chair  
John Frierson  
Martha Shen-Urquidez  
Van Gordon Sauter  
Vernon Williams, M.D.  
Luis Ayala

Agenda items may be taken out of order the  
agenda except public comment.  
Action may be taken on any item listed on

# CALIFORNIA STATE ATHLETIC COMMISSION

## MEETING MINUTES

Tuesday, October 2, 2018

10:00 a.m. - Conclusion of Business

Location:

*Millennium Biltmore Hotel  
Bernard's Meeting Room  
506 South Grand Avenue  
Los Angeles, CA 90071*

Commissioners Present

Chair John Carvelli  
Vice Chair Mary Lehman  
Commissioner John Frierson  
Commissioner Martha Shen-Urquidez  
Commissioner Vernon Williams, MD  
Commissioner Van Gordon Sauter

Staff Present

Andy Foster, Executive Officer  
Sophia Cornejo, Assistant Executive Officer  
Spencer Walker, Legal Counsel  
Heather Jackson, Staff

Commissioners Absent

Commissioner Luis Ayala

OPEN SESSION

Agenda Item 1 – Call the meeting to Order / Roll Call / Pledge of Allegiance/  
Establishment of Quorum

The meeting was called to order at 10:00 a.m., Executive Officer (EO) Andy Foster called Roll and a quorum was established.

Agenda Item 2 – Welcome –Chairman's opening remarks and Tribute to  
Commissioner Frierson

Chairman John Carvelli welcomed everyone to the meeting and discussed a recently created guidance memo regarding Injuries at regulated events. He read the policy aloud and mentioned it will be sent out by staff and copies will be available for distribution.

Chairman Carvelli announced the Commission recently secured a World Heavyweight Championship Fight – Deontay Wilder vs. Tyson Fury, set for December 1, 2018.

The Commission honored Commissioner Frierson on his retirement. EO Foster presented and read aloud the commendation for Commissioner Frierson from the Legislature. Commissioner members, officials, department representatives, and inspectors in attendance offered personal words of appreciation.

**Agenda Item 3 – Approval of the July 24, 2018, Commission Meeting Minutes**

EO Foster presented the July 24, 2018, Commission Meeting minutes for approval.

*Commissioner Shen-Urquidez motioned to approve the minutes.*

*Commissioner Sauter seconded the motion.*

No commissioner or public comment.

*The motion **passed** 6-0 by roll call vote.*

**Agenda Item 4 – Review and Possible Action of Petition to Change the Decision for Rommel Caballero vs. Erick Rodriguez bout on April 12, 2018, in Indio, CA**

EO Foster presented the Commission with background on the petition to change the decision of the bout. He introduced the parties involved; Lead Inspector Mark Relyea, Athletic Inspector Rogelio Chapa, and Mr. Roberto Gomez, Matchmaker, Golden Boy Promotions.

Video footage of the bout was presented to the Commissioners. Mr. Diaz provided the Commission with a narrative of the petition with reference to the video footage.

Lead Inspector Mark Relyea recalled the bout and the details of the stoppage. He confirmed Referee Wayne Hedgepeth stopped the bout because the athlete was unable to see due to the cut above his eye. He further explained Referee Hedgepeth instructed him to go to the cards for the result of the fight. According to the California Code of Regulations Rule 339, if the referee determines that the bout may not continue because of an injury suffered as the result of an injury inflicted by an unintentional foul, which later becomes aggravated by fair blows, the bout must be declared a draw if the bout is stopped before the bell rings to begin the fourth round.

Commissioner Shen-Urquidez stated she would have liked to see better mechanics from Referee Hedgepeth. She suggested in this instance, perhaps he should have allowed the athlete time to recover to see if he could have continued.

Commissioner Frierson inquired who the Ringside Physician was for the bout. Inspector Relyea answered it was Dr. Andre Guerrero.

Vice Chair Lehman explained the rule for determining defeat due to refusal to continue a bout resulting in a TKO only happens when the athlete refuses to answer the bell, or if the corner literally throws in a towel.

Inspector Relyea explained the rule for throwing in a towel is antiquated and the Commission's policy is to figuratively 'throw in the towel.' This is done by the cornermen notifying the Inspector the fighter cannot continue. He explained the question is whether the corner or the referee stopped the fight. He stated if the corner stopped the bout, the fight would have resulted in a Technical Knockout; whereas if the referee made the call on his own evaluation, the result should remain a Technical Draw.

Vice Chair Lehman stated rule as it stands would have been effective in this case as the towel would have been helpful in identifying who stopped the bout. She further stated if the Commission determines the rule needs to be changed, steps should be taken to do so.

Inspector Rogelio Chapa was assigned to Mr. Erick Rodriguez's corner during the bout. He stated Mr. Rodriguez communicated in Spanish during the timeout, "No puedo ver" or "I cannot see." Inspector Chapa stated at that point he informed Referee Hedgepeth that the athlete could not see. He stated the athlete said once again "no puedo ver" at which point the corner told him they are going to stop the fight. He confirmed with the fighter he wanted to stop the fight, to which the boxer replied "no puedo ver."

Chairman Carvelli confirmed with Inspector Chapa whether he told the referee the corner wants to stop the fight or that the athlete was unable to see. Inspector Chapa replied he told the referee the corner wanted to stop the fight after he confirmed with the athlete.

Commissioner Williams asked Inspector Chapa if it would have made a difference to him if a further evaluation was performed to determine why the athlete was unable to see. He agreed with Commissioner Shen-Urquidez that a headbutt could have resulted in a concussive injury with vision loss and had nothing to do with blood from the cut. Inspector Relyea responded the doctor did not ask that question and cleared the athlete to continue. He added perhaps had the doctor asked the question, the result may have been more conclusive, however he did not.

Chairman Carvelli reviewed Referee Hedgepeth's written testimony where he wrote "...I asked boxer Rodriguez if he was able to continue boxing and he replied no, at that point I made the decision to stop the fight by waving my arms above my head..." He asked EO Foster for guidance of the Commission's options. EO Foster offered a brief summary of the appeal and explained the options are to uphold the Technical Draw or change the decision.

Vice Chair Lehman explained rule 339 give the Referee explicit right to stop a bout, for essentially any reason, if the damage is caused by an unintentional foul – resulting in a Technical Draw. She added the rules, as they stand, are consistent with the protection of the athlete.

Mr. Diaz explained if the cut was the reason the athlete could not continue and the doctor or the referee stopped the bout, the result would be clearly correct and undisputed. He added he believes the referee and doctor were going to let the fight continue, until the athlete's corner indicated they are stopping the bout.

Commissioner Shen-Urquidez explained every bout which is stopped is waved off by the referee. She added the question is why he waved the fight off. It is a question of what prompted the referee to stop the fight.

*Vice Chair Lehman motioned to deny the petition and continue the ruling as a Technical Draw.*

*No second. Motion **failed**.*

*Commissioner Frierson motioned to change the decision of the bout from a Technical Draw to a Technical Knockout win for Rommel Caballero.*

*Commissioner Shen-Urquidez seconded the motion.*

No further commissioner or public comment.

*The motion **passed** 5-1 by roll call vote.*

#### **Agenda Item 5 – Review and approval of the All Event Officials’ Pay Scale**

EO Foster provided background on the Official’s Pay Scale and its history with the Commission. He explained in recent years, the Commission experienced an increase in the number of title fights scheduled during an event. He added the All Event Officials’ Pay Scale, as it stands today, does not allow officials assigned to title bouts to also work any other bout other than the title bout they were assigned. He suggested the Commission needs some level of discretion to allow title fight officials to be used in undercard bouts when necessary. This will ensure events in California are staffed appropriately.

He recommended the Commission consider amending the note under “Minimum Pay for Professional Referees and Judges for most Recognized World Championship Bouts” to allow the EO or his/her designee discretion to allow the Referees and Judges to work up to two undercard bouts.

Commissioner Shen-Urquidez provided additionally historical background on the topic of officials pay scale. She explained about 25 years ago, give or take, there was a group of officials who supported the idea of officials assigned to a championship bout should not be assigned to anything else. She added this was their way of keeping things fair. She acknowledged at that time, there was some fractioning within the Commission and this was a way to address those issues. However, the Commission has since realized utilizing this practice not only puts a strain on official personnel during busy event weekends, it also creates a greater financial burden on the promoters of championship bouts.

EO Foster presented the Commission with data on the rising amount of title fights in California and explained how he anticipates the number to continue to rise.

Vice Chair Lehman asked if there is a rule which governs this practice. EO Foster responded that it is just a policy the Commission can vote to change.

*Commissioner Williams motioned to revise the note under "Minimum Pay for Professional Referees and Judges for most Recognized World Championship Bouts" to read 'NOTE: Referees and Judges who are assigned to work these bouts may work up to two undercard bouts at the discretion of the Executive Officer or his/her designee.'*

*Commissioner Frierson seconded the motion.*

Commissioner Shen-Urquidez confirmed with EO Foster that two undercard bouts will help to solve the issue. EO Foster confirmed the recommendation is realistic and appropriate for now.

Dr. Lou Moret, CSAC Licensed Referee, provided his support for granting EO Foster discretion to make such decisions about official assignments.

*Commissioner Williams amended his motion to revisit and review this matter in six (6) months.*

*Vice Chair Lehman seconded the amended motion.*

*The motion passed 6-0 by roll call vote.*

**Agenda Item 6 – Discussion and Review of Association of Ringside Physicians' Concussion Protocol and the California State Athletic Commission's minimum medical suspension requirements.**

EO presented this item and stated that the Los Angeles Times had recently put out an article "Boxing regulations still lacking to protect fighters from head injuries". He further stated that the Association of Ringside Physicians also released a new consensus statement titled "Concussion management in Combat Sports". The EO stated that the California Commission already does many of the protocols laid out on the consensus statement, such as the C3 Logix testing; however, more can be done. One of the bigger changes in the consensus statement is whether or not the Commission should move to a standard 60 days suspension from a head blow, which the EO feels is appropriate. The EO also stated that the Commission should focus on providing education to trainers and athletes that the medical suspensions also mean that athletes should not be sparring in gyms during the suspension period.

The EO recommended that the Commission ask the Advisory Committee on Medical and Safety Standards (MAC) to review the statement and provide a recommendation to the Commission on possible adoption in California.

Chairman Carvelli stated that he felt the article was good but didn't agree with some of the statements made. He further stated that he called the EO and asked that he share the article to the Commissioners at the meeting. He stated that he doesn't think the Commission is not getting enough credit for all of the work that they are doing.

Dr. Williams agreed with the Chair and stated that he was aware of the consensus statement and said that there are aspects of the statement that make sense and other aspects that might be problematic. He reminded everyone that this is a consensus opinion; it is not based on evidence or evidence-based review, so this should be taken into

consideration, but it is something the MAC should review and discuss to determine which parts of the document should be adopted.

Vice-Chair Lehman asked if other Commissions have taken steps to adopt this consensus statement. The EO responded that not to his knowledge. He knows that Michael Mazzulli and the EO of the New York Commission looked at it, but he hasn't heard of them adopting it.

*Vice Chair motioned to request that the MAC review the statement and provide a recommendation to the Commission on possible adoption in California.*

*Commissioner Dr. Williams seconded the motion.*

**PUBLIC COMMENT** - Michael Mazzulli, President of the Association of Boxing Commissions, stated that he works closely with the EO on safety issues the industry faces. He agrees that this is something that has to be done throughout the country and trying to make it unified. Something else that is being looked at is unified minimum medical requirements throughout the country.

Commissioner Dr. Williams asked Mr. Mazzulli if there were any parts of the document that he found to be problematic or would he accept the entire document as it stands. He stated that the people that put the document together are the experts and he doesn't have a problem with it at all.

Commissioner Dr. Williams stated that one of the things the MAC should discuss is that what was done when they put this statement together they looked at examples and models or guidelines in consensus statements made in other sports and they looked at how they can apply that to combat sports, which he understands; however, combat sports are very different than non-combat sports, particularly when it comes to the issue of brain or head injuries. He further stated that if the Commission followed the letter of the law from this document, anytime an athlete is dazed, we stop the fight. This changes combat sports dramatically.

Chairman Carvelli stated that this is a great topic of discussion for the MAC and that the at the summit the Commission held this year with all of the sanctioning bodies they discussed weight cutting, dehydration and rehydration and the approach the Commission took was a slow, methodical analysis: find the areas that everyone can agree that need to be address and proceed there. He stated that the MAC should do the same.

*The motion **passed** 6-0 by roll call vote.*

#### **Agenda Item 7 – Subcommittee Update: Pension Fund (Lehman/Ayala)**

Vice Chair Lehman stated that they are trying to look at more way of reaching out to potential claimants and something they came up with was presenting pension checks to retired boxers at fights/events in a public setting. She further stated that it's been difficult to find claimants that are in the vicinity of an event and scheduling conflicts; however, Commissioner Shen-Urquidez was able to present a pension check to a retired boxer. Commissioner Shen-Urquidez stated that the retired boxer received a lot of money and that

he was so excited and grateful. The presentation of the check took place in the ring and was televised. The recipient also stated he is about to retire from his day job and would like to give back to the boxing world and will be applying to become an inspector with CSAC.

Vice-Chair thanked the EO and staff for the hard work in scheduling to give out checks at fights.

### **Agenda Item 8 – Executive Officer’s Report**

#### **a. Budget Update for the Support Fund, the Neurological Fund, and Pension Program**

The EO presented to the Commissioners a letter from the DCA Budget Office regarding our budget reports and that we cannot close out fiscal year 2017/18 until March 2019. He further stated is that the Commission hit a revenue record of almost 2 million deposited in fiscal year 2017/18. Deposits this fiscal year (2018/19) are at approximately 530K not including this past weekend of 10 events. The EO stated that he does not have an accurate expenditure report to provide the Commissioners due to FI\$Cal issues; however, he doesn't have any reason to be concerned.

#### **b. Report on Pending and Proposed Regulations**

- **Examination of Boxer Applicants (Amendment to Title 4, California Code of Regulations section 280.)**

Legal Counsel Spencer Walker stated that this rulemaking package made it out of the DCA Budget Office yesterday, October 1, 2018, and is now on the Deputy Director of Legal Counsel's desk for review and approval.

The EO stated that this new process has taken a long time. The package started moving again; however, once approved then it will be submitted to the Office of Administrative Law (OAL). The only benefit to this new process is that the OAL time clock has not started until DCA's final review and approval.

The AEO, Sophia Cornejo, gave a summary of the new process within the Department when processing rulemaking packages.

#### **c. Status Update of Delegated Entities**

- **California Amateur Mixed Martial Arts Organizations (CAMO)**

JT Steele, President of CAMO, stated that CAMO had a busy last couple of months, with October being the busiest month in a long time. Mr. Steele provided the Commissioners in their meeting materials event results and statistics for the past two months since the last Commission meeting. He further stated that CAMO fights are incredibly safe, with knock outs being low. Mr. Steele stated that CAMO is continuing to explore their drug testing efforts and that he doesn't want to officially announce anything yet, but he hopes by the next Commission meeting he will have more to announce. He also stated that CAMO now has an online training for Inspectors and he will have more information about this at the next meeting.

- USA Boxing (USA Boxing)

The EO stated that Mr. Mike McAtee was unable to attend the meeting. EO Foster presented the Commission with his written report submitted in the meeting materials.

Vice Chair Lehman stated that as a member of the Commission's Gender Equity Task Force, she was impressed at USA Boxing's efforts to promote women as fighters, officials and coaches. She also noted that the money USA Boxing offered to go to the training camp was higher for women than the men.

- United States Fight League (USFL)

The EO stated that Mr. Frank is ill and could not attend the meeting. EO Foster presented the Commission with his written report submitted in the meeting materials.

- International Kickboxing Federation (IKF)

Mr. Steve Fossum, President, was unable to attend the meeting. EO Foster presented the Commission with his written report submitted in the meeting materials.

Vice Chair Lehman stated that she attended an IKF event a month ago and it was great. She felt it was very entertaining and ran very well. She wanted to acknowledge IKF for a job well done.

**d. Update on C3 Logix Sports Concussion Management Program**

EO Foster stated that the Commission is at 891 C3 Logix Neurological tests and that we are close to our 1200 tests by year end. He thanked the Inspectors for their efforts in getting all athletes tested. The EO further stated that he will work with the MAC on incorporating Phase 2 of the C3 Logix testing in 20196 since the Commission will have neurological baselines for the majority of athletes licensed by the Commission. Dr. Williams stated that this C3 Logix data will assist the Commission in publishing the data obtained.

**e. BoxRec database system update**

EO Foster presented a PowerPoint presentation on the BoxRec system updates. He stated that the 86% of the World's Boxing happens outside of the United States. He further stated that out of 30 spots of the top used officials, California has 16 of those spots for the most used official in the Association of Boxing Commissions (ABC). EO Foster recognized Fernando Villarruel for winning an award for the most used judge in the ABC. EO Foster named off the list of top used California officials in the ABC.

**f. Fiscal year end summary**

The EO reiterated fiscal year 2017/18 record revenue of almost 2 Million deposited into the Support Fund.

**g. Upcoming Event Schedule and discussion regarding event activity**

EO Foster stated that we are on track to set a record for title fights in California this year. He stated that there will be a big fight on November 9, 2018. November 26 will be a Golden Boy MMA fight with Ortiz vs. Liddell. He also stated that California will have a



big fight on December 1, 2018, Tyson Fury from the UK fighting Deontay Wilder from Alabama.

**h. Staffing update**

The EO is working with DCA on resurrecting the Chief Athletic Inspector position. In addition, Commission staff posted and will conduct interviews on October 25 for an Associate Governmental Program Analyst position. Once we fill this vacancy, the Commission will be fully staffed.

**Agenda Item 9 – Business and Professions Code section 18640.5 requires the Commission to invite stakeholder testimony at Commission meetings in order to identify actions that may lead to greater opportunities for licensees to participate in major professional boxing contests**

No stakeholder comments received.

**Agenda Item 10 – Public Comment on Items Not on the Agenda**

The EO stated that HBO left boxing after 45 years. Commissioner Sauter asked what the implications are to the Commission. The EO responded that he noticed that HBO has decreased televised boxing and Showtime and Fox has increased. EO further stated that streaming online is on the rise in this industry.

**Agenda Item 11 – Next Meeting Scheduled for December 11, 2018, in Sacramento, CA**

The next meeting is scheduled for December 11, 2018, in Sacramento, CA.

**CLOSED SESSION**

**Agenda Item 12 – The Board will meet in closed session as authorized by Government Code § 11126(e) (2)(B)(i) to confer with legal counsel regarding possible litigation.**

**OPEN SESSION**

**Agenda Item 13 – California State Athletic Commission Strategic Planning Session**

The Commissioners and staff took part in a Strategic Planning Development Session with staff from DCA.

**Agenda Item 14 - ADJOURNMENT**

Meeting Adjourned.

# **AGENDA ITEM**

**#4**

*Review and Possible Action regarding Mr.  
Jonathan Jones' Mixed Martial Arts License  
Application*



## MEMORANDUM

<b>DATE</b>	December 11, 2018
<b>TO</b>	Commissioners California State Athletic Commission
<b>FROM</b>	Andy Foster, Executive Officer California State Athletic Commission
<b>SUBJECT</b>	<b>Agenda Item #4</b> – Review and Possible Action regarding Mr. Jonathan Jones' Mixed Martial Arts License Application

### BACKGROUND

On February 27, 2018, the California State Athletic Commission (Commission) held a hearing to review Mr. Jonathan Jones' appeal of a suspension and fine issued in a letter dated August 28, 2017, associated with a bout which occurred on July 29, 2017, in Anaheim, California. At that meeting, the Commission voted to sustain the revocation and the fines totaling \$205,000.

Upon expiration of the suspension, a future license renewal application would require full Commission approval at a public Commission meeting.

#### **California Code of Regulations § 399(c)**

*When considering the denial or reinstatement of a license, the commission, on a case by case basis, shall consider the following criteria in evaluating the rehabilitation of the applicant or petitioner and his or her present eligibility for a license:*

- (1) The nature and severity of the act(s) or crime(s) that led to license revocation or that are under consideration as grounds for denial.*
- (2) Evidence of any act(s) or crime(s) committed subsequent to the act(s) or crime(s) that led to revocation or that are under consideration as grounds for denial.*
- (3) The time that has elapsed since commission of the act(s) or crime(s) referred to in subsections (1) or (2).*
- (4) The extent to which the applicant or petitioner has complied with any terms of parole, probation, restitution or any other sanctions lawfully imposed against the applicant or petitioner.*
- (5) Evidence, if any, of rehabilitation submitted by the applicant or petitioner.*

### RECOMMENDATION

I recommend Mr. Jones be allowed to compete while completing any stipulations that the Commission may choose to set. I support allowing Mr. Jones to receive a license that will clear the Association of Boxing Commission's suspension list and allowing him to continue his career and make a living.



DEPARTMENT OF CONSUMER AFFAIRS  
 BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.  
**CALIFORNIA STATE ATHLETIC COMMISSION**  
 2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815  
 Phone: (916) 263-2195 | Fax: (916) 263-2197  
 Website: [www.dca.ca.gov/csac](http://www.dca.ca.gov/csac) | Email: [csac@dca.ca.gov](mailto:csac@dca.ca.gov)



November 15, 2018

Jonathan Jones  
 \_\_\_\_\_  
 \_\_\_\_\_

**RE: NOTICE OF LICENSE REVIEW HEARING – DECEMBER 11, 2018 – SACRAMENTO**

Dear Mr. Jones:

On February 27, 2018, the California State Athletic Commission (Commission) reviewed your appeal regarding the suspension and fine issued to you in a letter dated August 28, 2017, associated with a bout which occurred on July 29, 2017, in Anaheim, California. At that meeting, the Commission voted to sustain the revocation and the fines totaling \$205,000.

The Commission will hold a hearing to review your Mixed Martial Arts license application at its next scheduled meeting where your attendance is necessary to address the Commission.

An agenda for this meeting will be available on our website (<http://www.dca.ca.gov/csac/meetings/index.shtml>) no later than 10 days in advance of the meeting. At the Chairman's discretion, items are able to be taken out of order, so please let the Commission office know ahead of time if you will need special accommodations.

The meeting details are as follows:

- Meeting Time:** Tuesday, December 11, 2018
- Meeting Location:** California State Capitol  
Sacramento, CA 95814
- Meeting Time:** 10:00 a.m. - Conclusion of Business

If you have any questions or concerns, please contact the Commission office via e-mail at [csac@dca.ca.gov](mailto:csac@dca.ca.gov) or by telephone at (916) 263-2195.

Sincerely,

*Andy Foster*

Andy Foster  
 Executive Officer  
 California State Athletic Commission

<b>U.S. Postal Service™</b>	
<b>CERTIFIED MAIL® RECEIPT</b>	
<i>Domestic Mail Only</i>	
For delivery information, visit our website at <a href="http://www.usps.com">www.usps.com</a> ®	
<b>OFFICIAL USE</b>	
Certified Mail Fee	\$ _____
Extra Services & Fees (check box, add fee as appropriate)	
<input type="checkbox"/> Return Receipt (hardcopy)	\$ _____
<input type="checkbox"/> Return Receipt (electronic)	\$ _____
<input type="checkbox"/> Certified Mail Restricted Delivery	\$ _____
<input type="checkbox"/> Adult Signature Required	\$ _____
<input type="checkbox"/> Adult Signature Restricted Delivery	\$ _____
Postage	\$ _____
Total Postage and Fees	\$ _____
Sent To	<i>Jon Jones</i>
Street and Apt. No., or PO Box No.	_____
City, State, ZIP+4®	_____
PS Form 3800 April 2015 PSN 7530-02-000-9077 See Reverse for Instructions	

7 910 0 680 000 1

Postmark  
Here

# **AGENDA ITEM**

**#5**

*Review and Possible Action of Petition to  
Change the Decision for Kevin  
VanNostrand vs Robin VanRoosmalen bout  
on March 31, 2018, in Long Beach, CA*



DEPARTMENT OF CONSUMER AFFAIRS  
BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.  
**CALIFORNIA STATE ATHLETIC COMMISSION**  
2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815  
Phone:(916) 263-2195 | Fax:(916) 263-2197  
Website: [www.dca.ca.gov/csac](http://www.dca.ca.gov/csac) | Email:[csac@dca.ca.gov](mailto:csac@dca.ca.gov)



## MEMORANDUM

<b>DATE</b>	December 11, 2018
<b>TO</b>	Commissioners California State Athletic Commission
<b>FROM</b>	Andy Foster, Executive Officer California State Athletic Commission
<b>SUBJECT</b>	<b>Agenda Item #5 – Review and Possible Action of Petition to Change the Decision for Kevin VanNostrand vs Robin VanRoosmalen bout on March 31, 2018, in Long Beach, CA</b>

### BACKGROUND

On April 11, 2018, the Commission received a formal petition to change a decision from Amer Abdallah, CEO of Lace Up Promotions LLC, on behalf of Kevin VanNostrand. The bout between Kevin VanNostrand vs Robin VanRoosmalen occurred at the Glory 52 event on March 31, 2018. During this bout, the round length of 3 minutes was exceeded by approximately 1 minute and 2 seconds.

### APPEAL DETAIL SUMMARY

Mr. VanNostrand was fighting in his first World Title bout against Mr. VanRoosmalen in a 5 round 3 minutes bouts in the main event of the night. Mr. Abdallah on behalf of Mr. VanNostrand claims that Mr. VanNostrand's mental and physical preparation throughout his camp was structured around that exact timeframe and paced accordingly. He further claims that the first round went well over 4 minutes, with one ringside official claiming it went 4 minutes and 16 seconds. Mr. Abdallah claims Mr. VanNostrand won the first round and the second; however, faded in the third, fourth and fifth rounds. He claims that in between the first and second rounds, Mr. VanNostrand heard the commotion regarding the round length and that it carried a significant psychological toll on him.



California State Athletic Commission  
\_\_\_\_\_  
\_\_\_\_\_

Sacramento, CA 95815

It is with much reservation & disappointment that I find myself and my athlete Kevin VanNostrand filing an official protest in regards to the main event fight at GLORY 52 on March 31, 2018.

Our fighter, Kevin VanNostrand was fighting in his first World Title bout against Robin VanRoosmalen in a 5 round 3-minute bout in the main event of the night. Kevin's mental and physical preparation throughout his camp was structured around that exact timeframe and paced accordingly. Whether it be foolish or thoughtless on our parts, we never expected a fight of this magnitude to be mis-timed.

The first round went well over 4 minutes, with one of your ringside officials clarifying an exact 4 minutes 16 seconds. During the round, we were trying to get the attention of the officials, but I'm sure they are programmed to stay focused on the fight. Even Floyd Mayweather Sr who was in our corner was asking how long the round was going on for!

Kevin clearly won the first round and very arguably the second. He faded in the 3rd, 4th and 5th & clearly was not himself. In-between the first and second rounds he heard the commotion regarding the round length and I could immediately tell it carried a significant psychological toll on him.

I am hereby formally submitting my protest of the outcome of this bout due to the colossal error made by the CSAC timekeeper. We later heard he had thought it was 3 x 5 minute rounds. I understand that human error is a part of our sport and at times unfavorable circumstances arise from these situations, but this error, which added nearly another half of a round, resulted in a tremendous impact on the outcome of this bout.

After considering all of these factors, I would deem & respectfully request a "No Contest" as the appropriate overruling decision of the bout.

I thank the CSAC again for your professionalism and diligence and am confident we can amicably find a resolution to this unfortunate circumstance.

Respectfully,

Amer Abdallah  
Chief Operating Officer  
Lace Up Promotions LLC



## PETITION FOR CHANGE OF DECISION UNDER 4 CCR § 368

This request is to be forwarded to the Commission office at 2005 Evergreen Street, Suite 2010, Sacramento, California 95815 or via email at [csac@dca.ca.gov](mailto:csac@dca.ca.gov) and must be received by the office within five (5) days of the decision per 4 CCR § 368<sup>1</sup>. This form is recommended but optional. The only requirements is that the petition be in writing and timely delivered to the Commission.

Petitioner Name: Amer Abdallah

Petitioner Address: [REDACTED]

Telephone Number: [REDACTED] Email Address: [REDACTED]

Date of Decision/ Bout: March 31, 2018 Bout Results: Decision Loss

Manager Name: Amer Abdallah

Under which subsection(s) of 4 CCR § 368 are you requesting a change of decision (please check all that apply):

- (a) There was collusion affecting the result of the contest;
- (b) The compilation of the scorecard of the judges, and the referee when used as a judge, shows an error which would mean that the decision was given to the wrong boxer;
- (c) There was a violation of the laws or rules and regulations governing boxing which affected the result of the contest.

If requesting a change of decision under subsections (a) or (b), please explain in detail the facts showing you qualify for a change of decision under that section (attach additional sheets of necessary). Please also attach to this form any further factual support in support of your position.

The round length of 180 seconds (3 minutes) was exceeded by almost half in the opening round. We clocked the time as shown in the submitted video to be at 4 minutes and 2 seconds

<sup>1</sup> Note that 4 CCR 368, subd. (e), allows the Commission, on a vote of at least a majority of the commissioners present, to hold a hearing to change a decision at any time.



\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

If requesting a change of decision under subsection (c), please answer the following questions and also attach to this form any further factual information in support of your position:

- What Laws, Rules or Regulations governing boxing were violated in your bout?

Excessive round length

- How did the violation of those Laws, Rules or Regulations affect the result of your bout?

Our fighter, Kevin VanNostrand was fighting in his first World Title bout against Robin VanRoosmalen in a 5 round 3 minute bout in the main event of the night.

Kevin's mental and physical preparation throughout his camp was structured around that exact timeframe and paced accordingly.

The first round went well over 4 minutes, with one of your ringside officials estimating 4 minutes 16 seconds. During the round we were trying to get the attention of the officials, but I'm sure they are programmed to stay focused on the fight.

Kevin clearly won the first round and very arguably the second. He faded in the 3rd, 4th and 5th & clearly was not himself. In-between the first and second round he heard the commotion regarding the round length and I could immediately tell it carried a significant psychological toll on him.

I understand that human error is a part of our sport and at times unfavorable circumstances arise from these situations, but this error, which added nearly another half of a round, resulted in a tremendous impact on the outcome of this bout.

Will you require the services of an interpreter at a hearing if one is scheduled?  Yes\*  No

\*If yes, please state what language: \_\_\_\_\_

  
\_\_\_\_\_  
Petitioner Signature

May 2, 2018

\_\_\_\_\_  
Date



CALIFORNIA STATE ATHLETIC COMMISSION

2005 Evergreen Street Suite 2010 | Sacramento, California 95815  
 Website: www.dca.ca.gov/csac | Email: csac@dca.ca.gov | Phone: 916.263.2195  
 Commissioner John Carvelli, Chair | Executive Officer, Andy Foster



MASTER SCORE SHEET

DATE: 03/31/18  
 NAME OF EVENT: Glory World Series 52

LOCATION: Long Beach Arena 300 E Ocean Blvd Long Beach, CA 90802

BOUT #13

Judge (White) Cecil Peoples								Judge (Blue) Michael Bell								Judge (Red) Jack Reiss								Judge (Black) Zach Gerassimos								Judge (Yellow) Max DeLuca							
Name				Name				Name				Name				Name				Name				Name															
Robin Van Roosmalen				Kevin Vannostrand				Robin Van Roosmalen				Kevin Vannostrand				Robin Van Roosmalen				Kevin Vannostrand				Robin Van Roosmalen				Kevin Vannostrand											
Net Points	Points Deducted	Round Points	R	Round Points	Points Deducted	Net Points		Net Points	Points Deducted	Round Points	R	Round Points	Points Deducted	Net Points		Net Points	Points Deducted	Round Points	R	Round Points	Points Deducted	Net Points		Net Points	Points Deducted	Round Points	R	Round Points	Points Deducted	Net Points		Net Points	Points Deducted	Round Points	R	Round Points	Points Deducted	Net Points	
		9	1	10						9	1	10						9	1	10						9	1	10						9	1	10			
19		10	2	9	19			19		10	2	9	19			18		9	2	10	20			19		10	2	9	19			19		10	2	9	19		
29		10	3	9	28			29		10	3	9	28			28		10	3	9	29			29		10	3	9	28			29		10	3	9	28		
39		10	4	9	37			39		10	4	9	37			38		10	4	9	38			39		10	4	9	37			39		10	4	9	37		
49		10	5	9	46			49		10	5	9	46			48		10	5	9	47			49		10	5	9	46			49		10	5	9	46		
			6								6								6								6								6				
			7								7								7								7								7				
			8								8								8								8								8				
			9								9								9								9								9				
			10								10								10								10								10				
			11								11								11								11								11				
			12								12								12								12								12				
49	<	Total		Total	>	46		49	<	Total		Total	>	46		48	<	Total		Total	>	47		49	<	Total		Total	>	46		49	<	Total		Total	>	46	

Results: UNANIMOUS  
 49-46 49-46 48-47  
 49-46 49-46

Results: TIME KEPT NO  
 ERROR - 1ST RD  
 HAD EXTENDED TIME

Results:

Results:

Results:

Referee Name: JOHN MCCARTHY

Signature of Commission Representative: *Mark Pulger*

**Cornejo, Sophia@DCA**

---

**From:** Cornejo, Sophia@DCA  
**Sent:** Monday, November 26, 2018 1:18 PM  
**To:** [REDACTED]  
**Subject:** Notice of Hearing

Good afternoon Mr. VanRoosmalen,

The California State Athletic Commission (Commission) received a request to change the result of a bout which occurred on March 31, 2018, between you and Kevin VanNostrand. The Commission will hear this item at its next scheduled meeting where you are welcome to appear, however it is not mandatory. You may submit written materials or videos to the Commission no later than Friday, November 30, 2018. Absent extraordinary circumstances, no new written materials or videos will be accepted at the actual hearing. The meeting details are as follows:

**Meeting Time:** Tuesday, December 11, 2018

**Meeting Location:** California State Capitol  
Room 127  
Sacramento, CA 95814

**Meeting Time:** 10:00 a.m. - Conclusion of Business

Please respond to this email to confirm your attendance at this Commission meeting.

Thank you.

**Sophia Cornejo**  
**Assistant Executive Officer**  
California State Athletic Commission  
2005 Evergreen Street, Suite 2010  
Sacramento, CA 95815

[REDACTED]  
P: [REDACTED]  
F: [REDACTED]



*CONFIDENTIALITY NOTICE: This communication, with its contents, may contain confidential and/or legally privileged information. It is solely for the use of the intended recipient(s). Unauthorized interception, review, use, or disclosure is prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.*

Cornejo, Sophia@DCA

---

From: Cornejo, Sophia@DCA  
Sent: Monday, November 26, 2018 1:14 PM  
To: 'Amer Abdallah'  
Cc: [REDACTED]  
Subject: Notice of Hearing

Good afternoon Amer,

The California State Athletic Commission (Commission) received your request to appeal the result of a bout which occurred on March 31, 2018, between Kevin VanNostrand and Robin VanRoosmalen. The Commission will hear this item at its next scheduled meeting where you and/or Mr. VanNostrand are welcome to appear, however it is not mandatory. You may submit written materials or videos to the Commission no later than Friday, November 30, 2018. Absent extraordinary circumstances, no new written materials or videos will be accepted at the actual hearing. The meeting details are as follows:

Meeting Time: Tuesday, December 11, 2018

Meeting Location: California State Capitol  
Room 127  
Sacramento, CA 95814

Meeting Time: 10:00 a.m. - Conclusion of Business

Please respond to this email to confirm your attendance at this Commission meeting.

Thank you.

**Sophia Cornejo**  
Assistant Executive Officer  
California State Athletic Commission  
2005 Evergreen Street, Suite 2010  
Sacramento, CA 95815

[REDACTED]  
P: [REDACTED]  
F: [REDACTED]



*CONFIDENTIALITY NOTICE: This communication, with its contents, may contain confidential and/or legally privileged information. It is solely for the use of the intended recipient(s). Unauthorized interception, review, use, or disclosure is prohibited and may violate applicable laws including the Electronic communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.*



Cornejo, Sophia@DCA

---

**From:** Foster, Andy@DCA  
**Sent:** Monday, September 17, 2018 12:36 PM  
**To:** Cornejo, Sophia@DCA  
**Subject:** FW: Glory USA Show- March 31, 2018

**From:** William <[REDACTED]>  
**Sent:** Monday, September 17, 2018 12:36 PM  
**To:** Foster, Andy@DCA <[REDACTED]>  
**Subject:** Glory USA Show- March 31, 2018

I was assigned this show along with Jill Trigg. The main event was scheduled for 5 three minute rounds and was under the impression it was scheduled for 5 five minute rounds. After 4 minutes 1 I was told to ring the bell because I went over the limit of 3 rounds. The bout proceeded with the remaining 9 rounds timed correctly at 3 minutes. My mistake was the 1st round ONLY. Sincerely Yours, Willie Arriola

[REDACTED]

Cornejo, Sophia@DCA

---

**From:** Cornejo, Sophia@DCA  
**Sent:** Monday, November 26, 2018 1:48 PM  
**To:** [REDACTED]  
**Subject:** Notice of Hearing on December 11, 2018  
**Attachments:** PA015 - Petition to Change Decision 11.2017 copy 3.pdf; Appeal from Kevin VanNostrand.pdf

Good afternoon Mark,

The California State Athletic Commission (Commission) has received a request to appeal the result of a bout which occurred on March 31, 2018, between Kevin VanNostrand and Robin VanRoosmalen. The Commission will hear this item at its next scheduled meeting where you and/or Mr. VanNostrand are welcome to appear, however it is not mandatory. You may submit written materials or videos to the Commission no later than Friday, November 30, 2018. Absent extraordinary circumstances, no new written materials or videos will be accepted at the actual hearing. Attached is the appeal material that was submitted to the Commission for your review. The meeting details are as follows:

**Meeting Time:** Tuesday, December 11, 2018

**Meeting Location:** California State Capitol  
Room 127  
Sacramento, CA 95814

**Meeting Time:** 10:00 a.m. - Conclusion of Business

**Please respond to this email to confirm your attendance at this Commission meeting.**

Thank you.

**Sophia Cornejo**  
**Assistant Executive Officer**  
California State Athletic Commission  
2005 Evergreen Street, Suite 2010  
Sacramento, CA 95815

[REDACTED]  
P: [REDACTED]  
F: [REDACTED]



*CONFIDENTIALITY NOTICE: This communication, with its contents, may contain confidential and/or legally privileged information. It is solely for the use of the intended recipient(s). Unauthorized interception, review, use, or disclosure is prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.*

**Cornejo, Sophia@DCA**

---

**From:** Cornejo, Sophia@DCA  
**Sent:** Monday, November 26, 2018 1:49 PM  
**To:** [REDACTED]  
**Subject:** Notice of Hearing  
**Attachments:** Appeal from Kevin VanNostrand.pdf; PA015 - Petition to Change Decision 11.2017 copy 3.pdf

Good afternoon Willie,

The California State Athletic Commission (Commission) has received a request to appeal the result of a bout which occurred on March 31, 2018, between Kevin VanNostrand and Robin VanRoosmalen. The Commission will hear this item at its next scheduled meeting where you and/or Mr. VanNostrand are welcome to appear, however it is not mandatory. You may submit written materials or videos to the Commission no later than Friday, November 30, 2018. Absent extraordinary circumstances, no new written materials or videos will be accepted at the actual hearing. Attached is the appeal material that was submitted to the Commission for your review. The meeting details are as follows:

**Meeting Time:** Tuesday, December 11, 2018

**Meeting Location:** California State Capitol  
Room 127  
Sacramento, CA 95814

**Meeting Time:** 10:00 a.m. - Conclusion of Business

**Please respond to this email to confirm your attendance at this Commission meeting.**

Thank you.

**Sophia Cornejo**  
**Assistant Executive Officer**  
California State Athletic Commission  
2005 Evergreen Street, Suite 2010  
Sacramento, CA 95815

**P:** [REDACTED]  
**F:** [REDACTED]



*CONFIDENTIALITY NOTICE: This communication, with its contents, may contain confidential and/or legally privileged information. It is solely for the use of the intended recipient(s). Unauthorized interception, review, use, or disclosure is prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.*

# **AGENDA ITEM**

**#6**

*Review and Approval of the California  
State Athletic Commission Strategic Plan*



**CALIFORNIA STATE  
ATHLETIC COMMISSION**

**2019-2023**



**Strategic  
Plan**

Adopted:

# Table of Contents

CALIFORNIA STATE ATHLETIC COMMISSIONERS	3
MESSAGE FROM THE CHAIR	4
ABOUT THE CALIFORNIA STATE ATHLETIC COMMISSION	5
HOW DOES THE COMMISSION ACCOMPLISH ITS MISSION?	6
2013 STRATEGIC PLAN ACCOMPLISHMENTS	7
MISSION AND VISION	8
STRATEGIC GOALS	9
GOAL 1: HEALTH AND SAFETY	10
GOAL 2: LICENSING	11
GOAL 3: ENFORCEMENT	12
GOAL 4: LEGISLATION, REGULATION AND POLICY	13
GOAL 5: ORGANIZATIONAL EFFECTIVENESS	14
GOAL 6: PENSION PLAN	15
GOAL 7: OUTREACH	16
STRATEGIC PLANNING METHODOLOGY	18

# California State Athletic Commissioners

John Carvelli, Chair

Mary Lehman, Vice Chair

Martha Shen-Urquidez, Commissioner

Vernon Williams, Ph.D., Commissioner

Van Gordon Sauter, Commissioner

Luis Ayala, Commissioner

DRAFT

EDMUND G. BROWN, JR., GOVERNOR

ALEXIS PODESTA, SECRETARY, BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY

DEAN R. GRAFILO, DIRECTOR, DEPARTMENT OF CONSUMER AFFAIRS

ANDY FOSTER, EXECUTIVE OFFICER, ATHLETIC COMMISSION

**Message from the Chair**

DRAFT

## About the California State Athletic Commission

The California State Athletic Commission (Commission) is responsible for protecting the health and safety of its licensees: boxers, kickboxers, and other martial arts athletes. Concerned with athlete injuries and death, the public established the Commission by initiative in 1924. The Commission is responsible for implementation and enforcement of the Federal Muhammad Ali Boxing Reform Act (Federal Boxing Act) and the California Boxing Act or State Athletic Commission Act (State Act). The Commission has four main functions: licensing, enforcement, regulating events and administering the Professional Boxers' Pension Fund (Pension Plan).

Specifically, the Commission establishes requirements for licensure, issues and renews licenses, approves and regulates events, assigns ringside officials, investigates complaints received, and enforces applicable laws by issuing fines and suspending or revoking licenses.

The Commission is one of 38 boards, bureaus, committees, and other programs at the Department of Consumer Affairs (DCA).

The Commission is comprised of seven members. Five members are appointed by the Governor and subject to confirmation by the Senate Committee on Rules. One member is appointed by the Senate Committee on Rules and one member is appointed by the Speaker of the Assembly. Commissioners are part-time employees who receive a \$100-a-day per diem. While there are no qualifications for an individual appointed to the Commission, no person currently licensed as a promoter, manager or judge may serve on the Commission. The law also specifies that efforts should be made to ensure at least four members have experience in either medicine as a licensed physician or surgeon specializing in neurology, neurosurgery, head trauma or sports medicine, financial management, public safety, and the sports regulated by the Commission.

The Commission Executive Officer (EO) is appointed by the Commission, subject to approval by the Director of DCA. The current EO has served since November 2012.

## How Does the Commission Accomplish its Mission?

The Commission provides direction, management, and control for professional and amateur boxing, professional and amateur kickboxing, and all forms and combinations of full contact martial arts contests, including mixed martial arts (MMA) and matches or exhibitions conducted, held or given in California.

The Commission meets about six times per year to:

- Handle matters related to licensure and appeals of license denials, suspensions and fines.
- Propose and review regulations or legislation focused on maintaining the health and safety of fighters.
- Consider issues related to the Boxer's Pension Program and the Neurological Examination Account.
- Evaluate funding and revenue strategies.
- Address a variety of topics brought forth by stakeholders.

# 2015-2018 Strategic Plan Accomplishments

The Commission accomplished the following goals since the adoption of the previous 2015-2018 strategic plan.

1. In 2015, the California State Athletic Commission officially established the practice of dehydration and weight cutting as one of the largest industry wide health concerns. A summit was held in California on June 7, 2018, and the most pertinent issues were addressed first. Nearly 3 years later, after policies and regulations were implemented in the sport of mixed martial arts, the commission is expanding its efforts and successful protocol into the sport of boxing.
2. Legislation was chaptered that allows the Commission to fund special neurological examinations and explore new diagnostic imaging and testing to be used in relation to the examinations required by this section. The Commission obtained a contract with C3 Logix to provide the necessary tools to conduct neurological testing of athletes. This testing determines the relationship between the biomechanics of impact forces to the brain and their behavioral and physiological sequences to advance diagnostics, athlete care, return to play standards, understanding of the acute and long-term effects on brain health, and effective rehabilitation techniques to allow a safer environment for competition. The results of these tests are used to assist the Commission in making policy decisions regarding the health and safety of the athletes. The neurological testing is given before and after competition. This is a mission critical function that promotes the health, safety and welfare of participants in regulated combat sporting events.
3. The Commission promulgated the following regulations:
  - **Pension** – Sections 401 – 406. The Commission identified three main problems with the administration of certain pension program regulations. First, the Plan regulations related to Lost Beneficiary / Forfeitures, section 405 (d), were not followed by the third-party administrator. The third-party administrator stated the 405 (d) regulation language was not followed because its intent was not clear. Secondly, the Commission identified some of the problems created by the third-party administrator not following 405 (d). Finally, the commission identified a funding source deficiency in the original language related to the Lost Beneficiary/ Forfeiture provisions. The changes in this regulation package addressed these problems.
  - **Definitions, Prohibited Substances and Methods, Therapeutic Use Exemptions, Transgender Athletes** – Sections 201.5, 303, 424-426 and 830-837. This regulation change mainly addressed the issues of prohibited substances and Transgender athletes. The Commission amended its regulations to adopt very strict bans on prohibited substances and methods; adopt a new regulation identifying the circumstances under which an athlete,

when medically necessary, may receive approval to use a prohibited substance or method; adopt a regulation setting out the rules governing Transgender athletes who apply to compete in Commission-regulated events. This rulemaking also made a technical clean-up amendment to one of its definitions, necessitated by the proposed adoption of "Transgender Athletes" regulations.

- **Headgear** – Section 610. This regulation change required the use of headgear in amateur contests for the protection of amateur boxers.
- **Dehydration & Rehydration** – Sections 297, 299 and 300. This regulation change was necessary to have an immediate effect in providing a safer weigh-in procedure as well as testing of athletes for severe dehydration prior to a bout. It helps prevent athletes from using severe dehydration as a method to make the contracted bout weight. The regulation change allows the CSAC appointed ringside physicians to test athletes for dehydration and to remove a contestant from a fight if the athlete is deemed to be severely dehydrated. Also, the regulation change prohibits the use of intravenous therapies to regain hydration after a weigh-in.
- **Payment of Contestants** – Section 232. This regulation change mainly addressed that Athletes are not provided a minimum purse for fighting. This has allowed some promoters to exploit athletes by paying them \$1.00 instead of an industry minimum. This regulation set a minimum purse amount of one hundred dollars (\$100) per round.



## **Mission**

The California State Athletic Commission is dedicated to the health, safety and welfare of participants in regulated combat sporting events, through ethical and professional service.

## **Vision**

California is the premier model for the safety and fairness of regulated combat sporting events.

DRAFT

## Strategic Goals

### **1 HEALTH AND SAFETY**

The Commission fosters a safe and healthy environment for participants in regulated combat sporting events.

---

### **2 LICENCING**

The Commission is an international leader in promoting licensing standards for participants in regulated combat sporting events.

---

### **3 ENFORCEMENT**

The Commission protects consumers by preventing violations and effectively enforcing laws and regulations when violations occur.

---

### **4 LEGISLATION, REGULATION AND POLICY**

The Commission pursues statutes, regulations, policies and procedures that strengthen and support their mandate and mission.

---

### **5 ORGANIZATIONAL EFFECTIVENESS**

The Commission builds an excellent organization through proper governance, effective leadership and responsible management.

---

### **6 PENSION PLAN**

The Commission effectively manages the California Professional Boxers Pension Fund.

---

### **7 OUTREACH**

The Commission proactively communicates its mission, vision and goals to stakeholders.

---

## **Goal 1: Health and Safety**

*The Commission fosters a safe and healthy environment for participants in regulated combat sporting events.*

- 1.1 Educate licensees with regards to the health and safety rules (e.g. weight cutting, hydration, using performance enhancing drugs) to keep licensees safe.
- 1.2 Enhance drug testing practices to reduce doping violations and protect licensees.
- 1.3 Research and analyze the data on concussion management protocol to further enhance and improve health and safety of fighters and contribute to global awareness.
- 1.4 Educate fight matchmakers on making the right matches in the appropriate weight class to strengthen matchmaking skills.
- 1.5 Increase resources needed to adequately address health and safety concerns.
- 1.6 Advocate for more uniform testing globally to protect athletes.
- 1.7 Reach out to Native American tribes and international stakeholders to strengthen relationships and be invited to assist with regulatory enhancements to the health and safety of fighters worldwide.

## **Goal 2: Licensing**

*The Commission is an international leader in promoting licensing standards for participants in regulated combat sporting events.*

2.1 Acquire a licensing database with online capabilities to be more efficient and save resources.

DRAFT

## **Goal 3: Enforcement**

*The Commission protects consumers by preventing violations and effectively enforcing laws and regulations when violations occur.*

- 3.1 Increase resources to identify unlicensed activity to the protect the public.
- 3.2 Develop training and evaluations for inspectors, referees, and judges to improve fights and preserve a sense of fairness.
- 3.3 Expand California State Athletic Commission's online presence to promote and educate about the Commission worldwide.

DRAFT

## **Goal 4: Legislation, Regulation and Policy**

*The Commission pursues statutes, regulations, policies and procedures that strengthen and support their mandate and mission.*

- 4.1 Identify needed legislation or regulation to anticipate challenges and increase revenues and efficiency.
- 4.2 Improve communication with DCA to keep abreast on the status of regulations.
- 4.3 Recommend proposed legislation that seeks necessary fee increases to keep up with costs and increase resources.
- 4.4 Streamline the process of approving regulations to allow for quicker implementation of regulations.

## Goal 5: Organizational Effectiveness

*The Commission builds an excellent organization through proper governance, effective leadership and responsible management.*

- 1.1 Create a succession plan to keep institutional knowledge and functionality of the Commission.
- 1.2 Work with DCA to separate duties and the pay scale for the lead athletic inspector versus athletic inspector positions to enhance recruitment, retention, and accountability.
- 1.3 Research online training options for inspectors to increase efficiency.
- 1.4 Provide training to staff on public relations and building relationships to increase efficiency.
- 1.5 Formally acknowledge that obtaining a license does not create entitlement to assignments.

## **Goal 6: Pension Plan**

*The Commission effectively manages the California Professional Boxers Pension Plan.*

- 6.1 Explore broadening the Pension Plan to include Mixed Martial Arts athletes to fill a perceived need and protect and service our stakeholders.
- 6.2 Explore options to increase outreach to fighters who are entitled to receive the pension.
- 6.3 Obtain bids to contract out a private investigator on locating eligible boxers to pay pensions out to entitled fighters.



## Goal 7: Outreach

*The Commission proactively communicates its mission, vision and goals to stakeholders.*

- 7.1. Investigate mandatory meeting requirements for specific licensees to further educate licensees and build relationships.
- 7.2. Promote the benefits of participating in combat sports to the public to address damaging perceptions.
- 7.3 Allow the Executive Officer to attend more industry events to market the commission, increase exposure, and keep informed on the industry.
- 7.4 Allow staff to attend industry events to promote the commission, remain informed, and build relationships.

## Strategic Planning Methodology

To understand the environment in which the Commission operates and identify factors that could impact the Commission's success, the California Department of Consumer Affairs' SOLID unit conducted an environmental scan of the internal and external environments by collecting information through the following methods:

- An online survey sent to stakeholders comprised of professionals, retired professionals, professional associations, promoters, media representatives and others who expressed interest in the strategic direction of the Commission. The online survey received 89 responses.
- One-on-one interviews with Commissioners, Executive Officer, and Assistant Executive Officer in July and August 2018.
- Focus group discussions with Commission staff in July 2018.

The most significant themes and trends identified from the environmental scan were discussed by the Commission during a strategic planning session facilitated by SOLID on October 2, 2018. This information guided the Commission in the development of the strategic goals and objectives outlined in this 2018 – 2023 strategic plan.



## CALIFORNIA STATE ATHLETIC COMMISSION

2005 Evergreen Street, Suite 2010

Sacramento, CA 95815

Phone: (916) 263-2195

[www.dca.ca.gov/csac](http://www.dca.ca.gov/csac)

Prepared by:



Department of Consumer Affairs  
1747 N. Market Blvd., Suite 270  
Sacramento, CA 95834

*This strategic plan is based on stakeholder information and discussions facilitated by SOLID for the California State Athletic Commission in July and August of 2018. Subsequent amendments may have been made after Commission adoption of this plan.*

STATE OF CALIFORNIA



DEPARTMENT OF CONSUMER AFFAIRS

# **AGENDA ITEM**

**#7**

*Review and Approval of the California  
State Athletic Commission Draft Sunset  
Report*



DEPARTMENT OF CONSUMER AFFAIRS  
BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.

**CALIFORNIA STATE ATHLETIC COMMISSION**  
2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815  
Phone:(916) 263-2195 | Fax:(916) 263-2197  
Website: [www.dca.ca.gov/csac](http://www.dca.ca.gov/csac) | Email:[csac@dca.ca.gov](mailto:csac@dca.ca.gov)



## MEMORANDUM

<b>DATE</b>	December 11, 2018
<b>TO</b>	Commissioners California State Athletic Commission
<b>FROM</b>	Andy Foster, Executive Officer California State Athletic Commission
<b>SUBJECT</b>	<b>Agenda Item #7</b> – Review and Approval of the California State Athletic Commission Draft Sunset Report

The Sunset Review Process is the term for the Legislative Oversight hearing that is conducted on a periodic basis (usually every four years) for the Commission. The first step of this hearing is answering the questionnaire that was sent out from Legislative staff. Once the Commission approves the questionnaire that highlights new issues, Legislative staff will then create the "Background Paper" that is distributed to the legislators that sit on the Business and Professions Committee of the Assembly and the Senate and the Assembly Arts and Entertainment Committee.

In the "Background Paper" legislative staff will make a recommendation as to whether the Commission should be continued and give an extension in the law. The Chair of the Committee(s) will set a date for the "Sunset" hearing and the Chair and the Executive Officer will attend to represent the Commission and answer relevant questions.

Attached you will find the questionnaire from the Legislative Staff and the Commission's responses for your review and approval.

# CALIFORNIA STATE ATHLETIC COMMISSION

## BACKGROUND INFORMATION AND OVERVIEW OF THE CURRENT REGULATORY PROGRAM

As of October 2018

### Section 1 –

#### Background and Description of the Board and Regulated Profession

Provide a short explanation of the history and function of the board.<sup>1</sup> Describe the occupations/profession that are licensed and/or regulated by the board (Practice Acts vs. Title Acts).

*The California Athletic Commission (Commission) was created by an initiative in 1924 and is now a part of the Department of Consumer Affairs (DCA). The Commission was established because of the increasing number of boxer injuries/deaths occurring in the ring; and the involvement of unethical persons, management and promoters in the sport. Prior to the Commission, no government agency existed to provide oversight of managers, promoters, event officiating; or to protect the health and ensure the safety of the participants. Today, the Commission oversees the licensing, prohibited substance testing, and event regulation throughout the state with a seven-member Commission; five appointed by the Governor, one by the Senate Rules Committee, and one by the Speaker of the Assembly. The Commission meets at least six times per year to 1) handle matters related to licensure and appeals of license denials, suspensions and fines; 2) propose and review regulations or legislation focused on maintaining the health and safety of fighters; 3) consider issues related to the Boxer's Pension Program and the Neurological Examination Account; 4) evaluate funding and revenue strategies; and 5) address a variety of topics brought forth by stakeholders. The Commission licenses fighters, promoters, managers, seconds, matchmakers, referees, judges, timekeepers, professional trainers and approves ringside physicians. The Commission regulates professional events within its jurisdiction and regulates each event by staffing the event with several specialized and well-trained athletic inspectors to enforce the regulations related to combat sporting events.*

1. Describe the make-up and functions of each of the board's committees (cf., Section 12, Attachment B).

*Advisory Committee on Medical and Safety Standards specifically authorized by Business and Professions Code section 18645. This Committee consists of five licensed physicians and surgeons appointed by the Commission. The purpose is to recommend medical and safety standards for the conduct of boxing and mixed martial arts contests. The current members are: Dr. Paul Wallace, (Chair), Dr. Rudolph-Bear Gamboa, Dr. Brian Estwick, Dr. Rhonda Rand, and Dr. Jonathan Schleimer.*

*Ringside Officials Subcommittee (Commissioners Carvelli and Shen-Urquidez) Formed by the Chair to Evaluate and recommend any changes to the training, evaluation, and pay of all officials. Priority is to ensure proper training and education so that the officials in California are aware of and skilled in the rules and regulations within the State.*

---

<sup>1</sup> The term "board" in this document refers to a board, bureau, commission, committee, department, division, program, or agency, as applicable. Please change the term "board" throughout this document to appropriately refer to the entity being reviewed.

Boxers Pension Plan Subcommittee (Commissioner Ayala and Vice Chair Lehman) Formed by the Chair to work with the Executive Officer to make needed changes to regulatory language and to review the Investments and pension accounting to ensure proper processes are followed and contract scope is adhered to.

Large Event Incentive Subcommittee (Chair Carvelli and Commissioners Shen-Urquidez) Formed by the Chair in response to losing fight events to other states aggressively soliciting away from CA citing non-resident tax requirements and offering other incentives. An effort is well underway to outreach and advocate the benefits of holding events in CA including but not limited to having the best judges and officials backed by a well organized and professional CSAC.

Gender Equity Subcommittee (Vice Chair Lehman, Commissioner Shen-Urquidez) Formed by the Chair to research and address issues related to gender equity including but not limited to sexual harassment in gyms and/or competitions, length of rounds in female boxing compared to female mixed martial arts, income/pay disparity, weight cutting for females, education for trainers to understand gender specific issues, and improper barriers to those of different genders for fight, coaching, and/or management opportunities.

<b>Table 1a. Attendance</b>			
<b>JOHN CARVELLI</b>			
Date Appointed:	5/8/2013		
Meeting Type	Meeting Date	Meeting Location	Attended?
Regular Meeting	10/2/2018	Los Angeles, CA	Y
Regular Meeting	7/24/2018	San Diego, CA	Y
Regular Meeting	5/8/2018	Los Angeles, CA	Y
Regular Meeting	2/27/2018	Anaheim, CA	Y
Regular Meeting	12/12/2017	Sacramento, CA	Y
Regular Meeting	10/17/2017	Los Angeles, CA	Y
Regular Meeting	8/15/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	6/5/2017	Various	Y
Regular Meeting	5/16/2017	Anaheim, CA	Y
Regular Meeting	3/14/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	3/13/2017	Various	Y
Regular Meeting	12/16/2016	Sacramento, CA	Y
Regular Meeting	10/18/2016	Los Angeles, CA	Y
Regular Meeting	7/12/2016	Los Angeles, CA	Y
Regular Meeting	4/25/2016	Costa Mesa, CA	Y
Regular Meeting	2/2/2016	Los Angeles, CA	Y
Regular Meeting	11/17/2015	Sacramento, CA	Y
Special Meeting (Teleconference)	9/30/2015	Various	Y
Regular Meeting	9/1/2015	Los Angeles, CA	Y
Regular Meeting	6/23/2015	Los Angeles, CA	Y
Regular Meeting	4/28/2015	San Diego, CA	Y
Regular Meeting	2/18/2015	Los Angeles, CA	Y
Regular Meeting	12/3/2014	Sacramento, CA	Y

Special Meeting (Teleconference)	11/21/2014	Various	Y
Regular Meeting	10/20/2014	Van Nuys, CA	Y
Special Meeting (Teleconference)	9/3/2014	Various	Y
Regular Meeting	8/11/2014	Los Angeles, CA	Y
Special Meeting (Teleconference)	6/26/2014	Various	Y
Regular Meeting	5/16/2014	Sacramento, CA	Y
Regular Meeting	3/17/2014	Sacramento, CA	Y
Regular Meeting	2/10/2014	Sacramento, CA	Y
Regular Meeting	12/16/2013	Sacramento, CA	Y
Regular Meeting	10/7/2013	Los Angeles, CA	Y
Regular Meeting	8/5/2013	Los Angeles, CA	Y
Regular Meeting	6/10/2013	Los Angeles, CA	Y

<b>MARY LEHMAN</b>			
Date Appointed:	3/28/2013		
Meeting Type	Meeting Date	Meeting Location	Attended?
Regular Meeting	10/2/2018	Los Angeles, CA	Y
Regular Meeting	7/24/2018	San Diego, CA	Y
Regular Meeting	5/8/2018	Los Angeles, CA	Y
Regular Meeting	2/27/2018	Anaheim, CA	Y
Regular Meeting	12/12/2017	Sacramento, CA	Y
Regular Meeting	10/17/2017	Los Angeles, CA	Y
Regular Meeting	8/15/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	6/5/2017	Various	Y
Regular Meeting	5/16/2017	Anaheim, CA	Y
Regular Meeting	3/14/2017	Los Angeles, CA	N
Special Meeting (Teleconference)	3/13/2017	Various	Y
Regular Meeting	12/16/2016	Sacramento, CA	Y
Regular Meeting	10/18/2016	Los Angeles, CA	Y
Regular Meeting	7/12/2016	Los Angeles, CA	N
Regular Meeting	4/25/2016	Costa Mesa, CA	Y
Regular Meeting	2/2/2016	Los Angeles, CA	Y
Regular Meeting	11/17/2015	Sacramento, CA	N
Special Meeting (Teleconference)	9/30/2015	Various	Y
Regular Meeting	9/1/2015	Los Angeles, CA	Y
Regular Meeting	6/23/2015	Los Angeles, CA	Y
Regular Meeting	4/28/2015	San Diego, CA	Y
Regular Meeting	2/18/2015	Los Angeles, CA	Y
Regular Meeting	12/3/2014	Sacramento, CA	Y
Special Meeting (Teleconference)	11/21/2014	Various	Y
Regular Meeting	10/20/2014	Van Nuys, CA	Y
Special Meeting (Teleconference)	9/3/2014	Various	Y
Regular Meeting	8/11/2014	Los Angeles, CA	Y
Special Meeting (Teleconference)	6/26/2014	Various	Y
Regular Meeting	5/16/2014	Sacramento, CA	Y



Regular Meeting	3/17/2014	Sacramento, CA	Y
Regular Meeting	2/10/2014	Sacramento, CA	Y
Regular Meeting	12/16/2013	Sacramento, CA	N
Regular Meeting	10/7/2013	Los Angeles, CA	Y
Regular Meeting	8/5/2013	Los Angeles, CA	Y
Regular Meeting	6/10/2013	Los Angeles, CA	Y
Regular Meeting	4/22/2013	Los Angeles, CA	Y

<b>JOHN FRIERSON</b>			
Date Appointed:	5/30/2002		
Meeting Type	Meeting Date	Meeting Location	Attended?
Regular Meeting	10/2/2018	Los Angeles, CA	Y
Regular Meeting	7/24/2018	San Diego, CA	N
Regular Meeting	5/8/2018	Los Angeles, CA	Y
Regular Meeting	2/27/2018	Anaheim, CA	Y
Regular Meeting	12/12/2017	Sacramento, CA	N
Regular Meeting	10/17/2017	Los Angeles, CA	Y
Regular Meeting	8/15/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	6/5/2017	Various	Y
Regular Meeting	5/16/2017	Anaheim, CA	N
Regular Meeting	3/14/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	3/13/2017	Various	Y
Regular Meeting	12/16/2016	Sacramento, CA	N
Regular Meeting	10/18/2016	Los Angeles, CA	Y
Regular Meeting	7/12/2016	Los Angeles, CA	Y
Regular Meeting	4/25/2016	Costa Mesa, CA	N
Regular Meeting	2/2/2016	Los Angeles, CA	Y
Regular Meeting	11/17/2015	Sacramento, CA	N
Special Meeting (Teleconference)	9/30/2015	Various	N
Regular Meeting	9/1/2015	Los Angeles, CA	N
Regular Meeting	6/23/2015	Los Angeles, CA	Y
Regular Meeting	4/28/2015	San Diego, CA	Y
Regular Meeting	2/18/2015	Los Angeles, CA	Y
Regular Meeting	12/3/2014	Sacramento, CA	Y
Special Meeting (Teleconference)	11/21/2014	Various	Y
Regular Meeting	10/20/2014	Van Nuys, CA	Y
Special Meeting (Teleconference)	9/3/2014	Various	Y
Regular Meeting	8/11/2014	Los Angeles, CA	Y
Special Meeting (Teleconference)	6/26/2014	Various	Y
Regular Meeting	5/16/2014	Sacramento, CA	Y
Regular Meeting	3/17/2014	Sacramento, CA	Y
Regular Meeting	2/10/2014	Sacramento, CA	Y
Regular Meeting	12/16/2013	Sacramento, CA	Y
Regular Meeting	10/7/2013	Los Angeles, CA	Y
Regular Meeting	8/5/2013	Los Angeles, CA	Y

Regular Meeting	6/10/2013	Los Angeles, CA	Y
Regular Meeting	4/22/2013	Los Angeles, CA	Y
Regular Meeting	2/25/2013	Los Angeles, CA	Y
Regular Meeting	12/3/2012	Los Angeles, CA	Y
Regular Meeting	10/8/2012	Los Angeles, CA	Y
Regular Meeting	8/8/2012	Sacramento, CA	Y
Regular Meeting	7/16/2012	Various	Y
Special Meeting	6/30/2012	Various	Y
Regular Meeting	6/26/2012	South El Monte, CA	Y
Regular Meeting	6/4/2012	San Diego, CA	Y
Regular Meeting	4/9/2012	Sacramento, CA	Y
Special Meeting	3/5/2012	Los Angeles, CA	Y
Regular Meeting	2/6/2012	Los Angeles, CA	Y
Regular Meeting	12/13/2011	Van Nuys, CA	Y
Regular Meeting	10/3/2011	Oakland, CA	Y
Regular Meeting	8/15/2011	Los Angeles, CA	Y
Regular Meeting	6/20/2011	Van Nuys, CA	Y
Special Meeting	5/18/2011	Los Angeles, CA	Y
Regular Meeting	4/18/2011	San Diego, CA	Y
Regular Meeting	2/4/2011	Van Nuys, CA	Y
Regular Meeting	12/2/2010	Sacramento, CA	Y
Regular Meeting	9/20/2010	Los Angeles, CA	Y
Special Meeting	8/18/2010	Los Angeles, CA	Y
Regular Meeting	7/26/2010	Sacramento, CA	Y
Strategic Planning Meeting	5/17/2010	Los Angeles, CA	Y
Regular Meeting	4/20/2010	Van Nuys, CA	Y
Regular Meeting	2/22/2010	Los Angeles, CA	Y
Regular Meeting	12/21/2009	Los Angeles, CA	Y
Regular Meeting	10/26/2009	Los Angeles, CA	Y
Regular Meeting	8/24/2009	Los Angeles, CA	Y
Regular Meeting	6/22/2009	Oakland, CA	Y
Special Meeting	6/1/2009	Various	Y
Regular Meeting	4/13/2009	Los Angeles, CA	N
Regular Meeting	2/10/2009	Van Nuys, CA	Y
Special Meeting	12/15/2008	Various	N
Regular Meeting	11/18/2008	Los Angeles, CA	Y
Special Meeting	10/22/2008	Los Angeles, CA	Y
Regular Meeting	9/19/2008	Van Nuys, CA	N
Regular Meeting	6/17/2008	Los Angeles, CA	N

<b>MARTHA SHEN-URQUIDEZ</b>			
Date Appointed:	3/28/2013		
<b>Meeting Type</b>	<b>Meeting Date</b>	<b>Meeting Location</b>	<b>Attended?</b>
Regular Meeting	10/2/2018	Los Angeles, CA	Y
Regular Meeting	7/24/2018	San Diego, CA	Y

Regular Meeting	5/8/2018	Los Angeles, CA	Y
Regular Meeting	2/27/2018	Anaheim, CA	Y
Regular Meeting	12/12/2017	Sacramento, CA	Y
Regular Meeting	10/17/2017	Los Angeles, CA	N
Regular Meeting	8/15/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	6/5/2017	Various	Y
Regular Meeting	5/16/2017	Anaheim, CA	Y
Regular Meeting	3/14/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	3/13/2017	Various	Y
Regular Meeting	12/16/2016	Sacramento, CA	Y
Regular Meeting	10/18/2016	Los Angeles, CA	Y
Regular Meeting	7/12/2016	Los Angeles, CA	Y
Regular Meeting	4/25/2016	Costa Mesa, CA	Y
Regular Meeting	2/2/2016	Los Angeles, CA	Y
Regular Meeting	11/17/2015	Sacramento, CA	Y
Special Meeting (Teleconference)	9/30/2015	Various	Y
Regular Meeting	9/1/2015	Los Angeles, CA	Y
Regular Meeting	6/23/2015	Los Angeles, CA	Y
Regular Meeting	4/28/2015	San Diego, CA	Y
Regular Meeting	2/18/2015	Los Angeles, CA	Y
Regular Meeting	12/3/2014	Sacramento, CA	Y
Special Meeting (Teleconference)	11/21/2014	Various	Y
Regular Meeting	10/20/2014	Van Nuys, CA	Y
Special Meeting (Teleconference)	9/3/2014	Various	Y
Regular Meeting	8/11/2014	Los Angeles, CA	Y
Special Meeting (Teleconference)	6/26/2014	Various	Y
Regular Meeting	5/16/2014	Sacramento, CA	Y
Regular Meeting	3/17/2014	Sacramento, CA	N
Regular Meeting	2/10/2014	Sacramento, CA	Y
Regular Meeting	12/16/2013	Sacramento, CA	Y
Regular Meeting	10/7/2013	Los Angeles, CA	Y
Regular Meeting	8/5/2013	Los Angeles, CA	Y
Regular Meeting	6/10/2013	Los Angeles, CA	Y
Regular Meeting	4/22/2013	Los Angeles, CA	Y

<b>VANGORDON SAUTER</b>			
Date Appointed:	5/8/2013		
Meeting Type	Meeting Date	Meeting Location	Attended?
Regular Meeting	10/2/2018	Los Angeles, CA	Y
Regular Meeting	7/24/2018	San Diego, CA	Y
Regular Meeting	5/8/2018	Los Angeles, CA	Y
Regular Meeting	2/27/2018	Anaheim, CA	Y
Regular Meeting	12/12/2017	Sacramento, CA	Y
Regular Meeting	10/17/2017	Los Angeles, CA	Y
Regular Meeting	8/15/2017	Los Angeles, CA	N

Special Meeting (Teleconference)	6/5/2017	Various	N
Regular Meeting	5/16/2017	Anaheim, CA	Y
Regular Meeting	3/14/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	3/13/2017	Various	Y
Regular Meeting	12/16/2016	Sacramento, CA	Y
Regular Meeting	10/18/2016	Los Angeles, CA	Y
Regular Meeting	7/12/2016	Los Angeles, CA	N
Regular Meeting	4/25/2016	Costa Mesa, CA	Y
Regular Meeting	2/2/2016	Los Angeles, CA	Y
Regular Meeting	11/17/2015	Sacramento, CA	Y
Special Meeting (Teleconference)	9/30/2015	Various	Y
Regular Meeting	9/1/2015	Los Angeles, CA	Y
Regular Meeting	6/23/2015	Los Angeles, CA	Y
Regular Meeting	4/28/2015	San Diego, CA	Y

### VERNON WILLIAMS

Date Appointed:	3/11/2015		
<b>Meeting Type</b>	<b>Meeting Date</b>	<b>Meeting Location</b>	<b>Attended?</b>
Regular Meeting	10/2/2018	Los Angeles, CA	Y
Regular Meeting	7/24/2018	San Diego, CA	Y
Regular Meeting	5/8/2018	Los Angeles, CA	Y
Regular Meeting	2/27/2018	Anaheim, CA	Y
Regular Meeting	12/12/2017	Sacramento, CA	Y
Regular Meeting	10/17/2017	Los Angeles, CA	Y
Regular Meeting	8/15/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	6/5/2017	Various	N
Regular Meeting	5/16/2017	Anaheim, CA	Y
Regular Meeting	3/14/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	3/13/2017	Various	Y
Regular Meeting	12/16/2016	Sacramento, CA	Y
Regular Meeting	10/18/2016	Los Angeles, CA	Y
Regular Meeting	7/12/2016	Los Angeles, CA	Y
Regular Meeting	4/25/2016	Costa Mesa, CA	Y
Regular Meeting	2/2/2016	Los Angeles, CA	Y
Regular Meeting	11/17/2015	Sacramento, CA	Y
Special Meeting (Teleconference)	9/30/2015	Various	Y
Regular Meeting	9/1/2015	Los Angeles, CA	Y
Regular Meeting	6/23/2015	Los Angeles, CA	Y
Regular Meeting	4/28/2015	San Diego, CA	Y

### LUIS AYALA

Date Appointed:	4/15/2015		
<b>Meeting Type</b>	<b>Meeting Date</b>	<b>Meeting Location</b>	<b>Attended?</b>
Regular Meeting	10/2/2018	Los Angeles, CA	N

Regular Meeting	7/24/2018	San Diego, CA	N
Regular Meeting	5/8/2018	Los Angeles, CA	Y
Regular Meeting	2/27/2018	Anaheim, CA	N
Regular Meeting	12/12/2017	Sacramento, CA	N
Regular Meeting	10/17/2017	Los Angeles, CA	Y
Regular Meeting	8/15/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	6/5/2017	Various	N
Regular Meeting	5/16/2017	Anaheim, CA	Y
Regular Meeting	3/14/2017	Los Angeles, CA	Y
Special Meeting (Teleconference)	3/13/2017	Various	N
Regular Meeting	12/16/2016	Sacramento, CA	N
Regular Meeting	10/18/2016	Los Angeles, CA	Y
Regular Meeting	7/12/2016	Los Angeles, CA	Y
Regular Meeting	4/25/2016	Costa Mesa, CA	Y
Regular Meeting	2/2/2016	Los Angeles, CA	Y
Regular Meeting	11/17/2015	Sacramento, CA	N
Special Meeting (Teleconference)	9/30/2015	Various	Y
Regular Meeting	9/1/2015	Los Angeles, CA	Y
Regular Meeting	6/23/2015	Los Angeles, CA	Y
Regular Meeting	4/28/2015	San Diego, CA	Y

<b>Table 1b. Board/Committee Member Roster</b>					
Member Name (Include Vacancies)	Date First Appointed	Date Re-appointed	Date Term Expires	Appointing Authority	Type (public or professional)
<b>JOHN CARVELLI</b>	5/8/2013	2/16/2018	1/1/2022	Governor	Public
<b>MARY LEHMAN</b>	3/28/2013	2/9/2017	1/1/2021	Governor	Public
<b>JOHN FRIERSON</b>	5/30/2002	1/9/2015	1/1/2019	Speaker of Assembly	Public
<b>MARTHA SHEN-URQUIDEZ</b>	3/28/2013	2/9/2017	1/1/2021	Governor	Public
<b>VAN GORDON SAUTER</b>	3/11/2015	2/16/2018	1/1/2021	Governor	Public
<b>VERNON WILLIAMS</b>	3/11/2015	N/A	1/1/2019	Governor	Professional
<b>LUIS AYALA</b>	4/15/2015	N/A	1/1/2019	Senate Rules Committee	Public

2. In the past four years, was the board unable to hold any meetings due to lack of quorum? If so, please describe. Why? When? How did it impact operations?

No

3. Describe any major changes to the board since the last Sunset Review, including, but not limited to:

- Internal changes (i.e., reorganization, relocation, change in leadership, strategic planning)

*The Commission developed a Strategic Plan in July 2018 which is expected to be approved in December and effective January 1, 2019.*

*The Governor appointed two public members to the Commission – Van Gordon Sauter and Dr. Vernon Williams. The Senate Rules Committee appointed a new public member, Luis Ayala.*

- All legislation sponsored by the board and affecting the board since the last sunset review.

N/A

- All regulation changes approved by the board the last sunset review. Include the status of each regulatory change approved by the board.

**Pension – Sections 401 – 406.** *The Commission identified three main problems with the administration of certain pension program regulations. First, the Plan regulations related to Lost Beneficiary / Forfeitures, section 405 (d), were not followed by the third-party administrator. The third-party administrator stated the 405 (d) regulation language was not followed because its intent was not clear. Secondly, the Commission identified some of the problems created by the third-party administrator not following 405 (d). Finally, the commission identified a funding source deficiency in the original language related to the Lost Beneficiary/ Forfeiture provisions. The changes in this regulation package addressed these problems.*

**Definitions, Prohibited Substances and Methods, Therapeutic Use Exemptions, Transgender Athletes – Sections 201.5, 303, 424-426 and 830-837.** *This regulation change mainly addressed the issues of prohibited substances and Transgender athletes. The Commission amended its regulations to adopt very strict bans on prohibited substances and methods; adopt a new regulation identifying the circumstances under which an athlete, when medically necessary, may receive approval to use a prohibited substance or method; adopt a regulation setting out the rules governing Transgender athletes who apply to compete in Commission-regulated events. This rulemaking also made a technical clean-up amendment to one of its definitions, necessitated by the proposed adoption of “Transgender Athletes” regulations.*

**Headgear – Section 610.** *This regulation change required the use of headgear in amateur contests for the protection of amateur boxers.*

**Dehydration & Rehydration – Sections 297, 299 and 300.** *This regulation change was necessary to have an immediate effect in providing a safer weigh-in procedure as well as testing of athletes for severe dehydration prior to a bout. It helps prevent athletes from using severe dehydration as a method to make the contracted bout weight. The regulation change allows the CSAC appointed ringside physicians to test athletes for dehydration and to remove a contestant from a fight if the athlete is deemed to be severely dehydrated. Also, the regulation change prohibits the use of intravenous therapies to regain hydration after a weigh-in.*

**Payment of Contestants – Section 232.** *This regulation change mainly addressed that Athletes are not provided a minimum purse for fighting. This has allowed some promoters to exploit athletes by paying them \$1.00 instead of an industry minimum. This regulation set a minimum purse amount of one hundred dollars (\$100) per round.*

4. Describe any major studies conducted by the board (cf. Section 12, Attachment C).

*The Commission studied and conducted two industry summits studying the affects of dehydration and rapid rehydration on the short term and long-term health of combat athletes.*

5. List the status of all national associations to which the board belongs.

*The Commission belongs to the Association of Boxing Commissions and the Association of Ringside Physicians.*

- Does the board's membership include voting privileges?

Yes

- List committees, workshops, working groups, task forces, etc., on which board participates.

*As part of the Association of Boxing Commissions, the Executive Officer of the Commission serves as Chair of the Medical Committee, Chair of the Governmental Affairs Committee, Chair of the Boxing Registry Committee, and Member of the Kickboxing Committee, The Chair of the Commission's Medical Advisory Committee are officers in the Association of Ringside Physicians.*

- How many meetings did board representative(s) attend? When and where?

*The Executive Officer met via teleconference over 30 times with various committees to discuss policy changes/proposals. The Executive Officer attended the Association of Boxing Commissions Annual Conference in 2015, 2016, 2017, and 2018. The Chair, Vice Chair, and many of the Commission's ringside physicians attended (at their own expense) the Association of Ringside Physicians Conference where important health topics were deliberated. Discussion points included dehydration in athletes, blood borne illness and its relevance to combat sports, identification and treatment of skin diseases, importance of close liaison between referees and ringside doctors, orthopedic injuries, pulmonary issues associated with combat sports, maxillofacial injures, lacerations and their treatment, traumatic brain injury and concussions, Second Impact Syndrome and the associated "Golden Hour" and seizures.*

- If the board is using a national exam, how is the board involved in its development, scoring, analysis, and administration?

*The Commission does not use a national exam.*

## **Section 2 – Performance Measures and Customer Satisfaction Surveys**

6. Provide each quarterly and annual performance measure report for the board as published on the DCA website.

The Commission's enforcement activity differs from the methods and procedures used by the DCA and its other boards and bureaus. Reporting of this type of performance measure does not apply to the Commission and is; therefore, not displayed on the DCA website.

7. Provide results for each question in the board's customer satisfaction survey broken down by fiscal year. Discuss the results of the customer satisfaction surveys.

*Although the Commission believes in the benefits of a customer satisfaction survey, lack of resources and historically very few responses have prevented us from conducting further surveys.*

**Section 3 –  
Fiscal and Staff**

**Fiscal Issues**

8. Is the board's fund continuously appropriated? If yes, please cite the statute outlining this continuous appropriation.

No

9. Describe the board's current reserve level, spending, and if a statutory reserve level exists.

*The Commission's current fund balance is approximately \$1.1 Million, which represents approximately 8.0 months of reserve. This is a significant increase since our last Sunset review. The Commission is in good fiscal health meeting the forecasted budget while regulating more events.*

10. Describe if/when a deficit is projected to occur and if/when fee increase, or reduction is anticipated. Describe the fee changes (increases or decreases) anticipated by the board.

*Since the last Sunset Review, the Civil Service Union Contract has authorized several cost of living increases, as well as increased costs to basic operations. . Since the Commission does not receive but a small percentage of its revenue from license types, we are not asking for a license fee increase. We instead are requesting an increase to the statutory cap for box office gates and for broadcast contract caps. The Commission suggests to the legislature that a cap increase from a gross box office of \$2 Million dollars to \$3 Million dollars is appropriate, as well as an increase in the TV tax cap currently at \$35,000 to \$45,000.*

<b>Table 2. Fund Condition</b>						
(Dollars in Thousands)	FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18	FY 2018/19	FY 2019/20
Beginning Balance*	462	593	1044	927	1025	1300
Revenues and Transfers	1694	1919	1562	1993	2094	2098
<b>Total Revenue</b>	<b>\$ 2156</b>	<b>\$ 2512</b>	<b>\$ 2606</b>	<b>\$ 2920</b>	<b>\$ 3119</b>	<b>\$3398</b>
Budget Authority	1421	1471	1620	1794	1694	1694
Expenditures**	1393	1466	1679	1895	1819	1867
Loans to General Fund						
Accrued Interest, Loans to General Fund						



Loans Repaid From General Fund						
<b>Fund Balance</b>	\$ 763	\$ 1046	\$927	\$1025	\$1300	\$1531
<b>Months in Reserve</b>	6.2	7.5	5.9	6.8	8.4	9.7

\* Includes prior year adjustments

\*\* Includes direct draws from the fund

11. Describe the history of general fund loans. When were the loans made? When have payments been made to the board? Has interest been paid? What is the remaining balance?

*Not Applicable.*

12. Describe the amounts and percentages of expenditures by program component. Use Table 3. *Expenditures by Program Component* to provide a breakdown of the expenditures by the board in each program area. Expenditures by each component (except for pro rata) should be broken out by personnel expenditures and other expenditures.

<b>Table 3. Expenditures by Program Component</b>								
(list dollars in thousands)								
	FY 2014/15		FY 2015/16		FY 2016/17		FY 2017/18	
	Personnel Services	OE&E	Personnel Services	OE&E	Personnel Services	OE&E	Personnel Services	OE&E
Enforcement	362	308	526	248	596	265	479	267
Examination								
Licensing	140	84	254	77	281	62	181	74
Administration *	70	42	127	39	141	31	91	37
DCA Pro Rata		162		190		222		276
Diversion (if applicable)								
<b>TOTALS</b>	<b>\$572</b>	<b>\$ 596</b>	<b>\$ 907</b>	<b>\$554</b>	<b>\$1018</b>	<b>\$580</b>	<b>\$751</b>	<b>\$654</b>

\*Administration includes costs for executive staff, board, administrative support, and fiscal services.

13. Describe the amount the board has contributed to the BreEZe program. What are the anticipated BreEZe costs the board has received from DCA?

14. Describe license renewal cycles and history of fee changes in the last 10 years. Give the fee authority (Business and Professions Code and California Code of Regulations citation) for each fee charged by the board.

*All licenses expire 12 months after issuance and are renewed for 12 months periods.*

<b>Table 4. Fee Schedule and Revenue</b>							
Fee	Current Fee Amount	Statutory Limit	FY 2014/15 Revenue	FY 2015/16 Revenue	FY 2016/17 Revenue	FY 2017/18 Revenue	% of Total Revenue
<b>Gate Taxes (BPC 18824)</b>	5% of Gate	\$100,000	\$1,003,000	\$1,122,822	\$978,663	\$1,051,155	54.3%
<b>Television Taxes (BPC 18824)</b>	5% of TV Revenue	\$35,000	\$417,000	\$510,991	\$285,512	\$610,238	31.5%
<b>Professional (Club)</b>	\$1,000	\$1000	\$50,000	\$48,000	\$58,000	\$43,250	2.2%

Promoter (BPC 18804)								
Professional Referee (BPC 18812)	\$150	\$150	\$6,000	\$6,900	\$5,250	\$9,600	0.5%	
Professional Manager (BPC 18810)	\$150	\$150	\$14,700	\$18,750	\$27,600	\$16,050	0.8%	
Second (BPC 18811)	\$50	\$50	\$100,400	\$102,850	\$101,850	\$96,690	5%	
Timekeeper (BPC 18814)	\$50	\$50	\$650	\$350	\$550	\$1,100	0.1%	
Professional Fighter (BPC 18809)	\$60	\$60	\$66,480	\$71,580	\$71,640	\$68,460	3.5%	
Professional Judge (BPC 18812)	\$150	\$150	\$7,350	\$6,600	\$10,500	\$12,050	0.6%	
Matchmaker (BPC 18806)	\$200	\$200	\$3,600	\$4,800	\$2,200	\$4,400	0.2%	
Professional Trainer	\$200	\$200	\$7,400	\$11,000	\$23,200	\$14,200	0.7%	
Federal ID Cards	\$20	\$20	\$8,580	\$8,280	\$7,900	\$8,315	0.4%	

15. Describe Budget Change Proposals (BCPs) submitted by the board in the past four fiscal years.

**FY 2017-18**

**1111-003-BCP-BR-2017-GB** – The Commission requested Budget Bill Language to allow a budget augmentation not to exceed \$200,000 for the Support Program and \$50,000 for the Neurological Program in fiscal year 2017-18 and ongoing to allow the Commission to adequately staff events, provide statutorily required Athletic Inspector training and administer neurological exams to ensure fighter safety.

**FY 2016-17**

**1111-037-BCP-BR-2016-GB** – The Commission requested a budget augmentation of \$115,000 in fiscal year 2016-17 and \$107,000 ongoing to fund a 0.5 Associate Governmental Program Analyst and fund the additional drug testing necessary to regulate the provisions set forth in Senate Bill 469.

Table 5. Budget Change Proposals (BCPs)								
BCP ID #	Fiscal Year	Description of Purpose of BCP	Personnel Services				OE&E	
			# Staff Requested (include classification)	# Staff Approved (include classification)	\$ Requested	\$ Approved	\$ Requested	\$ Approved
1111-003-BCP-BR-2017-GB	2017-18	Budget Bill Language Athletic Inspector Costs			\$200,000 Support Program 50,000 for Neurological Program	\$200,000 Support Program 50,000 for Neurological Program		
1111-037-BCP-BR-2016-GB	2016-17	SB 469 - Drug Testing	0.5	0.5	\$51,000	\$51,000	\$64,000	\$64,000

**Staffing Issues**

16. Describe any board staffing issues/challenges, i.e., vacancy rates, efforts to reclassify positions, staff turnover, recruitment and retention efforts, succession planning.

*Since the last Sunset Review, the Commission made considerable modifications to its operations in order to maintain solvency, restore its fund balance to a healthy level, and establish a reserve for economic uncertainty. The Commission has been slowly restoring its staffing levels to ensure that the operational needs of the Commission are being met. The Commission does not currently have any vacancies.*

*In an effort to maintain solvency and as part of the Commission's restructuring efforts, the Executive Officer reclassified the existing Chief Athletic Inspector (CAI) vacancy to a Staff Services Manager I and absorbed most of the CAI duties. It was the EO's intention to eventually request the CAI position back once the Commission was able to do so. However, sometime in 2015, the California Department of Human Resources and/or State Personnel Board, abolished the Commission's CAI classification without the Commission's input or knowledge.*

*With the assistance of DCA, the Commission is working to resurrect the CAI series as a priority matter as the Commission continues to see an increasing number of events requiring the additional workload and responsibility of Athletic Inspectors. For now, to meet our responsibilities, until the CAI series classification is resurrected, the Commission has requested a full-time, 12-month Limited Term Supervising Special Investigator I (Non-Peace Officer) position to supervise the Commission's statewide team of Athletic Inspectors. This position is deemed critical.*

17. Describe the board's staff development efforts and how much is spent annually on staff development (cf., Section 12, Attachment D).

*Staff is encouraged, and in some cases directed, to attend the DCA's training classes which are included as part of the pro rata payment to DCA. Offered through DCA are training classes in the areas of analyst certification, career development, communications and customer service, personal development, conflict management skills, DCA leadership academy, enforcement, workplace awareness and technology.*

#### **Section 4 – Licensing Program**

18. What are the board's performance targets/expectations for its licensing<sup>2</sup> program? Is the board meeting those expectations? If not, what is the board doing to improve performance?

*There is no backlog in application processing at the Commission. Once the application has been completed, fees paid and required supporting documentation is submitted; the license is issued.*

19. Describe any increase or decrease in the board's average time to process applications, administer exams and/or issue licenses. Have pending applications grown at a rate that exceeds completed applications? If so, what has been done by the board to address them? What are the performance barriers and what improvement plans are in place? What has the board done and what is the board going to do to address any performance issues, i.e., process efficiencies, regulations, BCP, legislation?

---

<sup>2</sup> The term "license" in this document includes a license certificate or registration.

The Commission processes most athlete and seconds license applications very quickly with no more than a two-day delay. Promoter, manager, and matchmaker applications take longer because of the amount of information that is processed and the live scan requirement. The timely processing of licenses is performed adequately by the Commission.

20. How many licenses or registrations does the board issue each year? How many renewals does the board issue each year?

The Commission's athlete and seconds licenses are never delinquent or renewed, they are given a new license that is valid for one year which allows them to compete or work as a second in California. Officials, Managers, Matchmakers, Professional Trainers and Promoters must maintain and renew their license every year.

21. How many licenses or registrations has the board denied over the past four years based on criminal history that is determined to be substantially related to the qualifications, functions, or duties of the profession, pursuant to BPC § 480? Please provide a breakdown of each instance of denial and the acts the board determined were substantially related.

<b>Table 6. Licensee Population</b>					
		FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18
<b>Fighter</b>	Active	1105	1193	1194	1141
	Delinquent				
	Retired				
	Out of State				
	Out of Country				
<b>Promoter (Includes Temporary)</b>	Active	50	48	44	41
	Delinquent				
	Retired				
	Out of State				
	Out of Country				
<b>Manager</b>	Active	98	125	140	103
	Delinquent				
	Retired				
	Out of State				
	Out of Country				
<b>Second</b>	Active	2007	2056	2037	1930
	Delinquent				
	Retired				
	Out of State				
	Out of Country				
<b>Referee</b>	Active	40	46	35	54
	Delinquent				
	Retired				
	Out of State				
	Out of Country				
<b>Judge</b>	Active	49	44	58	
	Delinquent				90
	Retired				
	Out of State				
	Out of Country				

<b>Timekeeper</b>	Active	13	14	10	20
	Delinquent				
	Retired				
	Out of State				
	Out of Country				
<b>Matchmaker</b>	Active	9	24	9	22
	Delinquent				
	Retired				
	Out of State				
	Out of Country				
<b>Pro-Trainer</b>	Active	N/A	N/A	68	71
	Delinquent				
	Retired				
	Out of State				
	Out of Country				

**Table 7a. Licensing Data by Type**

Application Type	Received	Approved	Closed	Issued	Pending Applications			Cycle Times			
					Total (Close of FY)	Outside Board control*	Within Board control*	Complete Apps	Incomplete Apps	combined, IF unable to separate out	
FY 2015/16	(Exam)				-	-	-	-	-	-	
	(License)	3324	3321	3324	3321	25	0	25	14 days	30 days	n/a
	(Renewal)	229	229	229	229	-	-	-	14 days	30 days	n/a
FY 2016/17	(Exam)										
	(License)	3352	3348	3352	3348	16	0	16	14 days	30 days	n/a
	(Renewal)	256	256	256	256	-	-	-	14 days	30 days	n/a
FY 2017/18	(Exam)										
	(License)	3209	3204	3209	3204	18	0	18	14 days	30 days	n/a
	(Renewal)	272	272	272	272	-	-	-	14 days	30 days	n/a

\* Optional. List if tracked by the board.

**Table 7b. Total Licensing Data**

	FY 2015/16	FY 2016/17	FY 2017/18
<b>Initial Licensing Data:</b>			
Initial License/Initial Exam Applications Received	3324	3352	3209
Initial License/Initial Exam Applications Approved	3321	3348	3204
Initial License/Initial Exam Applications Closed	3324	3352	3209
License Issued	3321	3348	3204
<b>Initial License/Initial Exam Pending Application Data:</b>			

Pending Applications (total at close of FY)	25	16	18
Pending Applications (outside of board control)*	0	0	0
Pending Applications (within the board control)*	25	16	18
<b>Initial License/Initial Exam Cycle Time Data (WEIGHTED AVERAGE):</b>			
Average Days to Application Approval (All - Complete/Incomplete)	23 days	23 days	23 days
Average Days to Application Approval (incomplete applications)*	30 days	30 days	30 days
Average Days to Application Approval (complete applications)*	14 days	14 days	14 days
<b>License Renewal Data:</b>			
License Renewed	229	256	272
Note: The values in Table 7b are the aggregates of values contained in Table 7a.			
* Optional. List if tracked by the board.			

22. How does the board verify information provided by the applicant?

- a. What process does the board use to check prior criminal history information, prior disciplinary actions, or other unlawful acts of the applicant? Has the board denied any licenses over the last four years based on the applicant's failure to disclose information on the application, including failure to self-disclose criminal history? If so, how many times and for what types of crimes (please be specific)?

*The Commission verifies applicants' criminal history information by requiring fingerprints to be submitted for Promoters, Managers, and Matchmakers. The Commission has denied two licenses for failure to disclose criminal history. One was for violation of vehicle code 10851(a) – taking a vehicle without consent or vehicle theft – felony charge. The second license denial was for violation of penal code 12020(a) – possession, manufacturing, or selling dangerous weapon – felony charge that was reduced to misdemeanor.*

- b. Does the board fingerprint all applicants?

*No, the Commission fingerprints Promoters, Managers, and Matchmakers.*

- c. Have all current licensees been fingerprinted? If not, explain.

*All Promoters, Managers, and Matchmakers have been fingerprinted.*

- d. Is there a national databank relating to disciplinary actions? Does the board check the national databank prior to issuing a license? Renewing a license?

*The Commission utilizes the National Registry, Fight Fax, BoxRec.com, and ABC.MixedMartialArts.com for athlete suspensions prior to issuing a license.*

- e. Does the board require primary source documentation?

*No*

23. Describe the board's legal requirement and process for out-of-state and out-of-country applicants to obtain licensure.

*The process for out of country applicants is the same as state residents.*

24. Describe the board's process, if any, for considering military education, training, and experience for purposes of licensing or credentialing requirements, including college credit equivalency.

*Experience obtained in boxing, martial arts or kickboxing, including participating in hand to hand combat classes in the military is considered when approving matches.*

25. Does the board identify or track applicants who are veterans? If not, when does the board expect to be compliant with BPC § 114.5?

*No. The Board anticipated tracking this information once BreEZE was in place, however, the Commission is no longer acquiring BreEze. The Commission will have to manually track this information until the Commission obtains a tracking system (approximately 1-1.5 years).*

a. How many applicants offered military education, training or experience towards meeting licensing or credentialing requirements, and how many applicants had such education, training or experience accepted by the board?

N/A

b. What regulatory changes has the board made to bring it into conformance with BPC § 35?

*Experience obtained in boxing, martial arts or kickboxing, including participating in hand to hand combat classes in the military, is considered when approving matches.*

c. How many licensees has the board waived fees or requirements for pursuant to BPC § 114.3, and what has the impact been on board revenues?

N/A

d. How many applications has the board expedited pursuant to BPC § 115.5?

N/A

26. Does the board send No Longer Interested notifications to DOJ on a regular and ongoing basis? Is this done electronically? Is there a backlog? If so, describe the extent and efforts to address the backlog.

*The Commission has not submitted No Longer Interested notifications to DOJ because an applicant can renew or continue in the licensing process at any time; therefore, the Commission maintains an interest in receiving subsequent arrest notifications. The Commission's statutes do not designate an abandonment period for applications or expired licensees. It would be appropriate for the Commission to establish an abandoned/delinquent period to trigger submission of No Longer Interest notifications.*

### Examinations

Table 8. Examination Data			
California Examination (include multiple language) if any:			
License Type	N/A	N/A	N/A

	Exam Title	N/A	N/A	N/A
FY 2014/15	# of 1 <sup>st</sup> Time Candidates	N/A	N/A	N/A
	Pass %	N/A	N/A	N/A
FY 2015/16	# of 1 <sup>st</sup> Time Candidates	N/A	N/A	N/A
	Pass %	N/A	N/A	N/A
FY 2016/17	# of 1 <sup>st</sup> Time Candidates	N/A	N/A	N/A
	Pass %	N/A	N/A	N/A
FY 2017/18	# of 1 <sup>st</sup> time Candidates	N/A	N/A	N/A
	Pass %	N/A	N/A	N/A
Date of Last OA		N/A	N/A	N/A
Name of OA Developer		N/A	N/A	N/A
Target OA Date		N/A	N/A	N/A
<b>National Examination (include multiple language) if any:</b>				
License Type		N/A	N/A	N/A
Exam Title		N/A	N/A	N/A
FY 2014/15	# of 1 <sup>st</sup> Time Candidates	N/A	N/A	N/A
	Pass %	N/A	N/A	N/A
FY 2015/16	# of 1 <sup>st</sup> Time Candidates	N/A	N/A	N/A
	Pass %	N/A	N/A	N/A
FY 2016/17	# of 1 <sup>st</sup> Time Candidates	N/A	N/A	N/A
	Pass %	N/A	N/A	N/A
FY 2017/18	# of 1 <sup>st</sup> time Candidates	N/A	N/A	N/A
	Pass %	N/A	N/A	N/A
Date of Last OA		N/A	N/A	N/A
Name of OA Developer		N/A	N/A	N/A
Target OA Date		N/A	N/A	N/A

27. Describe the examinations required for licensure. Is a national examination used? Is a California specific examination required? Are examinations offered in a language other than English?

N/A

28. What are pass rates for first time vs. retakes in the past 4 fiscal years? (Refer to Table 8: Examination Data) Are pass rates collected for examinations offered in a language other than English?

N/A

29. Is the board using computer-based testing? If so, for which tests? Describe how it works. Where is it available? How often are tests administered?

No

30. Are there existing statutes that hinder the efficient and effective processing of applications and/or examinations? If so, please describe.



No

## School approvals

31. Describe legal requirements regarding school approval. Who approves your schools? What role does BPPE have in approving schools? How does the board work with BPPE in the school approval process?

*The Commission does not approve schools. Rather, the Association of Boxing Commissions, of which the Commission is a member, approves training programs. Also, the Commission and Executive Officer work together to develop inspector training courses.*

32. How many schools are approved by the board? How often are approved schools reviewed? Can the board remove its approval of a school?

N/A

33. What are the board's legal requirements regarding approval of international schools?

N/A

## Continuing Education/Competency Requirements

34. Describe the board's continuing education/competency requirements, if any. Describe any changes made by the board since the last review.

*Inspectors and Officials receive some form of new training every 6 months. The Commission is looking into an online option for training Officials once a year.*

a. How does the board verify CE or other competency requirements? Has the Board worked with the Department to receive primary source verification of CE completion through the Department's cloud?

*Certificate of completion along with a passing score.*

b. Does the board conduct CE audits of licensees? Describe the board's policy on CE audits.

*The Commission maintains a record of officials that have taken the training courses approved by the Commission and ensures that competent officials are assigned based partly upon continuing education attendance.*

c. What are consequences for failing a CE audit?

*Additional training may be recommended, and assignments may not be given.*

d. How many CE audits were conducted in the past four fiscal years? How many fails? What is the percentage of CE failure?

N/A

e. What is the board's course approval policy?

*Association of Boxing Commission approved course or equivalent.*

f. Who approves CE providers? Who approves CE courses? If the board approves them, what is the board application review process?

*The Executive Officer approves CE courses on behalf of the Commission.  
The Association of Boxing Commissions in cooperation with the California State Athletic Commission (CSAC), or a course designed by the California State Athletic Commission.*

g. How many applications for CE providers and CE courses were received? How many were approved?

*All Association of Boxing Commission approved courses are acceptable as well as CSAC created or endorsed testing.*

h. Does the board audit CE providers? If so, describe the board's policy and process.

*No.*

i. Describe the board's effort, if any, to review its CE policy for purpose of moving toward performance-based assessments of the licensee's continuing competence.

*The Commission continues to apply its process for evaluating licensed officials. That process is ongoing.*

## **Section 5 – Enforcement Program**

35. What are the board's performance targets/expectations for its enforcement program? Is the board meeting those expectations? If not, what is the board doing to improve performance?

*The Commission has sharply increased the amount of random drug testing performed in California. In 2015, the Commission obtained a vendor (Request A Test) to coordinate all scheduling, facilities, and equipment necessary to perform out-of-competition anabolic steroids, drugs of abuse, and diuretic screening on licensed athletes who reside around the world. Previous to this contract, testing an athlete required extensive coordination between Commission staff and inspectors, promoters, athletes, managers and trainers to set up a testing site in California. When compared with other jurisdictions, California is truly a model for drug testing and enforcement.*

36. Explain trends in enforcement data and the board's efforts to address any increase in volume, timeframes, ratio of closure to pending cases, or other challenges. What are the performance barriers? What improvement plans are in place? What has the board done and what is the board going to do to address these issues, i.e., process efficiencies, regulations, BCP, legislation?

*The Commission's enforcement is very different from the other Boards and Bureaus within DCA. The Commission's enforcement activities commonly involve athletes using prohibited substances*

and conduct that brings discredit to combative sports or the Commission. Enforcement activity also includes investigating unlicensed activity; however, currently there is no backlog in pending cases. The Commission closes enforcement cases within 30 days.

<b>Table 9a. Enforcement Statistics</b>			
	FY 2015/16	FY 2016/17	FY 2017/18
<b>COMPLAINT</b>			
Intake			
Received			
Closed			
Referred to INV			
Average Time to Close			
Pending (close of FY)			
Source of Complaint			
Public			
Licensee/Professional Groups			
Governmental Agencies			
Other			
Conviction / Arrest			
CONV Received			
CONV Closed			
Average Time to Close			
CONV Pending (close of FY)			
<b>LICENSE DENIAL</b>			
License Applications Denied			
SOIs Filed			
SOIs Withdrawn			
SOIs Dismissed			
SOIs Declined			
Average Days SOI			
<b>ACCUSATION</b>			
Accusations Filed			
Accusations Withdrawn			
Accusations Dismissed			
Accusations Declined			
Average Days Accusations			
Pending (close of FY)			
<b>DISCIPLINE</b>			
Disciplinary Actions			
Proposed/Default Decisions			
Stipulations			
Average Days to Complete			
AG Cases Initiated			
AG Cases Pending (close of FY)			
Disciplinary Outcomes			
Revocation			
Voluntary Surrender			
Suspension			
Probation with Suspension <sup>1</sup>			
Probation <sup>2</sup>			
Probationary License Issued			

Other			
<b>PROBATION</b>			
New Probationers			
Probations Successfully Completed			
Probationers (close of FY)			
Petitions to Revoke Probation			
Probations Revoked			
Probations Modified			
Probations Extended			
Probationers Subject to Drug Testing			
Drug Tests Ordered			
Positive Drug Tests			
Petition for Reinstatement Granted			
<b>DIVERSION</b>			
New Participants			
Successful Completions			
Participants (close of FY)			
Terminations			
Terminations for Public Threat			
Drug Tests Ordered			
Positive Drug Tests			

**Table 9b. Enforcement Statistics (continued)**

	FY 2015/16	FY 2016/17	FY 2017/18
<b>INVESTIGATION</b>			
All Investigations			
First Assigned			
Closed			
Average days to close			
Pending (close of FY)			
Desk Investigations			
Closed			
Average days to close			
Pending (close of FY)			
Non-Sworn Investigation			
Closed			
Average days to close			
Pending (close of FY)			
Sworn Investigation			
Closed			
Average days to close			
Pending (close of FY)			
<b>COMPLIANCE ACTION</b>			
ISO & TRO Issued			
PC 23 Orders Requested			
Other Suspension Orders			
Public Letter of Reprimand			
Cease & Desist/Warning			
Referred for Diversion			
Compel Examination			
<b>CITATION AND FINE</b>			
Citations Issued			
Average Days to Complete			
Amount of Fines Assessed			
Reduced, Withdrawn, Dismissed			
Amount Collected			
<b>CRIMINAL ACTION</b>			
Referred for Criminal Prosecution			

**Table 10. Enforcement Aging**

	FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18	Cases Closed	Average %
<b>Attorney General Cases (Average %)</b>						
Closed Within:						
0 - 1 Year						
1 - 2 Years						
2 - 3 Years						
3 - 4 Years						
Over 4 Years						
Total Attorney General Cases Closed						
<b>Investigations (Average %)</b>						
Closed Within:						
90 Days						
91 - 180 Days						
181 - 1 Year						
1 - 2 Years						
2 - 3 Years						
Over 3 Years						
Total Investigation Cases Closed						

37. What do overall statistics show as to increases or decreases in disciplinary action since last review?

*Disciplinary actions (suspension, denial of license, revocation) has remained consistent with market deviations. The Commission, as a regulatory and licensing body, is subject to market fluctuations. When more demand for combative sports exists, more enforcement is exercised.*

38. How are cases prioritized? What is the board's compliant prioritization policy? Is it different from DCA's *Complaint Prioritization Guidelines for Health Care Agencies* (August 31, 2009)? If so, explain why.

*Disciplinary actions are issued immediately, or shortly after occurrence. Consequently, establishing or adhering to a complaint prioritization policy is not applicable at this time.*

39. Are there mandatory reporting requirements? For example, requiring local officials or organizations, or other professionals to report violations, or for civil courts to report to the board actions taken against a licensee. Are there problems with the board receiving the required reports? If so, what could be done to correct the problems?

*Executive Officer reports all results and suspensions to the appropriate databases. Additionally, the Commission delegates all amateur sports to four organizations; California Amateur Mixed Martial Arts Organization (CAMO) – Amateur Mixed Martial Arts, USA Boxing – Amateur Boxing, United States Fight League (USFL) – Youth Pankration, International Kickboxing Federation (IKF) – Amateur Kickboxing. These organizations are responsible for reporting to the Commission all major injuries, medical and administrative suspensions, schedules of event and licensing fees, revenue and expense reports, and current year to date profit and loss statements.*

a. What is the dollar threshold for settlement reports received by the board?

N/A

b. What is the average dollar amount of settlements reported to the board?

N/A

40. Describe settlements the board, and Office of the Attorney General on behalf of the board, enter into with licensees.

N/A

a. What is the number of cases, pre-accusation, that the board settled for the past four years, compared to the number that resulted in a hearing?

b. What is the number of cases, post-accusation, that the board settled for the past four years, compared to the number that resulted in a hearing?

c. What is the overall percentage of cases for the past four years that have been settled rather than resulted in a hearing?

41. Does the board operate with a statute of limitations? If so, please describe and provide citation. If so, how many cases have been lost due to statute of limitations? If not, what is the board's policy on statute of limitations?

*This is not applicable to the Commission other than to point out the length of the license type expires one year from the date of issue. Most ABC member Commissions uphold each other's medical or disciplinary suspensions even after the license expires. This does not preclude the athlete from competing in a rogue jurisdiction or out of the country.*

42. Describe the board's efforts to address unlicensed activity and the underground economy.

*The Commission has a link on the website for reporting unlicensed activity. The Commission staff will investigate when a report is made. Primarily, licensed stakeholders will notify the Commission of unlicensed activity. This is frequent and is the Commission's best tool to address underground activity. Staff, when possible, scan websites, social media and blogs to locate illegal activity, but this is not always reliable. When unlicensed activity does come to our attention, the Commission staff coordinate with the DCA investigations unit and/or local law enforcement to implement a variety of enforcement tools available to the Commission. Sending inspectors to stop events as well as issuing Cease and Desist orders are a common method to deter illegal activity. The Commission also utilizes social media (Facebook, Twitter, and Instagram) to communicate to the public the requirements for licensure in California.*

#### **Cite and Fine**

43. Discuss the extent to which the board has used its cite and fine authority. Discuss any changes from last review and describe the last time regulations were updated and any changes that were made. Has the board increased its maximum fines to the \$5,000 statutory limit?

*The Commission issues citations to licensees for violations of the State Boxing Act. Effective January 1, 2016, through SB 469, the Commission received authority to assess additional fines*

*of up to 40 percent of an athlete's total purse for violations of Section 18649 related to the use of prohibited substances. Having this authority has provided a greater level of deterrence among the higher paid athletes.*

44. How is cite and fine used? What types of violations are the basis for citation and fine?

*Fines are used as a penalty and are usually accompanied by a suspension or order to correct conduct. They are commonly issued against licensed athletes for using prohibited substances and conduct that brings discredit to combative sports or to the Commission.*

45. How many informal office conferences, Disciplinary Review Committees reviews and/or Administrative Procedure Act appeals of a citation or fine in the last 4 fiscal years?

*Due to the extraordinary nature of Boxing as a sport and profession, the California State Athletic Commission (CSAC) is not subject to the provisions of the APA, as violations by CSAC licensees necessarily need to be handled immediately to protect the public welfare or is in the best interest of combat sports. However, in fulfilling the regulatory mandate, the Commission issues cease and desist orders for illegal events, suspensions and revocations for violations of the laws and statutes governing combat sports and conducts arbitrations for its licensees when a dispute arises from either a boxer-manager or boxer-promoter agreement. The Commission has sole authority over those proceedings.*

46. What are the 5 most common violations for which citations are issued?

1. *Overweight fines*
2. *Administration or Use of Prohibited Substances, Prohibited Methods*
3. *Discredit to Boxing*
4. *Failure to Report and/or Pay event fees/taxes*
5. *Contract Violations (Management, Promotional, or Bout)*

47. What is average fine pre- and post- appeal?

*Fine amounts are rarely reduced and vary greatly from \$100.00 to \$2,500.00. Since becoming effective, the Commission has utilized its authority to fine up to 40 percent of the athlete's purse, once, being a fine in the amount of \$205,000.*

48. Describe the board's use of Franchise Tax Board intercepts to collect outstanding fines.

*This has not been utilized for fines, but it is used to collect money owed from dishonored checks.*

### **Cost Recovery and Restitution**

49. Describe the board's efforts to obtain cost recovery. Discuss any changes from the last review.

*Since January 1, 2016, the Commission has the authority to seek cost recovery related to arbitration proceedings from the parties' subject to the proceedings; however, the Commission has not yet utilized its authority.*

50. How many and how much is ordered by the board for revocations, surrenders and probationers? How much do you believe is uncollectable? Explain.



N/A

51. Are there cases for which the board does not seek cost recovery? Why?

*Yes, the Commission has the authority to seek cost recovery related to arbitration proceedings from the parties' subject to the proceedings. The Commission requested this authority to deter people from abusing the arbitration protections and frivolous requests and since receiving this authority, the Commission has only facilitated arbitration on two contracts.*

52. Describe the board's use of Franchise Tax Board intercepts to collect cost recovery.

N/A

53. Describe the board's efforts to obtain restitution for individual consumers, any formal or informal board restitution policy, and the types of restitution that the board attempts to collect, i.e., monetary, services, etc. Describe the situation in which the board may seek restitution from the licensee to a harmed consumer.

N/A

<b>Table 11. Cost Recovery</b>		(list dollars in thousands)		
	FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18
Total Enforcement Expenditures				
Potential Cases for Recovery *				
Cases Recovery Ordered				
Amount of Cost Recovery Ordered				
Amount Collected				
* "Potential Cases for Recovery" are those cases in which disciplinary action has been taken based on violation of the license practice act.				

<b>Table 12. Restitution</b>		(list dollars in thousands)		
	FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18
Amount Ordered				
Amount Collected				

## Section 6 – Public Information Policies

54. How does the board use the internet to keep the public informed of board activities? Does the board post board meeting materials online? When are they posted? How long do they remain on the board's website? When are draft meeting minutes posted online? When does the board post final meeting minutes? How long do meeting minutes remain available online?

*The Commission posts meeting notices, agendas, and materials; training announcements; and interesting topics affecting the industry on its website. The meeting agendas are posted at least 10 days prior to the meeting date. Meeting materials are typically available one week before the meeting. Final minutes are posted on the website upon Commission approval and historically have remained on the web site for several years.*

55. Does the board webcast its meetings? What is the board's plan to webcast future board and committee meetings? How long to webcast meetings remain available online?

*Yes, the Commission webcasts all meetings. However, since there are various factors that could affect the Internet or end-user connections to this service, the availability and quality of this service is not guaranteed. If available, webcasts remain on DCA's web site for several years under the web case archives.*

56. Does the board establish an annual meeting calendar, and post it on the board's web site?

*Yes, the Commission does establish an annual meeting calendar; however, this is not posted on our website. The calendar is available in the meeting materials online for the first meeting of the year.*

57. Is the board's complaint disclosure policy consistent with DCA's *Recommended Minimum Standards for Consumer Complaint Disclosure*? Does the board post accusations and disciplinary actions consistent with DCA's *Web Site Posting of Accusations and Disciplinary Actions* (May 21, 2010)?

*Yes.*

58. What information does the board provide to the public regarding its licensees (i.e., education completed, awards, certificates, certification, specialty areas, disciplinary action, etc.)?

*The Commission provides event results on its website which indicates the winner/loser of every bout in California. Additionally, a list of all licensed Seconds and Professional Trainers, approved Ringside Physicians (RPs), approved ticket printers, and approved Boxing gloves for competition are available on the Commission's website.*

59. What methods are used by the board to provide consumer outreach and education?

*In addition to the Public Comment section, every Commission meeting invites stakeholder testimony to identify actions that may lead to greater opportunities for licensees to participate in major professional boxing contests. Additionally, the Commission has a Facebook, Instagram and Twitter page which is updated periodically. The Commission also issues press releases on points of interest to the public such as the Boxer's Pension Fund, dehydration and weight cutting advisories, and rule updates. Commission subcommittees have proven to be effective tools to further engage relevant issues and stakeholders.*

## **Section 7 – Online Practice Issues**

60. Discuss the prevalence of online practice and whether there are issues with unlicensed activity. How does the board regulate online practice? Does the board have any plans to regulate internet business practices or believe there is a need to do so?

*N/A*

## **Section 8 – Workforce Development and Job Creation**

61. What actions has the board taken in terms of workforce development?

*It has come to the Commission's attention that certain aspects of combat sports may affect those of different genders in improper and sometimes inequitable ways. In May 2018, the Commission created a subcommittee to research and address issues related to gender equity. Below are some of the issues that the subcommittee will address:*

- 1. Sexual harassment in gyms and/or competitions*
- 2. Length of rounds in female boxing compared to female mixed martial arts*
- 3. Income/pay disparity*
- 4. Weight cutting for females*
- 5. Education for trainers to understand gender specific issues*
- 6. Improper barriers to those of different genders for fight, coaching, and/or management opportunities*

62. Describe any assessment the board has conducted on the impact of licensing delays.

*The Commission does not have any licensing delays.*

63. Describe the board's efforts to work with schools to inform potential licensees of the licensing requirements and licensing process.

*The Commission has conducted outreach to California gyms and licensees regarding dehydration and weight cutting dangers, amongst other licensing requirements.*

64. Describe any barriers to licensure and/or employment the board believes exist.

*The Commission does not believe there are any barriers to licensure.*

65. Provide any workforce development data collected by the board, such as:

a. Workforce shortages

*N/A*

b. Successful training programs.

*N/A*

## **Section 9 – Current Issues**

66. What is the status of the board's implementation of the Uniform Standards for Substance Abusing Licensees?

*The Uniform Standards do not apply. However, all licensed athletes are required to submit to random drug testing (or regular drug testing if a prior test was failed). Athletes who are found to have used a prohibited substance are simply not permitted to participate in a California regulated combat sport again until their suspension period has ended and fine paid. The Commission contracts with UCLA Olympic Analytical Laboratory and utilizes the WADA banned substance list to ensure the highest level of integrity for regulated combat sports.*

67. What is the status of the board's implementation of the Consumer Protection Enforcement Initiative (CPEI) regulations?

N/A

68. Describe how the board is participating in development of BreEZe and any other secondary IT issues affecting the board.

*During the last sunset review the Commission was in Phase 3 of BreEZe implementation, however, the Department of Consumer Affairs (DCA) is no longer implementing Phase 3 of this project.*

a. Is the board utilizing BreEZe? What Release was the board included in? What is the status of the board's change requests?

N/A

b. If the board is not utilizing BreEZe, what is the board's plan for future IT needs? What discussions has the board had with DCA about IT needs and options? What is the board's understanding of Release 3 boards? Is the board currently using a bridge or workaround system?

*The Commission in conjunction with DCA, has developed a Business Modernization Plan to effectively facilitate the analysis, approval, and potential transition to a new licensing and enforcement platform. The Plan outlines our approach to identifying the Commission's specific business needs, determining cost effective options, and proposes initial schedules. The Plan also considers the impacts and requirements of the Project Approval Lifecycle (PAL), the project approval process required by the California Department of Technology. The Commission will perform a mandated cost benefit analysis or determine the cost effectiveness of a new platform.*

*The Commission began meeting with DCA project staff in May 2017. Initial meetings included general education and discussion about the business modernization effort and its staff and time demands. The Commission inventoried their business processes in August 2017 and is currently scheduled to begin business analysis in October 2019.*

## **Section 10 – Board Action and Response to Prior Sunset Issues**

Include the following:

1. Background information concerning the issue as it pertains to the board.
2. Short discussion of recommendations made by the Committees during prior sunset review.
3. What action the board took in response to the recommendation or findings made under prior sunset review.
4. Any recommendations the board has for dealing with the issue, if appropriate.

**Issue #1: (OUTDATED TECHNOLOGY SYSTEMS AND THE IMPLEMENTATION OF BreEZe.)** The Commission historically has had woefully outdated systems and conducts the bulk of its record keeping on Excel spreadsheets. The Commission is also included in the *last*

phase of the rollout for the DCA's new computer system, the BreEZe Project, the timeline for which is uncertain given the challenges DCA is having implementing that system.

*See Section 11, No. 1.*

**ISSUE #2: (EVENTS HELD ON TRIBAL LAND.)** These events are not required to be overseen by the Commission. Can the Commission do more to promote safety at events on tribal lands? Is the Commission able to track outcomes and possible athlete injuries at these events to ensure they are not licensed by the Commission to fight if they have been injured at an event held on tribal land?

*The Commission exists primarily to promote and ensure the health and safety of all combat athletes. Every action taken by the Commission is or should be made with all health and safety standards as our guide. By reaching out to all stakeholders and ensuring that we are available, easy to reach, responsive and dedicated, we hope to continue to prove that we can make the regulatory process user friendly and rewarding while protecting all athletes.*

*Thus far in calendar year 2018, the Commission regulated 157 events, of which 25 were on tribal lands. It is difficult to know the exact number of bouts taking place without oversight. The Commission regulates events held on tribal land to the same standard as non-tribal events. The Commission regulates these events by invitation and pursuant to a contract with a tribe. The licensing, medical, officials, inspectors, and insurance requirements are identical to other events in California. The fee structure is different with a Tribal land is a flat fee not to exceed \$4200 determined by seating capacity and size of the event (\$3000 for the support fund, \$600 for neurological fund, and \$600 for the pension fund). The Commission is aware of bouts that have likely taken place on tribal lands without the Commission oversight. While we regret this activity, we have no authority to stop it. The Commission has and will continue to extend invitation to all tribal and other organizations and offer our assistance to help them conduct safe and appropriate events.*

**ISSUE #3: (LICENSEES SERVING AS COMMISSIONERS.)** Questions have been raised as to why Commission licensees are not appointed to the Commission and that as a result, the Commission may not always receive their valuable input.

*While current licensees do not serve as Commissioners, a current Commissioner is a former professional boxer, another Commissioner is highly trained in kickboxing and martial arts, and the Executive Officer is a former Professional mixed martial artist, referee, and trainer therefore some degree of experienced input is present. Additionally, the Chair schedules stakeholder meetings where input from the licensed communities is heard and considered.*

**ISSUE #4: (MEDICAL ADVISORY COMMITTEE QUORUM.)** Are changes to the State Act necessary to ensure that this important committee meets on a regular basis?

*On March 14, 2017, the Commission met to appoint six members to the Advisory Committee on Medical and Safety Standards (Medical Advisory Committee). The Medical Advisory Committee meets at least twice per year to discuss key safety issues and recommendations agreed upon to submit to the Commission as a whole. There has been a quorum at all meetings held since 2017.*

**ISSUE #5: (TECHNICAL CHANGES MAY IMPROVE EFFECTIVENESS OF THE STATE ACT AND COMMISSION OPERATIONS.)** There are amendments to the Act that are technical in nature but may improve Commission operations and the enforcement of the Act.

**ISSUE #6: (LACK OF STAFFING.)** The Commission has been operating according to a solvency plan that may not provide enough staff for the Commission to do its job.

*The Commission made considerable modifications to its operations in order to maintain solvency, restore its fund balance to a healthy level, and establish a reserve for economic uncertainty. The Commission has been slowly restoring its staffing levels to ensure the operational needs of the Commission are being met.*

*As part of the efforts to restore staffing to appropriate levels, in July 2013, the Commission requested approval to re-classify a permanent full-time Chief Athletic Inspector (CAI) position to a permanent full-time Staff Services Manager I (SSMI) position to assist the Commission in implementing and maintaining the recommendations set forth by the Bureau of State Audits. In September 2013, the Commission filled this position. Since then, and as a result of this additional executive management resource, the Commission has made considerable improvements to its operations; however, many of the CAI duties were absorbed by the Executive Officer (EO), Assistant Executive Officer (AEO), Lead Athletic Inspectors, and office staff. These duties included matchmaking oversight, field operations, unlicensed activity investigations, and licensed official's evaluations. This has deferred the completion of many of the EO and AEO's regular duties including planning, organizing and directing the day-to-day operations of the Commission; developing and implementing new office and field policies and procedures; ensuring development of procedure manuals and updating them as needed; recruiting, assigning work, training staff, evaluating and monitoring staff performance; preparing corrective actions for staff if necessary; and overseeing field staff activity at regulated events.*

*In the last few years, the Commission has vastly improved its budgetary policies and revenue and expense tracking. Compared with a fund balance of \$23,000 (the equivalent of 0.1 months reserve) at the end of FY 2011/12, the Commission reported a balance of \$1.1 million in the reserve fund as of January 2017. While the reserve fund is now healthy, as a result of the cost-cutting measures, the Commission is still operating with a very lean staff. As such, staffing, along with appropriation, should return to a more normal level. It should also be noted that the Commission is currently working with less appropriation as it was ten years ago, in FY 2007/08.*

**ISSUE #7: (FLUIDITY IN REVENUES AND EXPENDITURES.)** The Commission's revenues are not predictable, not always stable and fluctuate greatly depending on the number of combat sporting events held in California for which the Commission provides oversight. Does the Commission need more flexibility in its spending authority?

*The Commission has greatly benefited from the budget bill language the Legislature authorized for additional inspectors and travel. The Commission does need some flexibility in the spending authority by increasing the appropriation to a level approaching FY 9-10. Very few agencies in California are operating at record revenue levels (hence the unpredictable fluctuation), but at a spending like 10 years ago. The fact that the new Fi\$Cal system is still not producing regular and timely budget reports aggravates an already precarious budgeting situation. The Commission needs flexibility and additional resources such as the restoration of the Chief Athletic Inspector and Assistant Chief Athletic Inspector.*

**ISSUE #8: (DRUG TESTING COSTS.)** The Commission conducts costly, important drug testing but at the same time may not be collecting enough money in fines to deter problematic behavior by its licensees that could in turn be used to continue to pay for these

**tests. Does the Commission need to increase its fines to the statutory \$2500 limit, or are there other options? Does the Commission need flexibility to spend monies collected from substance abuse violations on additional testing?**

*Effective January 1, 2016, through SB 469, the Commission received authority to assess additional fines of up to 40 percent of an athlete's total purse for violations of Section 18649 related to the use of prohibited substances. Having this authority has provided a greater level of deterrence among the higher paid athletes.*

**ISSUE #9: (ARBITRATION COST RECOVERY.) The Commission absorbs costs related to arbitration for contract disputes that it oversees that may impede its ability to maintain a healthy fund balance. Should participants in these proceedings reimburse the Commission for these costs?**

*Effective January 1, 2016 the Commission received authority to seek cost recovery related to arbitration proceedings from the parties subject to the proceedings.*

**ISSUE #10: (USE OF PERFORMANCE ENHANCING SUBSTANCES.) The Commission, as well as other states, has worked to evaluate whether there should be potential approval for licensees to use substances which are currently banned and whether there should be exemptions for therapeutic use of certain substances. Are there instances where substances should be used without penalty to the athlete? Are statutory clarifications necessary?**

*The Commission modified its regulations in 2015 to address the issues of prohibited substances and Transgender athletes. The Commission amended its regulations to adopt very strict bans on prohibited substances and methods; adopt a new regulation identifying the circumstances under which an athlete, when medically necessary, may receive approval to use a prohibited substance or method (through a Therapeutic Use Exemption); adopt a regulation setting out the rules governing Transgender athletes who apply to compete in Commission-regulated events. This rulemaking also made a technical clean-up amendment to one of its definitions, necessitated by the proposed adoption of "Transgender Athletes" regulations.*

**ISSUE #11: (TRANSGENDER LICENSURE.) Federal and state law prohibit discrimination on the basis of gender identity or expression. What steps is the Commission taking to license transgender combat sports participants?**

*This is addressed in #10 above.*

**ISSUE #12: (PROFESSIONAL BOXERS PENSION FUND.) Created in 1982, to provide benefits to former boxers, the Professional Boxers Pension Fund (Fund) may not be appropriately designed to meet the actual health and welfare needs of these athletes.**

*Since the last sunset, the Commission has significantly increased Pension Plan distributions to qualified retired boxers, despite the obstacles in locating potential claimants. The Commission's outreach efforts regarding the Pension Plan have improved over the past several years, however, remains limited in further efforts to locate eligible boxers. The Commission will benefit with the ability to publish on its web site and through social media a list of all potential claimants.*

**ISSUE #13: (PROPER USE OF THE NEUROLOCAL EXAMINATION FUND.) The Neurological Fund has never been used appropriately and the Commission should consider how the Fund could be best utilized to assess chronic traumatic brain injuries**



On 5/16/14, the Commission approved language to amend section 280 of the CC&R "Examination of Boxer Applicants", to establish a neurological assessment fee pursuant to B&P Code (BPC) Section 18711. The Department of Consumer Affairs (DCA) had concerns that the proposal did not completely address the Legislative intent of BPC 18711, and recommended the Commission modify the language to include all medical examinations required by athletes for licensure. As a result, the Commission realized that the regulation package would not be completed within the specified timeframe. At a Medical Advisory Committee Meeting held on 11/7/15, Committee members reviewed the proposed language which included DCA's recommendations and made necessary changes.

On 8/12/16, the Commission submitted to OAL, proposed changes to section 280, regarding specific medical examination procedures including an additional Neurological Examination Assessment. The Commission submitted a complete rulemaking file to DCA for review and approval on 11/16/16. As a result of the recent implementation of the C3 Logix Program and the change in the Commission's appropriation of the Neurological Fund, Commission staff recommended significant changes to the neurological assessment section 280(h) of the proposed language.

On 3/14/17, the Commission approved withdrawing the proposed regulation file Z-2016-0801-04, "Examination of Boxer Applicants" to allow additional time to prepare and propose a new rulemaking file.

On 8/15/17, the Commission approved proposed changes to section 280 and authorized staff to proceed with the rulemaking process. On 8/29/17, the rulemaking package was submitted to DCA and is currently in the review process by the Department and by the Business, Consumer Services and Housing Agency. We expect to have a regulation package ready to file with OAL by 12/1/18, and project approval and implementation by 12/1/19.

**ISSUE #14. (SHOULD THE COMMISSION BE CONTINUED?) Should the licensing and regulation of boxers, kickboxers and mixed martial arts athletes be continued and be regulated by the current Commission membership?**

*The Commission believes the Legislature should authorize the Commission to continue to regulate combat sports in California.*

## **Section 11 – New Issues**

This is the opportunity for the board to inform the Committees of solutions to issues identified by the board and by the Committees. Provide a short discussion of each of the outstanding issues, and the board's recommendation for action that could be taken by the board, by DCA or by the Legislature to resolve these issues (i.e., policy direction, budget changes, legislative changes) for each of the following:

1. Issues that were raised under prior Sunset Review that have not been addressed.

*The Committees raised staffing issues at the last Sunset Hearing. Specifically, the Committees raised the issues of Athletic Inspectors and The Commission "operating with a very lean staff." While the Commission's budget has increased incrementally since the last Sunset hearing, the Commission's appropriation, and by association staffing, are at a level less than 10 years ago. At the*



same time the Commission has been recording record revenues based on high-level televised events, the staff work to perform these functions has not kept pace. This is not a sustainable model for the Commission long term. To exacerbate the problem, the California Department of Human Resources, against the wishes of the Commission, eliminated the classifications of Chief Athletic Inspector AND Assistant Chief Athletic Inspector. The Commission needs to restore those positions, but at a minimum at least one of those positions along with the associated funding.

The Commission left the Chief Athletic Inspector position vacant for several years because the Executive Officer could perform matchmaking oversight and the Lead Inspectors could oversee the field operations, because this was a necessity to restore the Commission's fund Condition. The fund Condition of the Commission has increased from \$23,000.00 at the end of FY2011/12 to over 1 million dollars at the end of FY2017/18. The Commissions fund is now healthy and staffing, along with appropriation, should return to a more normal level.

The Commission is still using an Excel Spreadsheet to track internal licensing data. The Department is working with the Commission on a "Business Modernization Plan", however that is not scheduled to be implemented for more than two years. Additionally, the Commission never received the BreEZe system, as expected.

The Commission's fluidity in Revenues and Expenditures was discussed in depth in the last Sunset Background Paper and that has been addressed in part. The Department of Finance proposed, and the Legislature authorized, up to \$250,000.00 in additional resources if needed for Athletic Inspectors and Travel costs. This has proved to be very useful, and the Commission believes this should be ongoing, but also increasing the Commission's operating permanently.

The Budget Bill Language is helpful and necessary, but we also recommend an increase in staffing (restoring the Chief and Assistant Chief Athletic Inspector Classifications) with the associated appropriation to pay for those positions.

2. New issues that are identified by the board in this report.

3. New issues not previously discussed in this report.

Since the last Sunset Review, the Civil Service Union Contract has authorized several cost of living increases, as well as increased costs to basic operations. The cost of Government is increasing. Since the Commission does not receive but a small percentage of its revenue from license types, we are not asking for a license fee increase. We instead are requesting an increase to the statutory cap for box office gates and for broadcast contract caps. The Commission suggests to the legislature that a cap increase from a gross box office of 2 million dollars to 3 million dollars is appropriate, as well as an increase in the TV tax cap currently at \$35,000 to \$45,000.

4. New issues raised by the Committees.

The Advisory Committee on Medical and Safety Standards would like authority to engage in research opportunities under the direction of the Commission, with legislative support, through policy and appropriation.

**Section 12 –  
Attachments**

Please provide the following attachments:

- A. Board's administrative manual.
- B. Current organizational chart showing relationship of committees to the board and membership of each committee (cf., Section 1, Question 1).
- C. Major studies, if any (cf., Section 1, Question 4).
- D. Year-end organization charts for last four fiscal years. Each chart should include number of staff by classifications assigned to each major program area (licensing, enforcement, administration, etc.) (cf., Section 3, Question 15).

# AGENDA ITEM

## #8

### *Subcommittee Updates*

- *Pension Fund Subcommittee (Commissioners Lehman and Ayala)*



DEPARTMENT OF CONSUMER AFFAIRS  
 BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.



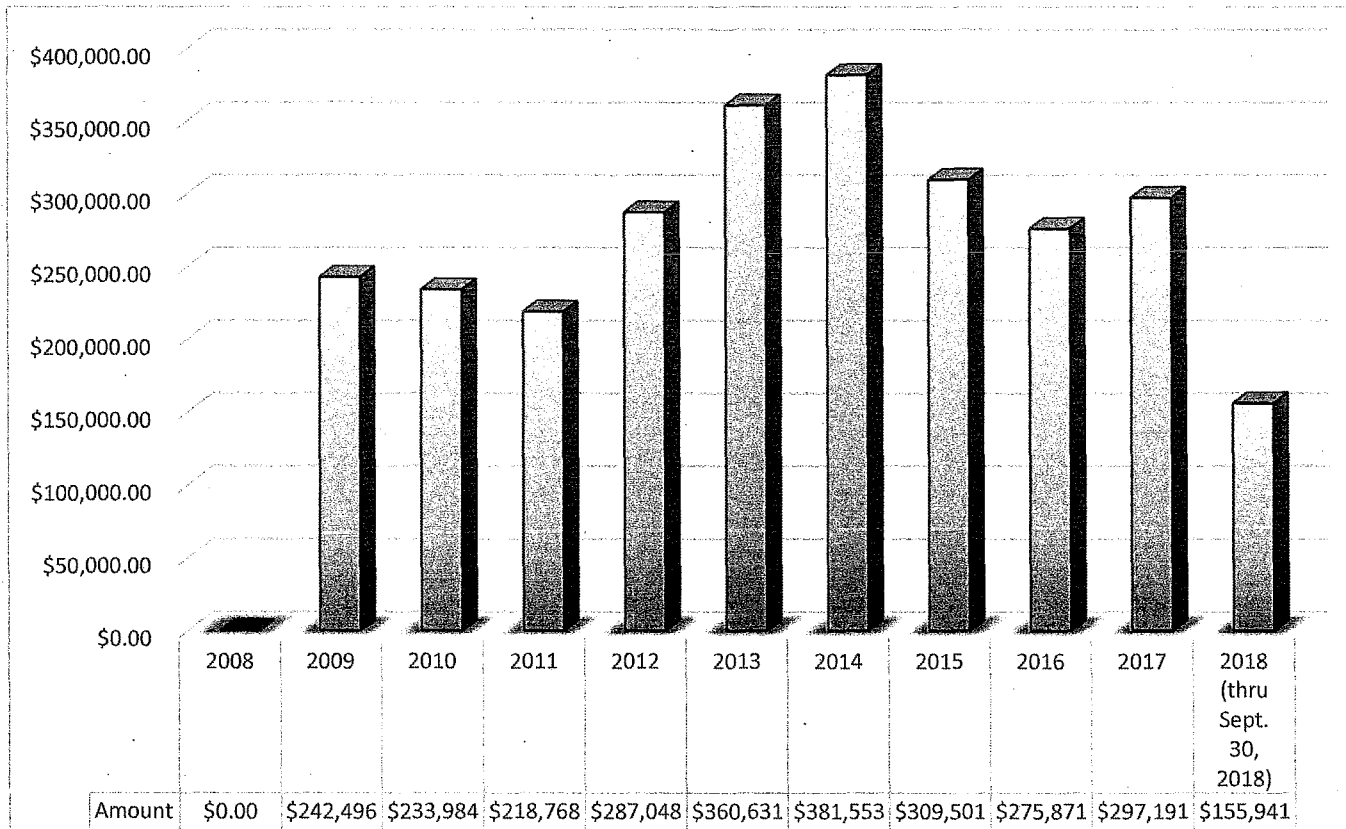
**CALIFORNIA STATE ATHLETIC COMMISSION**  
 2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815  
 Phone:(916) 263-2195 | Fax:(916) 263-2197  
 Website: [www.dca.ca.gov/csac](http://www.dca.ca.gov/csac) | Email:[csac@dca.ca.gov](mailto:csac@dca.ca.gov)

## MEMORANDUM

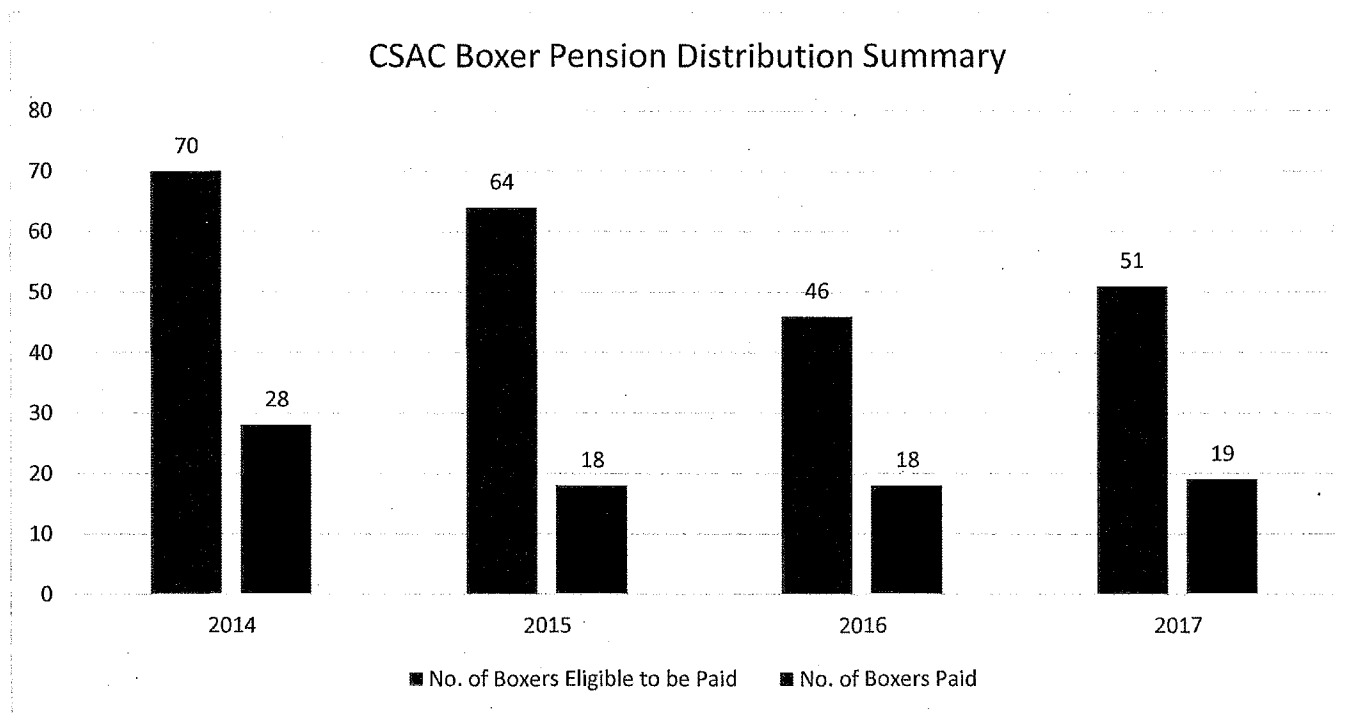
<b>DATE</b>	December 11, 2018
<b>TO</b>	Commissioners California State Athletic Commission
<b>FROM</b>	Andy Foster, Executive Officer California State Athletic Commission
<b>SUBJECT</b>	<b>Agenda Item #8 – Pension Fund Subcommittee</b>

The Commission has paid \$155,941.00 this year and 5 claims pending payment. There are currently 184 potential late claimants that are due distributions.

The following chart shows the pension distributions for the past 10 years.



The following chart indicates the number of boxers eligible and paid per year for the past 4 years.



State of California  
Athletic Commission  
Professional Boxers' Pension Plan

Annual Pension Report  
For the year 1/1/2017-12/31/2017  
INCOME STATEMENT

INCOME

Fees and licenses	-	
Promoter contributions	100,792	
Gain/(loss) on investments	252,636	
Interest/dividends	136,236	
<b>TOTAL INCOME</b>	<b>489,664</b>	<b>489,664</b>

EXPENSES

Statewide/Departmental prorata	4,742	
Staff Services Analyst position	83,012	
Admin overhead and expenses	6,185	
Plan administration fees	19,446	
Investment expenses	18,762	
Distributions paid to participants*	<b>227,733</b>	
<b>TOTAL EXPENSES</b>	<b>359,879</b>	<b>359,879</b>

<b>NET INCOME/(LOSS)</b>	<b>129,784</b>	
Trust balance at 1/1/2017	5,138,396	
Trust balance at 12/31/2017	<b>5,268,181</b>	

<i>Participants (with account balance) by classification:</i>	<i># of boxers</i>	<i>account totals</i>
Covered, fully vested boxers (C)	53	871,655
Covered boxers with Break in Service (C/B)	232	3,034,225
Covered boxers now pending (C/P)	5	38,076
Boxers who had a Break in Service this year (B)	340	-
Non-vested boxers with Break in Service (B/P)	32	51,325
Pending boxers not yet vested (P)	1245	1,215,769
Suspense account for future claimants		57,130
<b>Boxers eligible for benefits this year</b>		
Boxers within 3-year benefit window	43	791,986
Potential late claimants		2,123,708

<i>*Distributions paid by benefit category</i>	
Normal retirement	207,338
Death	11,668
Vocational early retirement	8,727
	<b>227,733</b>

<i>Expenses as a percentage of plan assets</i>	2.51%
<i>Expenses as a percentage of contributions</i>	130.51%

State of California  
Athletic Commission  
Professional Boxers' Pension Plan

Annual Pension Report  
12/31/2017

BALANCE SHEET

Accrued interest receivable	-	
SMIF account	150,484	
Raymond James #56658065	<u>5,117,697</u>	
TOTAL ASSETS		<u>5,268,181</u>
LIABILITIES & PARTICIPANT EQUITY		
Distribution checks outstanding	-	
Participant equity	<u>5,268,181</u>	
TOTAL LIABILITIES & PARTICIPANT EQUITY		<u>5,268,181</u>

1545 River Park Drive  
Suite 325  
Sacramento, CA  
95815

phone: 916.922.3200  
fax: 916.922.3400

[www.benefit-resources.com](http://www.benefit-resources.com)

## MEMORANDUM

To: California State Athletic Commission  
From: Beth Harrington, QPA, ERPA  
Date: December 11, 2018  
Re: Boxer pension status report

### I. FINANCIAL REPORT

- a. Assets were at \$5,268,181 as of 12/31/2017.
  - i. Raymond James account balance was \$4,813,697 as of 10/31/2018.
  - ii. In 2018, \$200,000 was transferred from Raymond James to the SMIF account
- b. 2017 Participant counts detailed in gray-shaded section
  - i. There are 290 vested (Covered) boxers for a total of \$3,943,956 in plan benefits
  - ii. Of the 43 eligible for benefit payments this year, 9 boxers have paid in 2018 for a total of \$155,941. Of the 9 people paid, 3 were late claimants.
  - iii. There are 136 potential late claimants who have had benefits of \$2,030,601 transferred to the suspense account

### II. DATA CHARTS – 2012-2018

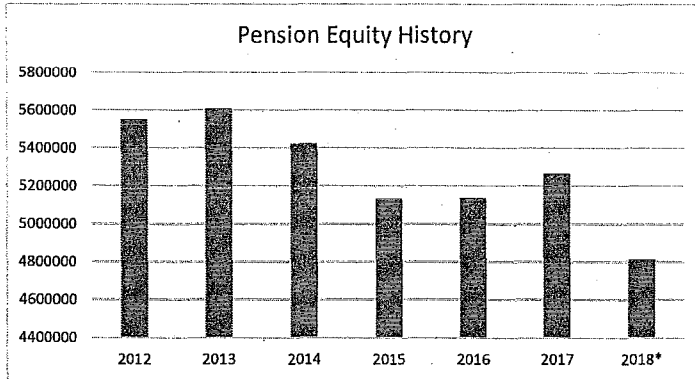
- a. Pension Equity over last 6 years, and Raymond James YTD in 2018
- b. Pension Distributions paid over last 5 years and YTD in 2018
- c. Number of boxers paid over last 5 years and YTD in 2018
- d. Contributions made 2012-2017

### III. SUSPENSE ACCOUNT SUMMARY – *for those beyond 3-year benefit window*

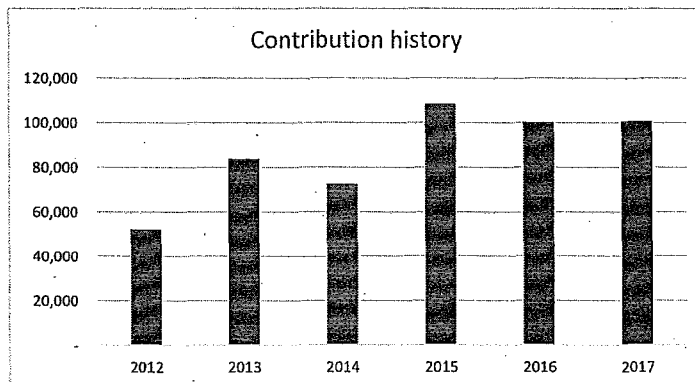
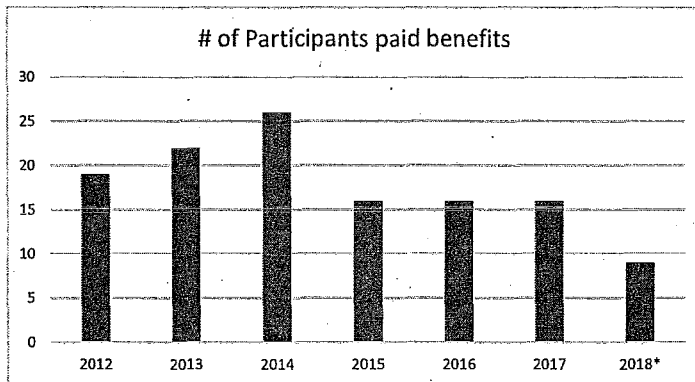
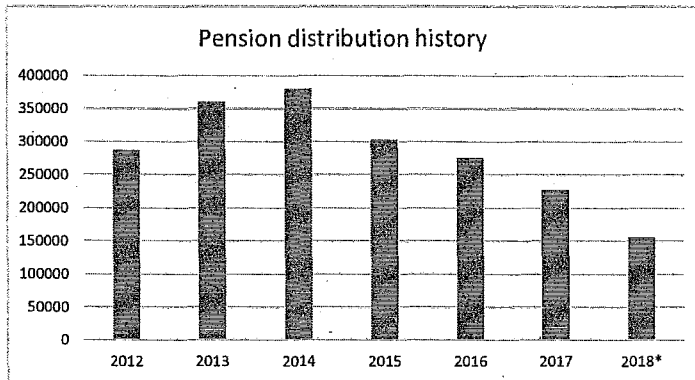
- a. The suspense account balance was \$57,130 at the end of 2017
- b. Three boxers claimed benefits of \$48,121 after age 53 in 2018
- c. If the suspense account becomes insufficient to claim benefits, funds will come from current year forfeitures (boxers who have a break-in-service). *For reference, there was \$545,424 forfeited in 2017.*



State of California  
 Athletic Commission  
 Professional Boxers' Pension Plan  
 Administrator's report 12/11/2018



\* reflects Raymond James account only as of 10/31/2018



# **AGENDA ITEM**

**#9a**

*Executive Officers' Report: Budget Update  
for the Support Fund, the Neurological  
Fund, and Pension Program*

# RAYMOND JAMES®

## California State Athletic Comm Account Summary

Account No. 56658065

Closing Value \$4,813,696.96

ANDY FOSTER TTEE  
U/A DTD JUL 1, 1981  
CALIFORNIA STATE ATHLETIC COMM  
FBO PROF BOXERS P/PL  
2005 EVERGREEN ST STE 2010  
SACRAMENTO CA 95815-3897104

CYRIL SHAH  
Raymond James Financial Services, Inc.  
RAYMOND JAMES FINANCIAL SVCS | 555 UNIVERSITY AVENUE | SUITE 120 | SACRAMENTO, CA  
95825 | (916) 448-3754  
<https://www.raymondjames.com/theshahgroup> | [Cyril.Shah@RaymondJames.com](mailto:Cyril.Shah@RaymondJames.com)

Raymond James Client Services | 800-647-SERV (7378)  
Monday - Friday 8 a.m. to 9 p.m. ET  
Online Account Access | [raymondjames.com/clientaccess](http://raymondjames.com/clientaccess)

Statement Copies to: BETH HARRINGTON, JOHN FRIERSON

### Investment Objectives

**Primary:** Growth with a medium risk tolerance and a time horizon exceeding 10 years.

**Secondary:** Growth with a high risk tolerance and a time horizon exceeding 10 years.

### Activity

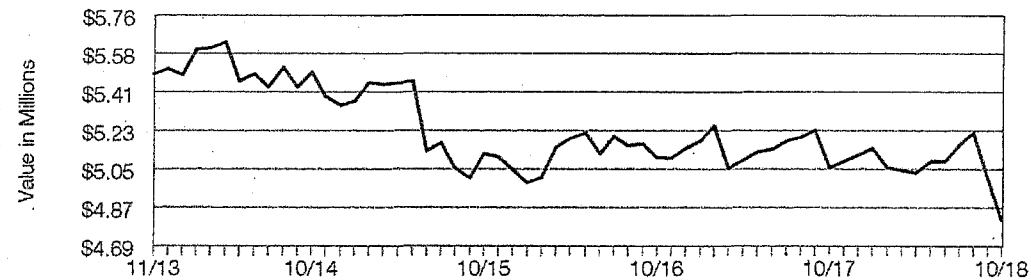
	This Statement		Year to Date	
Beginning Balance	\$	5,006,460.56	\$	5,117,697.02
Deposits	\$	0.00	\$	0.00
Income	\$	12,114.25	\$	93,526.48
Withdrawals	\$	0.00	\$	(200,000.00)
Expenses	\$	(6,183.30)	\$	(24,187.38)
Change in Value	\$	(198,694.55)	\$	(173,339.18)
Ending Balance	\$	4,813,696.96	\$	4,813,696.96
Investment Results	\$	(186,580.30)	\$	(79,812.68)

### Time-Weighted Performance

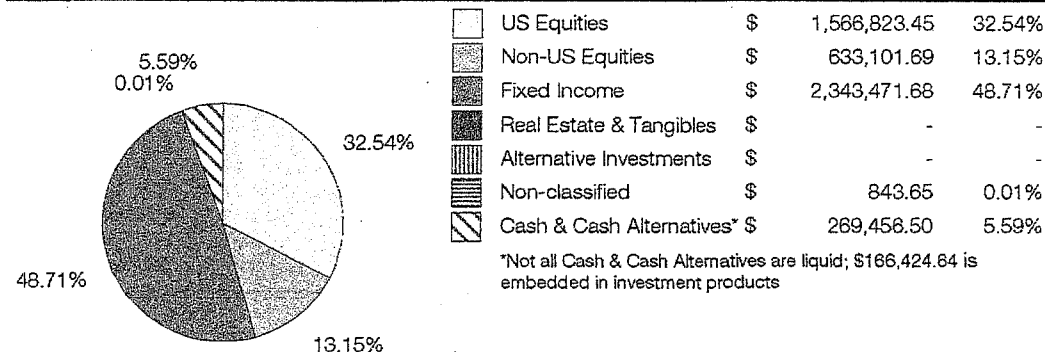
See Understanding Your Statement for important information about these calculations.

Performance Inception	YTD	2017	2016
10/24/07	(2.19)%	7.41%	6.01%

### Value Over Time



### Asset Allocation Analysis



Morningstar asset allocation information is as of 10/31/2018 (mutual funds & annuities) and 10/17/2018 (529s).



**RAYMOND JAMES®****Understanding Your Statement**

California State Athletic Comm Account No. 56658065

Need help navigating your statement? Visit <http://raymondjames.com/statements/comp> for a guide.

Raymond James & Associates, Inc. (RJ&A), member New York Stock Exchange/SIPC, carries your account and acts as custodian for funds and securities deposited with us, directly by you, through Raymond James Financial Services, Inc. (RJFS), or as a result of transactions we process for your account. RJFS maintains written agreements with financial institutions. Unless otherwise specified, products purchased through RJFS or held at RJ&A are not insured by the FDIC, NCUA, other financial institution insurance or government agencies, are not deposits or other obligations of and are not guaranteed by the financial institution, and are subject to investment risks, including possible loss of principal invested. Our independent financial advisors may also be involved in other business entities, including their own registered investment advisor firms and/or independent insurance relationships, unrelated to their association with RJFS. These entities are completely independent of Raymond James. RJFS serves as the broker/dealer of record for your investment securities accounts only. Checks for the purchase of investment securities should be made payable to Raymond James & Associates unless you are submitting your investment directly to a recognized mutual fund or insurance company. Information about commissions, service fees and other charges related to your transactions is included on your transaction confirmations. All financial products you have purchased or sold through your Raymond James financial advisor should appear on a trade confirmation and your account statements. Please contact your financial advisor and Raymond James Client Services at 800.647.7378 if you do not see any such purchase or sale reported on your trade confirmation or account statements; if you have questions about the securities positions, balances and transactions in your account; or if you note any other inaccuracy on your account statement. Any oral communications should be reconfirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act. Raymond James' financial statement is available for your inspection at its offices or at <https://www.raymondjames.com>, or a copy will be mailed upon your written request. All other inquiries, [including updates to your investment profile] and questions about the following information regarding the investments held in your account should be directed to your financial advisor.

Securities offered through Raymond James Financial Services, Inc. Member FINRA/SIPC. Investment Advisory Services offered through Raymond James Financial Services Advisors, Inc. and/or the Independent Registered Investment Advisor, or both. Please refer to your advisory services contract and form ADV for more information. Your financial advisor may operate under a separate business entity. The business entity is independent of Raymond James Financial Services and not a broker/dealer.

Raymond James International Headquarters | 880 Carillon Parkway | St. Petersburg, FL 33716 | <https://www.raymondjames.com>

**Securities Investor Protection Corporation** - Raymond James & Associates, Inc. is a member of the Securities Investor Protection Corporation (SIPC), which protects securities customers of its members up to \$500,000, including \$250,000 for claims for cash. Money market fund shares are not considered cash for this purpose; they are securities. An explanatory brochure is available upon request by calling 202.371.8300 or visiting <http://www.sipc.org>. Raymond James & Associates, Inc. has purchased excess SIPC coverage through various syndicates of Lloyd's, a London-based firm. Excess SIPC coverage is fully protected by the Lloyd's trust funds and Lloyd's Central Fund. The additional protection currently provided has an aggregate firm limit of \$750 million, including a sub-limit of \$1.9 million per customer for cash above basic SIPC for the wrongful abstraction of customer funds. SIPC coverage is not the same as, and operates differently from, FDIC deposit insurance. Account protection applies when a SIPC-member firm fails financially and is unable to meet obligations to securities clients, but it does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, National Association (N.A.), member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates or purchased from Raymond James Financial Services are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, N.A., are not guaranteed by Raymond James Bank, N.A., and are subject to investment risks, including possible loss of the principal invested.

**FINRA Disclosure** - For additional background information on any firm or representative registered with the Financial Industry Regulatory Authority (FINRA), please contact FINRA at 800.289.9999 or <http://www.finra.org> and request the public disclosure program brochure.

**MSRB Disclosure** - Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are registered with and subject to the rules and regulations of the U.S. Securities and Exchange Commission (SEC) and the Municipal Securities Rulemaking Board (MSRB). Both the SEC and the MSRB publish websites containing information and resources designed to educate investors. In addition to educational materials about the municipal securities market and municipal securities market data, the MSRB website includes an investor brochure describing protections that may be

provided by MSRB rules, including how to file a complaint with the appropriate regulatory authority. For more information, visit <https://www.sec.gov/> and <http://www.msrb.org/>.

**Availability of Free Credit, Bank Deposit Program, and Shares of the Cash Sweep Money Market Mutual Fund Balance** - You have the right to receive, in the normal course of business, any free credit balance, bank deposit program balance, the net asset value of shares of the cash sweep money market mutual fund balance, and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts.

**Third Party Payments Disclosure** - In addition to the fees you pay directly for the services offered by Raymond James and your advisor, Raymond James receives fees from various sources. For more information on fees and expenses, please see <https://raymondjames.com/legal-disclosures/third-party-payments>.

**Cost Basis** - Effective January 1, 2011, Raymond James reports adjusted cost basis for tax lots of securities covered by the Emergency Economic Stabilization Act of 2008 to the IRS on Form 1099-B. These tax lots are indicated by a "c." Raymond James will default to the first-in, first-out (FIFO) cost basis accounting method for trades and transfers unless a different method has been selected.

For tax lots or securities that are not covered by the Emergency Economic Stabilization Act of 2008, cost basis information may not be available, may have been estimated by you or your financial advisor, or may have been obtained from third-party sources, and in these instances, Raymond James cannot guarantee its accuracy. Information for uncovered positions will not be reported to the IRS.

Gain or loss will only be calculated for tax lots that have cost basis. Gain or loss information may or may not reflect adjusted cost for return of principal/capital or accretion/amortization. Tax lots where the cost basis is true zero, displayed as 0.00, are included in cost calculations. "Gain or (loss) Pct" is calculated utilizing total cost basis.

## Understanding Your Statement (continued)

California State Athletic Comm Account No. 56658065

Missing basis is not included in cost calculations. Please contact your financial advisor to have missing cost basis information added to your account.

The cost basis, proceeds, or gain/loss information reported has been adjusted to account for a disallowed loss from a wash sale. These adjustments are indicated by a "w" on the affected taxlots. A wash sale occurs when a security is sold for a loss and is re-purchased either 30 days before or after the sell.

Cost basis information for uncovered securities or tax lots will not be reported to the IRS; it is displayed for your information only and should not be relied upon for tax reporting purposes. Past performance is not a guarantee of future results. Market valuations may have been obtained from third-party sources and Raymond James cannot guarantee its accuracy or completeness.

For securities classified as Grantor or Royalty Trusts, Master Limited Partnerships or other widely held fixed income trusts, cost basis is not adjusted. These securities receive principal payments or distributions that are classified differently by the issuer at the end of the year. Clients should continue to rely on the issuer information for both cost basis adjustments as well as proceeds adjustments for these securities. For this reason the gain/loss displayed will be unadjusted and is not a true indicator of the investment return. Any adjustment to sales proceeds will be reflected on your 1099.

Unrealized gains or losses are not calculated for depreciated gifted securities, referred to as dual basis, indicated by a "d." Both Total Cost and Gift FMV exist, as the actual gain/loss cannot be determined until the position is sold.

Reinvestments of dividend or capital gain distributions are excluded from Amount Invested but are included in Total Cost Basis. For any security in which a client has elected the average cost reporting method, the Amount Invested will utilize the average cost per share of all tax lots to calculate amount invested.

Mutual fund tax lots are displayed as one total position and may include covered and non-covered tax lots some of which could be adjusted for wash sales. Sold mutual fund shares that were purchased through reinvestments are combined and shown with a purchase date of "various."

Adjustments made to cost basis throughout the year may cause the information displayed on your client statement to differ from what is reported on the 1099-B which is provided to the IRS at the end of the year.

Please refer to the fixed income and alternative investment disclosures for additional cost basis information on those securities.

**Raymond James Bank Deposit Program** - The Raymond James Bank Deposit Program is a multibank cash sweep program that deposits available cash in your brokerage account into interest-bearing deposit accounts at one or more banks. Raymond James Bank Deposit Program balances are insured solely by the Federal Deposit Insurance Corporation (FDIC), subject to FDIC limitations and guidelines, which are explained at <https://www.fdic.gov>.

The Raymond James Bank Deposit Program rate displayed in the Cash & Cash Alternatives section of your statement is the established rate for the last business day of the reported month. However, in the event that a large deposit is made on the last business day of the month, the rate for the next business day may be displayed. Estimated Annual Income is calculated using this rate and, therefore, is solely an estimated value for the month and may not reflect your actual income.

"Your bank priority state" indicates the corresponding Bank Priority List that applies to your account. "RJBDP participating banks you declined" displays the names of the banks you have designated as ineligible to receive your funds, which results in your funds being directed to the next bank on the Bank Priority List. "Participating banks recently added" displays additional banks that have been added to the program in the last 90 days. You have the right to designate any bank in the program as ineligible to receive your funds by contacting your financial advisor.

More information about the Bank Deposit Program, including the current Bank Priority Lists, is available at <https://www.raymondjames.com/rjbdp>.

**Exchange-Traded Products (ETPs)** - ETPs are investment products that are listed on a national stock exchange and can thus be bought and sold in the equity trading markets. ETPs encompass a number of structures that track an underlying benchmark, index or portfolio of securities. ETPs may be structured as registered unit investment trusts (UITs), exchange-traded funds (ETFs), exchange-traded notes (ETNs), grantor trusts or commodity pools.

A number of ETPs employ, to varying degrees, more sophisticated, financial strategies and instruments such as leverage, futures, swaps and derivatives in order to achieve their investment objectives. Those ETPs are commonly referred to as "non-traditional ETPs." Non-traditional ETPs are more complex than traditional ETPs and may not be appropriate for all investors. These may include leveraged or inverse ETPs, some actively-managed ETPs, futures-linked ETPs, volatility ETPs, some ETNs and other products.

Non-traditional ETPs will generally have higher fees than traditional ETPs. All fees and expenses are described in the prospectus. The ability of an ETP issuer to perpetually create new shares contributes to an ETP's efficiency and accuracy in tracking its index. However, under certain circumstances, issuers may cease or suspend creating new shares, which may cause ETPs to trade at a price that differs significantly from the value of its underlying holdings or index. Furthermore, all ETPs may trade at a premium or discount to their net asset value (NAV) or indicative value in the case of ETNs.

Some ETPs may not trade in high volume, which could impact your ability to buy or sell your shares at the desired price and/or quantity. ETPs can be closed for a variety of reasons, which can cause forced taxable events for investors, including capital gains distributions. Furthermore, there can be closing costs associated with the final liquidation of the ETP and index tracking uncertainty as the ETP liquidates its assets.

Investors should consider an ETF's investment objective, risks, charges and expenses carefully before investing. The prospectus, which contains this and other important information, is available from your financial advisor and should be read carefully before investing.

**Time Weighted Performance Reporting** - Performance returns are calculated net of management fees, if applicable. Returns exclude some limited partnerships, unpriced securities and annuity history prior to the annuity being linked to the account. Returns for periods greater than one year are annualized returns unless they represent entire 12-month periods. All performance figures exclude unpriced securities (including securities of indeterminate value), limited partnerships (other than limited partnerships classified as Alternative Investments and appearing in that section of your statement). Performance for Annuity and RJ Bank CD's may not be all inclusive. Previous calculations for managed accounts may have been adjusted for investment manager performance. Considering these exclusions, overall performance may be different than the results presented in this statement. Past performance is not a guarantee of future results. Information used to calculate



## Understanding Your Statement (continued)

California State Athletic Comm Account No. 56658065

performance may have been obtained from third party sources and Raymond James cannot guarantee the accuracy of such information.

**Quarterly Asset-Based Advisory Fees** - After the initial billing, your advisory fee is typically calculated in advance and deducted from your account(s) in the months of January, April, July and October. Your advisory fee is calculated based on the Account Value as of the last business day of the previous quarter (ex. the quarterly fee assessed in July is based on the last business day of June). The actual fee shown under the "Account Activity" section is calculated using the following formula:

$$(\text{Account Value} \times \text{Advisory Fee Rate}) \times (\text{Days in period} \div \text{Days in year})$$

For purposes of calculating asset-based advisory fees, Raymond James uses the term "Account Value". Account Value is defined within your investment advisory agreement as "the total absolute value of the securities in the Account, long or short, plus all credit balances, with no offset for any margin or debit balances." The value displayed on your brokerage statement may be different than the Account Value for any of the following reasons:

- trade date (Account Value) versus settlement date (brokerage statement)
- margin balances, proceeds from short sales & call/put writing
- billing treatment on cash balances
- designation of administrative-only assets
- primary market distributions (initial public offerings and other new issues)

Please see the "Brokerage Statement and Performance/Billing Valuation Differences for Fee-Based Accounts" section of Raymond James' Form ADV Part 2A or Wrap Fee Program Brochure for a more thorough discussion regarding how Raymond James values your account for advisory fee purposes, a current copy of which may be obtained from your financial advisor or by contacting the Asset Management Client Services department at 800.248.8861, extension 74991.

**Estimated Annual Income and Estimated Income Yield** - The Estimated Annual Income (EAI) and Estimated Income Yield (EIY) provided on this statement are an estimate of the income a security will distribute during the year. These figures should not be confused with actual cash flows, investment yields or investment returns. Actual income or yield may be lower or higher than the estimated amounts. A number of factors may influence the actual income or yield that is received. The amount or frequency of an issuer's dividend may fluctuate or cease, which may cause the income and or yield of the security to fluctuate. EIY reflects only the income generated by an investment. It does not

reflect changes in its price, which may fluctuate. EAI and EIY for certain types of securities could include a return of principal or capital gains which could overstate the EAI and EIY. Information used to calculate Estimated Annual Income and or Estimated Income Yield may be obtained from third party sources and Raymond James cannot guarantee the accuracy of such information. Estimated Annual Income and or Estimated Income Yield amounts should not be used as a financial planning tool.

**Pricing** - While sources used for pricing publicly traded securities are considered reliable, the prices displayed on your statement may be based on actual trades, bid/ask information or vendor evaluations. As such, the prices displayed on your statement may or may not reflect actual trade prices you would receive in the current market. Pricing for non-publicly traded securities is obtained from a variety of sources, which may include issuer-provided information. Raymond James does not guarantee the accuracy, reliability, completeness or attainability of this information. Investment decisions should be made only after contacting your financial advisor.

**Asset Allocation Analysis** - This analysis is for informational purposes only and is intended to be used as part of a complete portfolio review with your financial advisor. The data provided in the asset allocation analysis is subject to inherent limitations and is not guaranteed to represent actual asset class exposure(s) within your account(s) at the time of calculation. See <https://clientaccess.rj.com/faq/#assetallocation> to learn more. Raymond James and Morningstar data are subject to the availability of fund filings as well as internal analysis and may not represent real-time allocations.

The Cash & Cash Alternatives asset class represents cash and money market holdings, as well as cash allocations contained in mutual funds, annuities, and other investment products. For an actual cash value, please refer to the holdings sections of the Client Statement.

Due to rounding, the sum of the broad classes may not exactly match the total assets value.

**Product Interest Rate Risk** - The performance of your investment can be affected by various risks, including without limitation, interest rate risk. The fixed income portion of your investment is particularly sensitive to interest rate risk. Generally, a rise (fall) in interest rates will reduce (increase) the market value of the fixed income portion of your investment. An overview of this and other risks is available at <https://www.raymondjames.com>, <http://www.finra.org>, <https://emma.msrb.org>, and <http://investinginbonds.com>.

## Cash &amp; Cash Alternatives

## Raymond James Bank Deposit Program †

Description	(Symbol)	Value	Estimated Income Yield	Estimated Annual Income
Raymond James Bank Deposit Program † - Selected Sweep Option				
Raymond James Bank N.A.		\$103,031.86	0.80%	\$824.25
<b>Raymond James Bank Deposit Program Total</b>		<b>\$103,031.86</b>		<b>\$824.25</b>

Your bank priority state: CA

Participating banks recently added: Fulton Bank, N. A. 08/22/2018

† Please see the Raymond James Bank Deposit Program on the Understanding Your Statement page.

Cash &amp; Cash Alternatives Total

\$103,031.86

\$824.25

## Exchange-Traded Products (ETPs) †

## Exchange-Traded Funds

Description	(Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Estimated Income Yield	Estimated Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
ISHARES TR CORE US AGGBD ET (AGG)		17,399.000		\$108.098	\$1,880,790.10	\$104.590	\$1,819,761.41	2.55%	\$46,437.93	(3.24)%	\$(61,028.69)
LOT 1		1,684.000	10/25/2007	\$101.130	\$170,302.92	\$104.590	\$176,129.56	2.55%	\$4,494.43	3.42%	\$5,826.64
LOT 2		3,062.000	10/29/2009	\$104.530	\$320,070.86	\$104.590	\$320,254.58	2.55%	\$8,172.17	0.06%	\$183.72
LOT 3		361.000	11/01/2010	\$108.380	\$39,125.18	\$104.590	\$37,756.99	2.55%	\$963.47	(3.50)%	\$(1,368.19)
LOT 4		699.000	10/31/2011	\$109.992	\$76,884.06	\$104.590	\$73,108.41	2.55%	\$1,865.56	(4.91)%	\$(3,775.65)
LOT 5		240.000	03/06/2012	\$110.590	\$26,541.60	\$104.590	\$25,101.60	2.55%	\$640.54	(5.43)%	\$(1,440.00)
LOT 6		169.000	09/10/2012	\$111.797	\$18,893.66	\$104.590	\$17,675.71	2.55%	\$451.04	(6.45)%	\$(1,217.95)
LOT 7		818.000	04/01/2013	\$110.540	\$90,421.56	\$104.590	\$85,554.62	2.55%	\$2,183.16	(5.38)%	\$(4,866.94)
LOT 8		105.000	09/17/2013	\$105.980	\$11,127.89	\$104.590	\$10,981.95	2.55%	\$280.23	(1.31)%	\$(145.94)
LOT 9		3,030.000	02/17/2015	\$110.490	\$334,784.09	\$104.590	\$316,907.70	2.55%	\$8,086.77	(5.34)%	\$(17,876.39)
LOT 10		1,878.000	08/17/2015	\$109.310	\$205,283.99	\$104.590	\$196,420.02	2.55%	\$5,012.19	(4.32)%	\$(8,863.97)



## Exchange-Traded Products (ETPs) (continued) \*

## Exchange-Traded Funds (continued)

Description	(Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Estimated Income Yield	Estimated Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
LOT 11		1,338.000	08/16/2016	\$112.460	\$150,471.21	\$104.590	\$139,941.42	2.55%	\$3,570.99	(7.00)%	\$(10,529.79)
LOT 12		1,038.000	01/11/2017	\$108.500	\$112,622.69	\$104.590	\$108,564.42	2.55%	\$2,770.32	(3.60)%	\$(4,058.27)
LOT 13		2,827.000	11/14/2017	\$109.110	\$308,453.40	\$104.590	\$295,675.93	2.55%	\$7,544.98	(4.14)%	\$(12,777.47)
LOT 14		150.000	09/24/2018	\$105.380	\$15,806.99	\$104.590	\$15,688.50	2.55%	\$400.34	(0.75)%	\$(118.49)
ISHARES TR CORE S&P MCP ETF (IJH)		986.000	02/07/2018	\$186.760	\$184,145.26	\$182.050	\$179,501.30	1.58%	\$2,842.64	(2.52)%	\$(4,643.96)
ISHARES TR CORE S&P SCP ETF (IJR)		1,708.000		\$70.047	\$119,639.49	\$78.050	\$133,309.40	1.33%	\$1,774.61	11.43%	\$13,669.91
LOT 1		1,400.000	01/11/2017	\$68.860	\$96,404.00	\$78.050	\$109,270.00	1.33%	\$1,454.48	13.35%	\$12,866.00
LOT 2		308.000	02/07/2018	\$75.440	\$23,235.49	\$78.050	\$24,039.40	1.33%	\$319.98	3.46%	\$803.91
ISHARES TR CORE MSCI EAFE (IEFA)		10,866.000		\$62.986	\$684,401.39	\$58.780	\$638,703.48	3.20%	\$20,449.81	(6.68)%	\$(45,697.91)
LOT 1		2,926.000	01/11/2017	\$54.659	\$159,933.40	\$58.780	\$171,990.26	3.20%	\$5,506.44	7.54%	\$12,056.88
LOT 2		1,953.000	11/14/2017	\$64.469	\$125,908.35	\$58.780	\$114,797.34	3.20%	\$3,675.35	(8.82)%	\$(11,111.01)
LOT 3		4,258.000	02/07/2018	\$66.429	\$282,854.68	\$58.780	\$250,285.24	3.20%	\$8,013.13	(11.51)%	\$(32,569.44)
LOT 4		1,464.000	05/15/2018	\$67.330	\$98,571.12	\$58.780	\$86,053.92	3.20%	\$2,755.10	(12.70)%	\$(12,517.20)
LOT 5		265.000	09/24/2018	\$64.656	\$17,133.84	\$58.780	\$15,576.70	3.20%	\$498.70	(9.09)%	\$(1,557.14)
ISHARES TR CORE 1 5 YR USD (ISTB)		3,123.000	05/15/2018	\$48.950	\$152,870.85	\$48.830	\$152,496.09	2.38%	\$3,632.05	(0.25)%	\$(374.76)
SPDR PORTFOLIO INTERMEDIATE TERM CORPORATE BOND ETF (SPIB)		6,000.000	01/11/2017	\$33.990	\$203,940.00	\$33.000	\$198,000.00	3.00%	\$5,946.00	(2.91)%	\$(5,940.00)
SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF (JNK)		3,557.000		\$38.760	\$137,870.95	\$35.160	\$125,064.12	5.62%	\$7,025.08	(9.29)%	\$(12,806.83)
LOT 1		76.000	09/10/2012	\$40.217	\$3,056.52	\$35.160	\$2,672.16	5.62%	\$150.10	(12.58)%	\$(384.36)



Exchange-Traded Products (ETPs) (continued) <sup>x</sup>

## Exchange-Traded Funds (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Estimated Income Yield	Estimated Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
LOT 2	330.000	09/17/2013	\$39.880	\$13,160.27	\$35.160	\$11,602.80	5.62%	\$651.75	(11.83)%	\$(1,557.47)
LOT 3	2,296.000	02/17/2015	\$39.457	\$90,593.04	\$35.160	\$80,727.36	5.62%	\$4,534.60	(10.89)%	\$(9,865.68)
LOT 4	855.000	02/07/2018	\$36.329	\$31,061.12	\$35.160	\$30,061.80	5.62%	\$1,688.63	(3.22)%	\$(999.32)
SPDR S&P DIVIDEND ETF (SDY)	3,796.000		\$72.790	\$276,310.48	\$93.230	\$353,901.08	2.58%	\$9,121.79	28.08%	\$77,590.60
LOT 1	1,651.000	03/07/2011	\$53.458	\$88,258.83	\$93.230	\$153,922.73	2.58%	\$3,967.35	74.40%	\$65,663.90
LOT 2	759.000	02/17/2015	\$79.352	\$60,227.94	\$93.230	\$70,761.57	2.58%	\$1,823.88	17.49%	\$10,533.63
LOT 3	1,386.000	02/07/2018	\$92.225	\$127,823.71	\$93.230	\$129,216.78	2.58%	\$3,330.56	1.09%	\$1,393.07
SPDR SERIES TRUST BARCLAYS CAP INVESTMENT GRADE FLOATING RATE ETF (FLRN)	6,625.000		\$30.720	\$203,520.65	\$30.730	\$203,586.25	2.19%	\$4,465.25	0.03%	\$65.60
LOT 1	2,565.000	08/26/2013	\$30.780	\$78,950.70	\$30.730	\$78,822.45	2.19%	\$1,728.81	(0.16)%	\$(128.25)
LOT 2	2,224.000	09/17/2013	\$30.820	\$68,543.68	\$30.730	\$68,343.52	2.19%	\$1,498.98	(0.29)%	\$(200.16)
LOT 3	1,836.000	02/17/2015	\$30.515	\$56,026.27	\$30.730	\$56,420.28	2.19%	\$1,237.46	0.70%	\$394.01
VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)	3,643.000		\$224.452	\$817,679.27	\$248.790	\$906,341.97	1.86%	\$16,874.38	10.84%	\$88,662.70
LOT 1	2,191.000	01/11/2017	\$208.190	\$456,144.29	\$248.790	\$545,098.89	1.86%	\$10,148.71	19.50%	\$88,954.60
LOT 2	1,452.000	02/07/2018	\$248.991	\$361,534.98	\$248.790	\$361,243.08	1.86%	\$6,725.66	(0.08)%	\$(291.90)
<b>Exchange-Traded Funds Total</b>				<b>\$4,661,168.44</b>		<b>\$4,710,665.10</b>	<b>2.52%</b>	<b>\$118,569.54</b>	<b>1.06%</b>	<b>\$49,496.66</b>
<b>Exchange-Traded Products Total</b>				<b>\$4,661,168.44</b>		<b>\$4,710,665.10</b>	<b>2.52%</b>	<b>\$118,569.54</b>	<b>1.06%</b>	<b>\$49,496.66</b>

<sup>x</sup> Please see the Exchange-Traded Products on the Understanding Your Statement page.

September 28 to October 31, 2018

**RAYMOND JAMES®**

**Your Portfolio (continued)**

California State Athletic Comm Account No. 56658065

---

Portfolio Total     \$4,813,696.96

---

Log in to Client Access at <https://www.raymondjames.com/clientaccess> to view additional position details, filter, sort, or download up to 18 months of activity and see available delivery options for account documents.

## Activity Summary

Income			Withdrawals			Purchases		
Type	This Statement	Year to Date	Type	This Statement	Year to Date	Type	This Statement	Year to Date
Dividends	\$12,043.54	\$93,231.52	Withdrawals	\$0.00	\$(200,000.00)	Purchases	\$0.00	\$(1,312,780.23)
Interest at RJ Bank Deposit Program	\$70.71	\$294.96	<b>Total Withdrawals</b>	<b>\$0.00</b>	<b>\$(200,000.00)</b>	<b>Total Purchases</b>	<b>\$0.00</b>	<b>\$(1,312,780.23)</b>
<b>Total Income</b>	<b>\$12,114.25</b>	<b>\$93,526.48</b>	<b>Expenses</b>			<b>Sales / Redemptions</b>		
			Type	This Statement	Year to Date	Type	This Statement	Year to Date
			Fees	\$(6,183.30)	\$(24,187.38)	Sales	\$0.00	\$1,431,849.78
			<b>Total Expenses</b>	<b>\$(6,183.30)</b>	<b>\$(24,187.38)</b>	<b>Total Sales/Redemptions</b>	<b>\$0.00</b>	<b>\$1,431,849.78</b>

## Activity Detail

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
			<b>Beginning Balance</b>				\$97,100.91	
10/01/2018	Income	Dividend	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)			\$4,396.01	\$101,496.92	\$1.20670 per share x 3,643.000 shares
10/02/2018	Income	Dividend	ISHARES TR CORE S&P MCP ETF (IJH)			\$830.12	\$102,327.04	\$.84191 per share x 986.000 shares
10/02/2018	Income	Dividend	ISHARES TR CORE S&P SCP ETF (IJR)			\$490.73	\$102,817.77	\$.28731 per share x 1,708.000 shares
10/05/2018	Income	Dividend	ISHARES TR CORE 1 5 YR USD (ISTB)			\$349.00	\$103,166.77	\$.11175 per share x 3,123.000 shares
10/05/2018	Income	Dividend	SPDR SERIES TRUST BARCLAYS CAP INVESTMENT GRADE FLOATING RATE ETF (FLRN)			\$440.23	\$103,607.00	\$.06645 per share x 6,625.000 shares
10/05/2018	Income	Dividend	SPDR PORTFOLIO INTERMEDIATE TERM CORPORATE BOND ETF (SPIB)			\$537.66	\$104,144.66	\$.08961 per share x 6,000.000 shares
10/05/2018	Income	Dividend	SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF (JNK)			\$822.72	\$104,767.38	\$.17507 per share x 3,557.000 shares



# RAYMOND JAMES®

## Your Activity (continued)

California State Athletic Comm Account No. 56658065

### Activity Detail (continued)

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
10/05/2018	Income	Dividend	ISHARES TR CORE US AGGBD ET (AGG)			\$4,377.07	\$109,144.45	\$,25157 per share x 17,399.000 shares
10/17/2018	Expense	Fee	Cash			\$(6,183.30)	\$102,961.15	4Q Fees for 092/365 Days at 0.49% on \$5,006,460.56
10/31/2018	Income	Interest at RJ Bank Deposit Program	Raymond James Bank Deposit Program			\$70.71	\$103,031.86	

### Cash Sweep Activity Recap

#### Raymond James Bank Deposit Program

Date	Activity Type	Amount	Balance	Date	Activity Type	Amount	Balance
09/28/2018	Beginning Balance		\$97,100.91				
10/01/2018	Transfer To	\$4,396.01	\$101,496.92	10/17/2018	Transfer From	\$(6,183.30)	\$102,961.15
10/02/2018	Transfer To	\$1,320.85	\$102,817.77	10/31/2018	Interest at RJ Bank Deposit Program	\$70.71	\$103,031.86
10/05/2018	Transfer To	\$6,326.68	\$109,144.45				

# AGENDA ITEM

## #9b

### *Executive Officers' Report: Report on Pending and Proposed Regulations*

- *Examination of Boxer Applicants (Amendment to Title 4,  
California Code of Regulations section 280.)*

December 11, 2018

**Examination of Boxer Applicants and Neurological Assessment**

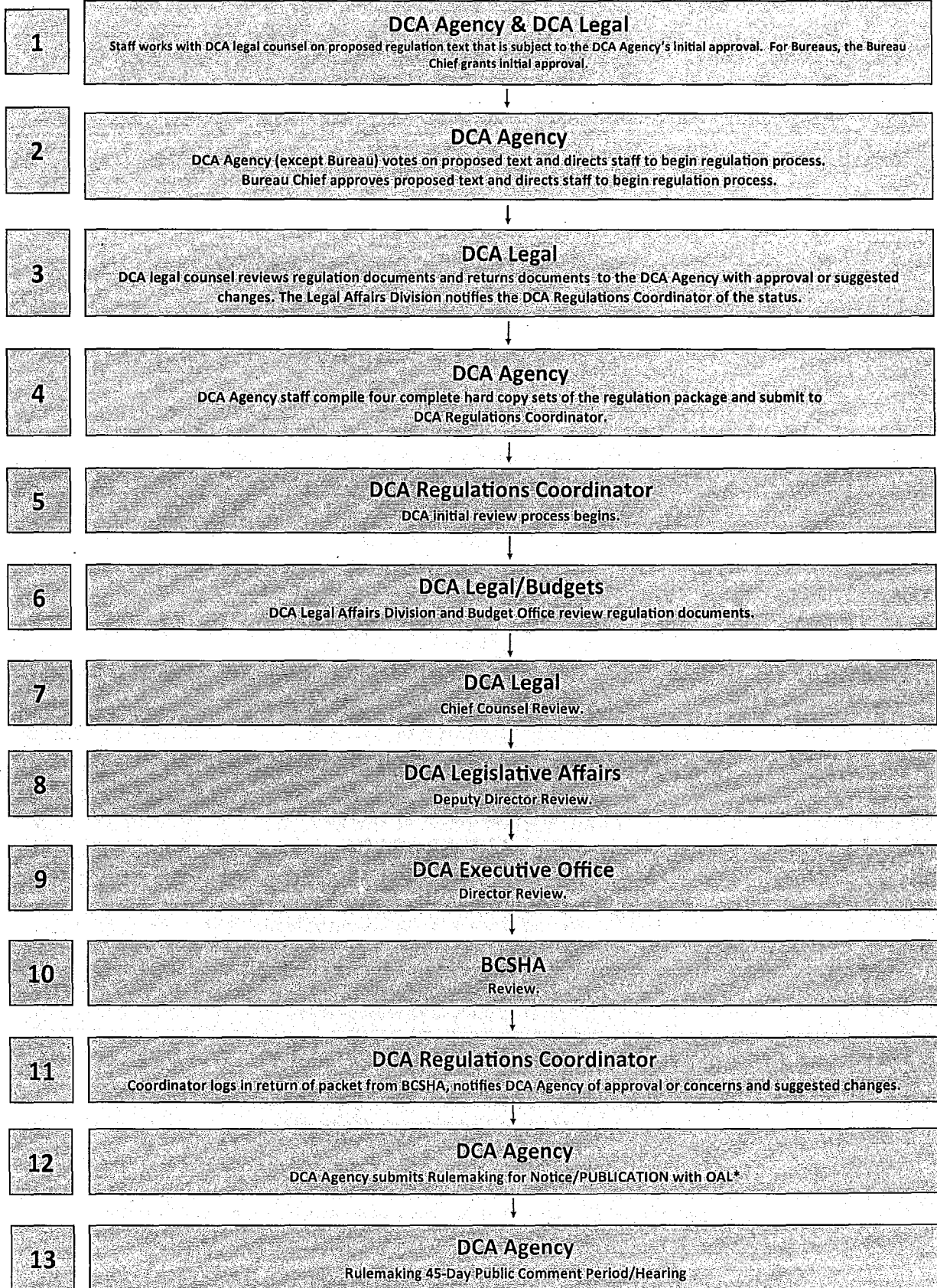
OAL File Number: \_\_\_\_\_



**STATUS:** Deputy Director of Legal Affairs had changes to the rulemaking package. The package was returned to CSAC on 11/3/18. Staff made the changes and returned to Legal Affairs on 11/30/18.

# REGULAR RULEMAKING PROCESS—DCA AGENCIES

## INITIAL PHASE



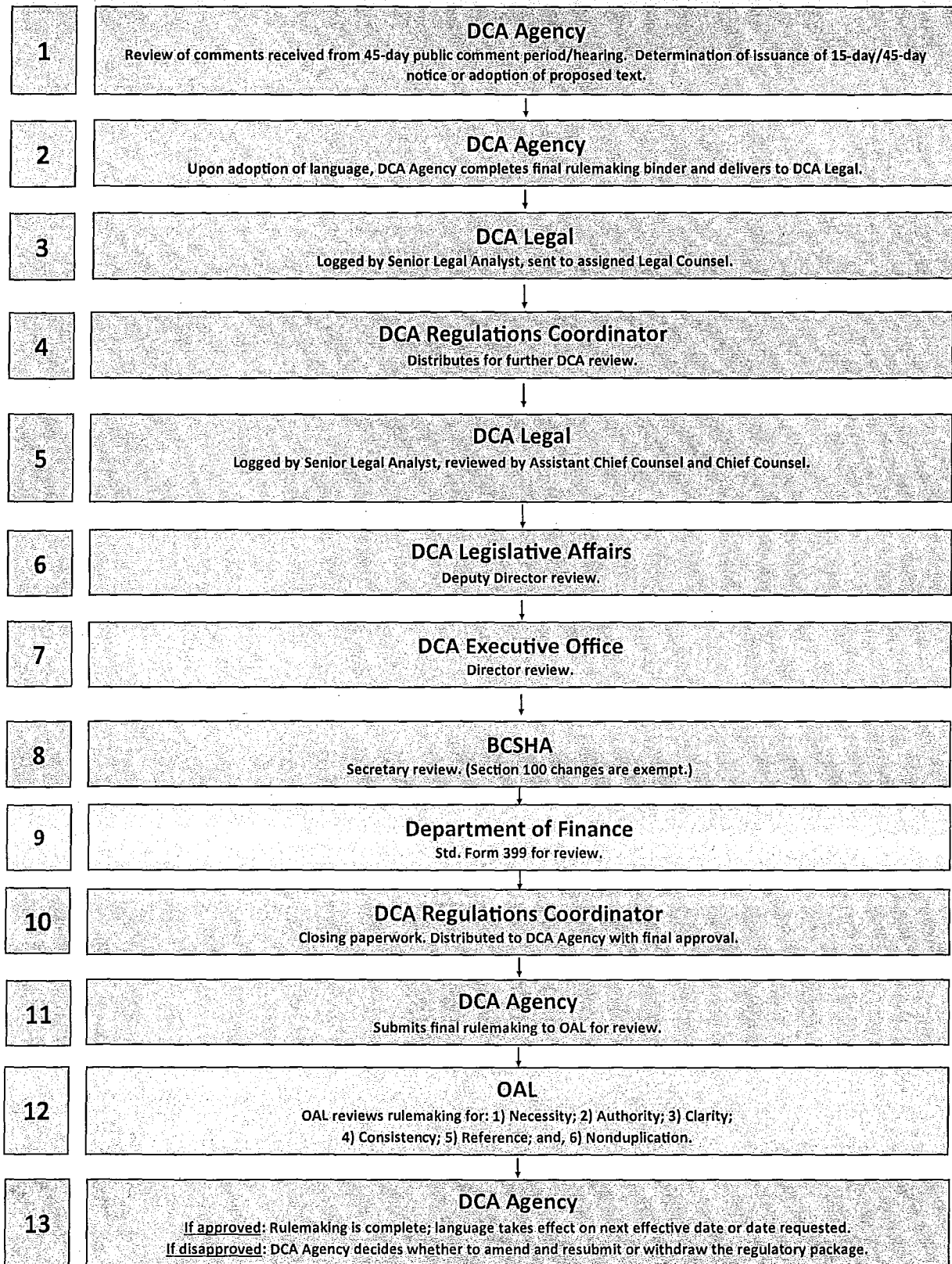
**LEGEND**

DCA – Department of Consumer Affairs  
 DCA Agency – Board/Bureau/Committee/Commission/Program  
 BCSHA – Business, Consumer Services, and Housing Agency  
 OAL – Office of Administrative Law

\* If any changes to language last approved by the Board are needed, a vote by the Board may be necessary.

# REGULAR RULEMAKING PROCESS—DCA AGENCIES

## FINAL PHASE



### Legend

DCA – Department of Consumer Affairs

DCA Agency – Board/Bureau/Committee/  
Commission/Program

BCSHA – Business, Consumer Services, and Housing  
Agency

OAL – Office of Administrative Law

DOF – Department of Finance

Std. Form 399 – Economic and Fiscal Impact Statement



# **AGENDA ITEM**

**#9c**

*Executive Officers' Report: Status Updated  
of Delegated Entities  
California Amateur Mixed Martial Arts  
Organization (CAMO)*



November 30, 2018

To: California State Athletic Commission

From: California Amateur Mixed Martial Arts Organization, Inc. ("CAMO")

Re: CAMO UPDATE / DOCUMENT REQUEST

---

Below and attached please find a CAMO update and report in anticipation of the regularly scheduled CSAC meeting scheduled for December 11, 2018 in Sacramento, CA:

**1. CAMO SAFETY AND SUSPENSION REPORT: UPDATE**

There have been no major injuries of a heightened concern for the commission to review since the last CSAC meeting and report on October 2, 2018 in Los Angeles, CA. All suspensions since the October 2, 2018 CSAC meeting have been attached hereto as Exhibit A.

**2. FINANCIAL REPORT:**

CAMO provided Executive Officer Andy Foster with CAMO's most recent tax returns including an internal financial report in advance of the July 24, 2018 meeting. CAMO reserves are within required operating ranges.

**3. PED & DRUGS OF ABUSE TESTING:**

CAMO is pleased to report that on November 24, 2018 CAMO launched the anticipated and new drug testing program on the undercard of Golden Boy Promotions fist MMA event, Liddell v. Ortiz, at The Forum. CAMO is grateful to the Contact Sports Foundation for donating over 500 testing kits to the CAMO program. This was the first amateur MMA event in the world where the entire card was tested. This notable event was covered in the media by MixedMartialArts.com as well as tweets from renown author and writer for Sports Illustrated, Josh Gross. There is much work to be done to perfect this program and we are excited to report on future developments.

**4. NOTABLE EVENTS:**

As mentioned, CAMO athletes performed on the undercard of Golden Boy Promotions fist MMA event, Liddell v. Ortiz, at The Forum. Golden Boy promoted five California State Title bouts, including two female titles. Golden Boy provided a wonderful stage to showcase California's amateur prowess and the CAMO athletes did not disappoint.



5. CAMO UPCOMING EVENTS:

As requested by the Commission, attached please find our upcoming event calendar (Exhibit B) that is also maintained on our website: [www.camomma.org](http://www.camomma.org). It is always our pleasure to have a member of the Commission visit our events.

In closing, 2018 has been a strong year for CAMO with significant growth from last year. We look forward to finishing the year safely and continuing to look for new ways to advance the sport. Thank you for your continued support.

Sincerely,

JF Steele | President, California Amateur Mixed Martial Arts Organization, Inc.



**EXHIBIT A**

Date Issued	Days	Expires	Injury Type	Status	Notes
11/24/18	45	1/8/19	TKO	suspended	
11/17/18	45	1/1/19	TKO	suspended	
11/17/18	45	1/1/19	TKO	suspended	
11/17/18	45	1/1/19	TKO	suspended	
11/17/18	45	1/1/19	KO	suspended	
11/17/18	60	1/16/19	KO	suspended	
11/17/18	45	1/1/19	TKO	suspended	
11/16/18	45	12/31/18	TKO	suspended	
11/16/18	45	12/31/18	TKO	suspended	
11/16/18	60	1/15/19	Cut	suspended	60/60: cut or cleared by DR
11/16/18	60	1/15/19	Cut	suspended	60/60: cut or cleared by DR
11/10/18	180	5/9/19	Possible Fracture	suspended	180/180: claimed injury to shoulder immediately before fight. Or cleared by DR after 60 days.
11/10/18	45	12/25/18	TKO	suspended	
11/10/18	45	12/25/18	TKO	suspended	
11/10/18	180	5/9/19	Possible Fracture	suspended	180/180: possible R Hand and foot FX or cleared by DR
11/10/18	45	12/25/18	TKO	suspended	
11/10/18	60	1/9/19	Cut	suspended	60/60: cut on R eye or cleared by DR
11/10/18	60	1/9/19	Cut	suspended	60/60: cut on R eye OR cleared by DR
11/10/18	60	1/9/19	KO	suspended	
11/10/18	180	5/9/19	Hand Injury	suspended	180/180: possible FX of R thumb
11/10/18	60	1/9/19	KO	suspended	
11/10/18	180	5/9/19	Possible Fracture	suspended	180/180: possible FX of R fibula or cleared by DR
11/10/18	45	12/25/18	TKO	suspended	
10/27/18	45	12/11/18	TKO	suspended	
10/27/18	45	12/11/18	KO	suspended	

10/27/18		45	12/11/18	TKO	suspended	
10/27/18		45	12/11/18	TKO	suspended	
10/27/18		45	12/11/18	TKO	suspended	
10/27/18		60	12/26/18	Cut	suspended	60/60: cut on upper lip or cleared by DR
10/27/18		180	4/25/19	Possible Fracture	suspended	180/180: possible nasal FX or cleared by DR
10/27/18	indef			Not fit for Comp	suspended	Indef: elevated blood pressure. needs to rule out hypertension
10/27/18		180	4/25/19	Sprain	suspended	180/180: sprain of L shoulder or cleared by DR
10/26/18	indef			Not fit for Comp	suspended	positive test. see notes
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		45	12/4/18	KO	suspended	
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		180	4/18/19	Sprain	suspended	180/180: groin pain or cleared by DR
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		45	12/4/18	KO	suspended	
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		45	12/4/18	KO	suspended	
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		45	12/4/18	TKO	suspended	
10/20/18		60	12/19/18	Cut	suspended	60/60: cut over R eye or cleared by DR
10/20/18		180	4/18/19	Hand Injury	suspended	180/180: Possible R hand FX Or cleared by DR
10/13/18		45	11/27/18	TKO	expired	

10/13/18	0	10/13/18	Cut	expired	60/60: cut over R eye OR cleared by DR
10/13/18	45	11/27/18	KO	expired	
10/13/18	45	11/27/18	TKO	expired	
10/13/18	45	11/27/18	KO	expired	
10/13/18	45	11/27/18	TKO	expired	
10/13/18	180	4/11/19	Hand Injury	suspended	180/180: possible FX of R hand OR cleared by DR
10/13/18	60	12/12/18	Cut	suspended	60/60: multiple facial lacerations OR cleared by DR
10/12/18	45	11/26/18	TKO	expired	
10/12/18	0	10/12/18	Sprain	expired	180/180: Pain in R elbow OR cleared by DR 11/15/18: cleared by MD
10/12/18	45	11/26/18	Hard Bout	expired	
10/12/18	180	4/10/19	Possible Fracture	suspended	180/180: possible nasal FX or cleared by DR
10/6/18	45	11/20/18	TKO	expired	
10/6/18	45	11/20/18	TKO	expired	
10/6/18	45	11/20/18	KO	expired	
10/6/18	45	11/20/18	TKO	expired	
10/6/18	180	4/4/19	Possible Fracture	suspended	180/180: possible R rib fx or cleared by DR
10/6/18	45	11/20/18	TKO	expired	



**EXHIBIT B**







**CALIFORNIA AMATEUR MIXED MARTIAL ARTS ORGANIZATION, INC.**

HOME | DASHBOARD | FIGHTERS | PROMOTERS | OFFICIALS | **EVENTS** | NEWS | RULES | FORMS | RANKINGS | ADMIN

Upcoming Events in  region [\[create\]](#)

Event Name	Date	Region	Location	Actions
Hendo Sports Management	12/07/2018		Dan Henderson's AFC	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
Panda Cup Promotions	12/08/2018		McClellan Conference Center	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
559 Fights 69	12/22/2018		Rainbow Ballroom	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
FightersRep Promotions	12/22/2018		Florentine Gardens	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
SparStarMMA	01/05/2019		The Commerce Casino	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
American Fight Series	01/19/2019			<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
JR Entertainment	01/19/2019		Stockton Memorial Civic Auditorium	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
Knockout Promotions	01/19/2019		Metroflex Gym	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
L.E Fight Night	01/19/2019		California Education and Performing Arts Center	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
559 Fights 70	02/01/2019		Visalia Convention Center	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
West Coast Elite MMA III	02/09/2019		Unify Event Center	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
IFS 42	02/22/2019		M3Live Event Center	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
USKO Fight Night	02/23/2019		USKO Training Center	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
Bay Area Combat	02/23/2019		Napa Valley Expo	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
Evolution Sports Expo	03/16/2019		Santa Clara Convention Center	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
559 Fights 71	03/16/2019		Rainbow Ballroom	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
Dragon House	03/23/2019		Kezar Pavilion	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
559 Fights 72	04/19/2019		Visalia Convention Center	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
559 Fights 73	05/18/2019		Rainbow Ballroom	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
IFS 44	06/14/2019		M3Live Event Center	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>

<< < > >> Show All

Home | [Fighters](#) | [Officials](#) | [Promoters](#) | [News](#) | [Register](#)  
[Rules](#) | [Forms](#) | [Privacy Policy](#) | [Contact Us](#)  
 ©2018 California Mixed Martial Arts Organization, Inc.  
 PO Box 4641  
 Riverside CA 92514  
 PH: 213-908-2185  
 FAX: 213-908-2186 or 888-663-9915

[Home](#) | [Dashboard](#) | [Fighters](#) | [Promoters](#) | [Officials](#) | [Events](#) | [News](#) | [Rules](#) | [Forms](#) | [Rankings](#) | [Admin](#)

Upcoming Events in  region

[\[create\]](#)

Event Name	Date	Region	Location	Actions
Dragon House	06/15/2019		Kezar Pavilion	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
559 Fights 74	06/29/2019		Visalia Convention Center	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
Dragon House	07/27/2019		Oracle Arena	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
IFS 46	10/04/2019		M3Live Event Center	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>
Dragon House	11/09/2019		Kezar Pavilion	<a href="#">view fights</a> <a href="#">edit</a> <a href="#">officials</a> <a href="#">needs</a> <a href="#">delete</a>

[1](#) [2](#) [3](#) [4](#) [5](#) [6](#) [7](#) [8](#) [9](#) [10](#) [11](#) [12](#) [13](#) [14](#) [15](#) [16](#) [17](#) [18](#) [19](#) [20](#) [21](#) [22](#) [23](#) [24](#) [25](#) [26](#) [27](#) [28](#) [29](#) [30](#) [31](#) [32](#) [33](#) [34](#) [35](#) [36](#) [37](#) [38](#) [39](#) [40](#) [41](#) [42](#) [43](#) [44](#) [45](#) [46](#) [47](#) [48](#) [49](#) [50](#) [51](#) [52](#) [53](#) [54](#) [55](#) [56](#) [57](#) [58](#) [59](#) [60](#) [61](#) [62](#) [63](#) [64](#) [65](#) [66](#) [67](#) [68](#) [69](#) [70](#) [71](#) [72](#) [73](#) [74](#) [75](#) [76](#) [77](#) [78](#) [79](#) [80](#) [81](#) [82](#) [83](#) [84](#) [85](#) [86](#) [87](#) [88](#) [89](#) [90](#) [91](#) [92](#) [93](#) [94](#) [95](#) [96](#) [97](#) [98](#) [99](#) [100](#) [Show all](#)

[Home](#) | [Fighters](#) | [Officials](#) | [Promoters](#) | [News](#) | [Register](#)  
[Rules](#) | [Forms](#) | [Privacy Policy](#) | [Contact Us](#)  
 ©2018 California Mixed Martial Arts Organization, Inc.  
 PO Box 4641  
 Riverside CA 92514  
 PH: 213-908-2185  
 FAX: 213-908-2186 or 888-663-9915

# **AGENDA ITEM**

**#9c**

*Executive Officers' Report: Status Updated  
of Delegated Entities  
USA Boxing (USA Boxing)*

# memo



DATE: November 27, 2018  
TO: California State Athletic Commission  
Andy Foster, Executive Director  
FROM: Mike McAtee, Executive Director  
RE: USA Boxing Delegation

---

Please accept this MEMO and attachments as part of USA Boxing's reporting requirements for the fourth Quarter (September, October) of 2018. We are gratified to continue as designated delegate for amateur, Olympic Style boxing in the state of California. USA Boxing appreciates the excellent relationship forged with California State Athletic Commissioners. The outreach of boxing in your state has remained steady over the past several years allowing thousands of youth a positive outlet for sport, mentorship and personal growth.

Opportunities for Olympic-related events and activities in California are becoming more and more available as we work toward the 2028 Los Angeles Games. The advancement of athlete safety initiatives, specifically SafeSport, have brought one of the Olympic community's own to a leadership position in Hollywood. Malia Arrington, currently Executive Director of the U.S. Center for SafeSport has been named Executive Director for the Hollywood Commission on Eliminating Sexual Harassment and Advancing Equality. USA Boxing is very proud of the work Ms. Arrington accomplished as she was responsible for bringing the U.S. Center SafeSport from theory/planning to reality.

We wish the Commission a very happy holiday season and look forward to its continued guidance and success in 2019.

## USA Boxing and California LBC 2018 annual fee schedule for club shows

Category	USA Boxing Fee <sup>1</sup>	Northern LBC Fees	Total
Boxers	55.00	10.00	65.00
Coach, Official	75.00	15.00	90.00
Club or Gym	180.00	95.00	275.00
Club show Sanction	305.00	145.00	450.00

Category	USA Boxing Fee	Central LBC Fees	Total
Boxers	55.00	45.00	100.00
Coach, Official	75.00	25.00	100.00
Club or Gym	180.00	45.00	225.00
Club show Sanction	305.00	188.00	493.00

<sup>1</sup> USA Boxing fees are the same for all 53 Local Boxing Committees.

Category	USA Boxing Fee	Southern LBC Fees	Total
Boxers	55.00	15.00	70.00
Coach, Official	75.00	10.00	85.00
Club or Gym	180.00	25.00	205.00
Club show Sanction	305.00	25.00	330.00

Category	USA Boxing Fee	Border LBC Fees	Total
Boxers	55.00	12.00	67.00
Coach, Official	75.00	20.00	95.00
Club or Gym	180.00	30.00	210.00
Club show Sanction	305.00	105.00	410.00

**Match Tracker Update:**

Second Quarter Match Tracker total entries:

LBC	Number of Bouts	Total
Northern	148	
Central	193	
Southern	328	
Border	35	
		704

**2018 USA Boxing fees collected from Californians from September 1, 2018 through October 31, 2018:**

Category	Number	USA Boxing Fees	LBC Fees <sup>2</sup>	Total
Boxers	227	\$ 12,485	\$ 3,835	\$16,320
Non-Athletes	35	\$ 2,625	\$ 477	\$3,102
Club or Gym	2	\$ 180	\$ 25	\$ 205
Club show Sanction	34	\$ 10,370	\$ 3,794	\$ 14,164
Totals		\$ 25,660	\$ 8,131	\$ 33,791

**2018 USA Boxing's California financial, competition and injury reports are included. Please refer to page 4.**

<sup>2</sup> Totals of the four LBCs returned to the state.

**2018 USA Boxing National Events from September 1, 2018 through October 31, 2018:**

Event	Number of Boxers	Number of California Boxers	Number of Non-Athletes	Number of Non-Athletes Californians	Percent Participation from Californians	Event Expense	Total Event Expense for Californians
Eastern Qualifier (October 6-13, 2018)	825	41	625	27	8.25%	Pending	Pending
Total							Pending

**High Performance Expenses for Californians:**

Part of USA Boxing's mission is to invest in world class athletes and coaches. The following is a breakdown of, \$26,045.89 of USA Boxing funds used to support California athletes and coaches in International Competition and National Training Camps in Colorado Springs, Colorado.

September-October 2018 California Women's Costs						
Age Division	Flights	OTC Room/Board	International Room/Board	Stipend	Other Costs (Med Ex, Per diem)	Total
Elite	\$2,033.11	\$5,865.00	\$542.00	\$2,000.00	\$130.00	\$10,570.11
Youth	\$383.80	\$1,170.00			\$100.00	\$1,653.80
Junior						\$0.00
<b>Totals</b>	<b>\$2,416.91</b>	<b>\$7,035.00</b>	<b>\$542.00</b>	<b>\$2,000.00</b>	<b>\$230.00</b>	<b>\$12,223.91</b>
September-October 2018 California Men's Costs						
Age Division	Flights	OTC Room/Board	International Room/Board	Stipend	Other Costs (Med Ex, Per diem)	Total
Elite	\$766.98	\$6,405.00		\$6,650.00		\$13,821.98
Youth						\$0.00
Junior						\$0.00
<b>Totals</b>	<b>\$766.98</b>	<b>\$6,405.00</b>	<b>\$0.00</b>	<b>\$6,650.00</b>	<b>\$0.00</b>	<b>\$13,821.98</b>
September-October 2018 California Coaches Costs						
Age Division	Flights	OTC Room/Board	International Room/Board	Stipend	Other Costs (Med Ex, Per diem)	Total
Coaches						\$0.00
<b>Totals</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>



## USAB Injury Statistics for California



LBC	Number of Bouts	Number of Rounds	Total Number of Injuries	number of injurys per round
Northern California	148	444	0	0
Central California	193	579	0	0
Southern California	328	984	0	0
California Boarder	35	105	0	0
<b>Total Combined:</b>	704	2,112	0	0

## USAB Financial Report Summary for California

LBC	Revenue	Expenses	Net Proceeds for Sanction Holders
Northern California	\$59,842.97	\$42,148.40	\$17,694.57
Central California	\$28,937.00	\$15,933.70	\$13,003.30
Southern California	\$60,281.00	\$102,261.00	-\$41,980.00
California Boarder	\$3,575.00	\$3,761.00	-\$186.00
<b>Total Combined:</b>	\$152,635.97	\$164,104.10	-\$11,468.13



## Northern California - 2018 Sanction List



Date	Event	Club	Number of Bouts	Total Number of Rounds Boxed	Total Number of Injuries	Concussion Injuries*
9/1/2018	BEAUTIFUL BRAWLERS VIII	Baby Face Boxing	30	90	0	0
9/8/2018	Center ring boxing community event	Center Ring Boxing	12	36	0	0
9/12/2018	Jim Corbett Championship Night	Community Youth Center	6	18	0	0
9/15/2018	last man standing	Richmond PAL	18	54	0	0
9/22/2018	Dreamland's Master's World Championship	Dreamland Boxing	33	99	0	0
10/5/2018	2nd Annual Guardsmen Boxing Night	Lightning's Boxing Club	Not on File	Not on File	0	0
10/6/2018	YAQUI LOPEZ'S 5TH ANNUAL FIGHT 4 A CAUSE	Yaqui Lopez's Fat City Boxing Club	11	33	0	0
10/13/2018	Harvest Rumble	The Salvation Army Double PUNCHES Boxing Club	6	18	0	0
10/20/2018	Oktober Fight Fest	Bellafatto Boxing/ AKA Peninsula Boxing & Fintess	15	45	0	0
10/27/2018	St Patty's Brawl	Fire in the Ring	Not on File	Not on File	0	0
10/27/2018	Sacramento Impact Boxing Presents Halloween	Impact Boxing Club	17	51	0	0
<b>Totals:</b>			<b>148</b>	<b>114</b>	<b>0</b>	<b>0</b>

Data Sources: CSAC - LBC Quarterly Report Form  
Webpoint  
Restriction Affidavits

\*Concussion Injuries data is presumed from Restriction Affidavits, not confirmed from follow-up exam



## Northern California - 2018 Financial Report



Date	Event	Club	Revenue	Expenses	Net Proceeds for Sanction Holder
9/1/2018	BEAUTIFUL BRAWLERS VIII	Baby Face Boxing			
9/8/2018	Center ring boxing community event	Center Ring Boxing	\$3,000.00	\$1,684.14	\$1,315.86
9/12/2018	Jim Corbett Championship Night	Community Youth Center	\$12,000.00	\$6,270.00	\$5,730.00
9/15/2018	last man standing	Richmond PAL	\$4,042.00	\$3,558.31	\$483.69
9/22/2018	Dreamland's Master's World Championship	Dreamland Boxing	\$14,950.00	\$12,725.00	\$2,225.00
10/5/2018	2nd Annual Guardsmen Boxing Night	Lightning's Boxing Club			\$0.00
10/6/2018	YAQUI LOPEZ'S 5TH ANNUAL FIGHT 4 A CAUSE	Yaqui Lopez's Fat City Boxing Club	\$13,907.00	\$8,659.07	\$5,247.93
10/13/2018	Harvest Rumble	The Salvation Army Double PUNCHES	\$2,945.00	\$1,917.01	\$1,027.99
10/20/2018	Oktober Fight Fest	Bellafatto Boxing/ AKA Peninsula Boxing	\$7,033.97	\$5,739.87	\$1,294.10
10/27/2018	St Patty's Brawl	Fire in the Ring			\$0.00
10/27/2018	Sacramento Impact Boxing Presents Halloween Fights at	Impact Boxing Club	\$1,965.00	\$1,595.00	\$370.00
<b>Totals:</b>			<b>\$59,842.97</b>	<b>\$42,148.40</b>	<b>\$17,694.57</b>

Data Sources: Financial Statement for Competition\*

\*A Financial Statement for Competition is required for all USAB sanctioned events.



## Central California - 2018 Sanction List



Date	Event	Club	Number of Bouts	Total Number of Rounds Boxed	Total Number of Injuries	Concussion Injuries*
9/1/2018	Rumble at the Rec VII	LEMOORE BOXING CLUB	20	60	0	0
9/2/2018	Hanford PAL Boxing Show	HANFORD BOXING CLUB	29	87	0	0
9/8/2018	Aleman Boxing's 9th annual Mexican Independence Day	ALEMAN BOXING FRESNO	13	39	0	0
9/15/2018	Harobros Mexican Independence Day boxing show	HAROBROS	26	78	0	0
9/29/2018	Rumble at Unit Q	NORTHSIDE BOXING CLUB	14	60	0	0
10/7/2018	Aleman Boxing Show at the Big Fresno Fair	SOUTHEAST FRESNO BOXING CLUB	28	84	0	0
10/20/2018	Rock Boxing going pink	ROCK BOXING GYM	22	66	0	0
10/27/2018	Manuel Torrez Boxing Classic	TULARE ATHLETIC BOXING CLUB	41	123	0	0
<b>Totals:</b>			<b>193</b>	<b>597</b>	<b>0</b>	<b>0</b>

Data Sources: CSAC - LBC Quarterly Report Form  
Webpoint  
Restriction Affidavits

\*Concussion Injuries data is presumed from Restriction Affidavits, not confirmed from follow-up exam





## Central California - 2018 Financial Report



Date	Event	Club	Revenue	Expenses	Net Proceeds for Sanction Holder
9/1/2018	Rumble at the Rec VII	LEMOORE BOXING CLUB	\$ 2,075.00	\$ 1,538.00	\$ 537.00
9/2/2018	Hanford PAL Boxing Show	HANFORD BOXING CLUB	\$ 4,538.00	\$ 2,055.00	\$ 2,483.00
9/8/2018	Aleman Boxing's 9th annual Mexican Independence Day Boxing Championships	ALEMAN BOXING FRESNO	\$ 5,800.00	\$ 3,900.00	\$ 1,900.00
9/15/2018	Harobros Mexican Independence Day boxing show	HAROBROS	\$ 6,300.00	\$ 3,088.00	\$ 3,212.00
9/29/2018	Rumble at Unit Q	NORTHSIDE BOXING CLUB	\$ 4,424.00	\$ 1,452.70	\$ 2,971.30
10/7/2018	Aleman Boxing Show at the Big Fresno Fair	SOUTHEAST FRESNO BOXING CLUB	\$ 5,800.00	\$ 3,900.00	\$ 1,900.00
10/20/2018	Rock Boxing going pink	ROCK BOXING GYM			\$
10/27/2018	Manuel Torrez Boxing Classic	TULARE ATHLETIC BOXING CLUB			\$
<b>Totals:</b>			<b>\$ 28,937.00</b>	<b>\$15,933.70</b>	<b>\$ 13,003.30</b>

Data Sources: Financial Statement for Competition\*

\*A Financial Statement for Competition is required for all USAB sanctioned events.



## Southern California - 2018 Sanction List



Date	Event	Club	Number of Bouts	Total Number of Rounds Boxed	Total Number of Injuries	Concussion Injuries*
9/16/2018	Commerce Boxing Show	CITY OF COMMERCE BOXING CLUB	3	9	0	0
9/18/2018	2018 NATIONAL PAL CHAMPIONSHIPS	OXNARD PAL MID-CITY BOXING GYM	160	480	0	0
9/29/2018	Arlanza Boxing Show	ARLANZA BOXING CLUB	23	69	0	0
9/30/2018	Boxing Show	CORONEL BOXING CLUB	24	72	0	0
10/6/2018	October Fight Fest	Grampas Boxing Gym	13	39	0	0
10/12/2018	FABELA CHAVEZ BOXING CENTER 40TH ANNIVERSARY	FABELA CHAVEZ BOXING CLUB CITY OF CARSON	14	45	0	0
10/14/2018	CALI FIGHTS	CENTURY JERRY ORTIZ BOXING GYM	20	60	0	0
10/19/2018	Villegas Park Boxing Club	VILLEGAS PARK BOXING CLUB	12	36	0	0
10/20/2018	Future Champions	United Boxing Academy of Moreno Valley	21	63	0	0
10/17/2018	Fright Fights	Riverside's Raincross Boxing Academy	20	60	0	0
10/19/2018	TG PRESENTS FRIGHT FIGHTS	SOUTHERN CALIFORNIA	18	54	0	0
<b>Totals:</b>			<b>328</b>	<b>987</b>	<b>0</b>	<b>0</b>

Data Sources: CSAC - LBC Quarterly Report Form

Webpoint

Restriction Affidavits

\*Concussion Injuries data is presumed from Restriction Affidavits, not confirmed from follow-up exam



## Southern California - 2018 Financial Report



Date	Event	Club	Revenue	Expenses	Net Proceeds for Sanction Holder
9/16/2018	Commerce Boxing Show	CITY OF COMMERCE BOXING CLUB	\$0	\$2,238	\$-2,238
9/18/2018	2018 NATIONAL PAL CHAMPIONSHIPS	OXNARD PAL MID-CITY BOXING GYM	\$31,051	\$52,690	\$-21,639
9/29/2018	Arlanza Boxing Show	ARLANZA BOXING CLUB	\$3,115	\$5,173	\$-2,058
9/30/2018	Boxing Show	CORONEL BOXING CLUB	\$2,400	\$3,328	\$-928
10/6/2018	October Fight Fest	Grampas Boxing Gym	\$1,965	\$3,440	\$-1,475
10/12/2018	FABELA CHAVEZ BOXING CENTER 40TH ANNIVERSARY	FABELA CHAVEZ BOXING CLUB CITY OF	\$8,280	\$14,691	\$-6,411
10/14/2018	CALI FIGHTS	CENTURY JERRY ORTIZ BOXING GYM	\$3,307	\$2,826	\$481
10/19/2018	Villegas Park Boxing Club	VILLEGAS PARK BOXING CLUB	\$1,903	\$1,035	\$868
10/20/2018	Future Champions	United Boxing Academy of Moreno Valley	\$2,110	\$10,793	\$-8,683
10/17/2018	Fright Fights	Riverside's Raincross Boxing Academy	\$4,700	\$4,468	\$232
10/19/2018	TG PRESENTS FRIGHT FIGHTS	SOUTHERN CALIFORNIA	\$1,450	\$1,580	\$-130
<b>Totals:</b>			<b>\$60,281</b>	<b>\$102,261</b>	<b>\$-41,980</b>

Data Sources: Financial Statement for Competition\*

\*A Financial Statement for Competition is required for all USAB sanctioned events.



### California Border - 2018 Sanction List



Date	Event	Club	Number of Bouts	Total Number of Rounds Boxed	Total Number of Injuries	Concussion Injuries*
9/29/2018	Queens Of Fury II	Sparta Boxing	8	24	0	0
10/5/2018	Friday Night Fights	BOUND BOXING ACADEMY	9	27	0	0
10/13/2018	Baja Boxing Show	baja boxing club	6	18	0	0
10/20/2018	Rumble on Reo	HOUSE OF BOXING TRAINING CTR	12	36	0	0
Totals:			35	54	0	0

Data Sources: CSAC - LBC Quarterly Report Form  
 Webpoint  
 Restriction Affidavits

\*Concussion Injuries data is presumed from Restriction Affidavits, not confirmed from follow-up exam



### California Border - 2018 Financial Report



Date	Event	Club	Revenue	Expenses	Net Proceeds for Sanction Holder
9/29/2018	Queens Of Fury II	Sparta Boxing	\$3,715.00	\$2,180.00	\$1,535.00
10/5/2018	Friday Night Fights	BOUND BOXING ACADEMY	\$2,075.00	\$875.00	\$1,200.00
10/13/2018	Baja Boxing Show	baja boxing club	\$800.00	\$1,875.00	-\$1,075.00
10/20/2018	Rumble on Reo	HOUSE OF BOXING TRAINING CTR	\$2,775.00	\$1,886.00	\$889.00
Totals:			\$3,575.00	\$3,761.00	-\$186.00

Data Sources: Financial Statement for Competition\*

\*A Financial Statement for Competition is required for all USAB sanctioned events.

# **AGENDA ITEM**

**#9c**

*Executive Officers' Report: Status Updated  
of Delegated Entities  
United State Fight League (USFL)*



United States Fight League  
P.O. Box 451  
Lake Forest, CA 92630  
[www.fightleague.org](http://www.fightleague.org)  
949 813-1041

Nov. 28, 2018

**To: Andy Foster, California State Athletic Commission**  
**Subj: USFL Update**

- I. Youth events since last commission meeting:**
  - **Sept 23 – Tracy, CA**  
14 bouts/ 0 injuries
  - **Sept. 29 – Temecula, CA**  
5 bouts/ 0 injuries
  - **Oct 20 – Ontario, CA**  
24 bouts/ 1 injury – Elbow strain due to armbar (13 year old female)
  - **Oct 27 – Orlando, FL**  
12 bouts/ 1 injury – Elbow strain due to armbar (9 year old male)
  - **Nov 11 – Tracy, CA**  
16 bouts/ 1 injury – Elbow strain due to armbar (14 year old female)
  
- II. Upcoming California Events 2018**
  - Dec 7 – Temecula, CA
  - Dec 8 – Sacramento, CA
  
- III. Planned Youth National Championship tournament June 2019.**

Tournament venue will be in Ontario, California and also serve to select the United States Team for the first International Mixed Martial Arts Federation (IMMAF) World championships for Cadets (ages 12-17) in Rome, Italy.
  
- V. Presentation to the Association of Ringside Physicians**

Doctor Adam Brooks presented a PowerPoint outlining USFL injuries and statistics at their annual meeting in Las Vegas. *See attached*

**VI. Medical suspensions since delegation Sept 2014:**

782 bouts/ 1564 athletic exposures (as of 11/11/18)

23 Injuries that required suspension from competition/ training.

**INJURIES TO DATE: (USFL records)**

- Knee hyperextension (age 15 male)
- Strained Thumb (age 13 female)
- Rib contusion (age 11 male)
- (2) Broken Arm (age 16 male & 14 male)
- (6) Hyper-extended Elbow (age 8 female 9 male, 12 male, 13 female, 14 female & 16 female)
- (3) Blows to cheek/ Jaw – No concussion (age 8 male, 13 male & 16 male)
- Fractured hand (age 17 male)
- Fractured Finger (age 12 male)
- Lower back strain (age 13 male)
- Fractured Clavicle (age 17 male)
- Trauma to thigh (age 12 female)
- Swollen/ Sprain finger (age 13 male)
- (2) Knee contusion (age 14 male, Age 14 male)
- Asthma Attack (age 13 male)

**VII. Media coverage of Youth Pankration**

UFC fight pass recently featured female USFL youth athletes as part of their 25<sup>th</sup> anniversary series titled, "Breaking Barriers the Story of Ronda Rousey and the rise of female MMA".

R/S

Jon Frank  
United States Fight League

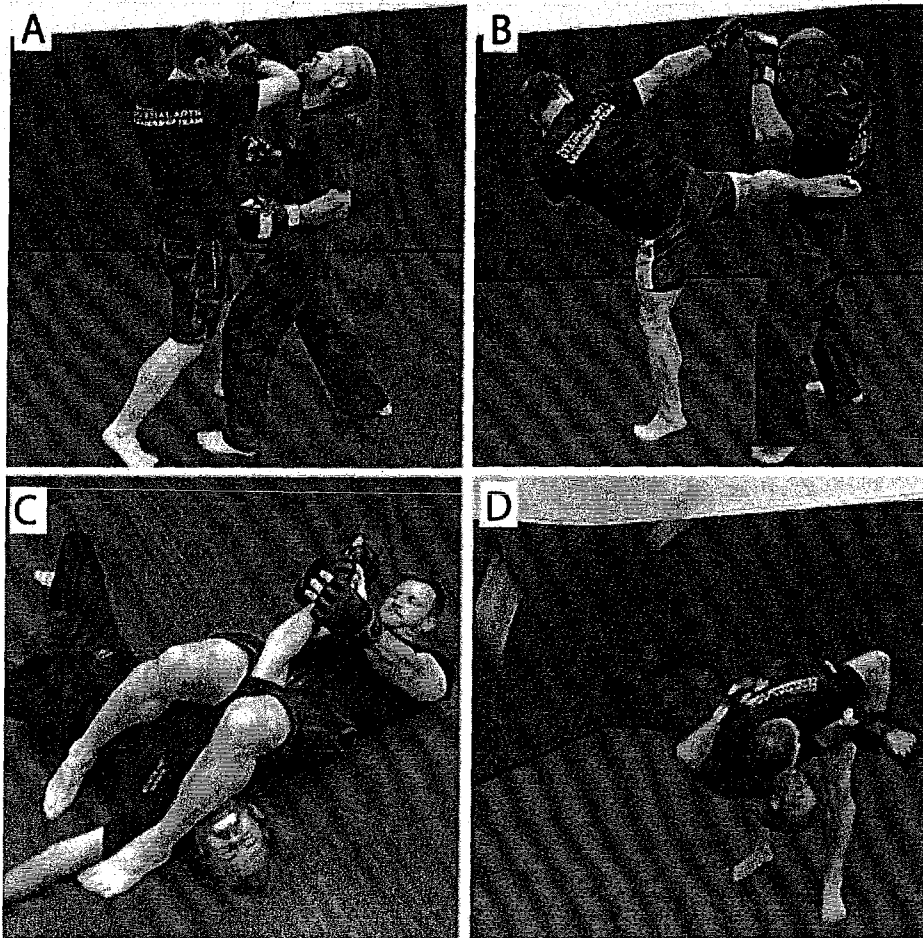
[www.fightleague.org](http://www.fightleague.org)

# Youth Injuries in Mixed Martial Arts

Adam Brooks  
Diplomate of the American Board of  
Orthopedic Surgery  
October 27, 2018

## Disclosures

- I have nothing to disclose



## MMA Overview

- US Competition since 1993
  - Initial controversy due to lack of regulation and perceived violence
- “Unified Rules of MMA” created in 2001
  - Weight classes
  - Illegal moves
  - Protective gear
  - Stopping contest
  - Round length
  - Working with state athletic boards
- One of the fastest growing sport in the USA

# MMA Overview

- Combination of various disciplines
  - Submission-predominant
    - Jiu-jitsu
    - Judo
    - Wrestling
  - Striking-predominant
    - Boxing
    - Karate
    - Muay Thai kickboxing
    - Taekwondo

## Questions

- Training vs. competition
- Submission vs. striking
- Amateur vs. professional
- **Youth vs. adult**
- Male vs. female
- Orthopedic vs. non-orthopedic
- Access to health care



# MMA Competition

- Bledsoe 2009
  - 171 MMA matches 2001-2004
  - Injury rate 28.6/100 fight-participations
    - 47.9% facial lacs
    - 13.5% hand
    - 10.4% nasal
    - 8.3% ocular
- Ngai 2008
  - 635 MMA matches 2002-2007
    - Injury rate 23.6/100 fight-participations
    - Facial lacs, UE injuries

# MMA Competition

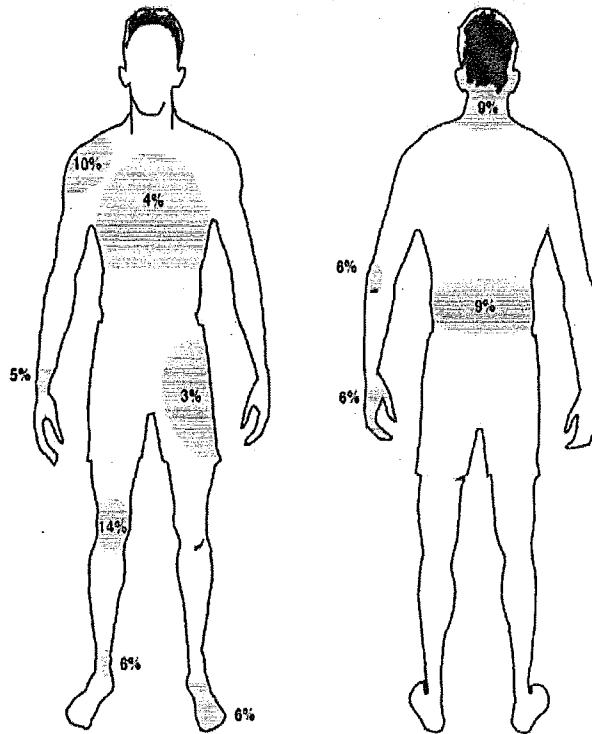
- Buse 2006
  - Reviewed videos of matches over 10 years
  - Cause of match-stoppage
    - Head 28%
    - 16.5% MSK stress
      - Elbow 9.3%
      - Ankle 2%
      - Shoulder 1.7%
    - 14% choke
    - 13% miscellaneous trauma

# MMA Competition

- McClain 2014
  - 711 MMA events
    - 8.5 injuries/100 fight participations
    - Different rates by state (higher in Kansas vs. Missouri)
- Lystad 2014
  - Systematic review of available MMA literature (6 studies included)
    - 229 injuries/1000 athlete exposures
    - Head/face 66.8-78.0%
    - Wrist/hand 6-12%
    - Lacerations 36.7%-59.4%
    - Fractures 7.4-43.5%
    - Concussions 3.8-20.4%

# MMA Training

- Ziaee 2015
  - 90% karate injuries during training
- Lystad 2014
  - 81.5% taekwondo injuries during training
- Rainey 2009
  - 77.9% MMA injuries during training
- Rodriguez, Brooks – unpublished data
  - 90% MMA injuries during training



## Submission Dominant

- Jiu-jitsu
  - 64.5% of injuries during competition were shoulder or elbow injuries (Kreiswith 2014)
  - 78% orthopedic injuries, elbow most frequent (Scoggin 2014)
- Judo
  - Shoulder, elbow and knee most common injured joints (Kim 2015)
- Wrestling
  - Shoulder most commonly injured joint (24%), then knee (17%) (Pasque 2000)

# Striking Dominant

- Generally high rate of face/head injuries - 57.8% to 70% of injuries (Bledsoe 2006, Lystad 2015, Ziaee 2015)
  - Facial abrasions
  - Facial fractures
  - Periorbital injuries
  - Concussions
- Boxing has higher rate of upper extremity injuries (17%)
- Kickboxing has higher rate of lower extremity injuries (26.1%)
  - Common in training but rare in competition

## Non-orthopedic Injuries

- 28.3% of match stoppage due to KO (Buse 2006)
- 2.6 additional strikes before match called (Hutchinson 2014)
- 15% of MMA athletes have history of KO (Heath 2013)
- More cortical thinning in MMA athletes compared to age-matched non-contact sport athletes (Mayer 2015)
- 0.19% decrease in brain processing speed per MMA fight participation (Bernick 2015)

# Non-orthopedic Injuries

- Dermatologic infections
  - Molluscum contagiosum (Lee 2013)
  - Herpetic infection with ocular involvement (Meulener 2011)
  - Tinea corporis (Bachmeyer 2013)
- Weight cutting
  - 39% of MMA athletes just before competition had urine specific gravity levels indicating severe dehydration (Coswig 2015)

## MMA Orthopedic Injury Research Goals

- Develop a comprehensive, user-friendly survey for MMA athletes
  - Primary MMA discipline
  - Age
  - Gender
  - Injury setting
  - Specific injury
  - Health care access
- More complicated than initially thought
- Survey to be distributed to youth, amateur and professional MMA fighters

# Youth MMA



# Youth MMA

- Descriptive data of youth MMA injuries
- USFL
  - Sanctioning body for all youth MMA fights in California since 2012. Also active in Missouri and Florida.
  - Youth MMA is actually a different discipline called “Pankration”
    - Rewards technique as opposed to result
    - Limitations on head strikes, other dangerous maneuvers
  - All participants examined before and after promotion
  - Injuries publicly documented on the Internet since 2014

# Youth MMA

Definition of injury from NCAA (*J Athl Training* 2014)

- 1) occurred as a result of participation in practice or competition
- 2) required attention from athletic trainer or physician
- 3) resulted in restriction of athlete's participation for 1 or more days beyond day of injury

# Youth MMA

- 58 competitions
- 1478 athletic exposures (fight participations)
- 18 total "major" injuries since 2014
  
- 19/1474 = 13 per 1000 fight participations
  - NCAA Football 8.1/1000 AE (37/1000 AE in competition, 15.7/1000 AE in scrimmage)
    - 7.4% concussions
  - NCAA Women's Field Hockey 3.25/1000 AE
  - NCAA Women's Lacrosse 4.93/1000 AE
  - NCAA Men's Lacrosse Competition 12.35/1000 AE
  - Men's Cross Country 4.66, Women's 5.85
  - Women's gymnastics 9.22 (14.5 competition, 8.7 practice)
  - Men's Hockey competition 18.7, Women's 12.1
  - **All Sports: Competition 13.8, practice 4.0**

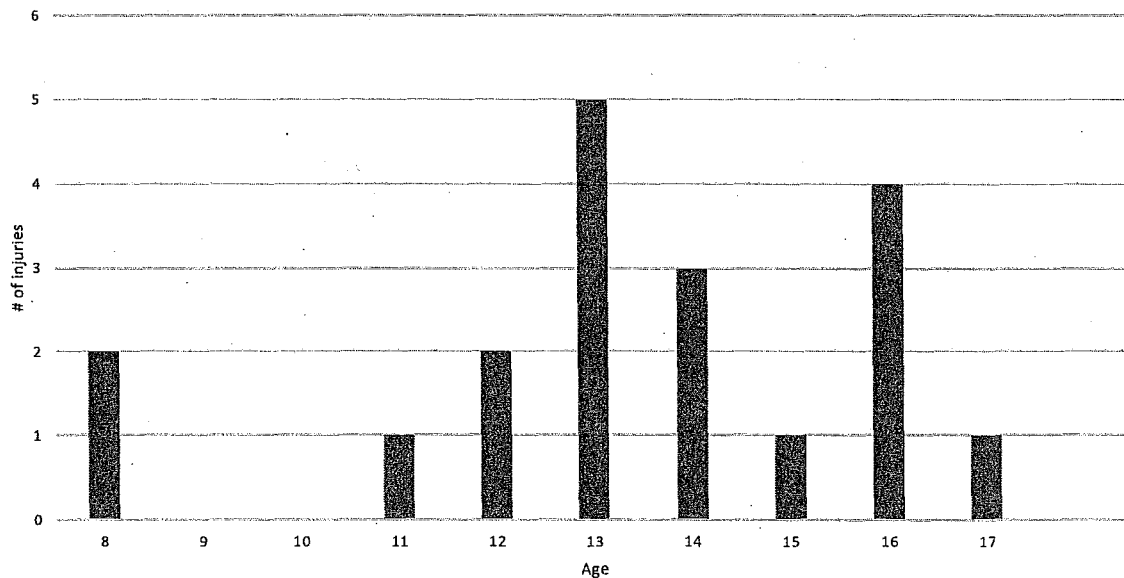
# Youth MMA

## – Major injuries

- 3 head/neck injuries (15.8%)
  - 2 jaw injuries
  - 1 blow to cheek
- 9 upper extremity (52.6%)
  - 2 broken arms
  - 1 thumb sprain
  - 3 elbow sprains
  - 1 index finger fracture
  - 1 broken clavicle
  - 1 swollen finger
  - 1 hand fracture
- 3 lower extremity (15.8%)
  - 2 knee sprain
  - 1 lower leg trauma
- 3 chest/abdomen (15.8%)
  - 1 rib injury
  - 1 lower back injury
  - 1 incidence of asthma

# Youth MMA

Injuries by Age





# Youth MMA

- Gender
  - 4 major injuries to girls (4.6% of female participants)
  - 15 major injuries to boys (4.9% of male participants)

No discernable statistical difference by gender

# Youth MMA

- 32 “Minor” injuries – injuries that did not stop athlete from participating that day or subsequent days
  - elbow “strains”
  - Achilles “strain”
  - lower lip injury
  - foot injury
  - chin injury
  - shoulder injury
  - groin injury
  - nose injury
  - neck pain
  - bruised thigh
  - knee injury
  - Eye-poke
  - Bloody noses

# Questions

- Training vs. competition
- Submission vs. striking
- Amateur vs. professional
- Youth vs. adult
- Male vs. female
- Orthopedic vs. non-orthopedic
- Access to health care

# **AGENDA ITEM**

**#9c**

*Executive Officers' Report: Status Updated  
of Delegated Entities*

*International Kickboxing Federation (IKF)*



**IKF - INTERNATIONAL KICKBOXING / MUAY THAI FEDERATION**

P.O. BOX 1205, 9250 CYPRESS STREET, NEWCASTLE, CA, 95658, USA

INTERNATIONAL FIGHT SPORTS / (916) 663-2467 - FAX: (916) 663-4510

www.IKFKickboxing.com - www.IKFMuayThai.com - www.IFightSports.com - main@IKFKickboxing.com

**IKF CALIFORNIA "SHORT REPORT" FOR THE CSAC**

September 20th, 2018 - November 30th, 2018

Since the IKF's last CSAC report of September 20th, 2018, the IKF has regulated 14 Amateur Muay Thai / Kickboxing Events in the State of California. Here are the event details of these "14" IKF Sanctioned Events:

<u>MONTH OF EVENTS</u>	<u>BOUTS</u>	<u>DECISIONS</u>	<u>ENDED IN KO/TKO</u>	<u>MEDICAL SUSPENSIONS</u>	<u>NOR CAL EVENTS</u>	<u>SO CAL EVENTS</u>
SEPTEMBER	41	33	7	8	1	2
OCTOBER	**33	**26	7	9	2	3
(**) 2 Events were In Gym Training Bouts consisting of 42 Sparring Bouts not included in above "Bout" totals.						
NOVEMBER	64	54	11	16	2	4
<b>TOTAL</b>	<b>138</b>	<b>113</b>	<b>25</b>	<b>33</b>	<b>5</b>	<b>9</b>

**ALL OF THE ABOVE BOUT DETAILS CAN BE FOUND AT THIS WEB PAGE:**

<http://www.ikfkickboxing.com/IKFCAPASTEVENTS.htm>

- ◆ Of these 14 Events, 4 of them were combined Pro & Amateur Events with the CSAC.  
12 Events were Actual Full Contact Muay Thai/Kickboxing Events with 138 Competition bouts fought.  
2 Events were IN GYM Training Events with 42 Sparring bouts.
- ◆ Of ALL 180 Bouts, the IKF Physicians issued 33 Medical Suspensions.
  - 2 was a 30 day Medical Suspensions.
  - 23 were 45 day Medical Suspensions.
  - 5 were 60 day Medical Suspensions.
  - 3 were 180 day Medical Suspensions.

**DETAILS OF THE 3, 180 DAY MEDICAL SUSPENSIONS**

- 1: 11-2-18 / Anaheim, CA: Bout ended by TKO at 1:33 of Round 2. Defeated fighter injured his knee when entering the ring, jumping over the ropes. Dr. Jelen checked the fighters knee prior to starting the first round and appeared able to fight. During second round of kicking and taking hits to the leg, the fighters knee was showing signs of possible fracture or torn ligament and the bout was stopped at 1:33 of Round 2. Dr. Mitch Jelen made it mandatory that the fighter must have clearance by an orthopedic doctor to be cleared from the 180/180 suspension.
- 2: 11-2-18 / Anaheim, CA: Bout went full 3 rounds and ended in a decision, 30-27 on all 3 cards. In the post bout evaluation, Dr. Mitch Jelen found a possible fracture of the pelvic bone and issued the 180 day Medical Suspension and made it mandatory that the fighter have Orthopedic clearance to compete again.
- 3: 11-3-18 / San Diego, CA: Bout ended by TKO at 1:34 of round 3. Winning fighter was issued the 180 day Medical Suspension by Dr. Jelen due to a possible fracture of the right knee. As in the incident on 11-2-18 above, fighter complained of pain after jumping over the top rope into the ring, landing awkwardly. Dr. Jelen initially checked over the fighter and his ability to move properly and found that he was able to fight. After the end of the bout, the fighter that won by TKO at 1:34 of round 3 complained of severe knee pain and appeared to have further injured his knee during the bout. Dr. Jelen issued the suspension and again made it mandatory that the fighter must have clearance by an orthopedic doctor to be cleared from the 180/180 suspension.

**DETAILS OF MEDICAL SUSPENSIONS CONTINUED ON NEXT PAGE**

**www.IKFKickboxing.com - www.IKFMuayThai.com - www.IFightSports.com**

## **IKF CALIFORNIA "SHORT REPORT" FOR THE CSAC**

**September 20th, 2018 - November 30th, 2018**

### ***DETAILS OF MEDICAL SUSPENSIONS CONTINUED***

#### **DETAILS OF THE 5, 60 DAY MEDICAL SUSPENSIONS**

- 1: **9-22-18 / Fresno, CA:** Bout ended at by KO at :23 seconds of Round 5. Fighter was issued 60 day Medical Suspension by Dr. Mitchell Jelen due to the severity of the TKO.
- 2: **9-28-18 / Anaheim, CA:** Bout ended in a Split Decision. Winning fighter suffered a cut above the eye requiring suture by Dr. Lenny Spivak. Fighter received sutures by Dr. Spivak at the completion of the event and was advised by Dr. Spivak to have the sutures checked and removed by his primary physician in two weeks.
- 3: **10-13-18 / Pleasanton, CA:** Bout ended by KO at 1:53 of round 3. Defeated fighter was issued a 30 day no training and 45 day no competition Medical Suspension by Dr. Gary Furness due to KO. An additional 60 Medical Suspension was issued due to a laceration next to the left eye that may require sutures. Fighter was advised by Dr. Furness that he can be cleared by a physician prior to the 60 days with Doctor letter e-mailed to IKF.
- 4: **11-3-18 / San Diego, CA:** Bout ended by TKO at 1:34 of round 3. Defeated fighter was issued a 30 day no training and 45 day no competition Medical Suspension due to TKO until December 19, 2018 by Dr. Mitch Jelen. Same fighter was also administered a 60 day Medical Suspension due to a cut near the left eye that requires sutures. Fighter was advised by Dr. Jelen that he cut can be cleared by his personal provider after the initial 45 day suspension for TKO.
- 5: **11-16-18 / Los Angeles, CA:** Bout ended in unanimous decision and the winning fighter was issued a 60 day no training and 60 day no competition Medical Suspension by Dr. Adam Saby due to a cut above his right eyebrow that requires sutures. Dr. Saby advised fighter that he can be cleared by MD (Medical Doctor) prior to the 60 day suspension.

#### **DETAILS OF THE 23, 45 DAY MEDICAL SUSPENSIONS**

- 1: **9-22-18 / Fresno, CA:** Bout ended by TKO at the End of round 2 and defeated fighter was issued a 30/45 day Medical Suspension was issued for precautionary reasons.
- 2: **9-22-18 / Fresno, CA:** Bout ended by KO at :23 seconds of round 5 and defeated fighter was issued a 30/45 day Medical Suspension for precautionary reasons.
- 3: **9-28-18 / Anaheim, CA:** Bout ended by TKO at 1:09 of round 2 and defeated fighter was issued a 30/45 day Medical Suspension for precautionary reasons.
- 4: **9-28-18 / Burbank, CA:** Bout ended by TKO at 1:09 of round 3 and defeated fighter was issued a 30/45 day Medical Suspension for precautionary reasons.
- 5: **9-28-18 / Burbank, CA:** Bout ended by TKO at the end of round 2 and defeated fighter was issued a 30/45 day Medical Suspension for precautionary reasons.
- 6: **10-13-18 / Pleasanton, CA:** Bout ended by Unanimous Decision and defeated fighter was issued a 30 day no training and 45 day no competition Medical Suspension by Dr. Gary Furness MD, due to a hard bout.
- 7: **10-13-18 / Pleasanton, CA:** 5 Round Amateur World title Bout ended by Unanimous Decision and defeated fighter was issued a 30 day no training & 45 day no competition Medical Suspension by Dr. Gary Furness MD, due to a hard bout.
- 8: **10-20-18 / Riverside, CA:** Bout ended by TKO at the end of round 2 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Mitchell Jelen for precautionary reasons.
- 9: **10-20-18 / Riverside, CA:** Bout ended by TKO at 1:47 of round 2 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Mitchell Jelen for precautionary reasons.
- 10: **10-20-18 / Riverside, CA:** Bout ended by KO at 1:01 of round 1 and defeated fighter was issued a 30/45 day Medical Suspension for precautionary reasons.
- 11: **10-20-18 / Riverside, CA:** Bout ended by KO at 1:10 of round 2 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Mitchell Jelen for precautionary reasons.
- 12: **10-20-18 / Riverside, CA:** Bout ended by KO at 1:50 of round 1 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Mitchell Jelen for precautionary reasons.
- 13: **11-2-18 / Anaheim, CA:** Bout ended by TKO at :43 seconds of round 3 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Mitchell Jelen for precautionary reasons.

***DETAILS OF MEDICAL SUSPENSIONS CONTINUED ON NEXT PAGE***

**[www.IKFKickboxing.com](http://www.IKFKickboxing.com) - [www.IKFMuayThai.com](http://www.IKFMuayThai.com) - [www.IFightSports.com](http://www.IFightSports.com)**

## **IKF CALIFORNIA "SHORT REPORT" FOR THE CSAC**

**September 20th, 2018 - November 30th, 2018**

### **DETAILS OF MEDICAL SUSPENSIONS CONTINUED**

#### **DETAILS OF THE 23, 45 DAY MEDICAL SUSPENSIONS - CONTINUED**

- 14: 11-3-18 / Richmond, CA: Bout ended by TKO at 38 seconds of round 5 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Lenny Spivak for precautionary reasons.
- 15: 11-3-18 / Richmond, CA: Bout ended by KO at 1:50 of round 1 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Lenny Spivak for precautionary reasons.
- 16: 11-3-18 / Richmond, CA: Bout ended by Head Kick KO at :12 seconds of round 2 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Lenny Spivak for precautionary reasons.
- 17: 11-3-18 / San Diego, CA: Bout ended by TKO at 1:59 of round 1 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Mitchell Jelen for precautionary reasons.
- 18: 11-3-18 / San Diego, CA: Bout ended by Split Decision and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Gamboa, due to vomiting and signs of severe dehydration and responsiveness after the bout. Recommended transport by ambulance to the hospital for further treatment, but fighter refused treatment by paramedics and advice of doctor Gamboa. Fighter's family members said they would take him into Kaiser by private vehicle. IKF has noted fighter MUST BE CLEARED BY MD TO COMPETE AGAIN..
- 19: 11-3-18 / San Diego, CA: Bout ended by TKO at 1:49 of round 5 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Mitchell Jelen for precautionary reasons.
- 20: 11-10-18 / Vacaville, CA: Bout ended as Technical Draw at 1:16 of round 1 due to an accidental foul (Groin Shot). Fouled fighter was unable to continue after the 5 minute rest period allowed after the accidental foul occurred. Fouled fighter was issued a 30/45 day Medical Suspension by Dr. Lenny Spivak due to injury to the groin.
- 21: 11-10-18 / Vacaville, CA: Bout ended by TKO at 1:47 of round 2 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Lenny Spivak for precautionary reasons.
- 22: 11-10-18 / Vacaville, CA: Bout ended by TKO at :54 seconds of round 4 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Lenny Spivak for precautionary reasons.
- 23: 11-10-18 / Vacaville, CA: Bout ended by KO at :56 seconds of round 1 and defeated fighter was issued a 30/45 day Medical Suspension by Dr. Lenny Spivak for precautionary reasons.

#### **DETAILS OF THE 2, 30 DAY MEDICAL SUSPENSION**

- 1: 9-28-18 / Anaheim, CA: Bout ended by TKO at 1:54 of round 4 and defeated fighter was issued a 30 day Medical Suspension by Dr. Lenny Spivak for precautionary reasons.
- 2: 10-6-18 / Anaheim, CA: Bout ended by TKO at 1:38 of round 2 and defeated fighter was issued a 30 day Medical Suspension by --- for precautionary reasons.

#### **SANCTIONED EVENT TOTALS**

In all, since the IKF was delegated to oversee Amateur Kickboxing and Muay Thai in California on March 17th, 2014 by the California State Athletic Commission, the IKF has sanctioned/regulated a total of 223 events.

By the December 11th, 2018 CSAC Commission meeting, the IKF will have sanctioned a total of 224 Amateur Kickboxing / Muay Thai Events AND BY THE END OF 2018, the IKF will have sanctioned a total of 225 Amateur Kickboxing / Muay Thai Events.

**END OF 2018 & FOURTH REPORT OF 2018**

**[www.IKFKickboxing.com](http://www.IKFKickboxing.com) - [www.IKFMuayThai.com](http://www.IKFMuayThai.com) - [www.IFightSports.com](http://www.IFightSports.com)**

# **AGENDA ITEM**

**#9d**

*Executive Officers' Report: Upcoming Event  
Schedule and Discussion Regarding Event  
Activity*



DEPARTMENT OF CONSUMER AFFAIRS  
 BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.  
**CALIFORNIA STATE ATHLETIC COMMISSION**  
 2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815  
 Phone: (916) 263-2195 | Fax: (916) 263-2197  
 Website: [www.dca.ca.gov/csac](http://www.dca.ca.gov/csac) | Email: [csac@dca.ca.gov](mailto:csac@dca.ca.gov)



EVENT NUMBER	EVENT DATE&TIME	WEIGH-IN INFORMATION	EVENT VENUE	PROMOTER	TYPE OF EVENT	BROADCAST	CSAC CONTACT
3192	12/1/2018 Time: 7:00PM	11/30/2018 Time: 5:00PM	MARRIOTT BURBANK AIRPORT 2500 N. HOLLYWOOD WAY Burbank, CA 91505	Dennis Warner	Muay Thai		Alma
3197	12/1/2018 Time: TBA	11/30/2018 Time: TBA	STAPLES CENTER 1111 South Figueroa Street Los Angeles, CA 90015	TGB Promotions	BOXING	Showtime PPV	Claudia
2908	12/6/2018 Time: 7:00PM	12/5/2018 Time: 4:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrect Promotions	BOXING/ MMA		Derek
3158	12/7/2018 Time: 6:00PM	12/6/2018 Time: 11:00AM	SAVEMART CENTER 2650 E Shaw Avenue Fresno, CA 93710	TS-1 Promotions	MMA	Univision/ ESPN Latin America	Lee
3213	12/8/2018 Time: 3:00PM	12/7/2018 Time: 12:00PM Sheraton LAX	STUB HUB CENTER 18400 Avalon Blvd. Carson, CA 90746	360 Promotions	BOXING	HBO	Claudia
3159	12/8/2018 Time: 4:00PM	12/7/2018 Time: 1:00PM	INDUSTRY HILLS EXPO CENTER 16200 Temple Avenue La Puente, CA 91744	RED Boxing Promotions	BOXING		Alma
3205	12/8/2018 Time: 4:30PM	12/7/2018 Time: 11:00AM	FANTASY SPRINGS CASINO 84-245 Indio Springs Pkwy. Indio, CA 92201	Golden Boy Promotions	BOXING		Lee
3188	12/13/2018 Time: TBA	12/12/2018 Time: TBA	TAGLYAN COMPLEX 1201 Vine Street Los Angeles, CA 90038	SMASH Global	MMA		Lee
3204	12/22/2018 Time: 5:00PM	12/21/2018 Time: 2:00PM	COMMERCE CASINO 6131 Telegraph Road Commerce, CA 90040	All Star	BOXING		Alma

## 2019

3183	1/11/2019 Time: 7:00PM	1/10/2019 Time: 5:30PM	CHUMASH CASINO 3400 East Highway 246 Santa Ynez, CA 93460	World Fighting Championships	BOXING		Derek
------	---------------------------	---------------------------	---	---------------------------------	--------	--	-------





DEPARTMENT OF CONSUMER AFFAIRS  
BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.

**CALIFORNIA STATE ATHLETIC COMMISSION**

2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815

Phone: (916) 263-2195 | Fax: (916) 263-2197

Website: [www.dca.ca.gov/csac](http://www.dca.ca.gov/csac) | Email: [csac@dca.ca.gov](mailto:csac@dca.ca.gov)



EVENT NUMBER	EVENT DATE&TIME	WEIGH-IN INFORMATION	EVENT VENUE	PROMOTER	TYPE OF EVENT	BROADCAST	CSAC CONTACT
3201	1/26/2019 Time: 4:00PM	1/25/2018 Time: 5:00PM	THE FORUM 3900 West Manchester Blvd Inglewood, CA 90305	Bellator	MMA	Paramount Network	Derek
3208	1/26/2019 Time: TBA	1/25/2018 Time: TBA	HONDA CENTER 2695 E. Katella Ave. Anaheim, CA 92806	UFC	MMA	TBD	Claudia
3214	2/9/2019 Time: TBA	2/8/2018 Time: 3:00PM	GARDENS CASINO 11871 East Carson Street Hawaiian Gardens, CA 90716	Roy Englebrect Promotions	BOXING		Alma
3221	2/21/2019 Time: TBA	2/20/2018 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrect Promotions	BOXING & MMA		Alma
3235	3/15/2019 Time: 7:00PM	3/14/2019 Time: 3:00PM	MARCONI AUTOMOTIVE MUSUEM 1302 Industrial Drive Tustin, CA 92780	Roy Englebrect Promotions	MMA		Alma
3238	3/23/2019 Time: 4:00PM	3/22/2019 Time: TBA	KEZAR PAVILION 755 Stanyan Street San Francisco, CA 94117	Dragon House	MMA		Derek
3231	4/11/2019 Time: TBA	4/10/2019 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrect Promotions	BOXING & MMA		Alma
3236	5/9/2019 Time: 9:00PM	5/8/2019 Time: 3:00PM	HOTEL IRVINE 17900 Jamboree Road Irvine, CA 92614	Roy Englebrect Promotions	MMA		Alma
3232	5/18/2019 Time: TBA	5/17/2019 Time: 3:00PM	GARDENS CASINO 11871 East Carson Street Hawaiian Gardens, CA 90716	Roy Englebrect Promotions	BOXING		Alma
3228	6/13/2019 Time: TBA	6/12/2019 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrect Promotions	BOXING & MMA		Alma
3218	8/3/2019 Time: TBA	8/2/2019 Time: 3:00PM	GARDENS CASINO 11871 East Carson Street Hawaiian Gardens, CA 90716	Roy Englebrect Promotions	BOXING		Alma



DEPARTMENT OF CONSUMER AFFAIRS  
 BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.  
**CALIFORNIA STATE ATHLETIC COMMISSION**  
 2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815  
 Phone: (916) 263-2195 | Fax: (916) 263-2197  
 Website: [www.dca.ca.gov/csac](http://www.dca.ca.gov/csac) | Email: [csac@dca.ca.gov](mailto:csac@dca.ca.gov)



EVENT NUMBER	EVENT DATE&TIME	WEIGH-IN INFORMATION	EVENT VENUE	PROMOTER	TYPE OF EVENT	BROADCAST	CSAC CONTACT
3227	8/22/2019 Time: TBA	8/21/2019 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrecht Promotions	BOXING & MMA		Alma
3224	10/10/2019 Time: TBA	10/9/2019 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrecht Promotions	BOXING & MMA		Alma
3217	11/9/2019 Time: TBA	11/8/2019 Time: 3:00PM	GARDENS CASINO 11871 East Carson Street Hawaiian Gardens, CA 90716	Roy Englebrecht Promotions	BOXING		Alma
3222	12/5/2019 Time: TBA	12/4/2019 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrecht Promotions	BOXING & MMA		Alma

# **AGENDA ITEM**

## **#13**

*Review and Approval of CSAC 2019  
Meeting Dates and Locations*



DEPARTMENT OF CONSUMER AFFAIRS  
BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.  
**CALIFORNIA STATE ATHLETIC COMMISSION**  
2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815  
Phone:(916) 263-2195 | Fax:(916) 263-2197  
Website: [www.dca.ca.gov/csac](http://www.dca.ca.gov/csac) | Email: [csac@dca.ca.gov](mailto:csac@dca.ca.gov)



## **California State Athletic Commission 2019 Meeting Dates**

Tuesday, February 26, 2019 (Orange County)

Tuesday, May 14, 2019 (Los Angeles)

Tuesday, July 30, 2019 (San Diego)

Tuesday, October 15, 2019 (Los Angeles)

Tuesday, December 17, 2019 (Sacramento)